(A) Receipt of shipments. A large quantity handler of universal waste shall keep a record of each shipment of universal waste received at the facility. The record may take the form of a log, invoice, manifest, bill of lading, movement document, or other shipping document. The record for each shipment of universal waste received shall include all of the following information:

(1) The name and address of the originating universal waste handler or foreign shipper from whom the universal waste was sent.

(2) The quantity of each type of universal waste received (e.g., batteries, pesticides, mercury-containing equipment, lamps, aerosol containers, antifreeze, and paint and paint-related waste).

(3) The date of receipt of the shipment of universal waste.

(B) Shipments off-site. A large quantity handler of universal waste shall keep a record of each shipment of universal waste sent from the handler to other facilities. The record may take the form of a log, invoice, manifest, bill of lading, movement document, or other shipping document. The record for each shipment of universal waste sent shall include all of the following information:

(1) The name and address of the universal waste handler, destination facility, or foreign destination to whom the universal waste was sent.

(2) The quantity of each type of universal waste sent (e.g., batteries, pesticides, mercury-containing equipment, lamps, aerosol containers, antifreeze, and paint and paint-related waste).

(3) The date the shipment of universal waste left the facility.

(C) Record retention.

(1) A large quantity handler of universal waste shall retain the records described in paragraph (A) of this rule for at least three years after the date of receipt of a shipment of universal waste.

(2) A large quantity handler of universal waste shall retain the records described in paragraph (B) of this rule for at least three years after the date a shipment of universal waste left the facility.
Effective: 10/5/2020

Five Year Review (FYR) Dates: 7/13/2020 and 10/05/2025

CERTIFIED ELECTRONICALLY

Certification

09/21/2020

Date

Promulgated Under: 119.03
Statutory Authority: 3734.12
Rule Amplifies: 3734.12
Prior Effective Dates: 09/02/1997, 10/31/2015, 12/21/2017