

3745-300-07 Phase II property assessments.

[Comment: For dates of non-regulatory government publications, publications of recognized organizations and associations, federal rules, and federal statutory provisions referenced in this rule, see paragraph (B) of rule 3745-300-01 of the Administrative Code titled "Incorporation by reference."]

(A) Applicability.

- (1) A phase II property assessment shall be conducted in accordance with this rule if a phase I property assessment conducted in accordance with rule 3745-300-06 of the Administrative Code reveals any information that establishes any reason to believe that a release of hazardous substances or petroleum has or may have occurred on or from the property; or there is reason to believe that a release from an off-property source area is impacting the property. This rule does not apply when a release is in an area that is demonstrated to be de minimis in accordance with the requirements of paragraph (E)(2)(a) of rule 3745-300-06 of the Administrative Code.
- (2) Factors affecting eligibility of a property. The volunteer shall utilize information from phase I or phase II property assessments to determine that a property is eligible for the voluntary action program in accordance with rule 3745-300-02 of the Administrative Code at the time of the no further action letter issuance.

(B) Purpose of a phase II property assessment.

The purpose of a phase II property assessment is to conduct an investigation sufficient to determine whether all applicable standards are met or to determine that remedial activities conducted in accordance with rule 3745-300-11 of the Administrative Code demonstrate or result in compliance with applicable standards.

A volunteer may elect to conduct remedial activities at any point during a phase II property assessment without first deriving standards, provided that the remedial activities comply with rule 3745-300-11 of the Administrative Code, and provided that the volunteer completes the activities contained in paragraph (E) of this rule.

(C) Data quality objectives for phase II property assessments.

The volunteer shall develop and implement data quality objectives consistent with U.S. EPA's "Guidance on Systemic Planning Using the Data Quality Objectives Process" according to their limitations and intended uses. In order to achieve the purpose contained in paragraph (B) of this rule, the volunteer shall, at a minimum, complete one iteration of the following steps:

- (1) Identify the goals of the phase II property assessment, including the applicable standards that need to be achieved to demonstrate compliance with the voluntary action program rules.

- (2) Identify the data and information necessary to support the objectives of the phase II property assessment. Evaluate historical information from the phase I property assessment and determine if data gaps are present that should be addressed.
- (3) Define the boundaries of the phase II property assessment, including spatial and temporal limits. Determine the identified areas that need to be investigated or addressed, factoring in current and reasonably anticipated future use of the property.
- (4) Develop an approach to identify contaminants of concern, complete exposure pathways and current and reasonably anticipated future receptors.
- (5) Specify how the data and information collected in the phase II property assessment will be used in the decision-making process to assess identified areas. Clarify performance and acceptance/rejection criteria for the data.
- (6) Develop a sampling and analysis plan to obtain the data.
- (7) Develop a conceptual site model that illustrates the relationships between contaminants, transport media and receptors during various phases of the voluntary action as needed. The final conceptual site model, which represents conditions at the time of the no further action letter issuance, shall be submitted in the phase II property assessment report completed in accordance with paragraph (J) of this rule.

The conceptual site model shall determine exposure scenarios that identify the environmental media, chemicals of concern, current and reasonably anticipated future land use and receptor populations, and a determination of exposure pathway completeness.

[Comment: The conceptual site model should give consideration to appropriate assessment and sampling relative source areas, identified areas, and exposure units based on current and future land use conditions at the property.]

(D) Sampling and sample analysis.

The volunteer shall identify the samples and analytes that the certified laboratory shall analyze, and the volunteer shall ensure that the following:

- (1) The sampling procedures employed at the property are consistent with the sample quality requirements of the certified laboratory.
- (2) Data from the certified laboratory are adequate for use in the voluntary action. At a minimum, the volunteer shall do the following:
 - (a) Notify the certified laboratory when samples are to be used for a voluntary action and when certified laboratory data are required. If this communication with the certified laboratory is not provided, a certified laboratory is not required to analyze

the samples in accordance with its certification. Therefore, the certified laboratory may not be able to provide the appropriate affidavit certifying the analytical results as certified data.

- (b) Ensure that the certified laboratory is certified for and capable of performing the analyses that are required for the property, including those necessary for forming the basis of the no further action letter. In accordance with paragraph (H)(2) of rule 3745-300-04 of the Administrative Code, the certified laboratory shall disclose when it is not providing certified data whenever it is not certified for any analyte, parameter group, or method included in a request for analysis. This disclosure may be in the analytical report or by other means.
 - (c) Communicate to the certified laboratory the applicable standards required for the property and ensure that the certified laboratory is capable of detecting the chemicals of concern in environmental media at or below the applicable standards for the property. Cumulative adjustments for multiple chemicals and pathways shall be evaluated when determining the applicable standards that shall be achieved when evaluating compliance with applicable standards. In accordance with paragraph (H)(7) of rule 3745-300-04 of the Administrative Code, the certified laboratory shall disclose when it is not capable of detecting the chemicals of concern in environmental media at or below the applicable standards for the property. This disclosure may be in the analytical report or by other means. An appropriate detection limit may be used to represent any applicable standard where the certified laboratory is not capable of detecting the chemicals of concern at or below the applicable standard until such time that a lower detection is achieved.
- (3) Acceptable quality assurance and quality control procedures have been established and employed when collecting field data during the phase II property assessment. The field quality assurance and quality control procedures shall serve to: minimize sources of error; minimize the potential for cross contamination; and maximize the representativeness of the data collected. The field quality assurance and quality control procedures shall, at a minimum, include the following:
- (a) Review of the laboratory's quality assurance program plan and standard operating procedures for consistency with field quality assurance and quality control procedures.
 - (b) Development of field quality assurance and quality control procedures including but not limited to the following items:
 - (i) Equipment decontamination.
 - (ii) Trip blanks, equipment blanks, field blanks, and duplicates.

- (iii) Calibration of field instruments, which includes procedures for instrument correction and re-calibration when necessary.
 - (iv) Documentation and record maintenance.
 - (v) Sample handling, preservation and holding times.
 - (vi) Chain-of-custody.
- (4) Data collection, field testing, field screening and sampling techniques are established and used. Data collection, field testing, field screening and sampling techniques shall be used in a manner that is consistent with achieving the purpose of the phase II property assessment.
- (E) Phase II property assessment data collection activities. The volunteer shall collect sufficient data in accordance with the requirements contained in this paragraph to make the determinations contained in paragraphs (F)(1) to (F)(10) of this rule. The sampling activities conducted under this paragraph shall be performed in accordance with the sampling procedures contained in paragraph (D) of this rule. Data collection and data evaluation may be conducted iteratively in accordance with the conceptual site model as required by paragraph (C)(7) of this rule.
- (1) Use of existing information from phase I assessments and data from laboratories not certified under this chapter.
- (a) Phase I property assessment and other existing information. The person conducting a phase II property assessment shall utilize all information from a phase I property assessment conducted in accordance with rule 3745-300-06 of the Administrative Code and any other information known to the owner or the volunteer if different from the owner which is relevant to properly characterizing environmental conditions on, underlying, or emanating from the property.
 - (b) Using data from prior phase I environmental site assessments. Prior phase I environmental site assessments and studies not conducted in accordance with rule 3745-300-06 of the Administrative Code may be relied upon, provided that all of the following are met:
 - (i) The information gathered and the method used to collect and evaluate the data are consistent with the purposes described in paragraph (A) of rule 3745-300-06 of the Administrative Code.
 - (ii) The prior phase I environmental site assessment is amended in such a way as to comply with the requirements of rule 3745-300-06 of the Administrative Code.
 - (iii) The prior phase I environmental site assessment is amended to comply with paragraph (E)(1)(c) of this rule, if more than one hundred eighty days has elapsed since its completion.

- (c) Amending phase I property assessments. The volunteer shall ensure that all requirements contained in paragraphs (C) and (E) of rule 3745-300-06 of the Administrative Code have been performed within one hundred eighty days before the phase II property assessment begins, or that no change in environmental conditions at the property have occurred since the requirements in paragraphs (C) and (E) of rule 3745-300-06 of the Administrative Code were performed. Changed conditions means new information about known or suspected releases to environmental media resulting in additional identified areas at the property that are subject to phase II property assessment or previous identified areas that need further phase II property assessment due to new information.
- (i) When determining whether to re-evaluate requirements in paragraphs (C) and (E) of rule 3745-300-06 of the Administrative Code, best professional judgment shall be used to decide which requirements in paragraphs (C) and (E) of rule 3745-300-06 of the Administrative Code shall be re-evaluated to determine whether additional phase II property assessment is required.
- (ii) If any requirements in paragraphs (C) and (E) of rule 3745-300-06 of the Administrative Code require re-evaluation, documentation of the additional information gathered to comply with this paragraph shall be included in an updated phase I property assessment report.
- (d) Use of non-certified laboratory data. Data generated by laboratories not certified under this chapter, non-certified data, and studies not conducted in accordance with this rule may be used to partially meet the requirements of this rule, provided that all of the following are met:
- (i) The information gathered and the methods used to collect and evaluate the data are consistent with the purposes of this rule.
- (ii) The data are evaluated to ensure quality and consistency with the requirements for data collected in a phase II property assessment conducted in accordance with this rule.
- (iii) The data are confirmed by samples analyzed using by a laboratory with a current certification under this chapter for the analysis. The confirmatory samples shall be collected as follows:
- (a) For ground water, surface water, and air, a minimum of ten per cent of the sample population of each data set in the previous study shall be confirmed, provided that the samples are collected from the same sampling points that were used in the previous study.

