

**Final Report****Evaluation of Candidate Mobile Source Control Measures for LADCO States in 2009 and 2012**

Prepared for

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<sup>1</sup> MCDI funded project data were provided by Dr. Jennifer Dunn of EPA Region 5, October 30, 2006.

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## EXECUTIVE SUMMARY

As part of the Midwest Regional Planning Organization (MRPO), the Lake Michigan Air Directors Consortium (LADCO) is working with its member states in the upper Midwest to develop the necessary technical support for new State Implementation Plans (SIPs) for regional haze, PM<sub>2.5</sub>, and 8-hour ozone. In June 2006, ENVIRON was retained by LADCO to evaluate candidate emission control measures for mobile sources to support the development of these SIPs for the LADCO States. In February 2006, ENVIRON completed a Phase I study for LADCO entitled "Evaluation of Candidate Mobile Source Control Measures"<sup>1</sup>, focusing on reducing NO<sub>x</sub> emissions from mobile sources in LADCO states in 2009.

The objectives of this Phase II study are to identify and assess candidate control measures to address emissions of volatile organic compounds (VOC) and fine particles (PM<sub>2.5</sub>) from mobile sources in the LADCO states in 2009 and 2012, and emissions of nitrogen oxides (NO<sub>x</sub>) from mobile sources in the LADCO states in 2012.

For the purposes of this study, emission impacts were calculated using a combination of emission inventory data supplied by LADCO and the 2002 National Emissions Inventory (NEI). Specifically, the 2009 and 2012 onroad emission inventories for the LADCO states were developed based on NEI 2002, Base K, and NMIM projected emissions. The 2009 and 2012 NONROAD emission inventories were based on emissions provided by LADCO, which were based on EPA NONROAD2004 model runs. The 2009 and 2012 locomotive and commercial marine vessel emissions inventories were based on annual BaseK emissions provided by LADCO. These emissions inventories are presented in Section 2 of the report.

As discussed in Section 2, heavy heavy-duty diesel vehicles (class 8 HDDVs) are the highest NO<sub>x</sub> and PM emission emitters, contributing more than 35% and 30% of the onroad NO<sub>x</sub> and PM emissions, respectively, in both 2009 and 2012. As for the NONROAD equipment, more than 75% of the NO<sub>x</sub> emissions and 55% of the PM emissions are contributed by the diesel equipment in both 2009 and 2012. Construction equipment accounts for more than 30% and 20%, and agricultural equipment accounts for about 30% and 25% of NO<sub>x</sub> and PM emissions, respectively, in both 2009 and 2012. As for locomotive emissions, line haul locomotives account for more than 70% and 75%, of NO<sub>x</sub> and PM emissions, respectively in both 2009 and 2012. For the commercial marine vessels, deep draft vessels are the largest contributors emitting more than 45% and 75% of NO<sub>x</sub> and PM emissions, respectively, in both 2009 and 2012.

For the Phase II Study, ENVIRON reviewed and updated the master list of control measures for mobile sources developed during the Phase I Study, and presented and discussed the updated master control measure list with the LADCO states. Similar to the Phase I Study, the objective of the qualitative screening analysis was to refine and reduce the master list to a shorter list for further technical and economic analyses, as well as for developing white papers on selected control measures for mobile sources.

As discussed in Section 3, general screening criteria included emission impacts in terms of potential emission reduction, emission benefit relative to mobile source category, technical and

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<sup>1</sup> "Evaluation of Candidate Mobile Source Control Measures," Final Report to the Lake Michigan Air Directors Consortium, prepared by ENVIRON International Corp., Novato, CA, February 2006.

implementation feasibility, SIP creditable (permanent, quantifiable, surplus, enforceable), cost effectiveness, and public acceptability, with primary focus on reducing PM and NO<sub>x</sub> emissions.

To the extent that data and/or information were available, ENVIRON estimated preliminary potential emission benefits in 2009 and 2012 for the control strategies based on their control effectiveness or efficiency, and potential emission impacts based on the emission benefits and estimated ranges of penetration rates. From an analysis of these results and discussions with LADCO States, five control measures were selected for further technical and economic analyses and white paper development. These major selected control measures were:

- Fleet Modernization
- Anti-Idling Restrictions
- Accelerated Chip Reflashing for onroad HDDVs
- Aftertreatment Device Retrofits
- Light-Duty Smoking Vehicle Identification and Emissions Reduction

In Section 4 of this report, ENVIRON presents the results of the technical and economic analyses that provide refined emission reduction benefit and impact estimates for these selected control measures. For each selected control measure, ENVIRON developed a White Paper that includes a technical descriptions of the control measure; estimates of emission reductions, costs, and cost-effectiveness; and issues related to implementation.

In addition to performing the technical and economic assessments of these selected control measures, ENVIRON also developed several emission reduction scenarios to estimate potential emission reductions and associated costs using the results from the detailed technical and economic assessments of the selected control measures. There are a variety of possible emission reduction measures that can be implemented in the LADCO states to reduce NO<sub>x</sub> and PM emissions from mobile sources. These scenarios were evaluated for calendar years 2009 and 2012. These emission reduction scenarios provide a general idea of potential emission reductions from target sources, as well as available measures to cost effectively reduce these available or excess emissions and associated costs to achieve the potential emission reductions.

The emissions reduction scenario analysis is presented in Section 5, and demonstrates a few of many potential emission reduction scenarios for reducing NO<sub>x</sub>, PM and VOC emissions from on-road diesel vehicles and nonroad diesel equipment, focusing on the on-road HDDVs, and diesel construction and agricultural equipment as they are the primary sources of NO<sub>x</sub> and PM emissions in the mobile source emission inventories in the LADCO states. However, the Smoking Vehicles measure focuses on reducing PM emissions from high-emitting smoking light-duty gasoline vehicles, and the Anti-Idling measure also includes the estimation of the potential emission reductions from locomotives. The measures included in these scenarios have a range of costs, potential NO<sub>x</sub> and PM emission reductions, and cost effectiveness.

To develop these emission reduction scenarios, ENVIRON identified major emission contributors based on emission inventories; generated or estimated vehicle or equipment population data; identified and selected control measures for target sources; applied potential emission reductions and associated cost estimates on a per vehicle or equipment basis, and cost-effectiveness values for selected measures; developed criteria for penetration or compliance rates based on cost effectiveness values and vehicle or equipment availability (turnover rates); and

estimated potential total emission reductions and measure costs for selected measures and combinations of selected measures.

Tables ES-1 and ES-2 show example emission reduction scenarios for on-road diesel vehicles in the LADCO states in 2009 and 2012. These emission reduction scenarios focus on Class 8 HDDVs (the largest truck class), as they contribute to approximately 38% of NOx and 28% of PM emissions in the 2009 on-road emission inventories in every LADCO state, and approximately 38% of NOx and 22% of PM emissions in the 2012 on-road LADCO emissions inventories. Based on projected 2009 VMT and average annual VMT, it was estimated that there will be nearly 400,000 Class 8 HDDVs operating in the LADCO states in 2009. Based on projected 2012 VMT and average annual VMT, it was estimated that there will be more than 415,000 Class 8 HDDVs operating in the LADCO states in 2012.

**Table ES-1.** Summary results of an example emission reduction scenario to reduce NOx, VOC and PM emissions from on-road diesel vehicles in the LADCO states in 2009.

Technology	Project Cost-Effectiveness (\$/ton)	Estimated Reductions per Vehicle (tons/yr)			Cost per Unit (\$)	Number of Units Available	Units Recommended	Total Cost	Total Emissions Reductions (tons/day)		
		NOx	PM	ROG					NOx	PM	ROG
<b>Measure 31: Fleet Modernization (Incentive/Voluntary Program)</b>											
<b>Diesel Engine/Vehicle Upgrades (MY 1989 and Earlier) (MY 1990 Engine 6 g NOx)</b>											
MY 1989 and Earlier	\$26,154	0.18	0.000	0.001	\$35,000	60,761	-	\$0	0.00	0.00	0.00
<b>Sub Total</b>									<b>0.00</b>	<b>0.00</b>	<b>0.00</b>
<b>Diesel Engine/Vehicle Upgrades (MY 2001/2 Engine 4 g NOx)</b>											
MY 1989 and Earlier	\$8,783	0.26	0.02	0.00	\$40,000	60,761	1,823	\$72,912,642	1.31	0.10	0.02
MY 1990	\$8,551	0.12	0.03	0.00	\$40,000	8,641	259	\$10,368,746	0.08	0.02	0.00
MY 1991 - 1997	\$25,529	0.09	0.01	0.00	\$40,000	79,541	-	\$0	0.00	0.00	0.00
<b>Sub Total</b>							<b>2,082</b>	<b>83,281,388</b>	<b>1.40</b>	<b>0.11</b>	<b>0.02</b>
<b>Diesel Engine/Vehicle Upgrades (MY 2002/4 Engine 2.4 g NOx)</b>											
MY 1989 and Earlier	\$8,657	0.32	0.02	0.03	\$45,000	60,761	1,823	\$82,026,722	1.59	0.10	0.16
MY 1990	\$8,015	0.20	0.03	0.04	\$45,000	8,641	259	\$11,664,839	0.14	0.02	0.03
MY 1991 - 1997	\$14,569	0.22	0.01	0.07	\$45,000	79,541	-	\$0	0.00	0.00	0.00
MY 1998 - 2001	\$17,472	0.22	0.00	0.11	\$45,000	65,258	-	\$0	0.00	0.00	0.00
<b>Sub Total</b>							<b>2,082</b>	<b>93,691,561</b>	<b>1.73</b>	<b>0.11</b>	<b>0.19</b>
<b>Diesel Engine/Vehicle Upgrades (MY 2007 Engine 0.2 g NOx)</b>											
MY 1998 - 2001	\$6,611	0.53	0.01	0.16	\$60,000	65,258	1,958	117,465,107	2.86	0.07	0.87
MY 2002 - 2006	\$8,587	0.49	0.02	0.08	\$60,000	110,395	3,312	198,710,622	4.46	0.18	0.73
<b>Sub Total</b>									<b>7.32</b>	<b>0.25</b>	<b>1.60</b>
<b>Measure 46: Aftertreatment Device Retrofits (Incentive/Voluntary Program)</b>											
<b>Measure 46a: DPF</b>											
MY 1989 and Earlier	\$2,954	0.00	0.02	0.04	\$9,000	60,761	3,038	\$27,342,241	0.00	0.16	0.33
MY 1990	\$2,069	0.00	0.03	0.06	\$9,000	8,641	432	\$3,888,280	0.00	0.03	0.07
MY 1991 - 1997	\$3,534	0.00	0.01	0.09	\$9,000	79,541	3,977	\$35,793,479	0.00	0.14	0.96
MY 1998 - 2001	\$3,026	0.00	0.01	0.15	\$9,000	65,258	3,263	\$29,366,277	0.00	0.11	1.31
MY 2002 - 2006	\$2,601	0.00	0.02	0.09	\$9,000	110,395	5,520	\$49,677,656	0.00	0.29	1.35
<b>Sub Total</b>							<b>16,230</b>	<b>\$146,067,931</b>	<b>0.00</b>	<b>0.72</b>	<b>4.01</b>
<b>Measure 46b: Cleaire LNC + DPF</b>											
MY 1989 and Earlier	\$5,536	0.10	0.02	0.04	\$ 20,000	60,761	2,430	\$48,608,428	0.67	0.13	0.24
MY 1990	\$4,281	0.08	0.03	0.05	\$ 20,000	8,641	346	\$6,912,497	0.08	0.03	0.05
MY 1991 - 1997	\$6,645	0.11	0.01	0.08	\$ 20,000	79,541	3,182	\$63,632,851	0.92	0.11	0.70
MY 1998 - 2001	\$5,816	0.14	0.01	0.13	\$ 20,000	65,258	2,610	\$52,206,714	1.00	0.09	0.95

Technology	Project Cost-Effectiveness (\$/ton)	Estimated Reductions per Vehicle (tons/yr)			Cost per Unit (\$)	Number of Units Available	Units Recommended	Total Cost	Total Emissions Reductions (tons/day)		
		NOx	PM	ROG					NOx	PM	ROG
MY 2002 – 2006	\$5,487	0.13	0.02	0.08	\$ 20,000	110,395	4,416	\$88,315,832	1.62	0.23	0.99
<b>Sub Total</b>							<b>12,984</b>	<b>259,676,323</b>	<b>4.29</b>	<b>0.58</b>	<b>2.92</b>
<b>Measure 46c: EGR + DPF Retrofit</b>											
MY 1989 and Earlier	\$5,548	0.16	0.02	0.04	\$ 23,000	60,761	2,430	\$55,899,692	1.07	0.13	0.24
MY 1990	\$4,415	0.13	0.03	0.05	\$ 23,000	8,641	346	\$7,949,372	0.12	0.03	0.05
MY 1991 – 1997	\$6,281	0.17	0.01	0.08	\$ 23,000	79,541	3,182	\$73,177,779	1.47	0.11	0.70
MY 1998 – 2001	\$5,192	0.22	0.01	0.13	\$ 23,000	65,258	2,610	\$60,037,721	1.61	0.09	0.95
MY 2002 – 2006	\$4,710	0.21	0.02	0.08	\$ 23,000	110,395	4,416	\$101,563,207	2.59	0.23	0.99
<b>Sub Total</b>							<b>12,984</b>	<b>298,627,771</b>	<b>6.87</b>	<b>0.58</b>	<b>2.92</b>
<b>Measure 46d: SCR Retrofit</b>											
MY 1989 and Earlier	\$12,002	0.32	0.00	0.00	\$ 26,500	60,761	1,215	\$32,203,084	1.07	0.00	0.00
MY 1990	\$15,139	0.26	0.00	0.00	\$ 26,500	8,641	-	\$0	0.00	0.00	0.00
MY 1991 – 1997	\$11,810	0.34	0.00	0.00	\$ 26,500	79,541	1,591	\$42,156,764	1.47	0.00	0.00
MY 1998 – 2001	\$9,224	0.45	0.00	0.00	\$ 26,500	65,258	1,958	\$51,880,422	2.41	0.00	0.00
MY 2002 – 2006	\$10,173	0.43	0.00	0.00	\$ 26,500	110,395	2,208	\$58,509,239	2.59	0.00	0.00
<b>Sub Total</b>							<b>6,972</b>	<b>184,749,508</b>	<b>7.55</b>	<b>0.00</b>	<b>0.00</b>
<b>Measure 46e: DOC Retrofit</b>											
MY 1989 and Earlier	\$1,314	0.00	0.01	0.03	\$2,000	60,761	3,038	\$6,076,053	0.00	0.08	0.24
MY 1990	\$920	0.00	0.01	0.04	\$2,000	8,641	432	\$864,062	0.00	0.02	0.05
MY 1991 – 1997	\$1,431	0.00	0.01	0.06	\$2,000	79,541	3,977	\$7,954,106	0.00	0.06	0.69
MY 1998 – 2001	\$1,156	0.00	0.01	0.11	\$2,000	65,258	3,263	\$6,525,839	0.00	0.05	0.95
MY 2002 – 2006	\$1,093	0.00	0.01	0.06	\$2,000	110,395	5,520	\$11,039,479	0.00	0.14	0.98
<b>Sub Total</b>							<b>16,230</b>	<b>32,459,540</b>	<b>0.00</b>	<b>0.34</b>	<b>2.90</b>
<b>Measure 46f: FTF Retrofit</b>											
MY 1989 and Earlier	\$4,650	0.00	0.01	0.04	\$9,000	60,761	2,430	\$21,873,793	0.00	0.08	0.26
MY 1990	\$3,257	0.00	0.02	0.06	\$9,000	8,641	432	\$3,888,280	0.00	0.02	0.07
MY 1991 – 1997	\$4,945	0.00	0.01	0.09	\$9,000	79,541	3,182	\$28,634,783	0.00	0.06	0.77
MY 1998 – 2001	\$3,941	0.00	0.01	0.15	\$9,000	65,258	3,263	\$29,366,277	0.00	0.06	1.31
MY 2002 – 2006	\$3,809	0.00	0.01	0.09	\$9,000	110,395	5,520	\$49,677,656	0.00	0.17	1.35
<b>Sub Total</b>							<b>14,827</b>	<b>133,440,788</b>	<b>0.00</b>	<b>0.39</b>	<b>3.75</b>
<b>Measure 46g: DOC + SCR Retrofit</b>											
MY 1989 and Earlier	\$8,517	0.32	0.01	0.03	\$27,500	60,761	1,823	\$50,127,441	1.61	0.03	0.14
MY 1990	\$8,611	0.26	0.01	0.04	\$27,500	8,641	259	\$7,128,513	0.18	0.01	0.03
MY 1991 – 1997	\$8,404	0.34	0.00	0.06	\$27,500	79,541	2,386	\$65,621,378	2.21	0.02	0.41
MY 1998 – 2001	\$6,571	0.45	0.004	0.10	\$27,500	65,258	2,610	\$71,784,232	3.21	0.03	0.75
MY 2002 – 2006	\$7,245	0.43	0.006	0.06	\$27,500	110,395	3,312	\$91,075,702	3.89	0.05	0.58
<b>Sub Total</b>							<b>10,390</b>	<b>285,737,266</b>	<b>11.11</b>	<b>0.13</b>	<b>1.92</b>
<b>Measure 46h: SCR + DPF Retrofit</b>											
MY 1989 and Earlier	\$5,812	0.32	0.02	0.04	\$30,000	60,761	2,430	\$72,912,642	2.15	0.13	0.24
MY 1990	\$5,060	0.26	0.03	0.05	\$30,000	8,641	346	\$10,368,746	0.24	0.03	0.05
MY 1991 – 1997	\$6,505	0.34	0.01	0.08	\$30,000	79,541	3,182	\$95,449,277	2.95	0.11	0.69
MY 1998 – 2001	\$5,427	0.45	0.01	0.13	\$30,000	65,258	2,610	\$78,310,071	3.21	0.09	0.95
MY 2002 – 2006	\$5,335	0.43	0.02	0.08	\$30,000	110,395	4,416	\$132,473,748	5.19	0.23	0.98
<b>Sub Total</b>							<b>12,984</b>	<b>389,514,484</b>	<b>13.74</b>	<b>0.58</b>	<b>2.91</b>
<b>Overall Projects</b>											
MY 1989 and Earlier						60,761	22,481	469,982,738	9.48	0.91	1.85
MY 1990						8,641	3,111	63,033,334	0.85	0.19	0.39
MY 1991 – 1997								412,420,416			

Technology	Project Cost-Effectiveness (\$/ton)	Estimated Reductions per Vehicle (tons/yr)			Cost per Unit (\$)	Number of Units Available	Units Recommended	Total Cost	Total Emissions Reductions (tons/day)		
		NOx	PM	ROG					NOx	PM	ROG
MY 1998 - 2001						79,541	24,658		9.03	0.62	4.92
MY 2002 - 2006						65,258	24,146	496,942,660	14.31	0.57	8.03
MY 2007+						110,395	38,638	781,043,140	20.35	1.51	7.95
<b>Total</b>						69,954	0	0	0	0	0
						394,549	113,034	2,223,422,288	54.03	3.80	23.14
<b>Measure 46i: Midwest Clean Diesel Initiative</b>											
All Diesel Sources	\$1,492	N/A	N/A	N/A	N/A	N/A	N/A	\$97,119,156	9.18	1.56	1.29
<b>Sub Total</b>								\$97,119,156	9.18	1.56	1.29
<b>Measure 33/34/35/37: Anti-Idling Restriction on Onroad HDDVs</b>											
All MY Heavy HDDVs	\$1,700	0.02	0.000	0.001	\$7.500	394,549	59,182	\$443,867,544	3.84	0.06	0.18
<b>Sub Total</b>						394,549	59,182	\$443,867,544	3.84	0.06	0.18
<b>Measure 42: Accelerate Low NOx Calibration/Refresh Program (Mandatory Phase-In)</b>											
MY 1993-1998 Med-HDDVs	\$371	0.04	0.00	0.00	\$100	83,577	50,146	\$5,014,633	5.28	0.00	0.00
MY 1993-1998 Heavy-HDDVs	\$110	0.13	0.00	0.00	\$100	75,162	60,129	\$6,012,926	21.33	0.00	0.00
<b>Sub Total</b>							110,276	11,027,558	26.61	-	-
<b>Grand Total</b>						394,549	282,491	\$2,775,436,547	93.7	5.4	24.6

As shown in Table ES-1, the 2009 emission reduction scenario for heavy HDDVs could achieve approximately 54 tons per day (tpd) of NOx emissions reduction, 3.8 tpd of PM emissions reduction, and approximately 23 tpd of ROG emissions reduction for selected incentive/voluntary measures (including fleet modernization and aftertreatment device retrofits) for a cost of about \$2.2 billion with an average cost-effectiveness value of \$7,100 per combined ton of NOx, 20xPM, and ROG emissions reduced. The total number of vehicles involved under this scenario for voluntary/incentive programs is about 113,000, which is about 34% of the total available fleet in 2009. Assuming that these control measures would begin in 2007, the 34% vehicle penetration rate would translate to about 11% turnover rate per year in a three-year time frame, which is a viable penetration rate to achieve.