(b) For all environmental media not addressed in paragraph (E)(1)(d)(iii)(a) of this rule, or if the sampling points used in the previous study cannot be used, or are not used, for collecting confirmatory samples, the volunteer shall quantitatively compare the non-certified laboratory dataset and the certified laboratory dataset and demonstrate that the two are not significantly different, utilizing any method that is accepted as an academic or industry standard, by collecting a minimum of ten per cent of the sample population, or at least eight samples, whichever is greater, for each data set in the previous study.

[Comment: If prior data or information are used in support of a no further action letter, they should be consistent with existing property conditions.]

- (2) A review and evaluation of existing regional and property-specific geologic, hydrogeologic, and physical characteristics of the property and its surrounding area by evaluating characteristics contained in paragraphs (E)(2)(a) to (E)(2)(o) of this rule. The volunteer shall review reasonably available information from previous on-property investigations or other sources of information. Property-specific data shall be collected as needed. The collection of additional data or information shall be by methods consistent with requirements of this rule. The evaluation of information and collection of additional data shall be consistent with the data quality objectives developed in accordance with paragraph (C) of this rule. The review and evaluation shall address and include, as necessary, the following:
- (a) The characteristics of major stratigraphic units and the associated depositional environments. A description of the continuous profile of the stratigraphic units beneath the property, including the thickness and lateral extent of each unit and the depth to bedrock.
 - (b) Property-specific physical characteristics of saturated or unsaturated soils or bedrock, including but not limited to: porosity, effective porosity, bulk density, moisture content, grain size analysis, and soil pH. The vertical and horizontal hydraulic conductivity of saturated and unsaturated zones. The contaminant attenuation capacity and mechanisms of attenuation of soil or bedrock, including but not limited to: ion exchange capacity, organic carbon content, mineral content, and soil sorptive capacity.
 - (c) Identification of regional aquifers and ground water zones beneath the property and determination of their productivity.
 - (d) Identification of confining units that may separate ground water zones and the ability of the confining units to transmit or retard the movement of ground water, including an evaluation of the hydraulic interconnectedness of such zones in the subsurface.

- (e) Identification and characterization of ground water recharge and discharge areas, and the amount of recharge and discharge.
 - (f) Estimates of infiltration or evapotranspiration rates.
 - (g) A description, and the potential orientation, of geomorphology and structural geologic features that may influence the ground water flow system or unsaturated flow conditions, including but not limited to: topographical features, geologic stratification, faults, joints, or fractures.
 - (h) The occurrence, flow direction and gradient of surface water or ground water.
 - (i) The absence or presence of commingled chemicals of concern from multiple source areas.
 - (j) The natural quality of ground water and surface water.
 - (k) Any anthropogenic influences that may affect or alter the natural geology and hydrogeology underlying the property or may provide preferential migration pathways, including but not limited to: utilities, fill material, pavement, buildings and building foundations, or grading activities.
 - (l) Identification of ground water use, availability or special designations such as "drinking water source protection areas for a public water system using ground water" as defined in paragraph (A) of rule 3745-300-01 of the Administrative Code or sole source aquifer designations.
 - (m) Identification of the presence of legally-enforceable restrictions on the use of ground water including, without limitation, local rules and ordinances.
 - (n) Identification of regional availability of surface water or ground water and reasonable alternative sources of drinking water.
 - (o) Any other characteristics or information that may be useful to meet the data quality objectives of the phase II property assessment or to determine compliance with applicable standards or the need for remedial activities.
- (3) The volunteer shall identify the chemicals of concern in the identified areas by evaluating the following:
- (a) Hazardous substances or petroleum identified in a phase I property assessment conducted in accordance with rule 3745-300-06 of the Administrative Code or this rule.
 - (b) Hazardous substances or petroleum that are or have been commonly used in industrial or commercial activities similar to the activities conducted at the property.

- (c) Hazardous substances or petroleum that, based on reasonably available information, may be typical constituents, components, additives, impurities and degradation products of hazardous substances or petroleum identified in paragraphs (E)(3)(a) and (E)(3)(b) of this rule.

[Comment: Naturally occurring hazardous substances or petroleum that occur in one environmental medium are considered potential chemicals of concern if current or past activities involving the treatment, storage, or disposal of hazardous substances or petroleum, are suspected to have caused the transfer of these naturally occurring hazardous substances or petroleum to other environmental media. Examples include, but are not limited to: (i) an acid spill that results in the leaching of metals naturally found in soil to the underlying ground water, or (ii) the mobilization to ground water of metals naturally found in soil when the mobilization is the result of anaerobic ground water associated with the biodegradation of an organic solvent ground water plume.]

- (d) Constituents of hazardous substances for which a method or technology of analysis is not available to measure the concentration of the hazardous substance. The volunteer shall obtain certified data for each constituent or set of constituents, which are representative of a hazardous substance, even if the constituents are not listed as a hazardous substance.

[Comment: An example is the compound aluminum sulfate whereby a laboratory indicates that it can analyze for aluminum which, by itself is not a hazardous substance; however, aluminum sulfate is a hazardous substance.]

(4) Evaluate identified areas.

- (a) The volunteer shall evaluate all identified areas and determine within each identified area the following:
 - (i) All source areas that are present.
 - (ii) All affected media that are present.
- (b) The volunteer shall consider information from a phase II property assessment to determine if the existence, location and dimensions of each identified area designated pursuant to a phase I property assessment require adjustment. If the existence, location or dimensions of the identified areas require adjustment or redesignation to reflect the phase II property assessment information, the volunteer shall make the appropriate adjustments to the identified areas and redesignate the identified areas in the phase II property assessment.

(5) Sampling environmental media.

- (a) The volunteer shall collect samples from environmental media affected by a release in accordance with the data quality objectives and sampling procedures developed

under paragraphs (C) and (D) of this rule. Sampling objectives shall be reliable and representative for the environmental media being sampled, as necessary to make the determinations contained in paragraphs (F)(1) to (F)(10) of this rule.

- (b) When determining how to conduct sampling under paragraph (E)(5)(a) of this rule, the volunteer shall ensure that the data collected will be sufficient for making the determinations in paragraphs (F)(1) to (F)(10) of this rule as well as meeting the stated data quality objectives. The volunteer shall ensure that the data are representative considering:
 - (i) The spatial distribution of sampling locations.
 - (ii) Temporal variations in the media or in the concentrations of chemicals of concern contained in the media.
- (6) Identification of current and reasonably anticipated property use and receptor populations.

The volunteer shall identify the current and reasonably anticipated uses of the property using the data quality objectives as provided in paragraph (C) of this rule. The volunteer shall also identify all receptor populations reasonably anticipated to be exposed to chemicals of concern on the property, and all off-property receptor populations reasonably anticipated to be exposed to chemicals of concern from the property. Receptor populations that shall be identified for the purpose of making the determinations contained in paragraph (F)(1) of this rule include, at a minimum, the following:

- (a) Populations that live on the property.
- (b) Populations that work on the property.
- (c) Populations on the property as visitors, commercial consumers or recreational participants.
- (d) Populations on or off the property that may be exposed to chemical(s) of concern in environmental media as a result of construction or excavation activities.
- (e) Populations on or off the property that are reasonably anticipated to be exposed to chemicals of concern from the property through ground water migration, surface water migration, dust emissions, volatilization and other mechanisms which transport chemicals of concern off the property.
- (f) Important ecological resources that, considering the land use and the quality and extent of habitat on the property and adjoining properties, would have reasonably been associated with the property or adjacent properties were it not for the presence of chemicals of concern from the property.

(7) The volunteer may need to conduct data collection activities necessary to determine background levels in accordance with paragraph (H) of this rule.

(F) Determinations under the voluntary action program.

(1) Pathway completeness determination.

(a) The volunteer shall evaluate the current and reasonably anticipated exposure pathways and shall identify the following.

(i) All source areas or affected media contributing to the pathway.

(ii) The receptors identified under paragraph (E)(6) of this rule and any applicable points of compliance.

(iii) The transport mechanisms for the pathway.

[Comment: The points of compliance for a pathway may be a receptor identified in accordance with this rule, or may be determined under other rules contained in this chapter. For example, the ground water response requirements contained in rule 3745-300-10 of the Administrative Code under specific conditions require that the point of compliance is the property boundary.