**Table ES-2.** Summary results of an example emission reduction scenario to reduce NOx, VOC and PM emissions from on-road diesel vehicles in the LADCO states in 2012.

Technology	Project Cost-Effectiveness (\$/ton)	Estimated Reductions per Vehicle (tons/yr)			Cost per Unit (\$)	Number of Units Available	Units Recommended	Total Cost	Total Emissions Reductions (tons/day)		
		NOx	PM	ROG					NOx	PM	ROG
<b>Measure 31: Fleet Modernization (Incentive/Voluntary Program)</b>											
<b>Diesel Engine/Vehicle Upgrades (MY 1990 Engine 6 g NOx)</b>											
MY 1989 and Earlier	\$28,769	0.16	0.000	0.001	\$35,000	40,446	-	\$0	0.00	0.00	0.00
<b>Sub Total</b>									0.00	0.00	0.00
<b>Diesel Engine/Vehicle Upgrades (MY 2001/2 Engine 4 g NOx)</b>											
MY 1989 and Earlier	\$9,661	0.24	0.02	0.00	\$40,000	40,446	2,427	\$97,071,049	1.59	0.12	0.02
MY 1990	\$11,401	0.09	0.02	0.00	\$40,000	7,495	300	\$11,991,524	0.07	0.02	0.00
MY 1991 - 1997	\$34,934	0.07	0.00	0.00	\$40,000	69,085	-	\$0	0.00	0.00	0.00
<b>Sub Total</b>							2,727	109,062,573	1.66	0.13	0.02
<b>Diesel Engine/Vehicle Upgrades (MY 2002/4 Engine 2.4 g NOx)</b>											
MY 1989 and Earlier	\$9,522	0.29	0.02	0.03	\$45,000	40,446	2,427	\$109,204,930	1.93	0.12	0.19
MY 1990	\$10,687	0.15	0.02	0.03	\$45,000	7,495	300	\$13,490,464	0.12	0.02	0.03
MY 1991 - 1997	\$19,936	0.16	0.00	0.05	\$45,000	69,085	-	\$0	0.00	0.00	0.00
MY 1998 - 2001	\$24,024	0.16	0.00	0.08	\$45,000	56,650	-	\$0	0.00	0.00	0.00
<b>Sub Total</b>								122,695,395			

Technology	Project Cost-Effectiveness (\$/ton)	Estimated Reductions per Vehicle (tons/yr)			Cost per Unit (\$)	Number of Units Available	Units Recommended	Total Cost	Total Emissions Reductions (tons/day)		
		NOx	PM	ROG					NOx	PM	ROG
									2.65	0.13	0.22
<b>Diesel Engine/Vehicle Upgrades (MY 2007 Engine 0.2 g NOx)</b>											
MY 1998 - 2001	\$11,841	0.39	0.01	0.12	\$60,000	56,650	2,266	135,959,762	2.41	0.06	0.74
MY 2002 - 2006	\$11,789	0.36	0.01	0.06	\$60,000	95,840	3,834	230,016,182	3.76	0.15	0.81
<b>Sub Total</b>							<b>6,100</b>	<b>365,975,944</b>	<b>6.17</b>	<b>0.21</b>	<b>1.35</b>
<b>Measure 46: Aftertreatment Device Retrofits (Incentive/Voluntary Program)</b>											
<b>Measure 46a: DPF</b>											
MY 1989 and Earlier	\$3,250	0.00	0.02	0.04	\$9,000	40,446	4,045	\$36,401,643	0.00	0.19	0.39
MY 1990	\$2,759	0.00	0.02	0.04	\$9,000	7,495	749	\$8,745,232	0.00	0.04	0.09
MY 1991 - 1997	\$4,835	0.00	0.01	0.06	\$9,000	69,085	5,527	\$49,741,376	0.00	0.14	0.97
MY 1998 - 2001	\$4,161	0.00	0.01	0.11	\$9,000	56,650	4,532	\$40,787,928	0.00	0.11	1.32
MY 2002 - 2006	\$3,570	0.00	0.01	0.07	\$9,000	95,840	9,584	\$86,256,068	0.00	0.36	1.71
<b>Sub Total</b>							<b>24,437</b>	<b>\$219,932,249</b>	<b>0.00</b>	<b>0.85</b>	<b>4.48</b>
<b>Measure 46b: Cleaire LNC + DPF</b>											
MY 1989 and Earlier	\$6,074	0.09	0.02	0.03	\$ 20,000	40,446	3,236	\$64,714,033	0.81	0.15	0.29
MY 1990	\$5,650	0.06	0.02	0.04	\$ 20,000	7,495	600	\$11,991,524	0.10	0.03	0.06
MY 1991 - 1997	\$8,933	0.08	0.01	0.06	\$ 20,000	69,085	4,145	\$82,902,294	0.88	0.10	0.67
MY 1998 - 2001	\$7,766	0.10	0.01	0.10	\$ 20,000	56,650	3,399	\$67,979,881	0.95	0.08	0.90
MY 2002 - 2006	\$7,207	0.10	0.01	0.06	\$ 20,000	95,840	5,750	\$115,008,091	1.54	0.22	0.94
<b>Sub Total</b>							<b>17,130</b>	<b>\$342,595,822</b>	<b>4.28</b>	<b>0.59</b>	<b>2.86</b>
<b>Measure 46c: EGR + DPF Retrofit</b>											
MY 1989 and Earlier	\$6,102	0.15	0.02	0.03	\$ 23,000	40,446	3,236	\$74,421,138	1.30	0.15	0.29
MY 1990	\$5,886	0.10	0.02	0.04	\$ 23,000	7,495	600	\$13,790,252	0.16	0.03	0.06
MY 1991 - 1997	\$8,593	0.12	0.01	0.06	\$ 23,000	69,085	4,145	\$95,337,638	1.40	0.10	0.67
MY 1998 - 2001	\$7,136	0.16	0.01	0.10	\$ 23,000	56,650	3,399	\$78,176,863	1.52	0.08	0.90
MY 2002 - 2006	\$6,461	0.16	0.01	0.06	\$ 23,000	95,840	7,667	\$176,345,740	3.28	0.29	1.25
<b>Sub Total</b>							<b>19,047</b>	<b>\$438,071,630</b>	<b>7.67</b>	<b>0.66</b>	<b>3.17</b>
<b>Measure 46d: SCR Retrofit</b>											
MY 1989 and Earlier	\$13,197	0.29	0.00	0.00	\$ 26,500	40,446	1,618	\$42,873,047	1.30	0.00	0.00
MY 1990	\$20,057	0.19	0.00	0.00	\$ 26,500	7,495	-	\$0	0.00	0.00	0.00
MY 1991 - 1997	\$15,979	0.25	0.00	0.00	\$ 26,500	69,085	-	\$0	0.00	0.00	0.00
MY 1998 - 2001	\$12,445	0.33	0.00	0.00	\$ 26,500	56,650	2,266	\$60,048,895	2.03	0.00	0.00
MY 2002 - 2006	\$13,569	0.31	0.00	0.00	\$ 26,500	95,840	3,834	\$101,590,480	3.28	0.00	0.00
<b>Sub Total</b>							<b>7,717</b>	<b>\$204,512,422</b>	<b>6.61</b>	<b>0.00</b>	<b>0.00</b>
<b>Measure 46e: DOC Retrofit</b>											
MY 1989 and Earlier	\$1,445	0.00	0.01	0.03	\$2,000	40,446	4,045	\$8,089,254	0.00	0.09	0.29
MY 1990	\$1,227	0.00	0.01	0.03	\$2,000	7,495	749	\$1,498,940	0.00	0.02	0.06
MY 1991 - 1997	\$1,959	0.00	0.00	0.05	\$2,000	69,085	6,909	\$13,817,049	0.00	0.08	0.88
MY 1998 - 2001	\$1,590	0.00	0.00	0.08	\$2,000	56,650	5,665	\$11,329,980	0.00	0.06	1.20
MY 2002 - 2006	\$1,500	0.00	0.01	0.05	\$2,000	95,840	9,584	\$19,168,015	0.00	0.17	1.24
<b>Sub Total</b>							<b>26,952</b>	<b>\$33,903,239</b>	<b>0.00</b>	<b>0.43</b>	<b>3.66</b>
<b>Measure 46f: FTF Retrofit</b>											
MY 1989 and Earlier	\$5,115	0.00	0.01	0.04	\$9,000	40,446	3,236	\$29,121,315	0.00	0.09	0.32
MY 1990	\$4,343	0.00	0.01	0.04	\$9,000	7,495	600	\$5,396,186	0.00	0.02	0.07
MY 1991 - 1997	\$6,767	0.00	0.01	0.06	\$9,000	69,085	5,527	\$49,741,376	0.00	0.08	0.97
MY 1998 - 2001	\$5,418	0.00	0.01	0.11	\$9,000	56,650	4,532	\$40,787,928	0.00	0.06	1.32
MY 2002 - 2006	\$5,228	0.00	0.01	0.07	\$9,000	95,840	7,667	\$69,004,855	0.00	0.17	1.37
<b>Sub Total</b>							<b>21,561</b>	<b>\$194,051,660</b>	<b>0.00</b>	<b>0.43</b>	<b>4.04</b>
<b>Measure 46g: DOC + SCR Retrofit</b>											

Technology	Project Cost-Effectiveness (\$/ton)	Estimated Reductions per Vehicle (tons/yr)			Cost per Unit (\$)	Number of Units Available	Units Recommended	Total Cost	Total Emissions Reductions (tons/day)		
		NOx	PM	ROG					NOx	PM	ROG
MY 1989 and Earlier	\$9,365	0.29	0.01	0.03	\$27,500	40,446	2,427	\$66,736,346	1.95	0.03	0.17
MY 1990	\$11,411	0.19	0.01	0.03	\$27,500	7,495	300	\$8,244,173	0.16	0.00	0.02
MY 1991 – 1997	\$11,375	0.25	0.00	0.05	\$27,500	69,085	2,763	\$75,993,769	1.87	0.02	0.35
MY 1998 – 2001	\$8,871	0.33	0.003	0.08	\$27,500	56,650	3,399	\$93,472,336	3.04	0.02	0.71
MY 2002 – 2006	\$9,672	0.31	0.004	0.05	\$27,500	95,840	5,750	\$158,136,125	4.92	0.06	0.74
<b>Sub Total</b>							<b>14,639</b>	<b>402,582,749</b>	<b>11.95</b>	<b>0.15</b>	<b>1.99</b>
<b>Measure 46h: SCR + DPF Retrofit</b>											
MY 1989 and Earlier	\$6,391	0.29	0.02	0.03	\$30,000	40,446	3,236	\$97,071,049	2.60	0.15	0.29
MY 1990	\$6,708	0.19	0.02	0.04	\$30,000	7,495	600	\$17,987,286	0.32	0.03	0.06
MY 1991 – 1997	\$8,812	0.25	0.01	0.06	\$30,000	69,085	4,145	\$124,353,440	2.81	0.10	0.66
MY 1998 – 2001	\$7,336	0.33	0.01	0.10	\$30,000	56,650	3,399	\$101,969,821	3.04	0.08	0.90
MY 2002 – 2006	\$7,138	0.31	0.01	0.06	\$30,000	95,840	5,750	\$172,512,137	4.92	0.22	0.93
<b>Sub Total</b>							<b>17,130</b>	<b>\$13,893,733</b>	<b>13.69</b>	<b>0.59</b>	<b>2.84</b>
<b>Overall Projects</b>											
MY 1989 and Earlier						40,446	29,930	625,703,805	11.48	1.11	2.24
MY 1990						7,495	4,797	91,135,580	0.93	0.22	0.46
MY 1991 – 1997						69,085	33,161	491,886,942	6.96	0.64	5.17
MY 1998 – 2001						56,650	32,857	630,513,394	13.00	0.56	7.98
MY 2002 – 2006						95,840	59,421	1,128,037,693	21.71	1.65	8.78
MY 2007+						149,182	0	0	0	0	0
<b>Total</b>						<b>418,698</b>	<b>160,166</b>	<b>2,967,277,415</b>	<b>54.07</b>	<b>4.17</b>	<b>24.63</b>
<b>Measure 46i: Midwest Clean Diesel Initiative</b>											
All Diesel Sources	\$1,492	N/A	N/A	N/A	N/A	N/A	N/A	\$194,238,312	18.36	3.12	2.58
<b>Sub Total</b>								<b>\$194,238,312</b>	<b>18.36</b>	<b>3.12</b>	<b>2.58</b>
<b>Measure 33/34/35/37: Anti-Idling Restriction on Onroad HDDVs</b>											
All MY Heavy HDDVs	\$1,700	0.02	0.0002	0.001	\$7,500	418,698	125,610	\$942,071,519	6.07	0.08	0.33
<b>Sub Total</b>						<b>418,698</b>	<b>125,610</b>	<b>\$942,071,519</b>	<b>6.07</b>	<b>0.08</b>	<b>0.33</b>
<b>Measure 42: Accelerate Low NOx Calibration/Reflash Program (Mandatory Phase-in)</b>											
MY 1993-1998 Med-HDDVs	\$371	0.04	0.00	0.00	\$100	72,630	43,578	\$4,357,819	4.58	-	0.00
MY 1993-1998 Heavy-HDDVs	\$110	0.13	0.00	0.00	\$100	65,317	52,254	\$5,225,357	18.54	-	0.00
<b>Sub Total</b>							<b>95,832</b>	<b>9,583,176</b>	<b>23</b>	<b>-</b>	<b>-</b>
<b>Grand Total</b>						<b>418,698</b>	<b>285,775</b>	<b>\$4,103,587,246</b>	<b>101.6</b>	<b>7.4</b>	<b>27.5</b>

For the 2012 emission reduction scenario for onroad HDDV vehicles, Table ES-2 shows that the emission reductions achievable could be approximately 54 tpd for NOx emissions, 4.2 tpd of PM emissions, and 24.6 tpd for ROG emissions from these incentive/voluntary measures for a cost of about \$3.0 billion. The average cost-effectiveness value is estimated to be about \$7,100 per combined ton of NOx, 20xPM, and ROG emissions reduced. The total number of vehicles involved under this scenario for voluntary/incentive programs is about 286,000, which is about 68% of the total available fleet in 2012. Assuming that these control measures would begin in 2007, the 68% vehicle penetration rate would translate to about 11% turnover rate per year in a six-year time frame, which is a viable penetration rate to achieve.

As discussed in Section 2, diesel equipment contributes most of the NOx and PM emissions from nonroad sources. The largest emitting diesel equipment categories are construction and agricultural equipment, with each equipment type contributing 20-30% of the NOx and PM emissions from nonroad sources. The emissions reduction scenario for nonroad vehicles

includes voluntary after-treatment device retrofits and fleet modernization. Example potential emission reduction scenarios for the construction and agricultural equipment are summarized in Tables ES-3 to ES-6 for 2009 and 2012 NO<sub>x</sub> and PM reductions.

**Table ES-3.** Summary results of an example emission reduction scenario to reduce NO<sub>x</sub>, VOC and PM emissions from some major construction equipment in the LADCO states in 2009.