(b) The volunteer shall determine which current and reasonably anticipated pathways are complete. Exposure pathways shall be based on property-specific data collected in accordance with the procedures described in this chapter and shall be evaluated in accordance with the procedures described in this chapter. A pathway is considered to be complete if all three of the pathway components contained in paragraphs (F)(1)(a)(i) to (F)(1)(a)(iii) of this rule are present. All exposure pathways determined to be complete under this paragraph shall be identified in the phase II property assessment report.

(c) If it is determined that any of the exposure pathways on or adjoining the property are not reasonably anticipated to be complete for the chemicals of concern, the phase II property assessment report shall include a written justification for the elimination of those exposure pathways from further consideration.

(2) Determination of ground water zones and confining units.

(a) The volunteer shall determine ground water zones and confining units beneath the property, as necessary, for the purposes of ground water protection or classification. The information listed in paragraph (E)(2) of this rule should be used, as necessary, to determine the extent to which saturated zones in the subsurface should be divided or grouped into ground water zones. Further, the volunteer should determine whether any confining units are present, and, if present, how they separate the ground water zones.

- (b) When evaluating whether the uppermost saturated zone is a ground water zone, the volunteer may assume that the saturated zone contains ground water or may provide a demonstration that the saturated zone does not contain ground water. If the volunteer chooses to make a demonstration that the uppermost saturated zone does not contain ground water, then the volunteer shall bias the following determinations to the area of highest expected outcome of the testing:
- (i) Determine the ground water yield in accordance with paragraph (F)(8) of this rule, using a sufficient number of properly developed wells that are constructed to the minimum standards of a two-inch diameter, five-foot long manufactured screen placed in the saturated zone in a six-inch diameter borehole.
 - (ii) Determine the in situ hydraulic conductivity of the saturated zone using appropriate field test methods. Sampling points shall be sufficient in number to represent the hydraulic conductivity of the saturated zone underlying the property.
- (3) Determination of whether the provisions for protection of ground water meeting unrestricted potable use standards apply or whether ground water classification is required. If a ground water zone is determined to meet unrestricted potable use standards, the provisions contained in paragraph (F)(4) of this rule and paragraph (D) of rule 3745-300-10 of the Administrative Code apply to the ground water zone underlying the property. If a ground water zone is determined to exceed unrestricted potable use standards, the classification of the ground water zone shall be determined in accordance with paragraph (B) of rule 3745-300-10 of the Administrative Code. Either generic or property-specific unrestricted potable use standards may be used when making this demonstration. For each ground water zone underlying the property, the volunteer shall demonstrate whether the ground water in the zone meets or exceeds unrestricted potable use standards by one of the following methods:
- (a) Sample the ground water within the zone to determine whether the ground water in that zone meets or exceeds unrestricted potable use standards. The volunteer shall collect one or more ground water samples to determine the concentration of chemicals of concern in the ground water.
 - (i) Ground water samples shall be collected in accordance with paragraph (F)(6)(d) of this rule from one or more ground water monitoring wells located immediately down-gradient of the source area or down-gradient and as close as possible to the source area. The samples shall be analyzed by a certified laboratory for the concentrations of the chemicals of concern at the property. If more than one ground water sample is collected from a well, the second sample

shall be collected within forty-eight hours to ninety days after collection of the first ground water sample.

- (ii) To evaluate whether more than one ground water sample is warranted to determine if the ground water meets or exceeds the unrestricted potable use standards, the volunteer shall consider all temporal variations that could impact the determination of whether the provisions apply to the ground water zone. If additional ground water sampling events are warranted due to temporal variations, then a sufficient number of additional ground water samples shall be collected over an appropriate time period to adequately characterize a representative concentration of the chemical of concern in ground water. Temporal variations include, but are not limited to, the following:
 - (a) Seasonal variations resulting in either increased or decreased recharge and thus fluctuations in the water table elevation.
 - (b) Other variations resulting from the impact of geologic heterogeneity (permeability, fractures, etc.), contaminant source heterogeneity or the transient nature of contaminant transport.
- (iii) A minimum of two ground water samples are needed to determine that the ground water in a zone exceeds the unrestricted potable use standards, unless one or both of the following conditions apply:
 - (a) The concentrations of the first sampling event exceed unrestricted potable use standards by at least one order of magnitude.
 - (b) The concentrations of the first sampling event exceed unrestricted potable use standards and historical ground water data at the property indicates that releases from source areas have impacted the ground water zone underlying the property in excess of unrestricted potable use standards.
- (iv) When demonstrating whether ground water meets or exceeds unrestricted potable use standards, a cumulative adjustment for multiple chemicals shall be conducted in accordance with paragraph (A)(2)(b) of rule 3745-300-08 of the Administrative Code. The cumulative adjustment for multiple chemicals is required for both generic and property-specific risk-derived unrestricted potable use standards. However, the generic unrestricted potable use standards for ground water under paragraph (E)(3)(b) of rule 3745-300-08 of the Administrative Code shall not be included in the cumulative adjustment for multiple chemicals in the ground water zone. When making this demonstration, the risk for potable use of ground water shall not be summed with the risk from exposure pathways other than potable use of ground water.

- (b) The volunteer may justify that sampling of a ground water zone underlying the property is not necessary to determine that the ground water in the zone does not contain concentrations of any chemicals of concern exceeding unrestricted potable use standards. Based on this justification, the volunteer may apply the provisions for protecting ground water meeting potable use standards contained in paragraph (F)(4) of this rule and paragraph (D) of rule 3745-300-10 of the Administrative Code. As part of this justification, the volunteer shall document that it is reasonable to assume ground water does not exceed the unrestricted potable use standards based on a weight-of-evidence approach using relevant property-specific information, including the following, as necessary:
- (i) The nature, type, concentration, and mass of the chemicals of concern released, and the time of release.
 - (ii) The type, concentration, and mass of chemicals of concern present in the subsurface soil or bedrock above the ground water zone requiring protection or between ground water zones.
 - (iii) The physical and chemical characteristics of the soil or bedrock beneath the property including, but not limited to, the secondary features, soil or bedrock type, heterogeneity of the subsurface soil or bedrock, or the integrity of any confining units separating ground water zones.
 - (iv) The separation distance between the source area and the ground water zone, or the separation distance between ground water zones.
 - (v) The results of modeling conducted in accordance with paragraph (G) of this rule, as applicable.
 - (vi) The presence or absence of off-property source areas that may have impacted ground water on, underlying, or emanating from the property. The impact of off-property source areas shall be determined in accordance with paragraph (F)(9) of this rule.
 - (vii) Any other lines of evidence the volunteer believes support the determination that the ground water in a zone underlying the property does not contain concentrations of any chemicals of concern above unrestricted potable use standards.

[Comment: In general, ground water zones may be considered to meet unrestricted potable use standards when they underlie a ground water zone that meets unrestricted potable use standards, as determined according to paragraph (F)(3) of this rule, and will continue to meet unrestricted potable use standards in the future, as determined according to paragraph (F)(4) of this rule. This generalization may be applied when it is reasonable to assume that hazardous substances or petroleum could not have been introduced into the underlying ground water zones through subsurface disposal (or

some other means) and when off-property areas identified in the phase I property assessment have not introduced chemicals of concern into the underlying ground water zones.]

- (4) Demonstration of continuing compliance with the provisions for protecting ground water meeting unrestricted potable use standards.
- (a) When the provisions for protecting ground water apply to a ground water zone in accordance with paragraph (D) of rule 3745-300-10, the volunteer shall demonstrate that chemicals of concern, will not migrate to the ground water zone at concentrations that exceed unrestricted potable use standards. To demonstrate this, the volunteer shall do either of the following:
- (i) Demonstrate that the chemicals of concern in the subsurface do not exceed values that would result in unrestricted potable use standards being exceeded in the ground water zone.
- (ii) Demonstrate that the provisions for protecting ground water meeting potable use standards will not be violated, using a weight-of-evidence approach. As part of this weight-of-evidence approach, the volunteer shall document that it is reasonable to assume the ground water zone will not exceed unrestricted potable use standards in the future using relevant property-specific information, including the following, as necessary:
- (a) The nature, type, concentration, and mass of the chemicals of concern released, and the time of release.
- (b) The type, concentration, and mass of chemicals of concern present in the subsurface soil or bedrock above the ground water zone requiring protection or between ground water zones.;
- (c) The physical and chemical characteristics of the soil or bedrock beneath the property including, but not limited to, the secondary features, soil or bedrock type, heterogeneity of the subsurface soil or bedrock, or the integrity of any confining units separating ground water zones.
- (d) The separation distance between the source area and ground water, or the separation distance between ground water zones.
- (e) The results of modeling conducted in accordance with paragraph (G) of this rule, as applicable.
- (f) The presence of man-made structures on the property that reduce or prevent infiltration and leaching of any chemicals of concern to the ground water zone. Man-made structures which inhibit infiltration are considered

engineering controls and shall be maintained under an operation and maintenance plan in accordance with rule 3745-300-11 of the Administrative Code.