Equipment Type	Total Unit Recom.	Total Cost (\$)	Total NO <sub>x</sub> (tpd)	Total PM (tpd)	Total ROG (tpd)
<b>Measure 20: Fleet Modernization (Tier 2, 3 or 4 Engines)</b>					
Excavators	648	\$15,415,500	1.21	0.03	0.11
Rubber Tire Loaders	661	\$23,665,000	1.12	0.03	0.12
Crawler Tractor/Dozer	498	\$20,313,750	0.83	0.04	0.13
Tractors/Loaders/Backhoes	612	\$6,481,250	0.19	0.02	0.01
Off-Highway Trucks	42	\$6,906,726	0.45	0.00	0.00
<b>Sub Total</b>	<b>2,461</b>	<b>\$72,782,226</b>	<b>3.81</b>	<b>0.11</b>	<b>0.37</b>
<b>Measure 51a: DPF Retrofit</b>					
Excavators	1,136	\$8,493,632	0.00	0.05	0.15
Rubber Tire Loaders	1,177	\$11,541,789	0.00	0.06	0.21
Crawler Tractor/Dozer	835	\$8,558,526	0.00	0.05	0.16
Tractors/Loaders/Backhoes	1,647	\$6,342,158	0.00	0.05	0.06
Off-Highway Trucks	52	\$2,679,111	0.00	0.02	0.14
<b>Sub Total</b>	<b>4,847</b>	<b>\$37,615,216</b>	<b>0.00</b>	<b>0.23</b>	<b>0.71</b>
<b>Measure 51b: Lean NO<sub>x</sub> Catalyst</b>					
Excavators	976	\$17,022,105	0.50	0.05	0.13
Rubber Tire Loaders	760	\$15,208,421	0.40	0.04	0.11
Crawler Tractor/Dozer	730	\$16,103,158	0.35	0.04	0.14
Tractors/Loaders/Backhoes	1,496	\$12,454,737	0.24	0.05	0.06
Off-Highway Trucks	52	\$5,953,580	0.37	0.02	0.13
<b>Sub Total</b>	<b>4,014</b>	<b>\$66,742,001</b>	<b>1.86</b>	<b>0.20</b>	<b>0.56</b>
<b>Measure 51c: EGR+DPF Retrofit</b>					
Excavators	976	\$19,575,421	0.81	0.05	0.13
Rubber Tire Loaders	843	\$20,201,263	0.73	0.04	0.12
Crawler Tractor/Dozer	730	\$18,518,632	0.55	0.04	0.14
Tractors/Loaders/Backhoes	976	\$9,916,632	0.26	0.03	0.04
Off-Highway Trucks	52	\$6,846,617	0.59	0.02	0.13
<b>Sub Total</b>	<b>3,577</b>	<b>\$75,058,565</b>	<b>2.94</b>	<b>0.18</b>	<b>0.55</b>
<b>Measure 51d: SCR Retrofit</b>					
Excavators	732	\$16,908,395	1.34	0.00	0.00
Rubber Tire Loaders	626	\$17,418,868	1.17	0.00	0.00
Crawler Tractor/Dozer	667	\$20,370,132	1.03	0.00	0.00
Tractors/Loaders/Backhoes	461	\$6,542,711	0.30	0.00	0.00
Off-Highway Trucks	73	\$11,074,232	1.55	0.00	0.00
<b>Sub Total</b>	<b>2,559</b>	<b>\$72,314,337</b>	<b>5.39</b>	<b>0.00</b>	<b>0.00</b>
<b>Measure 51e: DOC Retrofit</b>					
Excavators	976	\$1,702,211	0.00	0.02	0.10
Rubber Tire Loaders	1,177	\$2,564,842	0.00	0.03	0.15
Crawler Tractor/Dozer	835	\$1,901,895	0.01	0.02	0.12
Tractors/Loaders/Backhoes	1,496	\$1,245,474	0.00	0.02	0.05
Off-Highway Trucks	52	\$595,358	0.00	0.01	0.10

Equipment Type	Total Unit Recom.	Total Cost (\$)	Total NOx (tpd)	Total PM (tpd)	Total ROG (tpd)
<b>Sub Total</b>	<b>4,536</b>	<b>\$8,009,779</b>	<b>0.01</b>	<b>0.10</b>	<b>0.51</b>
<b>Measure 51f: FTF Retrofit</b>					
Excavators	627	\$4,572,000	0.00	0.02	0.09
Rubber Tire Loaders	617	\$5,465,368	0.00	0.02	0.11
Crawler Tractor/Dozer	525	\$4,905,474	0.01	0.02	0.09
Tractors/Loaders/Backhoes	900	\$3,571,579	0.00	0.02	0.04
Off-Highway Trucks	52	\$2,679,111	0.00	0.01	0.14
<b>Sub Total</b>	<b>2,721</b>	<b>\$21,193,532</b>	<b>0.01</b>	<b>0.09</b>	<b>0.47</b>
<b>Measure 51g: DOC+SCR Retrofit</b>					
Excavators	976	\$23,405,395	1.61	0.01	0.10
Rubber Tire Loaders	996	\$30,034,342	1.92	0.01	0.14
Crawler Tractor/Dozer	667	\$21,138,816	1.04	0.01	0.11
Tractors/Loaders/Backhoes	799	\$11,620,921	0.51	0.01	0.03
Off-Highway Trucks	73	\$11,492,127	1.55	0.01	0.11
<b>Sub Total</b>	<b>3,511</b>	<b>\$97,691,601</b>	<b>6.63</b>	<b>0.06</b>	<b>0.49</b>
<b>Measure 51h: SCR+DPF Retrofit</b>					
Excavators	1,242	\$32,404,737	2.08	0.06	0.15
Rubber Tire Loaders	1,059	\$33,858,947	1.95	0.05	0.18
Crawler Tractor/Dozer	947	\$30,473,684	1.36	0.05	0.15
Tractors/Loaders/Backhoes	1,677	\$26,720,526	1.05	0.07	0.08
Off-Highway Trucks	73	\$12,536,866	1.55	0.03	0.14
<b>Sub Total</b>	<b>4,998</b>	<b>\$135,994,761</b>	<b>7.99</b>	<b>0.26</b>	<b>0.70</b>
<b>Grand Total</b>	<b>33,224</b>	<b>\$587,402,018</b>	<b>28.64</b>	<b>1.24</b>	<b>4.37</b>

**Table ES-4.** Summary results of an example emission reduction scenario to reduce NOx, VOC and PM emissions from some major construction equipment in the LADCO states in 2012.

Equipment Type	Total Unit Recom.	Total Cost (\$)	Total NOx (tpd)	Total PM (tpd)	Total ROG (tpd)
<b>Measure 20: Fleet Modernization (Tier 2, 3 or 4 Engines)</b>					
Excavators	379	\$11,246,750	0.83	0.02	0.09
Rubber Tire Loaders	964	\$40,720,750	1.83	0.04	0.15
Crawler Tractor/Dozer	511	\$24,529,500	1.02	0.02	0.14
Tractors/Loaders/Backhoes	940	\$10,559,000	0.30	0.02	0.03
Off-Highway Trucks	46	\$9,082,072	0.47	0.00	0.00
<b>Sub Total</b>	<b>2,840</b>	<b>\$96,138,072</b>	<b>4.44</b>	<b>0.10</b>	<b>0.41</b>
<b>Measure 51a: DPF Retrofit</b>					
Excavators	3,373	\$25,842,316	0.00	0.14	0.26
Rubber Tire Loaders	3,167	\$28,250,053	0.00	0.12	0.32
Crawler Tractor/Dozer	2,900	\$28,918,895	0.00	0.13	0.30
Tractors/Loaders/Backhoes	5,708	\$22,890,316	0.00	0.14	0.23
Off-Highway Trucks	122	\$6,285,607	0.00	0.05	0.17
<b>Sub Total</b>	<b>15,270</b>	<b>\$112,187,186</b>	<b>0.00</b>	<b>0.57</b>	<b>1.28</b>
<b>Measure 51b: Lean NOx Catalyst</b>					
Excavators	2,094	\$35,753,684	0.84	0.09	0.17
Rubber Tire Loaders	1,393	\$28,635,789	0.65	0.06	0.16

Equipment Type	Total Unit Recom.	Total Cost (\$)	Total NOx (tpd)	Total PM (tpd)	Total ROG (tpd)
Crawler Tractor/Dozer	1,418	\$29,146,316	0.59	0.07	0.18
Tractors/Loaders/Backhoes	3,166	\$26,604,211	0.48	0.10	0.14
Off-Highway Trucks	81	\$9,273,846	0.50	0.03	0.12
<b>Sub Total</b>	<b>8,152</b>	<b>\$129,413,846</b>	<b>3.05</b>	<b>0.35</b>	<b>0.77</b>
<b>Measure 51c: EGR+DPF Retrofit</b>					
Excavators	1,667	\$29,525,947	1.02	0.07	0.14
Rubber Tire Loaders	1,393	\$32,931,158	1.04	0.06	0.16
Crawler Tractor/Dozer	1,418	\$33,518,263	0.93	0.07	0.18
Tractors/Loaders/Backhoes	2,245	\$22,790,579	0.56	0.07	0.11
Off-Highway Trucks	81	\$10,664,923	0.79	0.03	0.12
<b>Sub Total</b>	<b>6,804</b>	<b>\$129,430,870</b>	<b>4.35</b>	<b>0.30</b>	<b>0.70</b>
<b>Measure 51d: SCR Retrofit</b>					
Excavators	1,064	\$24,767,737	1.60	0.00	0.00
Rubber Tire Loaders	881	\$26,550,211	1.61	0.00	0.00
Crawler Tractor/Dozer	1,063	\$33,172,421	1.61	0.00	0.00
Tractors/Loaders/Backhoes	718	\$10,374,053	0.45	0.00	0.00
Off-Highway Trucks	122	\$18,507,620	2.30	0.00	0.00
<b>Sub Total</b>	<b>3,848</b>	<b>\$113,372,041</b>	<b>7.57</b>	<b>0.00</b>	<b>0.00</b>
<b>Measure 51e: DOC Retrofit</b>					
Excavators	770	\$1,178,737	0.00	0.02	0.06
Rubber Tire Loaders	1,012	\$2,017,789	0.00	0.02	0.11
Crawler Tractor/Dozer	665	\$1,329,368	0.01	0.02	0.08
Tractors/Loaders/Backhoes	1,343	\$1,112,947	0.00	0.02	0.06
Off-Highway Trucks	25	\$286,230	0.00	0.00	0.04
<b>Sub Total</b>	<b>3,815</b>	<b>\$5,925,072</b>	<b>0.01</b>	<b>0.08</b>	<b>0.35</b>
<b>Measure 51f: FTF Retrofit</b>					
Excavators	2,144	\$16,349,684	0.00	0.05	0.19
Rubber Tire Loaders	2,093	\$19,326,316	0.00	0.05	0.27
Crawler Tractor/Dozer	1,909	\$18,868,263	0.01	0.05	0.23
Tractors/Loaders/Backhoes	4,685	\$18,259,105	0.00	0.08	0.21
Off-Highway Trucks	122	\$6,285,607	0.00	0.03	0.17
<b>Sub Total</b>	<b>10,953</b>	<b>\$79,088,975</b>	<b>0.01</b>	<b>0.26</b>	<b>1.07</b>
<b>Measure 51g: DOC+SCR Retrofit</b>					
Excavators	1,667	\$35,302,763	2.04	0.02	0.11
Rubber Tire Loaders	1,722	\$53,145,921	2.93	0.02	0.18
Crawler Tractor/Dozer	1,063	\$34,424,211	1.62	0.02	0.13
Tractors/Loaders/Backhoes	1,764	\$26,486,842	1.12	0.02	0.08
Off-Highway Trucks	122	\$19,206,021	2.30	0.01	0.12
<b>Sub Total</b>	<b>6,338</b>	<b>\$168,565,758</b>	<b>10.01</b>	<b>0.10</b>	<b>0.63</b>
<b>Measure 51h: SCR+DPF Retrofit</b>					
Excavators	2,196	\$56,891,053	2.90	0.09	0.18
Rubber Tire Loaders	2,093	\$64,421,053	3.12	0.09	0.24
Crawler Tractor/Dozer	1,909	\$62,894,211	2.57	0.09	0.21
Tractors/Loaders/Backhoes	3,357	\$55,406,842	2.01	0.14	0.20
Off-Highway Trucks	122	\$20,952,023	2.30	0.05	0.15
<b>Sub Total</b>	<b>9,677</b>	<b>\$260,565,181</b>	<b>12.90</b>	<b>0.45</b>	<b>0.98</b>
<b>Grand Total</b>	<b>67,697</b>	<b>\$1,094,687,002</b>	<b>42.34</b>	<b>2.21</b>	<b>6.17</b>

As shown in Tables ES-3 and ES-4, the example emission reduction scenario for major emissions contributors from construction equipment with all selected measures could reduce NOx emissions by approximately 29 tpd, PM emissions by more than 1.2 tpd, and ROG emissions by 4.4 tpd in the LADCO states in 2009 for a cost of about \$600 million. The total equipment count in this scenario is about 33,000 units, which is about 34% of the available LADCO regional construction equipment population in 2009. In 2012 the potential NOx emissions reductions are approximately 42 tpd, the PM emissions reductions approximately 2.2 tpd, and the ROG emissions reductions approximately 6.2 tpd for a cost of about \$1.1 billion. In 2012, this scenario estimates that approximately 68,000 units would be affected, which is about 79% of the available construction equipment population in 2012.

**Table ES-5.** Summary results of an example emission reduction scenario to reduce NOx, VOC and PM emissions from some major agricultural equipment in the LADCO states in 2009.

Equipment Type	Total Unit Recom.	Total Cost (\$)	Total NOx (tpd)	Total PM (tpd)	Total ROG (tpd)
<b>Measure 20: Fleet Modernization (Tier 2, 3 or 4 Engines)</b>					
Agricultural Tractors	13,974	\$185,035,850	5.54	0.32	0.41
Combines	121	\$2,283,750	0.03	0.00	0.00
<b>Sub Total</b>	<b>14,095</b>	<b>\$187,319,600</b>	<b>5.56</b>	<b>0.32</b>	<b>0.41</b>
<b>Measure 51a: DPF Retrofit</b>					
Agricultural Tractors	16,560	\$73,719,000	0.00	0.48	0.65
Combines	579	\$3,960,474	0.00	0.01	0.00
<b>Sub Total</b>	<b>17,139</b>	<b>\$77,679,474</b>	<b>0.00</b>	<b>0.49</b>	<b>0.65</b>
<b>Measure 51b: Lean NOx Catalyst</b>					
Agricultural Tractors	10,108	\$128,844,316	2.23	0.36	0.36
Combines	168	\$2,467,368	0.02	0.00	0.00
<b>Sub Total</b>	<b>10,276</b>	<b>\$131,311,684</b>	<b>2.25</b>	<b>0.36</b>	<b>0.36</b>
<b>Measure 51c: EGR+DPF Retrofit</b>					
Agricultural Tractors	13,032	\$111,681,947	2.94	0.30	0.36
Combines	168	\$2,837,474	0.03	0.00	0.00
<b>Sub Total</b>	<b>13,200</b>	<b>\$114,519,421</b>	<b>2.96</b>	<b>0.31</b>	<b>0.36</b>
<b>Measure 51d: SCR Retrofit</b>					
Agricultural Tractors	5,330	\$67,778,632	3.09	0.00	0.00
Combines	0	\$0	0.00	0.00	0.00
<b>Sub Total</b>	<b>5,330</b>	<b>\$67,778,632</b>	<b>3.09</b>	<b>0.00</b>	<b>0.00</b>
<b>Measure 51e: DOC Retrofit</b>					
Agricultural Tractors	17,679	\$14,344,211	0.00	0.18	0.47
Combines	825	\$1,272,737	0.00	0.01	0.01
<b>Sub Total</b>	<b>18,504</b>	<b>\$15,616,947</b>	<b>0.00</b>	<b>0.18</b>	<b>0.48</b>
<b>Measure 51f: FTF Retrofit</b>					
Agricultural Tractors	11,517	\$36,177,632	0.00	0.15	0.39
Combines	168	\$1,110,316	0.00	0.00	0.00
<b>Sub Total</b>	<b>11,685</b>	<b>\$37,287,947</b>	<b>0.00</b>	<b>0.15</b>	<b>0.39</b>
<b>Measure 51g: DOC+SCR Retrofit</b>					
Agricultural Tractors	8,281	\$110,220,000	4.67	0.06	0.32
Combines	0	\$0	0.00	0.00	0.00
<b>Sub Total</b>	<b>8,281</b>	<b>\$110,220,000</b>	<b>4.67</b>	<b>0.06</b>	<b>0.32</b>
<b>Measure 51h: SCR+DPF Retrofit</b>					
Agricultural Tractors	14,517	\$179,733,158	6.98	0.33	0.48
Combines	168	\$3,701,053	0.06	0.00	0.00
<b>Sub Total</b>	<b>14,685</b>	<b>\$183,434,211</b>	<b>7.04</b>	<b>0.33</b>	<b>0.48</b>
<b>Grand Total</b>	<b>113,195</b>	<b>\$925,167,916</b>	<b>25.57</b>	<b>2.20</b>	<b>3.45</b>

**Table ES-6.** Summary results of an example emission reduction scenario to reduce NOx, VOC and PM emissions from some major agricultural equipment in the LADCO states in 2012.

Equipment Type	Total Unit Recom.	Total Cost (\$)	Total NOx (tpd)	Total PM (tpd)	Total ROG (tpd)
<b>Measure 20: Fleet Modernization (Tier 2, 3 or 4 Engines)</b>					
Agricultural Tractors	27,896	\$384,923,950	11.31	0.60	1.00
Combines	313	\$10,663,750	0.14	0.01	0.00
<b>Sub Total</b>	<b>28,209</b>	<b>\$395,587,700</b>	<b>11.44</b>	<b>0.61</b>	<b>1.00</b>
<b>Measure 51a: DPF Retrofit</b>					
Agricultural Tractors	35,612	\$194,630,211	0.00	0.97	1.72
Combines	1,744	\$12,008,842	0.00	0.03	0.01
<b>Sub Total</b>	<b>37,356</b>	<b>\$206,639,053</b>	<b>0.00</b>	<b>1.00</b>	<b>1.73</b>
<b>Measure 51b: Lean NOx Catalyst</b>					
Agricultural Tractors	19,486	\$259,364,737	4.40	0.70	0.86
Combines	313	\$4,980,000	0.04	0.01	0.00
<b>Sub Total</b>	<b>19,799</b>	<b>\$264,344,737</b>	<b>4.44</b>	<b>0.70</b>	<b>0.86</b>
<b>Measure 51c: EGR+DPF Retrofit</b>					
Agricultural Tractors	22,849	\$205,178,158	5.15	0.53	0.86
Combines	313	\$5,727,000	0.06	0.01	0.00
<b>Sub Total</b>	<b>23,162</b>	<b>\$210,905,158</b>	<b>5.21</b>	<b>0.54</b>	<b>0.86</b>
<b>Measure 51d: SCR Retrofit</b>					
Agricultural Tractors	9,876	\$131,193,132	5.72	0.00	0.00
Combines	0	\$0	0.00	0.00	0.00
<b>Sub Total</b>	<b>9,876</b>	<b>\$131,193,132</b>	<b>5.72</b>	<b>0.00</b>	<b>0.00</b>
<b>Measure 51e: DOC Retrofit</b>					
Agricultural Tractors	27,485	\$25,822,000	0.00	0.31	1.12
Combines	2,248	\$3,391,158	0.00	0.02	0.02
<b>Sub Total</b>	<b>29,733</b>	<b>\$29,213,158</b>	<b>0.00</b>	<b>0.32</b>	<b>1.13</b>
<b>Measure 51f: FTF Retrofit</b>					
Agricultural Tractors	28,846	\$120,026,842	0.00	0.39	1.56
Combines	434	\$2,871,474	0.00	0.00	0.00
<b>Sub Total</b>	<b>29,280</b>	<b>\$122,898,316</b>	<b>0.00</b>	<b>0.39</b>	<b>1.56</b>
<b>Measure 51g: DOC+SCR Retrofit</b>					
Agricultural Tractors	15,541	\$190,948,421	7.87	0.11	0.57
Combines	0	\$0	0.00	0.00	0.00
<b>Sub Total</b>	<b>15,541</b>	<b>\$190,948,421</b>	<b>7.87</b>	<b>0.11</b>	<b>0.57</b>
<b>Measure 51h: SCR+DPF Retrofit</b>					
Agricultural Tractors	27,267	\$373,061,053	13.82	0.61	1.26
Combines	313	\$7,470,000	0.12	0.01	0.00
<b>Sub Total</b>	<b>27,580</b>	<b>\$380,531,053</b>	<b>13.94</b>	<b>0.61</b>	<b>1.26</b>
<b>Grand Total</b>	<b>220,536</b>	<b>\$1,725,621,674</b>	<b>48.62</b>	<b>3.28</b>	<b>7.26</b>

For the agricultural equipment, the example emission reduction scenario shown in Table ES-5 for major agricultural equipment including all selected measures could reduce 2009 NOx emissions by approximately 26 tpd, PM emissions by approximately 2 tpd, and ROG emissions by approximately 3.5 tpd for a cost of about \$0.9 billion. The total equipment count involved in this scenario is about 113,000 units, which is about 35% of the available LADCO regional agricultural equipment population in 2009. Table ES-6 shows that this scenario for agricultural equipment could reduce 2012 NOx emissions by approximately 49 tpd, PM emissions by approximately 3.3 tpd, and ROG emissions by approximately 7.3 tpd. The total equipment count

involved in this scenario is 220,000 which is approximately 77% of the available agricultural population in 2012.

Based on these emission reduction scenarios, a total NOx reduction of more than 55 tpd, a total PM reduction of 3.2 tpd and a total ROG reduction of 8 tpd could be achieved in 2009 by implementing these selected measures for nonroad diesel equipment, focusing on construction and agricultural equipment. In 2012, a total NOx reduction of 91 tpd, a total PM reduction of approximately 5.5 tpd, and a total ROG reduction of 13.5 tpd could be achieved by implementing these measures for nonroad construction and agricultural equipment.

Table ES-7 shows the potential emissions reduction from implementation of a Smoking Vehicles Emissions Reduction Measure for light-duty on-road vehicles. The scenario is calculated based on an assumption that 1.43% of the LDGV fleet are smoking vehicles, and a penetration rate of 50% for enforcement and subsequent removal/replacement of the smoking vehicle. The analysis indicates that in 2009 the potential LADCO area PM reductions from smoking vehicles are 2.9 tons/day, and for 2012 the reductions are 3.0 tons/day. The per vehicle cost-effectiveness is \$5,830/ton PM reduced, and the overall cost is approximately \$344 million in 2009 and \$365 million in 2012 for the LADCO area.

**Table ES-7.** An example emission reduction scenario for removal/replacement of smoking vehicles in LADCO states in 2009 and 2012.

Project Cost-Effectiveness (\$/ton)	Estimated PM Reductions per Vehicle (tons/year)	Cost per Unit (\$)	Number of Units Available	Units Recommended	Total Cost	Total PM Reduction (tons/day)
<b>Measure 38/39/40/41: Smoking Vehicles Identification/Emissions Reduction</b>						
<b>2009</b>						
\$5,830	0.003	\$1,000	48,131,991	344,144	\$344,143,739	2.9
<b>2012</b>						
\$5,830	0.003	\$1,000	51,078,054	365,208	\$365,208,088	3.0

Table ES-8 shows the emission reduction scenario for the Anti-Idling measure for locomotives. Based on the assumptions used in the analysis (described in detail in Section 4) and the 2009 locomotive emission inventories in the LADCO states, the potential emission reductions for the Anti-Idling measure were estimated to be about 5 tpd for NOx emissions, 0.2 tpd for PM emissions, and 0.5 tpd for ROG emissions for a cost of about \$50 million. The potential emission reductions for the 2012 scenario were estimated to be doubled of those for the 2009 scenario as they were estimated by scaling the 2009 emission reductions over a six-year timeframe, assuming that the Anti-Idling measure would implement in 2007.

**Table ES-8.** An example emission reduction scenario for anti-idling restrictions on locomotives in LADCO states in 2009 and 2012.

Technology	Project Cost-Effectiveness (\$/ton)	Estimated Reductions per locomotive (tons/yr)			Cost per Unit (\$)	# of Units Available	Units Estimated	Total Cost	Total Emissions Reductions (tons/day)		
		NOx	PM	ROG					NOx	PM	ROG
<b>Measure 33/34/35/37: Anti-Idling Restriction on Locomotives</b>											
<b>2009</b>											
Switching	\$1,400	1.28	0.04	0.10	\$30,000	NA	757	\$22,713,439	2.65	0.08	0.22
Line-Haul	\$1,400	0.84	0.06	0.11	\$30,000	NA	898	\$26,953,254	2.07	0.15	0.27
Total		2.12	0.10	0.22			1,656	\$49,666,693	4.72	0.23	0.49
<b>2012</b>											
Switching	\$1,400	1.28	0.04	0.10	\$30,000	NA	1,514	\$45,426,878	5.31	0.16	0.44
Line-Haul	\$1,400	0.84	0.06	0.11	\$30,000	NA	1,797	\$53,906,508	4.14	0.29	0.55
Total		2.12	0.10	0.22		NA	3,311	\$99,333,386	9.44	0.45	0.98

A similar emissions reduction scenario analysis to that presented above for onroad HDDVs and nonroad construction and agricultural equipment has been conducted for each of the states in the LADCO region. Although there were some differences in the nonroad construction and agricultural equipment types that were the major NOx and PM contributors in each state, these differences were minor and the state-by-state analysis was conducted for the same equipment types as that for the LADCO region. Tables ES-9 and ES-10 show the potential emissions reduction from the scenario analysis conducted for each state for 2009 and 2012 respectively. The criteria for penetration rate were identical to those in the LADCO region analysis.

**Table ES-9.** State-by-state potential NOx emission reductions for the three emission reduction scenarios in 2009.

	2009 NOx PM and ROG Emission Reductions (tpd)								
	On-Road HDDV			Construction Equipment			Agricultural Equipment		
	NOx	PM	ROG	NOx	PM	ROG	NOx	PM	ROG
Illinois	26.21	1.78	6.65	8.43	0.37	1.29	9.49	0.81	1.28
Indiana	15.86	0.84	4.26	4.28	0.18	0.66	5.13	0.44	0.69
Michigan	16.41	0.97	4.17	4.92	0.23	0.75	2.86	0.25	0.39
Ohio	22.83	1.14	6.24	7.74	0.33	1.18	4.25	0.36	0.57
Wisconsin	12.25	0.67	3.28	3.25	0.14	0.50	3.83	0.33	0.52
<b>Total</b>	<b>93.56</b>	<b>5.41</b>	<b>24.60</b>	<b>28.63</b>	<b>1.24</b>	<b>4.38</b>	<b>25.56</b>	<b>2.19</b>	<b>3.45</b>

**Table ES-10.** State-by-state potential NOx emission reductions for the three emission reduction scenarios in 2012.

	2012 NOx PM and ROG Emission Reductions (tpd)								
	On-Road HDDV			Construction Equipment			Agricultural Equipment		
	NOx	PM	ROG	NOx	PM	ROG	NOx	PM	ROG
Illinois	30.60	2.68	7.72	12.44	0.65	1.82	18.04	1.59	3.34
Indiana	16.41	1.07	4.68	6.36	0.32	0.92	9.77	0.86	1.81
Michigan	18.56	1.37	4.74	7.39	0.42	1.11	5.43	0.48	1.00
Ohio	23.08	1.37	6.77	11.48	0.58	1.65	8.08	0.71	1.49
Wisconsin	12.79	0.86	3.61	4.68	0.24	0.67	7.29	0.64	1.35
<b>Total</b>	<b>101.43</b>	<b>7.34</b>	<b>27.52</b>	<b>42.35</b>	<b>2.22</b>	<b>6.18</b>	<b>48.62</b>	<b>4.29</b>	<b>8.99</b>

## 1. INTRODUCTION

As part of the Midwest Regional Planning Organization (MRPO), the Lake Michigan Air Directors Consortium (LADCO) is working with its member states in the upper Midwest to develop the necessary technical support for new State Implementation Plans (SIPs) for regional haze, PM<sub>2.5</sub>, and 8-hour ozone. In June 2006, ENVIRON was retained by LADCO to evaluate candidate emission control measures for mobile sources to support the development of these SIPs for the LADCO States. In February 2006, ENVIRON completed a Phase I study for LADCO entitled "Evaluation of Candidate Mobile Source Control Measures"<sup>1</sup>, focusing on reducing NO<sub>x</sub> emissions from mobile sources in LADCO states in 2009.

The objectives of this Phase II study are to identify and assess candidate control measures to address emissions of volatile organic compounds (VOC) and fine particles (PM<sub>2.5</sub>) from mobile sources in the LADCO states in 2009 and 2012, and emissions of nitrogen oxides (NO<sub>x</sub>) from mobile sources in the LADCO states in 2012.

This report discusses the selection of control measures, the 2009 and 2012 emission inventories used in the study, results of the control measures assessment, and technical approach, and data and assumptions used in the emission reduction scenario analysis based on selected control measures for on-road diesel vehicles, nonroad diesel equipment and light-duty gasoline vehicles.

Following this Introduction section, Section 2 presents the emissions inventories used in this study. Section 3 presents the selection of control measures. Section 4 presents the results of the technical and economic analyses of the selected control measures. Section 5 presents potential emission reduction scenarios for on-road vehicles and non-road equipment in 2009 and 2010 for the LADCO States.

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<sup>1</sup> "Evaluation of Candidate Mobile Source Control Measures," Final Report to the Lake Michigan Air Directors Consortium, prepared by ENVIRON International Corp., Novato, CA, February 2006.

## 2. EMISSIONS INVENTORY DEVELOPMENT

### Onroad Emission Inventory

For the purposes of this study, emission impacts are to be calculated using a combination of emission inventory data supplied by LADCO and the 2002 National Emissions Inventory (NEI). Specifically, the 2009 and 2012 onroad emission inventories for the LADCO states were developed based on NEI 2002, Base K, and NMIM projected emissions<sup>1</sup>. A summary of the methodology used to develop 2009 and 2012 LADCO onroad emissions is as follows:

- 2009 and 2012 TOG and NO<sub>x</sub> emissions for gasoline and diesel vehicles were set equal to 2009 and 2012 BaseK emissions. NEI 2002 emissions were used to distribute diesel and gasoline emissions by vehicle type.
- NEI 2002 PM and CO emissions were projected to 2009 and 2012 based on EPA NMIM runs for 2002, 2009 and 2012. In NMIM runs, the EPA default county database file was used. The default county database includes 2002 VMT, but not 2009 or 2012 VMT. The 2009 and 2012 VMT applied in NMIM was developed assuming a uniform yearly VMT growth rate of 2% across all vehicle types and counties.
- Emissions distributions by vehicle type were corrected to reflect ENVIRON, 2004<sup>2</sup> vehicle miles traveled by vehicle type for all pollutants.

The 2009 and 2012 onroad emission inventories by vehicle type for the LADCO States are presented in Tables 2-1 and 2-2, respectively. Figures 2-1 and 2-2 are a graphical representation of the onroad emissions inventories by vehicle type for 2009 and 2012. In 2009, gasoline vehicles emissions account for the majority of total organic gas (TOG), carbon monoxide (CO), nitrogen oxides (NO<sub>x</sub>), and particulate matter less than 10 microns (PM<sub>10</sub>) emissions, or 95%, 99%, 51%, and 60%, respectively; diesel vehicle emissions account for 50% of particulate matter less than 2.5 microns (PM<sub>2.5</sub>) emissions. The 2012 onroad emissions inventory also shows similar emission trends by vehicle fuel types. By vehicle types, heavy heavy-duty diesel vehicles (class 8 HDDVs) are the highest NO<sub>x</sub> and PM emission emitters, contributing more than 35% of the total onroad NO<sub>x</sub> emissions in both 2009 and 2012, and about 35% in 2009 and 30% in 2012 of the total onroad PM<sub>2.5</sub> emissions.

From 2009 to 2012, onroad emissions from gasoline vehicles decrease by about 18% for TOG and NO<sub>x</sub> emissions, 13% for CO emissions, and 2% for PM<sub>10</sub> and PM<sub>2.5</sub> emissions. As for diesel vehicles, the emissions decrease by about 9% for TOG emissions, about 36% for CO, about 33% for PM emissions, and about 21% for NO<sub>x</sub> emissions from 2009 to 2012. Emissions contributions from gasoline and diesel vehicles remain relatively constant from 2009 to 2012 for TOG, CO, and NO<sub>x</sub> emissions. However, PM emission contributions from diesel vehicles decrease from 40% to 31% for PM<sub>10</sub>, and 50% to 41% for PM<sub>2.5</sub> from 2009 to 2012.

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<sup>1</sup> Work is underway by LADCO and ENVIRON to prepare an updated and refined base year emissions inventory for 2005. This new inventory for on-road mobile sources uses detailed data from more than 20 transportation networks in the upper Midwest, along with detailed temporal profiles of vehicle activity from state DOT traffic counters, to estimate link-level emissions using the CONCEPT emissions model. Modeling is underway and results will be provided on the LADCO web site when they are available.

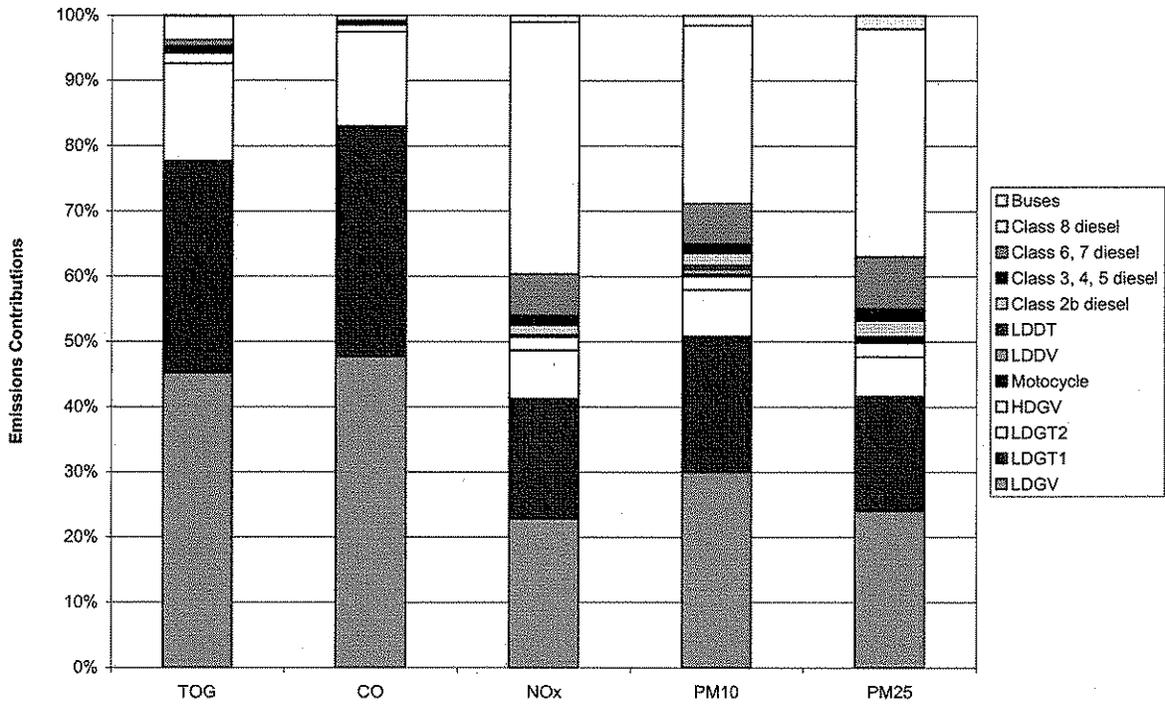
<sup>2</sup> ENVIRON, 2004. LADCO/MPCA Total Volume and Vehicle Classification Temporal Profiles. September 2004.

**Table 2-1. 2009 Onroad Emissions by Vehicle Type for the LADCO States.**

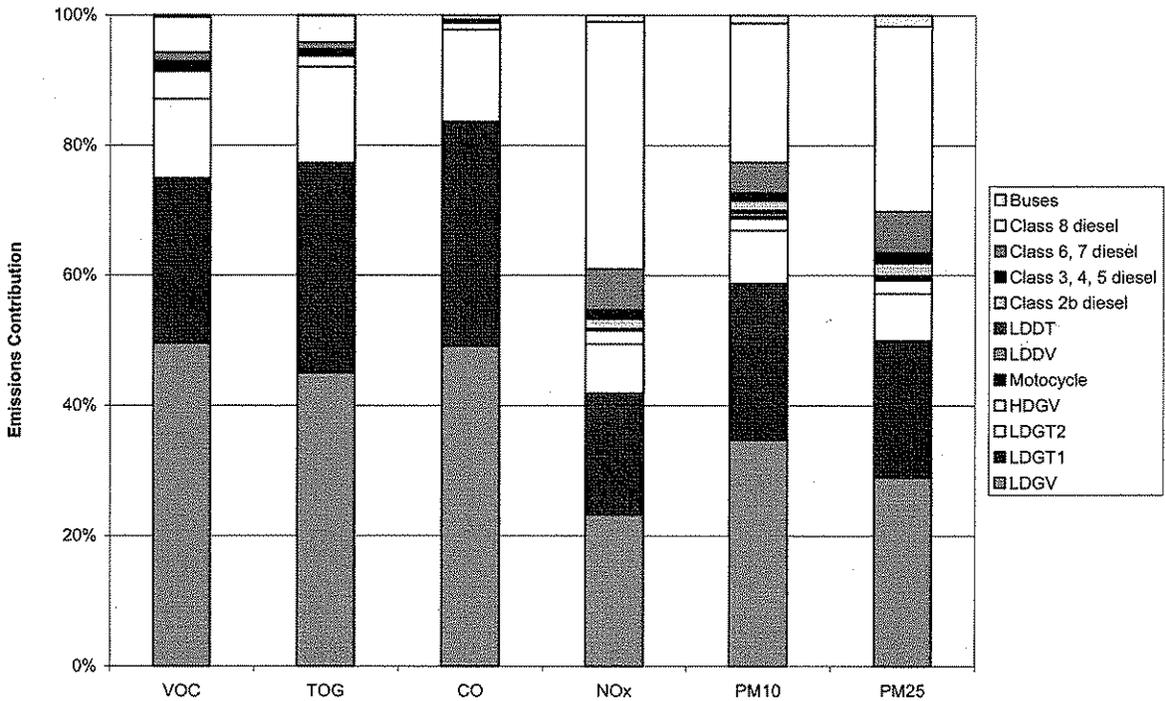
Vehicle Type	Emissions (tons/day)				
	TOG	CO	NOx	PM <sub>10</sub>	PM <sub>2.5</sub>
LDGV	631	8,573	594	17.5	9.4
LDGT1	453	6,334	477	12.2	6.8
LDGT2	208	2,610	193	4.2	2.4
HDGV	23	198	53	1.2	0.9
Motorcycle	5	53	4	0.2	0.1
LDDV	1	1	1	0.4	0.1
LDDT	2	2	3	0.4	0.2
Class 2b diesel	3	8	39	1.1	0.9
Class 3, 4, 5 diesel	3	8	39	0.9	0.7
Class 6, 7 diesel	13	28	165	3.6	3.1
Class 8 diesel	51	147	1,004	15.9	13.6
Buses	2	5	26	0.9	0.8
<b>All State Total</b>	<b>1,396</b>	<b>17,968</b>	<b>2,598</b>	<b>58.4</b>	<b>38.9</b>

**Table 2-2. 2012 Onroad Emissions by Vehicle Type for the LADCO States.**

Vehicle Type	Emissions (tons/day)				
	TOG	CO	NOx	PM <sub>10</sub>	PM <sub>2.5</sub>
LDGV	518	7,621	485	17.4	9.3
LDGT1	371	5,354	389	12.1	6.8
LDGT2	171	2,187	158	4.1	2.3
HDGV	19	170	43	0.9	0.6
Motorcycle	4	53	3	0.2	0.1
LDDV	1	1	1	0.2	0.1
LDDT	2	2	2	0.2	0.1
Class 2b diesel	3	5	31	0.7	0.6
Class 3, 4, 5 diesel	3	5	31	0.6	0.5
Class 6, 7 diesel	12	18	130	2.3	2.0
Class 8 diesel	47	95	793	10.8	9.2
Buses	2	4	20	0.6	0.5
<b>All State Total</b>	<b>1,152</b>	<b>15,513</b>	<b>2,086</b>	<b>50.2</b>	<b>32.2</b>



**Figure 2-1.** 2009 LADCO Onroad Emissions by Source (tpd).



**Figure 2-2.** 2012 LADCO Onroad Emissions by Source (tpd).

### Nonroad Emission Inventory

The 2009 and 2012 NONROAD emission inventories presented in Table 2-3 and 2-4, respectively, are based on emissions provided by LADCO<sup>3</sup> which were based on EPA NONROAD2004 model runs. Figure 2.3 and Figure 2.4 show these NONROAD emission inventories by equipment category. As shown in Figure 2.3 and Figure 2.4, NONROAD VOC, NO<sub>x</sub>, PM<sub>10</sub>, and PM<sub>2.5</sub> emissions are reduced overall by about 10%, 14%, 10%, and 10%, respectively from 2009 to 2012, while NONROAD CO emissions increase slightly from 2009 to 2012. Construction and agricultural equipment are the largest contributors to NO<sub>x</sub>, PM<sub>10</sub>, and PM<sub>2.5</sub> emissions in 2009 and 2012. Construction equipment accounts for 33%, 23%, and 23% of NO<sub>x</sub>, PM<sub>10</sub>, and PM<sub>2.5</sub> emissions, respectively in 2009 and 32%, 22%, and 22% of NO<sub>x</sub>, PM<sub>10</sub>, and PM<sub>2.5</sub> emissions, respectively in 2012. Agricultural equipment accounts for 30%, 26%, and 26% of NO<sub>x</sub>, PM<sub>10</sub>, and PM<sub>2.5</sub> emissions, respectively in 2009 and 31%, 24%, and 24% of NO<sub>x</sub>, PM<sub>10</sub>, and PM<sub>2.5</sub> emissions, respectively in 2012. Recreational equipment is the largest NONROAD contributor to VOC emissions accounting for 44% and 43% of VOC emissions in 2009 and 2012, respectively. Lawn and garden equipment is the largest contributor to CO emissions accounting for 45% and 44% of CO emissions in 2009 and 2012, respectively.