- (g) Any other lines of evidence the volunteer believes support the determination that the ground water in a zone underlying the property will not exceed concentrations of any chemicals of concern above unrestricted potable use standards.
- (b) If it cannot be demonstrated in accordance with paragraph (F)(4) of this rule that chemicals of concern will not leach or otherwise migrate into the ground water zone underlying the property, the volunteer shall implement a remedy in accordance with rule 3745-300-11 of the Administrative Code that prevents the ground water zone underlying the property from exceeding unrestricted potable use standards.
- (5) Determination of applicable standards. Applicable standards shall be determined for all chemicals of concern with respect to all exposure pathways determined to be complete under paragraph (F)(1) of this rule for which the volunteer intends to demonstrate compliance with applicable standards in accordance with paragraph (I) of this rule. The volunteer shall determine and derive the applicable standards for each complete exposure pathway in accordance with the requirements specified in this chapter. When an engineering control is implemented under an operation and maintenance plan in accordance with rule 3745-300-11 of the Administrative Code that renders an exposure pathway incomplete the volunteer does not need to derive the applicable standards.
- (a) The volunteer shall determine the applicability of generic numerical standards at the property in accordance with paragraph (A)(1)(a) of rule 3745-300-08 of the Administrative Code. If generic direct-contact soil standards for restricted land uses are used to meet applicable standards, institutional controls shall be used to limit the property's land use as described in paragraphs (B)(2)(d) and (C)(2)(c) of rule 3745-300-08 of the Administrative Code. The institutional controls shall be implemented in accordance with rule 3745-300-11 of the Administrative Code.
- (b) When a property-specific risk assessment is performed at the property, the volunteer shall determine the applicability of standards derived through a property-specific risk assessment conducted in accordance with paragraph (A) of rule 3745-300-09 of the Administrative Code.
- (c) The volunteer shall determine the applicability of any other standards contained in this rule or in rule 3745-300-08, 3745-300-09, 3745-300-10, or 3745-300-11 of the Administrative Code.

- (d) The volunteer shall consider the performance of a remedy employed at the property when its use is intended to meet or maintain applicable standards. The remedy shall be implemented in accordance with rule 3745-300-11 of the Administrative Code.
- (e) The volunteer does not need to determine applicable standards in accordance with rule 3745-300-08 or 3745-300-09 of the Administrative Code for chemicals of concern when the concentrations of the chemicals of concern from the property are at or below background levels determined in accordance with paragraph (H) of this rule.
- (f) The volunteer does not need to determine applicable standards for chemicals of concern, if any of the following apply:
- (i) The chemicals of concern were the result of a release in a de minimus area or a previously addressed area as determined in accordance with paragraph (E)(2)(a) of rule 3745-300-06 of the Administrative.
 - (ii) The volunteer makes the determination that infrequent detections of chemicals of concern are a product of artifacts in the data and may not be related to the site operations or disposal practices. Such a determination shall include, at a minimum, the following considerations:
 - (a) Historical information reported in the phase I property assessment report and other historical data from the property.
 - (b) The concentrations at which the detections are reported, provided that detection limits are not elevated due to matrix interferences.
 - (c) The detections do not indicate the presence of previously unknown areas of high concentration (i.e., new "hot spots").

[Comment: The discovery of new hot spots may indicate the presence of identified areas that require adjustment or redesignation in accordance with paragraph (E)(4) of this rule.]
 - (d) The chemical of concern is not detected in any other sampled environmental media.
 - (e) The likelihood that the detected constituents are degradation or by-products of chemicals of concern on the property.
 - (f) The type of environmental media in which the detections are reported.
 - (iii) The chemicals of concern are demonstrated to be tentatively identified compounds without an indication of historical use on the property or evidence that they might be degradation compounds or by-products of one or more other chemicals of concern used at the property.

- (iv) The chemicals of concern are demonstrated through appropriate quality assurance and quality control data to be the result of contamination due to field sampling activities or laboratory processes.
 - (v) The chemicals of concern are essential human nutrients that are present in concentrations near naturally occurring levels, and may be toxic only at very high concentrations. These chemicals include iron, magnesium, calcium, potassium and sodium.
 - (vi) The chemicals of concern are determined to contribute to less than one per cent of the estimated risk and or hazard attributed to a pathway-receptor combination in accordance with the procedures contained in U.S. EPA's "Risk Assessment Guidance for Superfund, Volume I: Human Health Evaluation manual (Part A)" following a procedure analogous to section 5.9.5 of the document.
- (6) Determination of the concentrations of chemicals of concern in identified areas or exposure units as defined in paragraph (A) of rule 3745-300-01 of the Administrative Code. The volunteer shall determine the concentrations of the chemicals of concern in accordance with paragraphs (F)(6)(a) to (F)(6)(e) of this rule as necessary to make the determinations contained in paragraphs (F)(1) to (F)(10) of this rule. Exposure unit determinations shall consider current and future land use exposure scenarios in accordance with paragraph (D)(3)(b) of rule 3745-300-09 of the Administrative Code, and sampling shall be appropriate for the exposure scenario. All samples collected in accordance with this paragraph shall be analyzed by a certified laboratory, and certified data provided, in order to support the determinations.
- (a) To determine the concentrations of the chemicals of concern in surface water, the volunteer shall follow a sampling and analysis plan developed in accordance with the following:
 - (i) Ohio EPA's "Biological Criteria For the Protection of Aquatic Life: Volume II: User's Manual For Biological Field Assessment Of Ohio Surface Waters."
 - (ii) Ohio EPA's "Manual of Ohio EPA Surveillance Methods And Quality Assurance Practices."
 - (b) To determine the concentrations of the chemicals of concern in sediments for the purposes of comparing the concentrations to the applicable standards identified in paragraphs (G) and (H) of rule 3745-300-08 of the Administrative Code, the volunteer shall sample the sediments in the identified areas in accordance with the procedures contained in the "Sediment Sampling Guide and Methodologies." The data collected shall be analyzed by a certified laboratory to determine its representative concentration or maximum concentration in the identified area. For

purposes of determining representative or maximum concentrations in identified areas, the volunteer shall derive the concentrations in accordance with paragraph (F)(6)(c) of this rule.

- (c) To determine the concentrations of the chemicals of concern in soil for the purposes of demonstrating compliance with applicable standards, the samples collected shall be analyzed by a certified laboratory, and certified data provided, in order to determine the representative concentrations or maximum concentrations of the chemicals of concern in the identified area or exposure unit. For purposes of determining representative or maximum concentrations of the chemicals of concern in identified areas or exposure units, the volunteer shall do one of the following:
- (i) Derive the representative concentration by calculating the ninety-five per cent upper confidence limit of the arithmetic mean. The ninety-five percent upper confidence limit of the arithmetic mean shall be calculated for each data set. Data sets shall be comprised of a sufficient number and quality of samples as to derive a normal, log-normal, or other applicable frequency distribution. In addition to meeting the requirements of paragraph (D) of this rule, the volunteer shall use techniques for sampling normal or log-normal distributions based on appropriate equations contained in U.S. EPA's "Calculating Upper Confidence Limits for Exposure Point Concentrations at Hazardous Waste Sites," or by other peer-reviewed statistical methodology for normal or log-normal distributions. Calculating the representative soil concentration using the ninety-five per cent upper confidence limit is inappropriate for vapor intrusion demonstrations.
 - (ii) Derive the maximum concentration within the identified area or exposure unit. The volunteer may use the maximum concentration in the data set to represent the identified area concentration, provided that a minimum of three or more samples are collected and analyzed by a certified laboratory, and certified data provided. The volunteer shall reliably bias sampling activities both vertically and laterally within the identified area or exposure unit to the point of highest concentration. A sufficient number of samples shall be collected in order to evaluate all source areas and exposures for each receptor determined in accordance with paragraph (F)(1) of this rule.
 - (iii) Derive the representative concentration using the incremental sampling technique based on guidance provided in Mason's "Preparation of Soil Sampling Protocols: Sampling Techniques and Strategies" and Gerlach and Nocerino's "Guidance for Obtaining Representative Laboratory Analytical Subsamples from Particulate Laboratory Samples." The volunteer may use the representative concentration from incremental sampling conducted in the

identified area or exposure unit, provided that the samples are analyzed by a certified laboratory.