**Table 2-3. LADCO All States 2009 NONROAD emissions by fuel and equipment type (tpd).**

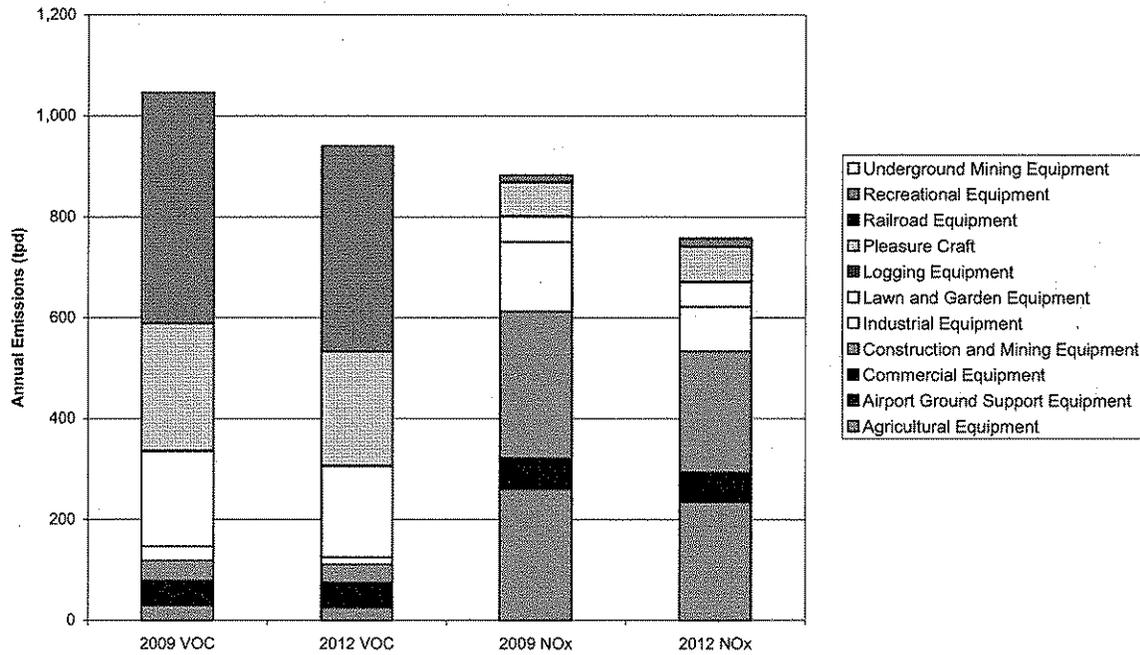
Pol	Equipment Type	Fuel Type				Total (tpd)
		CNG	Diesel	Gasoline	LPG	
VOC	Agricultural Equipment	0.0	25.0	4.6	0.0	29.6
	Airport Ground Support Equipment	0.0	0.3	0.0	0.0	0.3
	Commercial Equipment	0.0	5.2	41.8	1.2	48.2
	Construction and Mining Equipment	0.0	23.7	16.5	0.2	40.4
	Industrial Equipment	0.1	5.4	3.4	19.2	28.1
	Lawn and Garden Equipment	0.0	1.6	186.9	0.1	188.6
	Logging Equipment	0.0	0.2	1.1	0.0	1.3
	Pleasure Craft	0.0	0.8	251.6	0.0	252.4
	Railroad Equipment	0.0	0.2	0.0	0.0	0.3
	Recreational Equipment	0.0	0.2	456.2	0.0	456.5
	Underground Mining Equipment	0.0	0.1	0.0	0.0	0.1
<b>VOC Total (tpd)</b>		<b>0.1</b>	<b>62.7</b>	<b>962.2</b>	<b>20.7</b>	<b>1,045.7</b>
CO	Agricultural Equipment	0.1	128.4	152.2	0.1	280.7
	Airport Ground Support Equipment	0.0	1.5	1.8	0.3	3.7
	Commercial Equipment	5.1	21.3	2,111.0	20.3	2,157.8
	Construction and Mining Equipment	0.0	134.4	524.6	5.8	664.9
	Industrial Equipment	33.0	31.4	132.6	449.4	646.3
	Lawn and Garden Equipment	0.0	6.5	4,899.3	2.4	4,908.2
	Logging Equipment	0.0	0.8	14.2	0.0	15.0
	Pleasure Craft	0.0	3.8	999.6	0.0	1,003.4
	Railroad Equipment	0.0	1.1	3.0	0.0	4.1
	Recreational Equipment	0.0	0.9	1,661.7	0.2	1,662.9
	Underground Mining Equipment	0.0	0.6	0.0	0.0	0.6
<b>CO Total (tpd)</b>		<b>38.2</b>	<b>330.7</b>	<b>10,500.0</b>	<b>478.5</b>	<b>11,347.4</b>
NO <sub>x</sub>	Agricultural Equipment	0.0	257.8	2.7	0.0	260.5
	Airport Ground Support Equipment	0.0	3.5	0.0	0.0	3.6
	Commercial Equipment	1.4	34.1	16.5	5.4	57.4
	Construction and Mining Equipment	0.0	285.0	5.4	0.8	291.2
	Industrial Equipment	5.1	60.1	3.5	69.1	137.8
	Lawn and Garden Equipment	0.0	13.7	35.8	0.4	49.8

<sup>3</sup> Hetherington, 2006. Email from Grant Hetherington of Bureau of Air Management, Wisconsin Department of Natural Resources to Lit Chan of ENVIRON. July 5, 2006.

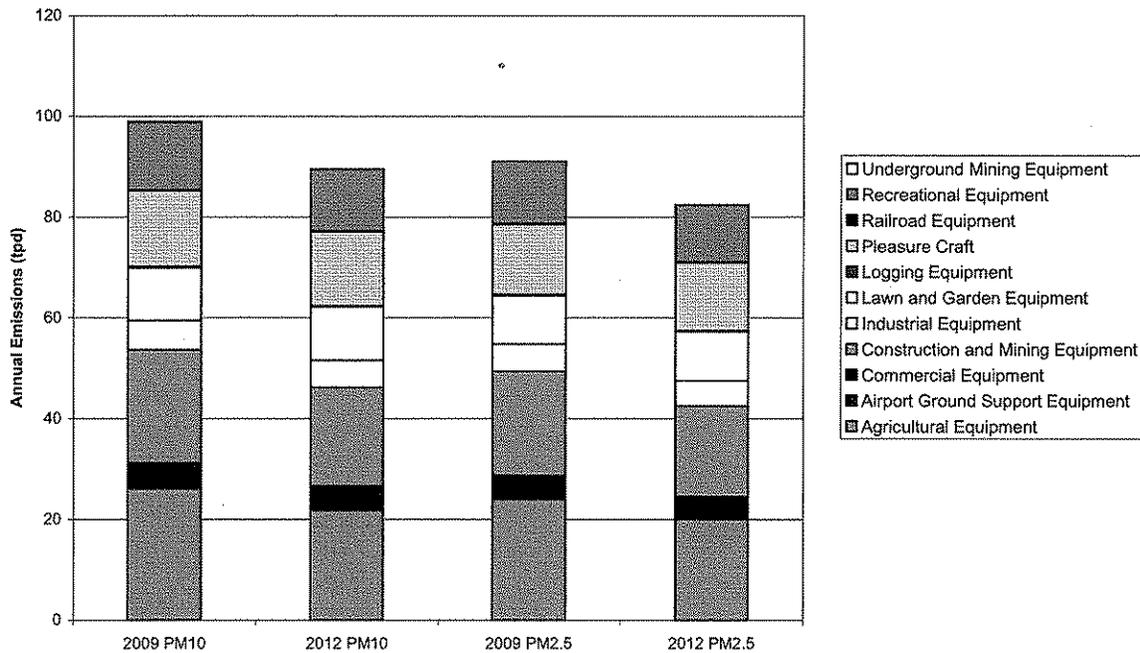
Pol	Equipment Type	Fuel Type				Total (tpd)
		CNG	Diesel	Gasoline	LPG	
	Logging Equipment	0.0	2.0	0.1	0.0	2.1
	Pleasure Craft	0.0	22.5	43.3	0.0	65.8
	Railroad Equipment	0.0	1.4	0.0	0.0	1.4
	Recreational Equipment	0.0	0.7	11.6	0.1	12.4
	Underground Mining Equipment	0.0	0.6	0.0	0.0	0.6
	<b>NOx Total (tpd)</b>	<b>6.6</b>	<b>681.4</b>	<b>118.8</b>	<b>75.8</b>	<b>882.6</b>
PM <sub>10</sub>	Agricultural Equipment	0.0	26.0	0.1	0.0	26.0
	Airport Ground Support Equipment	0.0	0.2	0.0	0.0	0.2
	Commercial Equipment	0.0	3.8	1.0	0.0	4.9
	Construction and Mining Equipment	0.0	20.9	1.5	0.0	22.4
	Industrial Equipment	0.1	4.9	0.1	0.9	5.9
	Lawn and Garden Equipment	0.0	1.2	9.3	0.0	10.5
	Logging Equipment	0.0	0.1	0.1	0.0	0.3
	Pleasure Craft	0.0	0.4	14.6	0.0	15.0
	Railroad Equipment	0.0	0.2	0.0	0.0	0.2
	Recreational Equipment	0.0	0.1	13.3	0.0	13.4
	Underground Mining Equipment	0.0	0.1	0.0	0.0	0.1
	<b>PM<sub>10</sub> Total (tpd)</b>	<b>0.1</b>	<b>58.0</b>	<b>39.9</b>	<b>0.9</b>	<b>98.9</b>
PM <sub>2.5</sub>	Agricultural Equipment	0.0	23.9	0.1	0.0	24.0
	Airport Ground Support Equipment	0.0	0.2	0.0	0.0	0.2
	Commercial Equipment	0.0	3.5	0.9	0.0	4.5
	Construction and Mining Equipment	0.0	19.2	1.4	0.0	20.6
	Industrial Equipment	0.1	4.5	0.0	0.9	5.5
	Lawn and Garden Equipment	0.0	1.1	8.5	0.0	9.6
	Logging Equipment	0.0	0.1	0.1	0.0	0.2
	Pleasure Craft	0.0	0.4	13.4	0.0	13.8
	Railroad Equipment	0.0	0.2	0.0	0.0	0.2
	Recreational Equipment	0.0	0.1	12.2	0.0	12.3
	Underground Mining Equipment	0.0	0.1	0.0	0.0	0.1
	<b>PM<sub>2.5</sub> Total (tpd)</b>	<b>0.1</b>	<b>53.4</b>	<b>36.7</b>	<b>0.9</b>	<b>91.1</b>

Table 2-4. LADCO All States 2012 NONROAD Emissions by fuel and equipment type (tpd).

Pol	Equipment Type	Fuel Type				Total (tpd)
		CNG	Diesel	Gasoline	LPG	
VOC	Agricultural Equipment	0.0	20.8	4.2	0.0	25.0
	Airport Ground Support Equipment	0.0	0.2	0.0	0.0	0.3
	Commercial Equipment	0.0	4.6	43.4	0.9	49.0
	Construction and Mining Equipment	0.0	20.2	15.9	0.1	36.2
	Industrial Equipment	0.0	4.4	2.0	8.2	14.7
	Lawn and Garden Equipment	0.0	1.4	179.3	0.0	180.8
	Logging Equipment	0.0	0.1	1.2	0.0	1.3
	Pleasure Craft	0.0	0.8	225.1	0.0	225.9
	Railroad Equipment	0.0	0.2	0.0	0.0	0.3
	Recreational Equipment	0.0	0.2	406.2	0.0	406.5
	Underground Mining Equipment	0.0	0.1	0.0	0.0	0.1
<b>VOC Total (tpd)</b>		<b>0.1</b>	<b>53.1</b>	<b>877.5</b>	<b>9.3</b>	<b>939.9</b>
CO	Agricultural Equipment	0.0	108.9	153.3	0.0	262.3
	Airport Ground Support Equipment	0.0	1.4	1.6	0.2	3.1
	Commercial Equipment	5.0	20.2	2,276.8	17.6	2,319.6
	Construction and Mining Equipment	0.0	113.7	511.3	3.1	628.1
	Industrial Equipment	18.5	29.4	90.9	249.4	388.2
	Lawn and Garden Equipment	0.0	6.3	5,085.9	1.2	5,093.5
	Logging Equipment	0.0	0.6	15.6	0.0	16.2
	Pleasure Craft	0.0	3.9	976.7	0.0	980.6
	Railroad Equipment	0.0	1.0	3.1	0.0	4.1
	Recreational Equipment	0.0	0.9	1,661.6	0.2	1,662.7
	Underground Mining Equipment	0.0	0.5	0.0	0.0	0.5
<b>CO Total (tpd)</b>		<b>23.5</b>	<b>286.8</b>	<b>10,776.9</b>	<b>271.8</b>	<b>11,358.9</b>
NOx	Agricultural Equipment	0.0	231.8	2.4	0.0	234.2
	Airport Ground Support Equipment	0.0	3.1	0.0	0.0	3.1
	Commercial Equipment	1.3	33.3	16.7	4.4	55.7
	Construction and Mining Equipment	0.0	235.9	4.3	0.5	240.7
	Industrial Equipment	2.5	51.5	1.7	32.6	88.2
	Lawn and Garden Equipment	0.0	13.7	35.0	0.2	48.9
	Logging Equipment	0.0	1.4	0.1	0.0	1.5
	Pleasure Craft	0.0	22.4	46.3	0.0	68.7
	Railroad Equipment	0.0	1.3	0.0	0.0	1.3
	Recreational Equipment	0.0	0.7	14.1	0.0	14.9
	Underground Mining Equipment	0.0	0.6	0.0	0.0	0.6
<b>NOx Total (tpd)</b>		<b>3.8</b>	<b>595.6</b>	<b>120.6</b>	<b>37.8</b>	<b>757.8</b>
PM <sub>10</sub>	Agricultural Equipment	0.0	21.7	0.1	0.0	21.8
	Airport Ground Support Equipment	0.0	0.2	0.0	0.0	0.2
	Commercial Equipment	0.0	3.5	1.1	0.0	4.6
	Construction and Mining Equipment	0.0	18.0	1.5	0.0	19.5
	Industrial Equipment	0.1	4.3	0.0	0.9	5.3
	Lawn and Garden Equipment	0.0	1.1	9.5	0.0	10.6
	Logging Equipment	0.0	0.1	0.1	0.0	0.2
	Pleasure Craft	0.0	0.4	14.3	0.0	14.6
	Railroad Equipment	0.0	0.2	0.0	0.0	0.2
	Recreational Equipment	0.0	0.1	12.1	0.0	12.2
	Underground Mining Equipment	0.0	0.1	0.0	0.0	0.1
<b>PM<sub>10</sub> Total (tpd)</b>		<b>0.1</b>	<b>49.7</b>	<b>38.7</b>	<b>1.0</b>	<b>89.5</b>
PM <sub>2.5</sub>	Agricultural Equipment	0.0	20.0	0.1	0.0	20.0
	Airport Ground Support Equipment	0.0	0.2	0.0	0.0	0.2
	Commercial Equipment	0.0	3.2	1.0	0.0	4.3
	Construction and Mining Equipment	0.0	16.6	1.4	0.0	18.0
	Industrial Equipment	0.1	4.0	0.0	0.9	5.0
	Lawn and Garden Equipment	0.0	1.0	8.8	0.0	9.8
	Logging Equipment	0.0	0.1	0.1	0.0	0.2
	Pleasure Craft	0.0	0.4	13.1	0.0	13.5
	Railroad Equipment	0.0	0.1	0.0	0.0	0.1
	Recreational Equipment	0.0	0.1	11.1	0.0	11.3
	Underground Mining Equipment	0.0	0.1	0.0	0.0	0.1
<b>PM<sub>2.5</sub> Total (tpd)</b>		<b>0.1</b>	<b>45.7</b>	<b>35.6</b>	<b>1.0</b>	<b>82.4</b>



**Figure 2-3.** LADCO 2009 and 2012 VOC and NOx NONROAD Emissions by Equipment Type (tons per day).



**Figure 2-4.** LADCO 2009 and 2012 PM10 and PM2.5 NONROAD Emissions by Equipment Type (tons per day).

**Locomotive Emission Inventory**

The 2009 and 2012 locomotive emission inventories are based on annual BaseK emissions received from LADCO<sup>4,5</sup>. The 2009 and 2012 locomotive emission inventories for the LADCO states are shown in Tables 2-5 and 2-6, respectively. As shown in these tables, locomotive emissions decrease from 2009 to 2012 by 2% for VOC and NOx emissions, and 5%, for PM<sub>10</sub> and PM<sub>2.5</sub> emissions. In 2009, Class I line haul locomotive account for 74%, 72%, 78%, and 78% of VOC, NOx, PM<sub>10</sub>, and PM<sub>2.5</sub> emissions, respectively. In 2012, Class I line haul locomotive account for 73% of VOC and NOx emissions, and 78% of PM<sub>10</sub> and PM<sub>2.5</sub> emissions.

**Table 2-5. 2009 LADCO All States Locomotive Emissions Projection (tons per day).**

<b>Locomotive Type</b>	<b>VOC</b>	<b>NOx</b>	<b>PM<sub>10</sub></b>	<b>PM<sub>2.5</sub></b>
Class I Line Haul	15.6	240.5	8.3	7.5
Class II/III	0.7	16.6	0.4	0.3
Passenger	0.3	5.3	0.2	0.1
Commuter	0.7	13.3	0.4	0.3
Yard	4.0	59.0	1.4	1.3
<b>Totals</b>	<b>21.2</b>	<b>334.7</b>	<b>10.6</b>	<b>9.6</b>

**Table 2-6. 2012 LADCO All States Locomotive Emissions Projection (tons per day).**

<b>Locomotive Type</b>	<b>VOC</b>	<b>NOx</b>	<b>PM<sub>10</sub></b>	<b>PM<sub>2.5</sub></b>
Class I Line Haul	15.2	238.3	7.9	7.1
Class II/III	0.7	16.5	0.4	0.3
Passenger	0.3	4.5	0.1	0.1
Commuter	0.7	11.3	0.4	0.3
Yard	4.0	56.1	1.4	1.3
<b>Totals</b>	<b>20.8</b>	<b>326.7</b>	<b>10.1</b>	<b>9.1</b>

**Commercial Marine Vessel Emission Inventory**

The 2009 and 2012 commercial marine emission inventories are based on annual BaseK emissions provided by LADCO<sup>4,5</sup>. The 2009 and 2012 commercial marine vessel emission inventories for the LADCO states are shown in Tables 2-7 and 2-8, respectively. As shown in these tables, commercial marine vessel emissions remain relatively constant from 2009 to 2012 for VOC, NOx, PM<sub>10</sub>, and PM<sub>2.5</sub>. Figures 2-5 and 2-6 show the emission contributions by vessel types in 2009 and 2012, respectively. As shown in these figures, deep draft vessels are the largest contributors to commercial marine vessel emissions. In 2009, deep draft vessels account for 46%, 49%, 76%, and 76% of VOC, NOx, PM<sub>10</sub>, and PM<sub>2.5</sub> emissions, respectively. In 2012, deep draft vessels account for 45%, 49%, 76%, and 76% of VOC, NOx, PM<sub>10</sub>, and PM<sub>2.5</sub> emissions, respectively.

<sup>4</sup> LADCO, 2006a. 2009 Locomotive and Commercial Marine BaseK Emissions received from Mark Janssen. September 2006.

<sup>5</sup> LADCO, 2006b. 2012 Locomotive and Commercial Marine BaseK Emissions received from Mark Janssen. October 2006.

**Table 2-7. 2009 LADCO Commercial Marine Vessel Emissions (tons per day).**

Ship Type	Fuel Type	VOC	NOx	PM <sub>10</sub>	PM <sub>2.5</sub>
Tugs	Diesel	0.6	28.0	0.6	0.6
Ferries	Diesel	0.1	2.9	0.1	0.1
Push Boats	Diesel	2.2	91.7	2.2	1.9
Excursion	Diesel	0.0	0.9	0.0	0.0
Dredge	Diesel	0.0	0.1	0.0	0.0
Support Vessels	Diesel	0.0	0.1	0.0	0.0
Fishing Vessels	Diesel	0.0	0.0	0.0	0.0
Military Vessels	Diesel	0.0	0.4	0.0	0.0
Deep Draft Vessels - Port	Residual	0.7	22.4	1.4	1.3
Deep Draft Vessels - Underway	Residual	2.2	94.9	7.7	7.0
Fishing Vessels	Gasoline	0.0	0.0	0.0	0.0
Military Vessels	Gasoline	0.5	0.0	0.0	0.0
<b>Totals</b>		<b>6.3</b>	<b>241.4</b>	<b>12.1</b>	<b>10.9</b>

**Table 2-8. 2012 LADCO Commercial Marine Vessel Emissions (tons per day).**

Ship Type	Fuel Type	VOC	NOx	PM <sub>10</sub>	PM <sub>2.5</sub>
Tugs	Diesel	0.7	27.2	0.6	0.6
Ferries	Diesel	0.1	2.7	0.1	0.1
Push Boats	Diesel	2.2	88.9	2.2	2.0
Excursion	Diesel	0.0	0.9	0.0	0.0
Dredge	Diesel	0.0	0.1	0.0	0.0
Support Vessels	Diesel	0.0	0.1	0.0	0.0
Fishing Vessels	Diesel	0.0	0.0	0.0	0.0
Military Vessels	Diesel	0.0	0.4	0.0	0.0
Deep Draft Vessels - Port	Residual	0.7	22.4	1.4	1.3
Deep Draft Vessels - Underway	Residual	2.2	94.9	7.7	7.0
Fishing Vessels	Gasoline	0.1	0.0	0.0	0.0
Military Vessels	Gasoline	0.5	0.0	0.0	0.0
<b>Totals</b>		<b>6.4</b>	<b>237.7</b>	<b>12.1</b>	<b>10.9</b>

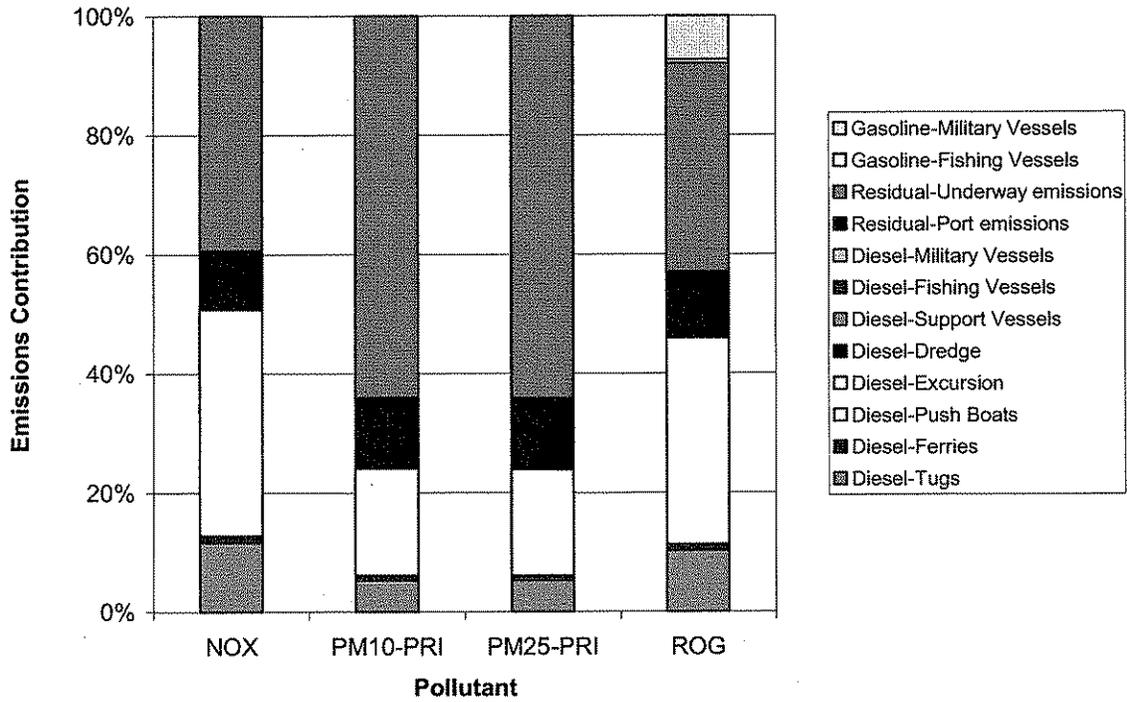


Figure 2-5. 2009 LADCO Commercial Marine Emissions Contributions.

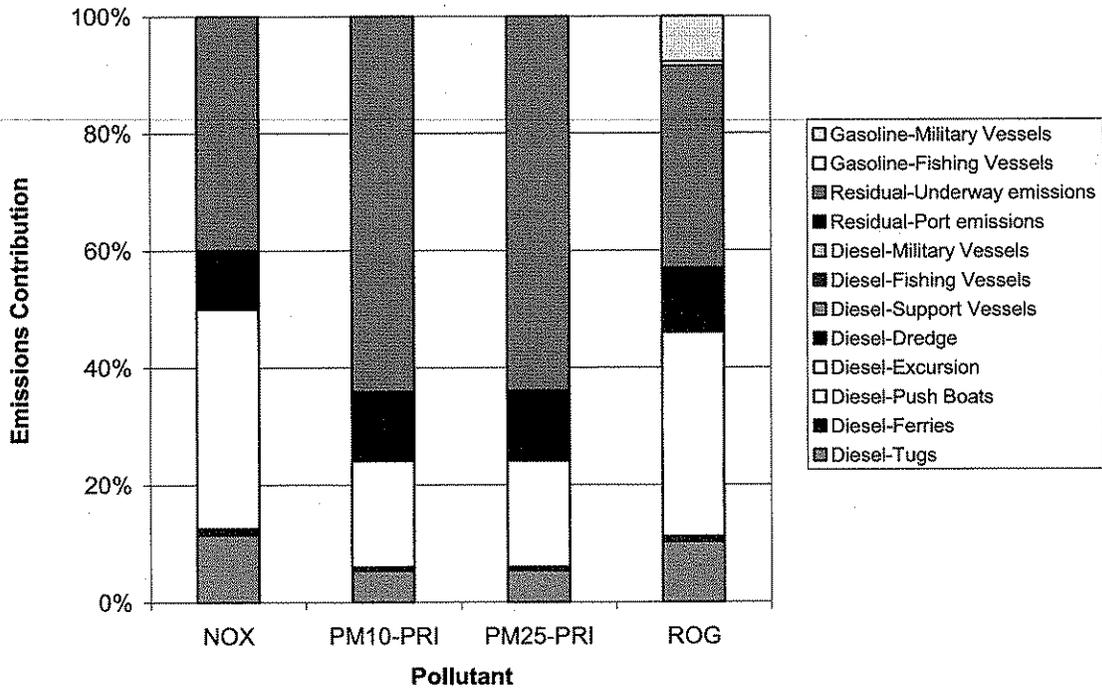


Figure 2-6. 2012 LADCO Commercial Marine Emissions Contributions.

### **3. SELECTION OF CONTROL MEASURES AND EMISSIONS INVENTORY DEVELOPMENT**

#### **Qualitative Screening Analysis**

For the Phase II Study, ENVIRON reviewed and updated the master list of control measures for mobile sources developed during the Phase I Study, and presented and discussed the updated master control measure list with the LADCO states. Similar to the Phase I Study, the objective of the qualitative screening analysis was to refine and reduce the master list to a shorter list for further technical and economic analyses, as well as for developing white papers on selected control measures for mobile sources. The following general criteria were used to qualitatively evaluate the candidate control measures:

- Emission impacts in terms of potential emission reduction
- Emission benefit relative to mobile source category
- Technical feasibility
- SIP creditable (permanent, quantifiable, surplus, enforceable)
- Cost effectiveness
- Implementation feasibility
- Public acceptability

Of these criteria, the potential emissions reduction was deemed to be of the highest importance.

The qualitative screening assessment was performed on more than 70 emission control measures listed in the master list in Table 3-1, in the following control measure categories:

- Alternative fuels,
- Conventional fuels;
- Equipment modernization programs,
- Fleet modernization programs,
- Idling restriction/reduction programs,
- Inspection/maintenance programs,
- Low-emission vehicles (LEV) programs,
- Retrofitting programs,
- Ozone action days/public awareness programs,
- Intelligent transportation system (ITS) programs, and
- VMT reduction programs.

To the extent that data and/or information were available, ENVIRON estimated preliminary potential emission benefits in 2009 and 2012 for the control strategies based on their control effectiveness or efficiency, and potential emission impacts based on the emission benefits and estimated ranges of penetration rates. Section 4 of this report presents the results of the technical and economic analyses that provide refined emission reduction benefit and impact estimates for those selected control measures.

**Table 3-1. Master list for mobile source control measures.**

Control ID	Category	Source	Vehicle/Equipment Types	Control Measures
1	Alternative Fuels	On-Road	LDV & HDV	Alternative fuel pilot projects
2	Alternative Fuels	On-Road	LDV & HDV	Private sector clean fuel fleets
3	Alternative Fuels	On-Road	LDV & HDV	Public sector clean fuel fleets
4	Alternative Fuels	On-Road	LDV & HDV	Alternative fuel vehicle conversion
5	Alternative Fuels	On-Road	LDV & HDV	Clean fuel Incentives
6	Alternative Fuels	On-Road	Buses	Alternative fuel, hybrid, fuel cell school/transit bus programs
7	Alternative Fuels	On-Road	HDDV	Alternative fuel refuse truck programs
8	Alternative Fuels	Non-Road	Locomotive	Hybrid diesel electric or LNG switching engine locomotive pilot programs
9	Alternative Fuels	Non-Road	Lawn & Garden Equipment	Accelerate the turnover of residential gasoline lawn & garden equipment to electric
10	Alternative Fuels	Non-Road	Industrial Equipment	Accelerate the turnover of industry equipment to alternative fuels or electric
11	Alternative Fuels	Non-Road	Ground Support Equipment	Airport ground support equipment electrification
12	Alternative Fuels	Non-Road	Marine	Shoreside power for marine vessels at berth
13	Conventional Fuels	On & Non-Road	Gasoline Vehicles/Equipment	RFG/California RFG
14	Conventional Fuels	On & Non-Road	Gasoline Vehicles/Equipment	Lower RVP Gasoline
15	Conventional Fuels	Non-Road	Diesel Equipment	Early phase in of ULSD fuels for nonroad equipment
15a	Conventional Fuels	Non-Road	Marine	Low sulfur marine distillate fuels (MGO or MDO) for auxiliary engines in marine vessels
16	Conventional Fuels	On & Non-Road	Diesel Vehicles/Equipment	Reformulated diesel fuels (e.g. Fischer-Troppe diesel; emulsified diesel; CA diesel; Biodiesel w/ additives)
17	Conventional Fuels	On & Non-Road	Diesel Vehicles/Equipment	Diesel fuel additives
18	Conventional Fuels	On-Road	LDV	Gas cap replacement program (give free vouchers to failed vehicles w/ faulty or missing gas caps) Toledo Metro COG has such program (Ohio & Michigan)
19	Conventional Fuels	On & Non-Road	Area Sources	Stage II Vapor Recovery
20	Equipment Modernization	Non-Road	Diesel Equipment	Accelerated purchase of Tier2/Tier 3/Tier 4 nonroad engines or onroad engines
21	Equipment Modernization	Non-Road	Large SI Equipment	Accelerate the turnover of large SI engines to engines meeting Large SI Non-Road Engine Standards
22	Equipment Modernization	Non-Road	Recreation & L&G SI Engines	Replace 2-stroke engines with 4-stroke engines in recreation vehicles/marine & L&G equipment
23	Equipment Modernization	Non-Road	Locomotive	Accelerate the turnover of older locomotives to Tier 2, and possible Tier 3, locomotives
24	Equipment Modernization	Non-Road	Marine	Accelerate the turnover of older categories 1 and 2 commercial marine engines to Tier 2, and possible Tier 3, engines
25	Equipment Modernization	Non-Road	Agricultural Equipment	Accelerate the turnover of older agricultural engines to Tier 2/Tier3/Tier 4 nonroad engines or onroad engines
26	Equipment Modernization	Non-Road	Lawn & Garden Equipment	Residential L&G equipment (e.g. lawnmowers) exchange/rebate/buy back programs
27	Equipment Modernization	Non-Road	Construction Equipment	Contract-based incentives/requirements (Green Contracting) to contractors on construction projects
28	Fleet Modernization	On-Road	LDV	Accelerated replacement of current LD vehicles with LEVs or Tier 2 vehicles
29	Fleet Modernization	On-Road	LDV & HDV	Accelerated replacement of current LD and HD vehicles with AFVs
30	Fleet Modernization	On-Road	LDV	Buy back and scrap pre-1980 LDVs and high emitters
31	Fleet Modernization	On-Road	HDDV	Repower HDDVs with older, high emitting engines with low emission diesel engines
32	Fleet Modernization	On-Road	HDDV	Accelerate the turnover of older HDDVs to cleaner late model HDDVs
33	Idling Restriction/Reduction	On-Road	HDDV	Idling restrictions for public and private diesel fleets
34	Idling Restriction/Reduction	On & Non-Road	HDDV/Nonroad Diesel Equipment	Idling reduction programs
35	Idling Restriction/Reduction	On-Road	HDDV	Truck stop electrification
36	Idling Restriction/Reduction	Non-Road	Locomotive	Idling restrictions on linehaul and switching locomotives (automatic start-stop devices)
37	Idling Restriction/Reduction	Non-Road	Construction Equipment	Idling restrictions on construction equipment
38	I/M Programs	On-Road	LDV & HDV	Enforce smoking vehicle program
39	I/M Programs	On-Road	LDV	Remote-sensing programs to capture high emitters
40	I/M Programs	On-Road	LDV	LDV I/M programs (IM-240, RSD, ASM, RG240 etc.) - OBD only
41	I/M Programs	On-Road	HDDV	HDDV I/M programs (smoke/opacity test; diesel OBD etc.)
42	I/M Programs	On-Road	HDDV	HDDV accelerated reflashing programs
43	LEV Programs	On-Road	LDV	LEV programs/requirements for public and private fleets
44	LEV Programs	On-Road	LDV	Scrappage of high emitter LDVs and replace with LEVs
45	LEV Programs	On-Road	LDV	ULEV/SULEV/ZEV pilot programs
46	Retrofitting	On-Road	HDDV	Aftertreatment retrofit programs for HD diesel vehicles (DPFs, catalysts, EGRs etc.)
46a	Retrofitting	On-Road	HDDV	Adopt CARB's Air Toxic Control Measures for in-use transit buses and solid waste collection trucks (i.e. requiring 90%+ reduction in PM emissions)
47	Retrofitting	On-Road	HDDV	Retrofit programs for HD diesel vehicles to AFVs (NG, dual-fuel etc.)
48	Retrofitting	On-Road	HDGV	Aftertreatment retrofit programs for HD gasoline vehicles (3-way catalysts)
49	Retrofitting	On-Road	HDGV	Retrofit programs for HD gasoline vehicles to AFVs (NG, LPG, bi-fuel etc.)
50	Retrofitting	On-Road	LDV	Retrofit programs for LDVs to AFVs or bi-fuel vehicles
51	Retrofitting	Non-Road	Diesel Equipment	Aftertreatment retrofit programs for nonroad diesel equipment (DPFs, catalysts, EGR etc.)