- (d) To determine the concentrations of the chemicals of concern in ground water for the purposes of demonstrating compliance with applicable standards, the volunteer shall perform sampling activities in compliance with the following criteria:
- (i) The method of sample collection shall be capable of producing ground water quality appropriate for evaluating the pathway of concern.
 - (ii) The volunteer shall collect a sufficient number of samples to adequately characterize a representative concentration of the chemicals of concern in ground water. In determining the number and timing of samples collected, the volunteer shall consider temporal variations that could result in an exceedance of applicable standards. Temporal variations include, but are not limited to the following:
 - (a) Seasonal variations resulting in either increased or decreased recharge and thus fluctuations in the water table elevation.
 - (b) Other variations resulting from the impact of geologic heterogeneity (permeability, fractures, etc.) or contaminant source heterogeneity or the transient nature of contaminant transport.
 - (iii) Sample locations shall be appropriately located to evaluate all reasonably anticipated pathways to ensure applicable standards will not be exceeded at the points of compliance or receptors based upon the following:
 - (a) The direction of ground water flow.
 - (b) The size of the plume.
 - (c) The date of the release.
 - (d) Field screening techniques and methods.
 - (e) Other methods or information, as appropriate.
 - (iv) One or more sampling locations shall be biased toward the location that is, or would be anticipated to be, the area of highest concentration of chemicals of concern. If sample locations cannot be reliably biased towards the area of highest concentration, the volunteer shall take samples from a number of additional sample locations sufficient to determine the area of highest concentration.
 - (v) All samples collected in accordance with this paragraph shall be analyzed by a certified laboratory.

- (vi) For purposes of determining compliance with applicable standards, the volunteer shall evaluate the data from each location separately.
- (vii) If it is necessary to take a ground water sample directly beneath a source area, the volunteer shall use methods for monitoring well installation, construction, sampling and maintenance that will not cause cross-contamination between ground water zones.
- (viii) Methods and procedures shall be followed, according to their limitations and intended uses, and based on either of the following:
 - (a) Documents containing data collection, field testing and sampling techniques which conform to the following:
 - (i) Are field-validated.
 - (ii) Are documented and peer-reviewed.
 - (iii) Ensure the representativeness of samples taken following the technique.
 - (iv) Are proven capable of achieving the data quality objectives identified in paragraph (C) of this rule.
 - (b) Ohio EPA's "Technical Guidance Manual for Hydrogeologic Investigations and Ground Water Monitoring." If any portion of the "Technical Guidance Manual for Hydrogeologic Investigations and Ground Water Monitoring" document would be inconsistent with the purpose of the phase II property assessment and this chapter then that portion should not be used.
- (e) To determine the concentrations of the chemicals of concern for a vapor intrusion exposure pathway to indoor air, the volunteer shall perform sampling activities according to their limitations and intended uses based on either of the following:
 - (i) Documents containing data collection, field testing and sampling techniques which conform to the following:
 - (a) Are field-validated.
 - (b) Are documented and peer-reviewed.
 - (c) Ensure the representativeness of samples taken following the technique.
 - (d) Are proven capable of achieving the data quality objectives identified in paragraph (C) of this rule.
 - (ii) Ohio EPA's "Vapor Intrusion to Indoor Air - Sample Collection and Evaluation for the Remedial Response and Voluntary Action Programs guidance document."

- (f) Non-intrusive or indirect field testing may be used to assist in selecting sampling locations, but these techniques shall not be used to demonstrate that concentrations of concern meet or exceed applicable standards.
- (7) Classify the ground water. To classify ground water zones in accordance with paragraphs (A) and (B) of rule 3745-300-10 of the Administrative Code, the volunteer shall conduct the following data collection activities:
- (a) The volunteer shall determine if the ground water zone is being used. To make the determination, the volunteer shall, at a minimum do the following:
- (i) Identify any visual evidence of ground water use in areas where ground water has or is reasonably anticipated to have concentrations of chemicals of concern in excess of unrestricted potable use standards.
 - (ii) Review Ohio department of natural resources water well log information for the properties on which ground water contains or is reasonably anticipated to contain concentrations of chemicals of concern in excess of unrestricted potable use standards.
- (b) For the purpose of determining that the yield of a ground water zone falls below the criterion for critical resource ground water, as described in paragraph (B)(1) of rule 3745-300-10 of the Administrative Code, the yield of the ground water zone shall be based on one or more of the following sources of information or methods:
- (i) The ground water resource maps published by the Ohio department of natural resources or other published and verified data for the ground water zone being classified.
 - (ii) Determined from a sufficient number of properly developed wells constructed to the minimum standards of an eight-inch diameter manufactured screen in a twelve-inch diameter borehole, in accordance with paragraph (F)(8) of this rule. The well screen shall extend through at least eighty per cent of the thickness of the ground water zone or the volunteer shall otherwise demonstrate that shorter screen lengths would not produce yield resulting in a different classification of the ground water.
- (c) For the purpose of determining that the yield of a ground water zone falls below the criteria for "class A" ground water in paragraph (B)(2) of rule 3745-300-10 of the Administrative Code, the yield of the ground water zone being classified shall be determined in accordance with paragraph (F)(8) of this rule and conform to the following:
- (i) For an unconsolidated ground water zone, a determination of yield based on a sufficient number of properly developed wells, that are constructed to the

minimum standards of a four-inch diameter manufactured screen in an eight-inch diameter borehole or a two-inch diameter manufactured screen in a six-inch diameter borehole. When wells with dimensions of a two-inch diameter manufactured screen in a six-inch diameter borehole are used to determine yield, the yield shall be multiplied by a factor of 1.15 for purposes of this paragraph. The well screen shall extend through at least eighty per cent of the thickness of the ground water zone or the volunteer shall otherwise demonstrate that shorter intake lengths would not produce yield resulting in a different classification of the ground water.

- (ii) For a consolidated ground water zone that is monitored using wells with screens, a determination of yield based on a sufficient number of properly developed wells, that are constructed to the minimum of a four-inch diameter manufactured screen in an eight-inch diameter borehole or a two-inch diameter manufactured screen in a six-inch diameter borehole. When wells with dimensions of a two-inch diameter manufactured screen in a six-inch diameter borehole are used to determine yield, the yield shall be multiplied by a factor of 1.15 for purposes of this paragraph. The well screen shall extend through at least eighty per cent of the thickness of the saturated portion of the ground water zone or the volunteer shall otherwise demonstrate that shorter intake lengths would not produce yield resulting in a different classification of the ground water.
- (iii) For a consolidated ground water zone that is monitored using wells with open hole intakes, a determination of yield based on a sufficient number of wells that are properly constructed and developed to appropriate minimum standards of an eight-inch diameter borehole or a six-inch diameter borehole. When wells with a six-inch diameter borehole are used to determine yield, the yield shall be multiplied by a factor of 1.15 for purposes of this paragraph. The open hole intakes shall extend through at least eighty percent of the thickness of the ground water zone or the volunteer shall otherwise demonstrate that shorter intake lengths would not produce yield resulting in a different classification of the ground water.
- (d) For the purpose of comparing the yield of the ground water zone being classified to another ground water zone present below the property in accordance with the criterion of paragraph (B)(2)(c) of rule 3745-300-10 of the Administrative Code, the yield of the other ground water zone, which is the likely source of water used for potable purposes within one mile of the property, shall be determined based on the lowest yield of any wells within one mile of the property. If no wells used for potable purposes exist within one mile of the property, the ground water resources

maps published by the Ohio department of natural resources may be used to determine the yield of another ground water zone present under the property, which would likely be the source of water used for potable purposes within one mile of the property should a well be developed.

- (8) Determination of ground water yield. Whenever testing is conducted for purposes of determining the yield of a ground water zone underlying a property, the volunteer shall conduct sufficient testing to determine the representative yield available from the ground water zone for potable purposes. The determination shall be made in accordance with the following criteria:
- (a) Temporal considerations. The volunteer shall demonstrate either of the following:
 - (i) The statistical average yield for the ground water zone over a twelve month period.
 - (ii) The maximum yield for the ground water zone, provided that yield tests are biased towards the period of the highest yield.
 - (b) Spatial considerations. The volunteer shall bias the yield testing locations to the area of highest yield.
- (9) Determination of source areas. To determine whether ground water contamination is attributable to source areas located on the property, source areas located off the property, or a combination of the two, the volunteer shall conduct ground water sampling sufficient to determine the following:
- (a) The releases from source areas located on the property that contribute or contributed to the chemicals of concern in excess of unrestricted potable use standards in ground water.
 - (b) The extent to which releases from on-property source areas have affected the ground water.
 - (c) If releases from off-property source areas may have affected the ground.
 - (d) The extent to which releases from off-property source areas have affected the ground water.
 - (e) Compliance with rule 3745-300-10 of the Administrative Code.
- (10) Determination of contaminant pass-through provision. When a release from an off-property source area has affected the property, the volunteer is not responsible for compliance of applicable standards at or beyond the property boundary due to the excess contribution of chemicals of concern caused by the off-property release, except when any of the following apply:

- (a) The owner of the voluntary action property was an owner or operator of any property, other than the voluntary action property, where any source area was located during the owner's ownership of or operation on any such property, and hazardous substances or petroleum have emanated from the off-property source area onto the voluntary action property.
 - (b) The volunteer, or owner if different from the volunteer, caused or contributed to the source areas or the off-property release.
 - (c) The volunteer, or owner if different from the volunteer, has entered into an agreement with any person with the purpose or effect of creating a less stringent applicable standard than would otherwise be applicable in this rule.
 - (d) The volunteer is a parent, subsidiary, or other commonly owned entity of any party identified in paragraphs (F)(10)(a) to (F)(10)(c) of this rule.
- (G) Use of modeling.
- (1) The volunteer shall identify all models relied upon as part of the phase II property assessment activities to determine a property's compliance with applicable standards or used to evaluate remedial activities conducted in accordance with rule 3745-300-11 of the Administrative Code. The modeling shall be conducted in accordance with this rule.
 - (2) The model shall conform to the following criteria:
 - (a) Be either of the following:
 - (i) Generally accepted within the scientific community and peer reviewed.
 - (ii) Scientifically valid for the processes being modeled and code-verified. To be code-verified, the model shall be shown to produce reliable and mathematically accurate results for all functions of the model.
 - (b) Be used with assumptions and limitations reasonably consistent with conditions throughout the modeled area. The assumptions and limitations of the computer code, mathematical solution, technology utilized and computer code structure shall be consistent with the conditions throughout the modeled area and the application of the model.
 - (c) Be used in a manner consistent with the model's documentation and intended use.
 - (d) Be appropriate for the environmental media and application being modeled.
 - (3) The modeling shall adequately address the intended purpose of the modeling evaluation, such as to show compliance with applicable standards or to evaluate remedial activities conducted in accordance with rule 3745-300-11 of the Administrative Code. Depending on the intended purpose of the modeling evaluation or type of model, the model may

need to be calibrated to the geologic, hydrogeologic, or physical conditions throughout the modeled area. The model may need to be field-verified to determine if favorable comparisons exist between the modeled conditions and observed field conditions for the area being modeled. In some cases field verification may require monitoring and evaluation under an operation and maintenance plan.

(4) The modeling shall be evaluated to determine its sensitivity to the input parameters or other components of the model (for example, boundary conditions). The volunteer shall consider the sensitivity of the input parameters when utilizing a model to determine whether a property meets the applicable standards or when evaluating remedial activities conducted in accordance with rule 3745-300-11 of the Administrative Code. Input parameters or other components of the model determined to be sensitive to the modeling results shall conform to either of the following:

(a) Be based on scientifically-valid conservative assumptions. The inputs shall be based on property-specific data, or information from peer-reviewed literature and best professional judgment.

(b) Be accounted for through an uncertainty analysis to quantitatively determine compliance with applicable standards or to evaluate remedial activities conducted in accordance with rule 3745-300-11 of the Administrative Code. The inputs for the uncertainty analysis shall be based on the following:

(i) Property-specific data collected in accordance with this.

(ii) Scientifically-valid and appropriate assumptions using either best professional judgment or information from peer-reviewed scientific literature or publications.

(5) The modeling evaluation and the results shall be documented within the phase II property assessment report or within a separate modeling report addressing paragraphs (G)(1) to (G)(4) of this rule. If a separate modeling report is written it shall be attached to the phase II property assessment report.

(H) Determination of background levels. Background levels may be used as the applicable standard after demonstrating the chemicals of concern are not the result of current or past activities involving the treatment, storage, or disposal of a hazardous substance or petroleum. The volunteer shall provide a demonstration as part of paragraph (J)(8) of this rule that chemicals of concern for which a background determination is being made meet the requirements of this paragraph. Background levels that are determined in accordance with this paragraph are considered applicable standards under this rule.

(1) Background levels in soil. If the background levels, as determined in accordance with this rule, for a chemical of concern do not meet the applicable standard derived for the

property in accordance with rule 3745-300-08 or 3745-300-09 of the Administrative Code, the volunteer can select, as the applicable standard, a comparison demonstrating that the concentration of any such chemical of concern on the property is at or below background levels.

- (a) When determining background levels in soils, the samples shall be taken in soil media native to the property and may not be taken in areas identified in paragraph (H)(1)(b) of this rule. Native fill may be used for determining background levels when the native fill was not moved from or is not currently in an area described in paragraph (H)(1)(b) of this rule. If no areas on a property are appropriate under this rule to sample for background, to determine background levels, the volunteer may collect samples from a nearby, representative off-property location which would meet the requirements of this paragraph.
- (b) The following areas are inappropriate to sample when determining background levels:
 - (i) The following types of fill areas:
 - (a) Engineered fill.
 - (b) Structural fill.
 - (c) Industrial fill.
 - (ii) Areas in which management, treatment, handling, storage or disposal activities of any of the following are known or suspected to have occurred:
 - (a) Hazardous substances or petroleum.
 - (b) Solid or hazardous wastes.
 - (c) Waste waters.
 - (d) Material handling areas.
 - (iii) Areas within three feet of a roadway. This restriction only applies when the chemicals of concern is one that would normally be associated with the activities conducted on the roadway.

[Comment: For example, a volunteer may not sample within three feet of a roadway when the volunteer is trying to determine a background level for lead.]
 - (iv) Parking lots and areas surrounding parking lots or other paved areas. This restriction only applies when the chemicals of concern is one that would normally be associated with the activities conducted in the parking lots.

- (v) Railroad tracks or railway areas or other areas affected by their runoff. This restriction only applies when the chemicals of concern are those that would normally be associated with the activities conducted on or around the railroad tracks.
 - (vi) Areas of concentrated air pollutant depositions or areas affected by their runoff.
 - (vii) Storm drains or ditches presently or historically receiving industrial or urban runoff.
 - (viii) Spill areas.
- (c) Background levels shall be representative of the zones or depth intervals to which the background levels may be applied.
- (d) The following method shall be followed to determine a representative numerical value for background levels in soils at a property:
- (i) Collecting background level samples. At a minimum, eight soil sampling points shall be taken to represent a background level within each zone, or soil horizon which will be compared to samples taken to determine the concentrations of chemicals of concern in identified areas.
 - (ii) Determining the numerical value for background concentrations for chemicals of concern at the property. The volunteer may use any statistically valid methodology to determine a background concentration whereby the statistical means of the distribution of background and the impacted area datasets are compared. The volunteer may refer to U.S. EPA's "Guidance for Comparing Background and Chemical Concentrations in Soil for CERCLA sites" and "Statistical Methods for Evaluating the Attainment of Cleanup Standards" for guidance. Alternatively, a statistical method that may be applied to establish background concentrations is as follows:
 - (a) The background mean, referred to as:

$$\bar{X}_b$$

shall be calculated by dividing the sum of the total background readings by the total number of background readings:

$$\bar{X}_b = \frac{X_1 + X_2 + X_n}{n_b}$$

- (b) The background standard deviation, referred to as: S_b shall be calculated by taking the square root of the sum of the squares of each reading minus the mean, divided by the degrees of freedom, which is the total number of background samples minus one ($n_b - 1$):

$$S_b = \sqrt{\frac{(X_1 - \bar{X}_b)^2 + (X_2 - \bar{X}_b)^2 + \dots + (X_n - \bar{X}_b)^2}{n_b - 1}}$$

- (c) The coefficient of variation, referred to as " C_v " shall be calculated by dividing the background standard deviation by the background mean:

$$C_v = \frac{S_b}{\bar{X}_b}$$

The coefficient of variation is used as a means to evaluate the data distribution. Normally distributed background data should generally have " C_v " less than 0.5 for granular soils, and less than 0.75 for cohesive soils, or an explanation accounting for higher " C_v " values. If the " C_v " exceeds 1.0 and the volunteer determines that the data are not distributed normally, the data may be normalized by an appropriate transformation and a maximum allowable limit may be calculated for the transformed data in accordance with paragraph (H)(1)(d)(ii)(d) of this rule. If " C_v " exceeds 1.0 then the volunteer shall conduct a thorough evaluation to account for this variability. If the " C_v " exceeds 1.0 and the volunteer determines that a data point does not accurately represent background conditions or if a quality

assurance and quality control problem exists which has invalidated the data point, the invalidated and inaccurate data points may be dropped, or additional samples shall be collected and analyzed to ensure a sufficient representative data population is maintained.

(d) For normally distributed data apply:

$$\bar{X}_b + 2 * S_b$$

of background data as the maximum allowable limit or upper limit, where $2 * S_b$ represents two times the standard deviation and

$$\bar{X}_b$$

represents the background mean.

Each sample point from the background dataset shall be compared to the calculated maximum allowable limit or upper limit analyzed from background data. If a value from the background dataset is found to be an outlier which is not representative of background conditions, it shall be replaced by another sample that is not an outlier to maintain at least eight samples for the background determination for soils.

- (2) Determination of soil background levels from off-property investigations. Upon demonstration that it is not possible to find sampling locations in accordance with paragraph (H)(1) of this rule, the volunteer may use information from off-property investigations in accordance with this paragraph to determine the background concentrations of chemicals of concern at the property. When evaluating the applicability of the data collected as part of the off-property investigation, the criteria contained in paragraphs (H)(1)(b) and (H)(1)(c) of this rule shall be satisfied in order to consider the data as potentially applicable for determining background levels in soils for the purposes of this rule. In addition, if the information is not representative of conditions at the property, the volunteer cannot use this method to demonstrate background levels in soil. Appropriate off-property investigations that may be used for the purposes of this paragraph include investigations using data demonstrated to be reliable and representative of background levels for the property. At a minimum, to be reliable and representative, the investigations shall conform to the following:

- (a) Be conducted on soil that is representative of the soil type at the property for which the background level is being determined and are located within the state of Ohio.
- (b) Employ data demonstrated to be reliable and representative that at a minimum meet the following criteria.
 - (i) Employ data quality objectives consistent with paragraph (C) of this rule.
 - (ii) Employ quality assurance and quality control procedures that serve to minimize sources of error and the potential for cross contamination of field samples, and maximize the representativeness of the data collected.
 - (iii) Employ data collection and sampling techniques that are consistent with the criteria listed in paragraphs (D)(1) to (D)(4) of this rule.
- (c) Employ methods for calculating background levels consistent with the methods described in paragraph (H)(1)(d) of this rule or otherwise use methods that are demonstrated to be statistically verifiable.

[Comment: Appropriate investigations may include: peer-reviewed information; research reports generated or sponsored by local, state, or federal agencies; or college or university research reports including theses and dissertations.]

(3) Ground water background levels.

- (a) Property-specific determination of ground water background levels. If the background levels, as determined in accordance with this rule, for a chemical of concern do not meet the applicable standard derived for the property in accordance with rule 3745-300-08 or 3745-300-09 of the Administrative Code, the volunteer can select, as the applicable standard, a comparison demonstrating that the concentration of any such chemical of concern on the property is at or below background levels. To determine background levels in ground water, samples shall be taken up-gradient at appropriate locations and depths which are unaffected by anthropogenic sources of contamination. Background sampling points may include points not hydraulically up-gradient of the identified areas where either of the following occurs:
 - (i) Hydrogeologic conditions do not allow the volunteer to determine which direction is hydraulically up-gradient.
 - (ii) Sampling at other points will provide an indication of background ground water quality that is representative or more representative than that provided by the up-gradient points.
- (b) The number and kind of samples collected to establish background in ground water shall meet the following criteria:

- (i) Be appropriate for the method used for determining whether concentrations of chemicals of concern exceed background, following generally accepted principles.
 - (ii) As large as necessary to ensure with reasonable confidence that a contaminant release to the ground water from a property will be detected.
- (c) The method chosen shall be applied separately for each chemical of concern and shall comply with the following performance standards:
- (i) Capable of accounting for data below the limit of detection using the lowest practical quantitation limit that can be reliably achieved within specified limits of precision and accuracy during routine laboratory operating conditions that are available to the volunteer. The practical quantitation limit shall be below the potable ground water standard.
 - (ii) Contain procedures to control or correct for seasonal and spatial variability as well as temporal correlation in the data.
 - (iii) Should a statistical method be chosen, the method shall be appropriate for the distribution of chemical parameters or hazardous constituents. If the distribution is shown to be inappropriate for a normal theory test, then the data shall be transformed or a distribution-free theory test shall be used. If the distributions for the chemicals of concern differ, more than one statistical method may be needed.
 - (iv) Complies with the performance standards set in U.S EPA's "Statistical Analysis of Ground Water Monitoring Data at RCRA Facilities: Addendum to the Interim Final Guidance."
- (4) Determination of ground water background levels from off-property investigations. Upon a demonstration that it is not possible to find sampling locations in accordance with paragraph (H)(3) of this rule, the volunteer may use information from off-property investigations in accordance with this paragraph to determine the background concentrations of chemicals of concern at the property. When evaluating the applicability of the data collected as part of the off-property investigation, the criteria contained in paragraphs (H)(3)(b) and (H)(3)(c) of this rule shall be satisfied in order to consider the data as potentially applicable for determining background levels in ground water for the purposes of this rule. In addition, if the information is not representative of conditions at the property, the volunteer cannot use this method to demonstrate background levels in ground water. Appropriate off-property investigations that may be used for the purposes of this paragraph include investigations using data demonstrated to be reliable and representative of background levels for the property. At a minimum, to be reliable and representative, the investigations shall meet the following criteria:

- (a) Be conducted on soils and ground waters representative of the soil type, ground water conditions, and ground water zone at the property for which the background level is being determined and are located within or immediately adjacent to the state of Ohio.
- (b) Employ data demonstrated to be reliable and representative and at a minimum meet the following criteria.
 - (i) Employ data quality objectives consistent with paragraph (C) of this rule.
 - (ii) Employ quality assurance and quality control procedures that serve to minimize sources of error and the potential for cross contamination of field samples, and maximize the representativeness of the data collected.
 - (iii) Employ data collection and sampling techniques that are consistent with the criteria listed in paragraphs (D)(1) to (D)(4) of this rule.
- (c) Employ methods for calculating background levels that are demonstrated to be statistically verifiable.

[Comment: Appropriate investigations may include: information from the Ohio EPA division of drinking and ground water regarding ambient ground water quality monitoring; peer-reviewed information; research reports generated or sponsored by local, state, or federal agencies; or college or university research reports including theses and dissertations.]

- (5) If background levels in soil or ground water cannot be determined using paragraphs (H)(1) to (H)(4) of this rule, background levels cannot be used as the applicable standards for either the soil or ground water.

(I) Demonstration of compliance with applicable standards.

- (1) Data collection. The data collected in accordance with this rule shall be sufficient to determine whether applicable standards are met or to determine that remedial activities conducted in accordance with rule 3745-300-11 of the Administrative Code result in compliance with applicable standards.

Data shall be sufficient to assess existing exposure pathways and reasonably anticipated exposure pathways determined to be complete in accordance with paragraph (F)(1) of this rule and all points of compliance for soil, ground water, and other environmental media, including the following:

- (a) Points of compliance for soil.
 - (i) Applicable standards based on direct-contact with soils. A volunteer shall meet and maintain compliance with the direct-contact soil standards to the following minimum soil depths:

- (a) For properties having unrestricted land use or unrestricted residential land use, the point of compliance for applicable standards is from the ground surface to a minimum depth of ten feet. The volunteer shall comply with applicable standards at depths below ten feet when soil may be made available for direct-contact through circumstances other than those specified in paragraph (I)(1)(a)(i)(c) of this rule.

The volunteer shall also comply with applicable standards at depths greater than ten feet when soils may be made available for chronic, direct-contact exposure through excavation, grading, utilities maintenance or other similar circumstances. In these scenarios, the applicable point of compliance extends from the ground surface to the maximum depth of reasonably anticipated activities.

- (b) For properties having institutional controls that limit a property's land use and where the institutional controls have been implemented in accordance with rule 3745-300-11 of the Administrative Code, the point of compliance for applicable standards is from the ground surface to a minimum depth of two feet. The volunteer shall comply with applicable standards at depths below two feet when soil may be made available for direct-contact through circumstances other than those specified in paragraph (I)(1)(a)(i)(c) of this rule. The volunteer shall also comply with applicable standards at depths greater than two feet when soil may be made available for chronic, direct-contact exposure through excavation, grading, utilities maintenance or other similar circumstances such as when soil below two feet is brought to the surface and left on the surface or otherwise incorporated into the soil remaining within the two foot point of compliance.

- (c) For properties where excavation, grading, or other construction activities may occur on the property the volunteer shall comply with applicable soil standards for such excavation or construction activities. The point of compliance for applicable standards is from the ground surface to a minimum depth equal to the maximum depth of excavation activities at the property.

[Comment: Applicable standards for excavation or construction activities can be either generic direct-contact soil standards for excavation construction activities, standards derived using a property-specific risk assessment, or background levels determined in accordance with paragraph (H) of this rule.]

- (ii) Applicable soil standards based on leaching of chemicals of concern from soils to ground water. The point of compliance for applicable soil standards based

on leaching of chemicals of concern from soils to ground water, when such leaching shall be prevented in accordance with paragraph (D) of rule 3745-300-10 of the Administrative Code, is the depth from the ground surface to the top of the ground water zone requiring protection in accordance with paragraphs (F)(3) and (F)(4) of this rule.

- (iii) Applicable soil standards based on other identified complete exposure pathways. The point of compliance for applicable soil standards developed pursuant to rule 3745-300-08 or 3745-300-09 of the Administrative Code for complete exposure pathways identified in paragraph (F)(1) of this rule, other than those identified in paragraphs (I)(1)(a)(i) and (I)(1)(a)(ii) of this rule, shall be determined so that the exposure to receptors is appropriately addressed.