Control ID	Category	Source	Vehicle/Equipment Types	Control Measures
51a	Retrofitting	Non-Road	Diesel Equipment	Adopt CARB's Air Toxic Control Measures for cargo handling equipment; portable diesel-fuel engines; transport refrigeration units or TRUs (i.e. requiring 90%+ reduction in PM emissions)
52	Retrofitting	Non-Road	Diesel Equipment	Alternative fuel retrofit programs for nonroad diesel equipment
53	Retrofitting	Non-Road	SI Equipment	Retrofit programs for nonroad gasoline/SI engine equipment (A/F control; catalysts)
54	Retrofitting	Non-Road	SI Equipment	Alternative fuel retrofit programs for nonroad gasoline equipment
55	Retrofitting	Non-Road	Locomotive	Retrofit programs for switching locomotive engines
56	Retrofitting	Non-Road	Marine	Retrofit programs for commercial marine engines (e.g slide-valve fuel injection; water injection; DPFs; catalysts; EGR, DOCs etc.)
57	Retrofitting	Non-Road	Marine	Repower/replace auxiliary engines of commercial marine with low emitting engines
58	Retrofitting	nonroad	Aircraft	Aircraft Electrification: Idling
59	Ozone Action Days/Public Awareness	Various	LDV	Employer-based ozone action days
60	Ozone Action Days/Public Awareness	Non-Road	Lawn & Garden Equipment	L&G equipment usage control/restriction programs
61	Ozone Action Days/Public Awareness	Various	LDV	Public awareness & outreach programs
62	Ozone Action Days/Public Awareness	Various	LDV	School-based public awareness programs
63	Ozone Action Days/Public Awareness	Various	LDV	Education and promotion campaigns
64	Intelligent Transport systems	On-Road	LDV	Dynamic message signs
65	Intelligent Transport systems	On-Road	LDV	Video monitor system deployment
65a	Intelligent Transport systems	On-Road	LDV	Improved Traffic Light Signalization (e.g. periodic retiming)
65b	Intelligent Transport systems	On-Road	LDV	Traffic incident management (removal of crashed vehicles or other traffic obstructions within a certain period)
66	Intelligent Transport systems	On-Road	LDV	Internet site/system to provide road and route information
67	Intelligent Transport systems	On-road	LDV	Speed limit restriction (65mph)
68	VMT Reduction Programs	Various	LDV	Ridesharing (e.g. carpool, vanpool) programs
69	VMT Reduction Programs	On-Road	LDV	Enhance/expand regional transit plan
70	VMT Reduction Programs	Various	LDV	Build, extend and expand HOV lanes
71	VMT Reduction Programs	On-Road	LDV	Bicycle and pedestrian accommodation programs
72	VMT Reduction Programs	On-Road	LDV	Telecommuting
73	VMT Reduction Programs	Various	Various	Green space preservation
74	VMT Reduction Programs	Various	Various	Mixed land-use development

Similar to the Phase I Study, the screening evaluation for these control measures was based on approximate contribution levels to the NOx, PM, and VOC emission inventories, and past experience in program effectiveness and feasibility for these measures. As discussed earlier, while the criteria included emission benefits, emission impacts, cost effectiveness, technical feasibility, likely public acceptance, and EPA creditability, the qualitative screening analysis was heavily weighted on the emission impacts. For each measure, the preliminary emission reduction potential was provided. In addition, numerical values of 1, 2, and 3 were assigned to each of the ranking criteria representing low, medium, or high ranks. The values of these criteria were then totaled up to represent the overall ranking for the control measures.

Source apportionment analyses were examined by LADCO to determine the importance of on-road and non-road sources on ozone and PM2.5 concentrations in nonattainment areas in the region. Model-based source apportionment information was developed by using the OSAT/APCA algorithm for ozone and PSAT algorithm for PM2.5 in CAMx modeling. This source apportionment modeling shows that on-road NOx emissions are the dominant source of ozone and PM-nitrate concentrations in the region. Monitoring-based source apportionment was developed with Positive Matrix Factorization (PMF) and Chemical Mass Balance (CMB) analyses using data from LADCO's Urban Organics Study. This information suggests that mobile sources are the dominant source of primary organic carbon concentrations in these areas. Although further analyses are underway to better define the smoker/non-smoker and gasoline/diesel splits, initial results indicate that smoking vehicles may comprise a significant portion of the PM emissions. Thus, the emission impact assessment in the qualitative screening analysis was focused on reducing NOx and PM emissions.

In addition to estimating the control efficiencies, low and high penetration or compliance rates were assumed for each control measure based on vehicle/equipment availability and turnover rates (assuming that control measures would be implemented in 2007), as well as past experiences and engineering judgment. For example, a 10% penetration rate per year for a control measure starting in 2007 would provide a total 30% penetration rate in 2009, and 60% penetration rate in 2012.

Information on past experience of control measures was based on a variety of reports and studies. These include the EPA Transportation Control Measure and Congestion Mitigation Air Quality Program reports; SIP-related reports for Sacramento, Los Angeles, San Joaquin Valley, San Francisco, and Washington, DC; and Ozone Early Action Compacts (EACs)'s for San Antonio and Dallas-Fort Worth, TX, Triad, NC, Tulsa, OK, Kansas City, KS, Northern Shenandoah Valley, VA, Eastern Panhandle Region, WV, and Tennessee, as well as other relevant references or studies.

### **Screening Results**

Similar to the Phase I Study, ENVIRON ranked and prioritized the control measures in the master list based on preliminary emission reduction potential for each control measure using the emission inventories described in Section 2 (Tables 2-1 through 2-8) and information/data from past experience of control measures. The summary of the screening results for the top ten control measures prioritized by the highest potential NO<sub>x</sub> and PM emission reductions in 2009 and 2012 are shown in Tables 3-2 and 3-3, respectively. The low and high values represent the low-end and high-end estimates of assumed ranges of penetration or compliance rates. The summary of the screening results for the top ten control measures prioritized by the overall ranking in 2009 and 2012 are shown in Tables 3-4 and 3-5, respectively.

With these ranking results and discussions with LADCO States, five control measures were selected for further technical and economic analyses and white paper development. These major selected control measures were Fleet Modernization, Anti-Idling Restrictions, Accelerated Chip Reflashing for onroad HDDVs, Aftertreatment Device Retrofits, and Light-Duty Smoking Vehicle Identification and Emissions Reduction.

**Table 3-2. Preliminary screening results for the top ten control measures order by potential NOx, PM, and VOC emission reductions in 2009.**

NOx 2008 Control Measures by Emissions Reduction			PM 2009 Control Measures by Emissions Reduction			VOC 2009 Control Measures by Emissions Reduction					
Control ID	Control Measures	NOx Emission Reduction (High) [tpd]	NOx Emission Reduction (Low) [tpd]	Control ID	Control Measures	PM Emission Reduction (High) [tpd]	PM Emission Reduction (Low) [tpd]	Control ID	Control Measures	VOC Emission Reduction (High) [tpd]	VOC Emission Reduction (Low) [tpd]
46	Aftreatment retrofit programs for HD diesel vehicles (DPFs, catalysis, EGRs etc.)	160	80	51a	Adopt CARB's Air Toxic Control Measures for cargo handling equipment; portable diesel-fuel engines; transport refrigeration units or TRUs (i.e. requiring 90%+ reduction in PM emissions)	16	8	53	Retrofit programs for nonroad gasoline/SI engine equipment (A/F control; catalysis)	267	134
28	Accelerated replacement of current LD vehicles with LEVs or Tier 2 vehicles	144	29	53	Retrofit programs for nonroad gasoline/SI engine equipment (A/F control; catalysis)	11	6	22	Replace 2-stroke engines with 4-stroke engines in recreation vehicles/marine & L&G equipment	242	121
32	Accelerate the turnover of older HDDVs to cleaner late model HDDVs	106	21	18	Reformulated diesel fuels (e.g. Fischer-Tropsch diesel, emulsified diesel, CA diesel, Biodiesel w/ additives)	10	5	13	RFG/California RFG	188	83
51	Aftreatment retrofit programs for nonroad diesel equipment (DPFs, catalysis, EGR etc.)	104	52	51	Aftreatment retrofit programs for nonroad diesel equipment (DPFs, catalysis, EGR etc.)	10	5	28	Accelerated replacement of current LD vehicles with LEVs or Tier 2 vehicles	140	28
29	Accelerated replacement of current LD and HD vehicles with AFVs	102	20	22	Replace 2-stroke engines with 4-stroke engines in recreation vehicles/marine & L&G equipment	10	5	40	LDV IM programs (M-240, RSD, ASM, RG240 etc.) - OBD only	137	85
42	HDDV accelerated refueling programs	91	30	20	Accelerated purchase of Tier 2/Tier 3/Tier 4 nonroad engines or ethanol engines	8	4	14	Lower RVP Gasoline	123	77
20	Accelerated purchase of Tier 2/Tier 3/Tier 4 nonroad engines or ethanol engines	82	41	52	Alternative fuel retrofit programs for nonroad diesel equipment	7	1	19	Stage II Vapor Recovery	65	41
31	Repower HDDVs with older, high emitting engines with low emission diesel engines	76	15	46a	Adopt CARB's Air Toxic Control Measures for in-use transit buses and solid waste collection trucks (i.e. requiring 90%+ reduction in PM emissions)	7	4	29	Accelerated replacement of current LD and HD vehicles with AFVs	61	12
47	Retrofit programs for HD diesel vehicles to AFVs (NG, dual-fuel etc.)	76	15	40	LDV IM programs (M-240, RSD, ASM, RG240 etc.) - OBD only	7	4	50	Retrofit programs for LDVs to AFVs or bi-fuel vehicles	54	11
16	Reformulated diesel fuels (e.g. Fischer-Tropsch diesel, emulsified diesel, CA diesel, Biodiesel w/ additives)	68	33	46	Aftreatment retrofit programs for HD diesel vehicles (DPFs, catalysis, EGRs etc.)	5	2	68	Redeshaling (e.g. carpool, vanpool) programs	52	31

Represents control measures which appear more than once.

**Table 3-3. Preliminary screening results for the top ten control measures order by potential NOx, PM and VOC emission reductions in 2012.**

NOx 2012 Control Measures by Emissions Reduction				PM 2012 Control Measures by Emissions Reduction				VOC 2012 Control Measures by Emissions Reduction			
Control ID	Control Measures	NOx Emission Reduction (High) [tpd]	NOx Emission Reduction (Low) [tpd]	Control ID	Control Measures	PM Emission Reduction (High) [tpd]	PM Emission Reduction (Low) [tpd]	Control ID	Control Measures	VOC Emission Reduction (High) [tpd]	VOC Emission Reduction (Low) [tpd]
48	Aftertreatment retrofit programs for HD diesel vehicles (DPFs, catalysts, EGRs etc.)	260	130	51a	Adopt CARB's Air Toxics Control Measures for cargo handling equipment; portable diesel-fuel engines; transport refrigeration units or TRUs (i.e. requiring 80%+ reduction in PM emissions)	27	13	53	Retrofit programs for nonroad gasoline/SI engine equipment (A/F control, catalysis)	487	244
28	Accelerated replacement of current LD vehicles with LEVs or Tier 2 vehicles	241	48	53	Retrofit programs for nonroad gasoline/SI engine equipment (A/F control, catalysis)	21	11	22	Replace 2-stroke engines with 4-stroke engines in recreation vehicles/marine & L&G equipment	438	219
51	Aftertreatment retrofit programs for nonroad diesel equipment (DPFs, catalysis, EGR etc.)	180	90	22	Replace 2-stroke engines with 4-stroke engines in recreation vehicles/marine & L&G equipment	19	10	13	RFG/California RFG	294	147
32	Accelerate the turnover of older HDDVs to cleaner late model HDDVs	173	35	51	Aftertreatment retrofit programs for nonroad diesel equipment (DPFs, catalysis, EGR etc.)	18	9	28	Accelerated replacement of current LD vehicles with LEVs or Tier 2 vehicles	241	46
29	Accelerated replacement of current LD and HD vehicles with AFVs	168	34	16	Reformulated diesel fuels (e.g. Fischer-Tropsch diesel, emulsified diesel, CA diesel, Biodiesel w/ additives)	17	8	40	LDV IM programs (IM-240 RSD, ASM, Rc240 etc.) - OBD only	133	89
42	HDDV accelerated reflashing programs	149	50	20	Accelerated purchase of Tier 2/Tier 3/Tier 4 nonroad engines or onroad engines	13	7	14	Lower RVP Gasoline	122	82
20	Accelerated purchase of Tier 2/Tier 3/Tier 4 nonroad engines or onroad engines	143	71	52	Alternative fuel retrofit programs for nonroad diesel equipment	13	3	29	Accelerated replacement of current LD and HD vehicles with AFVs	106	21
31	Repower HDDVs with older, high emitting engines with low emission diesel engines	124	25	46a	Adopt CARB's Air Toxics Control Measures for in-use transit buses and solid waste collection trucks (i.e. requiring 80%+ reduction in PM emissions)	10	5	50	Retrofit programs for LDVs to AFVs or bi-fuel vehicles	94	19
47	Retrofit programs for HD diesel vehicles to AFVs (NG, dual-fuel etc.)	124	25	40	LDV IM programs (IM-240 RSD, ASM, Rc240 etc.) - OBD only	8	5	54	Alternative fuel retrofit programs for nonroad gasoline equipment	92	18
16	Reformulated diesel fuels (e.g. Fischer-Tropsch diesel, emulsified diesel, CA diesel, Biodiesel w/ additives)	110	55	29	Accelerated replacement of current LD and HD vehicles with AFVs	8	2	43	LEV programs/requirements for public and private fleets	85	17

Represents control measures which appear more than once.

**Table 3-4.** Preliminary screening results for the top ten control measures order by overall ranking in 2009.

NOx 2009 Control Measures by Overall Ranking			PM 2009 Control Measures by Overall Ranking			VOC 2009 Control Measures by Overall Ranking		
Control ID	Control Measures	NOx Overall Ranking (1-10)	Control ID	Control Measures	PM Overall Ranking (1-10)	Control ID	Control Measures	VOC Overall Ranking (1-10)
20	Accelerated purchase of Tier 2/Tier 3/Tier 4 nonroad engines or onroad engines	10	16	Reformulated diesel fuels (e.g. Fischer-Tropsch diesel, emulsified diesel, CA diesel, Biodiesel w/ additives)	10	14	Lower RVP Gasoline	10
18	Reformulated diesel fuels (e.g. Fischer-Tropsch diesel, emulsified diesel, CA diesel, Biodiesel w/ additives)	10	20	Accelerated purchase of Tier 2/Tier 3/Tier 4 nonroad engines or onroad engines	10	19	Stage II Vapor Recovery	10
25	Accelerate the turnover of older agricultural engines to Tier 2/Tier 3/Tier 4 nonroad engines or onroad engines	10	41	HDDV I/M programs (smokeopacity test, diesel OBD etc.)	10	53	Retrofit programs for nonroad gasoline/SI engine equipment (A/F control; catalysts)	8
68	Ridesharing (e.g. carpool, vanpool) programs	9	25	Accelerate the turnover of older agricultural engines to Tier 2/Tier 3/Tier 4 nonroad engines or onroad engines	10	21	Accelerate the turnover of large SI engines to engines meeting Large SI Non-Road Engine Standards	8
46	Aftertreatment retrofit programs for HD diesel vehicles (DPFs, catalysts, EGRs etc.)	8	32	Accelerate the turnover of older HDDVs to cleaner late model HDDVs	10	22	Replace 2-stroke engines with 4-stroke engines in recreation vehicles/marine & L&G equipment	8
32	Accelerate the turnover of older HDDVs to cleaner late model HDDVs	8	51	Aftertreatment retrofit programs for nonroad diesel equipment (DPFs, catalysts, EGR etc.)	10	13	RFG/California RFG	8
51	Aftertreatment retrofit programs for nonroad diesel equipment (DPFs, catalysts, EGR etc.)	8	51a	Adopt CARB's Air Toxic Control Measures for cargo handling equipment; portable diesel-fuel engines; transport refrigeration units or TRUs (i.e. requiring 80%+ reduction in PM emissions)	10	54	Alternative fuel retrofit programs for nonroad gasoline equipment	8
42	HDDV accelerated refueling programs	8	46a	Adopt CARB's Air Toxic Control Measures for in-use transit buses and solid waste collection trucks (i.e. requiring 80%+ reduction in PM emissions)	10	9	Accelerate the turnover of residential gasoline lawn & garden equipment to electric	8
31	Repower HDDVs with older, high emitting engines with low emission diesel engines	8	46	Aftertreatment retrofit programs for HD diesel vehicles (DPFs, catalysts, EGRs etc.)	10	18	Gas cap replacement program (give free vouchers to failed vehicles w/ faulty or missing gas caps) Toledo Metro COG has such program (Ohio & Michigan)	8
40	LDV I/M programs (IM-240, RSD, ASM, RG240 etc.) - OBD only	8	31	Repower HDDVs with older, high emitting engines with low emission diesel engines	10	40	LDV I/M programs (IM-240, RSD, ASM, RG240 etc.) - OBD only	7

Represents control measures which appear more than once.

**Table 3-5. Preliminary screening results for the top ten control measures order by overall ranking in 2012.**

NOx 2012 Control Measures by Overall Ranking			PM 2012 Control Measures by Overall Ranking			VOC 2012 Control Measures by Overall Ranking		
Control ID	Control Measures	NOx Overall Ranking (1-10)	Control ID	Control Measures	PM Overall Ranking (1-10)	Control ID	Control Measures	VOC Overall Ranking (1-10)
20	Accelerated purchase of Tier 2/Tier 3/Tier 4 nonroad engines or onroad engines	10	18	Reformulated diesel fuels (e.g. Fischer-Tropsch diesel, emulsified diesel, CA diesel, Biodiesel w/ additives)	10	14	Lower RVP Gasoline	10
16	Reformulated diesel fuels (e.g. Fischer-Tropsch diesel, emulsified diesel, CA diesel, Biodiesel w/ additives)	10	20	Accelerated purchase of Tier 2/Tier 3/Tier 4 nonroad engines or onroad engines	10	19	Stage II Vapor Recovery	10
53	Retrofit programs for nonroad gasoline/SI engine equipment (A/F control, catalysts)	10	25	Accelerate the turnover of older agricultural engines to Tier 2/Tier 3/Tier 4 nonroad engines or onroad engines	10	53	Retrofit programs for nonroad gasoline/SI engine equipment (A/F control, catalysts)	6
25	Accelerate the turnover of older agricultural engines to Tier 2/Tier 3/Tier 4 nonroad engines or onroad engines	10	32	Accelerate the turnover of older HDDVs to cleaner late model HDDVs	10	21	Accelerate the turnover of large SI engines to engines meeting Large SI Non-Road Engine Standards	5
13	RFG/California RFG	9	41	HDDV I/M programs (smoke/opacity test; diesel OBD etc.)	10	51a	Adopt CARB's Air Toxic Control Measures for cargo handling equipment, portable diesel-fuel engines, transport refrigeration units or TRUs (i.e. requiring 90%+ reduction in PM emissions)	8
68	Ridesharing (e.g. carpool, vanpool) programs	9	51	Aftertreatment retrofit programs for nonroad diesel equipment (DPFs, catalysts, EGR etc.)	10	22	Replace 2-stroke engines with 4-stroke engines in recreation vehicles/marine & L&G equipment	8
48	Aftertreatment retrofit programs for HD diesel vehicles (DPFs, catalysts, EGRs etc.)	8	51a	Adopt CARB's Air Toxic Control Measures for cargo handling equipment, portable diesel-fuel engines, transport refrigeration units or TRUs (i.e. requiring 90%+ reduction in PM emissions)	10	13	RFG/California RFG	8
51	Aftertreatment retrofit programs for nonroad diesel equipment (DPFs, catalysts, EGR etc.)	8	46a	Adopt CARB's Air Toxic Control Measures for in-use transit buses and solid waste collection trucks (i.e. requiring 90%+ reduction in PM emissions)	10	54	Alternative fuel retrofit programs for nonroad gasoline equipment	8
32	Accelerate the turnover of older HDDVs to cleaner late model HDDVs	8	48	Aftertreatment retrofit programs for HD diesel vehicles (DPFs, catalysts, EGRs etc.)	10	9	Accelerate the turnover of residential gasoline lawn & garden equipment to electric	8
42	HDDV accelerated reflashing programs	8	31	Repower HDDVs with older, high emitting engines with low emission diesel engines	10	18	Gas cap replacement program (give free vouchers to failed vehicles w/ faulty or missing gas caps) Toledo Metro COG has such program (Ohio & Michigan)	8

Represents control measures which appear more than once.