[Comment: Other identified complete exposure pathways for chemicals of concern in soil to receptor populations may include but are not limited to volatile emissions of chemicals of concern in soil to indoor air or the migration of chemicals of concern in soil to surface water or sediments.]

- (b) Points of compliance for ground water. The points of compliance for each ground water zone on, underlying or emanating from a property shall be determined in accordance with paragraphs (D) and (E) of rule 3745-300-10 of the Administrative Code.
 - (c) Points of compliance for other environmental media. The points of compliance for each complete exposure pathway identified in paragraph (F)(1) of this rule for each environmental medium other than those identified in paragraphs (I)(1)(a) and (I)(1)(b) of this rule, shall be determined in accordance with the procedures in rule 3745-300-08 or 3745-300-09 of the Administrative Code.
- (2) Data analysis. The volunteer shall verify the assumptions and applicability of models, statistical methods, or any other data analysis methods used for determining compliance with applicable standards, determining the concentration of chemicals of concern, deriving applicable standards, or demonstrating the effectiveness of a remedial activity. At a minimum, the following shall be demonstrated:
- (a) Models were used in accordance with paragraph (G) of this rule.
 - (b) Statistical methods used are appropriate and valid for their intended use.
 - (c) Adjustment of applicable standards for multiple chemicals of concern was conducted in accordance with rules 3745-300-08 and 3745-300-09 of the Administrative Code, if applicable. All final cumulative human health carcinogenic risk and non-carcinogenic hazard levels are based on one significant figure.

- (d) If non-certified laboratory data or studies not conducted in accordance with this rule are used to partially meet the requirements of this rule, the data shall be confirmed in accordance with paragraphs (E)(1)(d)(iii) of this rule. The volunteer shall demonstrate in the phase II property assessment report how the non-certified laboratory data was confirmed using certified data.
 - (e) If applicable standards were not determined for chemicals of concern on the property because the chemicals of concern meet the criteria of paragraph (F)(5) of this rule, the volunteer shall demonstrate in the phase II property assessment report how the criteria are met.
- (3) Compliance with applicable standards.
- (a) The volunteer shall verify compliance with applicable standards for all current exposure pathways and reasonably anticipated exposure pathways determined to be complete in accordance with the procedures described in paragraph (F)(1) of this rule, or the volunteer shall implement a remedy pursuant to paragraph (I)(4)(b) of this rule.
 - (b) To verify compliance with applicable standards, the volunteer shall compare the concentration of each chemical of concern determined in accordance with paragraph (F)(6) of this rule to the applicable standard identified in paragraph (F)(5) of this rule. Compliance with an applicable standard is verified if the concentration of each chemical of concern does not exceed the applicable standard. All final cumulative human health carcinogenic risk and non-carcinogenic hazard levels are based on one significant figure.
 - (c) Applicable standards may include but are not limited to standards derived from generic numerical standards, background levels determined in accordance with paragraph (H) of this rule, a property-specific risk assessment, or a combination of these standards. If generic direct-contact soil standards for a restricted land use are used to meet applicable standards, institutional controls shall be used to limit the property's land use as described in paragraph (I) of this rule and paragraph (C)(2)(c) of rule 3745-300-08 of the Administrative Code. The institutional controls shall be implemented in accordance with rule 3745-300-11 of the Administrative Code.
- (4) Implementation of remedial activities.
- (a) If concentrations of chemicals of concern exceed applicable standards for any existing exposure pathway or reasonably anticipated exposure pathway determined to be complete in accordance with paragraph (F)(1) of this rule, the volunteer shall implement a remedy in accordance with rule 3745-300-11 of the Administrative

Code. If the applicable points of compliance for environmental media at the property cannot be met or maintained, the volunteer shall implement a remedy in accordance with rule 3745-300-11 of the Administrative Code.

- (b) If compliance with applicable standards cannot be determined or is not determined for an existing exposure pathway or reasonably anticipated exposure pathway determined to be complete in accordance with paragraph (F)(1) of this rule, the volunteer shall implement a remedy in accordance with rule 3745-300-11 of the Administrative Code. The volunteer may make a determination of compliance with applicable standards at any time during the voluntary action including and up through assessment and remedial activity implementation. The volunteer shall demonstrate that the remedy renders the pathway incomplete as to all potential receptors and that all points of compliance as specified in paragraph (I)(1) of this rule have been addressed.
- (5) In cases where applicable standards have not been derived, the applicable standards consist of the standards for each complete exposure pathway identified based on paragraph (F)(1) of this rule and allowable land uses, at the points of compliance identified consistent with paragraph (I)(1) of this rule.
- (J) A volunteer shall complete a phase II property assessment written report that is in a format prescribed by the Ohio EPA and the report shall include at a minimum the following information:
 - (1) An introduction identifying the property, including the legal description of the property; the dates over which the phase I property assessment and the phase II property assessment were conducted and the date that the written report for each was finalized; and the name and job title of each person conducting the phase II property assessment.
 - (2) A summary of any amendment to the phase I property assessment required by paragraph (E)(1) of this rule.
 - (3) A statement of the limitations or qualifications, if any, which impact the phase II property assessment.
 - (4) A graphic or written representation of the conceptual site model that describes the relationships between contaminants, transport media and receptors at the time of the no further action letter issuance, consistent with paragraph (C) of this rule.
 - (5) A summary of the sampling procedures employed in accordance with paragraph (D) of this rule and the rationale for the sampling and testing activities conducted in accordance with the requirements of this rule.
 - (6) A summary of the data collection activities conducted under paragraph (E) of this rule, the data collected as a result of these activities, and a determination of the data usability

based on the quality control information. The summary should include a discussion noting if the data meets the data quality objectives as required by paragraph (C) of this rule.

- (7) A summary of the determinations made under paragraphs (F)(1) to (F)(10) of this rule and a summary of the rationale for the determinations made under paragraphs (F)(1) to (F)(10) of this rule.
- (8) A summary of the background determination activities, if any, conducted under paragraph (H) of this rule.
- (9) A summary of any models used in accordance with paragraph (G) of this rule and inclusion of the documentation required by paragraph (G)(5) of this rule.
- (10) If an urban setting designation is being relied upon in part to address potable use pathway(s), a summary of the activities conducted in accordance with paragraph (C)(3) of rule 3745-300-10 of the Administrative Code.
- (11) If a property-specific risk assessment was conducted to derive applicable standards, a copy of the written risk assessment report shall be attached to or included in a section of the phase II property assessment report.
- (12) A summary of any remedial activities implemented prior to the issuance of the no further action letter as required by paragraph (I)(4) of this rule.
- (13) A discussion of whether the property complies with applicable standards for each exposure pathway, and whether remedial activities have been or are being implemented to meet or maintain applicable standards in accordance with rule 3745-300-11 of the Administrative Code, and a summary of the applicable standards demonstration conducted in accordance with paragraph (I) of this rule.
- (14) The following property maps and cross-sections, as applicable:
 - (a) Maps indicating the locations of all borings, monitoring wells, or other sampling locations.
 - (b) Maps depicting the existing topography with a contour interval of no greater than five feet and delineates on or adjacent to the property any existing streams, swamps, lakes, springs, or other surface water features.
 - (c) Geologic cross-sections representing the subsurface geologic and hydrogeologic conditions underlying the property including all ground water zones evaluated during the phase II property assessment.
 - (d) Property maps indicating the locations of the identified areas and exposure units at the property, and the concentration and physical distribution of the chemicals of concern identified in environmental media.

- (e) Maps showing the portions of the property where remedial activities have been implemented pursuant to rule 3745-300-11 of the Administrative Code, including the institutional controls, risk mitigation measures, and engineering controls. If a remedial activity does not apply to the entire property, include a plat showing the boundary survey of the portion of the property to which the remedial activity applies. The survey plat shall be completed (signed and sealed) by a professional surveyor under Ohio law. The survey plat may be included in the operation and maintenance plan, risk mitigation plan, or environmental covenant, as applicable, written pursuant to rule 3745-300-11 of the -Administrative Code, instead of including it in the phase II property assessment report.
- (15) A bibliography of references which identifies, to the extent available, the description, date, source, and location of the documents reviewed as part of the phase II property assessment and the identification of all laboratories that performed analyses as part of the phase II property assessment.
- (16) Appendices for appropriate supporting documentation.

Effective: 05/26/2016

Five Year Review (FYR) Dates: 08/01/2019

CERTIFIED ELECTRONICALLY
Certification

05/16/2016
Date

Promulgated Under: 119.03

Statutory Authority: 3746.04

Rule Amplifies: 3746

Prior Effective Dates: 12/16/1996, 10/21/2002, 3/1/2009, 4/23/2012 8/1/2014