#### 4. TECHNICAL AND ECONOMIC ANALYSIS OF SELECTED CONTROL MEASURES

As discussed in Section 3, five major mobile source control measures were selected for further technical and economic analyses based on the screening analysis of more than 70 measures. These selected control measures were as follows:

##### **On-road Heavy Heavy-Duty Diesel Vehicles**

- Measure 31: Fleet Modernization
- Measure 33/34/35: Anti-Idling Restrictions
- Measure 42: Accelerate Low NOx Calibration/Reflash Program
- Measure 46a-i: Aftertreatment Device Retrofits
  - Measure 46a: DPF Retrofit
  - Measure 46b: Lean NOx Catalyst + DPF
  - Measure 46c: EGR+DPF Retrofit
  - Measure 46d: SCR Retrofit
  - Measure 46e: DOC Retrofit
  - Measure 46f: Flow-Through Filter (FTF) Retrofit
  - Measure 46g: DOC+SCR Retrofit
  - Measure 46h: SCR+DPF Retrofit
  - Midwest Clean Diesel Initiative

##### **NONROAD Diesel Construction and Agricultural Equipment**

- Measure 20: Equipment Fleet Modernization
- Measure 33/34/35: Anti-Idling Restrictions
- Measure 51a-i: Aftertreatment Device Retrofits for Nonroad Equipment
  - Measure 51a: DPF Retrofit
  - Measure 51b: Lean NOx Catalyst + DPF
  - Measure 51c: EGR+DPF Retrofit
  - Measure 51d: SCR Retrofit
  - Measure 51e: DOC Retrofit
  - Measure 51f: Flow-Through Filter (FTF) Retrofit
  - Measure 51g: DOC+SCR Retrofit
  - Measure 51h: SCR+DPF Retrofit
  - Midwest Clean Diesel Initiative

##### **Locomotives**

- Measure 33/34/35: Anti-Idling Restrictions

##### **On-road Light-Duty Vehicles**

- Measure 38/39/40/41: Smoking Vehicles Identification and Emissions Reduction

Detailed descriptions of these selected control measures are presented in this section. Each measure description includes a technical description of the control measure; estimates of emission reductions, costs, and cost-effectiveness; and issues related to implementation. Tables for each control measure providing details on the affected fleet emissions reductions and costs, and cost-effectiveness, are provided in Appendices corresponding to their measure identifications. It should be noted that these emissions reduction estimates and cost-

effectiveness estimates are made on the basis of assumed annual VMTs for vehicles of different age groups using the default MOBILE6 annual mileage accumulation. These assumptions are discussed below. However, based on discussions with officials for several incentive programs to reduce emissions from heavy-duty diesel trucks, including the Sacramento Emergency Clean Air Transportation (SECAT) program and Texas Emissions Reduction Plan, these VMT estimates may be under-predicting the actual annual VMT. If so, the emissions reduction benefits described here may also be underestimated.

**Measure 31/32: On-Road Fleet Modernization via Diesel Engine or Vehicle Replacement**

**Description** - By far the most widely employed method for reducing emissions from diesel engines is the replacement of the engines with new lower-emitting engines or replacement of the entire vehicle or piece of equipment with cleaner diesel engines, including remanufactured or new engines. The expected percentage emission reduction from this approach will depend upon the engine model year to be replaced and the emission standard that the new engine meets.

This measure focuses on the fleet modernization of Class 8 HDDVs as they are identified as the major NOx emission contributors to the on-road NOx emission inventory in the LADCO States.

The EPA has adopted a tighter combined NOx and NMHC emission standard for model years 2004 to 2006 HD engines, and much more stringent NOx and PM emission standards for model year 2007 and later HD engines. These emission standards, along with those for early model year HD engines, are presented in Table M31/32-1. As shown in this table, the combined NOx and NMHC emissions for MY 2004-2006 HD engines are reduced by more than 50%, as compared to MY 1998 HD engines. The emissions from MY 2007 and later HD engines are further reduced by more than 85% for the combined NOx and NMHC emissions and 90% for the particulate matter (PM) emissions, as compared to MY 2004/2006 HD engines.

**Table M31/32-1.** EPA emission standards for heavy-duty engines for on-road vehicles.

Model Year	Pollutant (g/bhp-hr)			
	Hydrocarbons (HC)	Carbon Monoxide (CO)	Nitrogen Oxides (NOx)	Particulate Matter (PM)
1988-1989*	1.3	15.5	10.7	0.60
1990	1.3	15.5	6.0	0.60
1991	1.3	15.5	5.0	0.25
1992-1994	1.3	15.5	5.0	0.10
1998-2003	1.3	15.5	4.0	0.10 (truck) 0.05 (urban bus)
2004-2006	**2.5 combined NMHC+NOx	15.5	**2.5 combined NMHC+NOx	0.10 (truck) 0.05 (urban bus)
2007+	0.14 NMHC	15.5	0.20	0.01

\* The adopted Federal NOx emission limit of 6.0 g/bhp-hr was adopted in 1988, but was postponed until 1990.

\*\* The 2004 standards apply to all GVW classes, and are defined as a combined non-methane hydrocarbon plus nitrogen oxides (NMHC + NOx) emission standard of 2.5 g/bhp-hr.

This control measure consists of replacing the engine or the entire vehicle with a new engine meeting the current emission standard or better, or a remanufactured engine that has lower emissions than the older engine. The actual emission reduction realized will depend upon the actual engine replaced and the emission standard that the new engine meets. Like any scrappage program, the scrapped engine or vehicle should be in good working order and would otherwise be used for many years to come if not replaced under this program. The life of the emission credit generated will be equivalent to the remaining life of the engine or vehicle to be replaced.

Five cases of diesel engine or vehicle replacement were investigated for on-road HDDVs in this measure:

- Replacing pre-1987 engines (10.7 g/bhp-hr) with remanufactured MY 1990 (6 g/bhp-hr) engines or with remanufactured MY2001/2 (4 g/bhp-hr) engines or with new MY2002/4 (2.5 g/bhp-hr) engines;
- Replacing MY 1990 (6 g/bhp-hr) engines with remanufactured MY2001/2 (4 g/bhp-hr) engines or with new MY2002/4 (2.5 g/bhp-hr) engines;
- Replacing 1991 to 1997 (5 g/bhp-hr) engines with remanufactured MY2001/2 (4 g/bhp-hr) engines or with new MY2002/4 (2.5 g/bhp-hr) engines;
- Replacing MY 1998 to 2001 engines with new MY2002/4 (2.5 g/bhp-hr) engines or with new MY 2007 (0.2 g/bhp-hr) engines.
- Replacing MY 2002/4 (2.5 g/bhp-hr) engines with new MY 2007 (0.2 g/bhp-hr) engines.

**Emissions** - NOx emission factors for on-road HDDVs were calculated based on the emission standards and conversion factors for HDDVs from the EPA’s MOBILE6 model<sup>1</sup>. Engine activity was assumed based on default MOBILE6 annual mileage accumulation in which activity is assumed to vary by vehicle age (older trucks are assumed to be less active than newer trucks). Table M31/32-2 lists estimated HDDV8 annual mileage accumulation for the model year ranges used in this study within the nonattainment or maintenance area that the SIP or conformity analysis or emission credit applies to. The analysis also assumed 8 years of project life. Detailed emissions by different model year groups in 2009 and 2012 are provided in Tables M31/32-3 and M31/32-4, respectively.

**Table M31/32-2.** Annual HDDV Class 8 annual VMT.

	Calendar Year 2009	Calendar Year 2012
MY 1989 & Earlier	11,000	10,000
MY 1990	16,000	12,000
MY 1991 - 1997	26,000	19,000
MY 1998 - 2001	44,000	32,000
MY 2002 - 2006	70,000	51,000
MY 2007+	101,000	88,000
MY 1993 - 1998	30,000	22,000

**Cost** – For the on-road HDDVs, ENVIRON assumed that the incremental engine or vehicle replacement costs for the remanufactured MY 1990, and MY 2001 and 2002 engines were \$35,000 and \$40,000, respectively, and \$45,000 for the new MY 2002 and 2004 engines. These cost estimates were consistent with the engine replacement costs funded by the California Carl Moyer<sup>2</sup> and Sacramento Emergency Clean Air Transportation (SECAT)<sup>3</sup> Programs, and Texas Emission Reduction Plan<sup>4</sup> or VMEP programs (e.g. in Houston-Galveston and Dallas Fort-Worth areas). Since limited cost data are available, ENVIRON assumed that the incremental engine or vehicle replacement cost for MY 2007 engines was about 33% more than the MY 2004 engines or about \$60,000. Capital recover factor for the project life was calculated using an assumed 3% discount rate.

**Emission Reductions** - The emission reduction would depend on the model year or equipment type or horsepower range of the engine replaced and the emission standard that the remanufactured or new engine meets.

<sup>1</sup> EPA Technical Support Document for MOBILE 6: M6.HDE.002 (<http://www.epa.gov/otaq/mobile.htm>)

<sup>2</sup> <http://www.arb.ca.gov/msprog/moyer/moyer.htm>

<sup>3</sup> <http://www.4secat.com/>

<sup>4</sup> <http://www.tceq.state.tx.us/implementation/air/terp/index.html>

Tables M31/32-3 and M31/32-4 show the potential emission reductions for on-road HDDVs for replacing vehicles with older, high emitting engines with vehicles with remanufactured or new lower emission engines in 2009 and 2012, respectively. The annual NOx emissions reduction values for on-road HDDVs range from 0.09 to 0.49 tpy per vehicle, the annual PM emissions reductions range from 0.00 to 0.03 tpy per vehicle, and the annual ROG emissions reductions range from 0.00 to 0.16 tpy per vehicle in 2009. For the 2012 scenario year, the annual NOx emissions reductions range from 0.07 to 0.36 tpy per vehicle, PM emissions reductions range from 0.00 to 0.02 tpy per vehicle, and ROG emission reductions range from 0.00 to 0.12 tpy per vehicle.

**Cost-Effectiveness** - The cost-effectiveness of the fleet modernization measure would depend on the model year and equipment type or horsepower range of the engine replaced.

As shown in Tables M31/32-3 and M31/32-4, the following vehicle replacements would have a cost-effectiveness of more than the Carl Moyer cost effectiveness limit of \$14,300 per weighted ton of NOx+ROG+20\*PM emissions:

- Replacing MY 1989 & Earlier vehicles with MY 1990 vehicles
- Replacing MY 1991-1997 vehicles with MY 2001/2 or MY 2002/4 vehicles
- Replacing MY 1998-2001/2 vehicles with MY 2002/4 vehicles

All other replacements have a cost-effectiveness of less than \$14,300/ton.

**Technical Implementation Feasibility and Public Acceptance** - The measure is feasible, as it has already been implemented in emission reduction programs in many states in the U.S (e.g. California, Texas etc.) However, guidelines or mechanism, especially on eligible vehicles and project/emission credit life, should be clearly defined to avoid potential issues related to surplus emissions versus normal turnover rates.

**Table M31/32-2. Potential NOx, PM and ROG emissions reductions and cost-effectiveness values for fleet modernization program for on-road HDDVs in 2009.**

	MY1989 & Earlier												MY 1990				MY 1991 - 1997				MY 1998 - 2001/2				MY 2002/4			
	MY 1990		MY 2001/2		MY 2002/4		MY 2007		MY 2007		MY 2007		MY 2007/4		MY 2007/4		MY 2007/4		MY 2007/4		MY 2007/4		MY 2007/4		MY 2007/4			
	Diesel Baseline	Engines	Engines	Engine	Engine	Engine	Diesel Baseline	Engines	Engines	Engine	Engine	Diesel Baseline	Engines	Engines	Engine	Engine	Diesel Baseline	Engines	Engines	Engine	Engine	Diesel Baseline	Engines	Engines	Engine	Engine		
NOx (g/bhp-hr)	10.7	6.0	6.0	4.0	2.4	0.2	0.2	0.2	0.2	0.2	0.2	4.0	2.4	2.4	0.2	0.2	4.0	2.4	2.4	0.2	0.2	4.0	2.4	2.4	0.2	0.2		
PM (g/bhp-hr)	0.6	0.6	0.1	0.1	0.1	0.01	0.01	0.1	0.1	0.01	0.01	0.2	0.1	0.1	0.01	0.01	0.1	0.1	0.1	0.01	0.01	0.1	0.1	0.1	0.01	0.01		
HC (g/bhp-hr)	1.3	1.3	1.3	1.3	0.5	0.1	0.1	1.3	1.3	0.5	0.1	1.3	1.3	0.5	0.1	0.1	1.3	1.3	0.5	0.1	0.1	1.3	1.3	0.5	0.1	0.1		
Annual Mileage	11,000	11,000	11,000	11,000	11,000	11,000	11,000	11,000	11,000	11,000	11,000	16,000	16,000	16,000	16,000	16,000	26,000	26,000	26,000	26,000	26,000	44,000	44,000	44,000	44,000	44,000		
Incremental Capital Cost	\$ 35,000	\$ 40,000	\$ 45,000	\$ 45,000	\$ 45,000	\$ 60,000	\$ 60,000	\$ 60,000	\$ 60,000	\$ 60,000	\$ 60,000	\$ 40,000	\$ 45,000	\$ 45,000	\$ 45,000	\$ 45,000	\$ 45,000	\$ 45,000	\$ 45,000	\$ 45,000	\$ 45,000	\$ 45,000	\$ 45,000	\$ 45,000	\$ 45,000	\$ 45,000		
Useful Life (years)	8	8	8	8	8	8	8	8	8	8	8	8	8	8	8	8	8	8	8	8	8	8	8	8	8	8		
Annualized Capital Cost (\$/yr)	\$4,986	\$5,698	\$6,411	\$6,411	\$6,411	\$8,547	\$8,547	\$8,547	\$8,547	\$8,547	\$8,547	\$5,698	\$6,411	\$6,411	\$6,411	\$6,411	\$6,411	\$6,411	\$6,411	\$6,411	\$6,411	\$6,411	\$6,411	\$6,411	\$6,411	\$6,411		
Conversion Factor (bhp-hr/mi)	3.11	3.05	2.90	2.90	2.90	2.90	2.90	2.90	2.90	2.90	2.90	3.05	2.90	2.90	2.90	2.90	2.90	2.90	2.90	2.90	2.90	2.90	2.90	2.90	2.90	2.90		
NOx (g/mi)	33.24	18.30	18.30	11.38	6.95	0.38	0.38	18.30	18.30	6.95	0.38	14.75	11.38	6.95	0.38	0.38	11.38	11.38	6.95	0.38	0.38	11.38	11.38	6.95	0.38	0.38		
PM (g/mi)	1.86	1.83	1.83	0.29	0.29	0.03	0.03	1.83	1.83	0.29	0.03	1.45	1.45	0.41	0.41	0.41	0.29	0.29	0.29	0.03	0.03	0.29	0.29	0.29	0.03	0.03		
HC (g/mi)	4.04	3.97	3.76	1.45	1.45	0.41	0.41	3.97	3.76	1.45	0.41	3.83	3.76	1.45	0.41	0.41	3.76	3.76	1.45	0.41	0.41	3.76	3.76	1.45	0.41	0.41		
NOx (tons/year)	0.40	0.22	0.14	0.08	0.08	0.01	0.01	0.32	0.20	0.12	0.01	0.42	0.33	0.33	0.33	0.33	0.29	0.29	0.29	0.03	0.03	0.29	0.29	0.29	0.03	0.03		
PM (tons/year)	0.02	0.02	0.00	0.00	0.00	0.00	0.00	0.03	0.03	0.03	0.01	0.01	0.01	0.01	0.01	0.01	0.01	0.01	0.01	0.01	0.01	0.01	0.01	0.01	0.01	0.01		
HC (tons/year)	0.05	0.05	0.05	0.02	0.02	0.00	0.00	0.07	0.07	0.03	0.01	0.11	0.11	0.11	0.11	0.11	0.11	0.11	0.11	0.11	0.11	0.18	0.18	0.18	0.11	0.11		
NOx Reduction (tons/year)	0.18	0.18	0.26	0.32	0.32	0.40	0.40	0.40	0.40	0.32	0.31	0.09	0.09	0.09	0.09	0.09	0.09	0.09	0.09	0.09	0.09	0.09	0.09	0.09	0.09	0.09		
PM Reduction (tons/year)	0.00	0.00	0.02	0.02	0.02	0.02	0.02	0.02	0.02	0.03	0.03	0.03	0.03	0.03	0.03	0.03	0.03	0.03	0.03	0.03	0.03	0.03	0.03	0.03	0.03	0.03		
HC Reduction (tons/year)	0.00	0.00	0.00	0.03	0.03	0.04	0.04	0.04	0.04	0.00	0.00	0.06	0.06	0.06	0.06	0.06	0.06	0.06	0.06	0.06	0.06	0.06	0.06	0.06	0.06	0.06		
Cost-Effectiveness (\$/ton)	\$26,154	\$8,783	\$8,657	\$141,130	\$141,130	\$9,530	\$9,530	\$337,500	\$337,500	\$8,551	\$8,015	\$8,320	\$25,529	\$14,569	\$10,557	\$17,472	\$200,306	\$200,306	\$11,131	\$11,131	\$17,472	\$200,306	\$200,306	\$11,131	\$11,131	\$17,472		
One-Year Cost-Effectiveness (NOx Only)	\$193,146	\$152,270	\$141,130	\$151,489	\$151,489	\$151,489	\$151,489	\$151,489	\$151,489	\$151,489	\$151,489	\$151,489	\$151,489	\$151,489	\$151,489	\$151,489	\$151,489	\$151,489	\$151,489	\$151,489	\$151,489	\$151,489	\$151,489	\$151,489	\$151,489	\$151,489		

**Table M31/32-2. Potential NOx, PM and ROG emissions reductions and cost-effectiveness values for fleet modernization program for on-road HDDVs in 2012.**

	MY1989 & Earlier												MY 1990				MY 1991 - 1997				MY 1998 - 2001/2				MY 2002/4			
	MY 1990		MY 2001/2		MY 2002/4		MY 2007		MY 2007		MY 2007		MY 2007/4		MY 2007/4		MY 2007/4		MY 2007/4		MY 2007/4		MY 2007/4		MY 2007/4			
	Diesel Baseline	Engines	Engines	Engine	Engine	Engine	Diesel Baseline	Engines	Engines	Engine	Engine	Diesel Baseline	Engines	Engines	Engine	Engine	Diesel Baseline	Engines	Engines	Engine	Engine	Diesel Baseline	Engines	Engines	Engine	Engine		
NOx (g/bhp-hr)	10.7	6.0	6.0	4.0	2.4	0.2	0.2	0.2	0.2	0.2	0.2	4.0	2.4	2.4	0.2	0.2	4.0	2.4	2.4	0.2	0.2	4.0	2.4	2.4	0.2	0.2		
PM (g/bhp-hr)	0.6	0.6	0.1	0.1	0.1	0.01	0.01	0.1	0.1	0.01	0.01	0.2	0.1	0.1	0.01	0.01	0.1	0.1	0.1	0.01	0.01	0.1	0.1	0.1	0.01	0.01		
HC (g/bhp-hr)	1.3	1.3	1.3	1.3	0.5	0.1	0.1	1.3	1.3	0.5	0.1	1.3	1.3	0.5	0.1	0.1	1.3	1.3	0.5	0.1	0.1	1.3	1.3	0.5	0.1	0.1		
Annual Mileage	10,000	10,000	10,000	10,000	10,000	10,000	10,000	10,000	10,000	10,000	10,000	12,000	12,000	12,000	12,000	12,000	19,000	19,000	19,000	19,000	19,000	32,000	32,000	32,000	32,000	32,000		
Incremental Capital Cost	\$ 35,000	\$ 40,000	\$ 45,000	\$ 45,000	\$ 45,000	\$ 52,500	\$ 52,500	\$ 52,500	\$ 52,500	\$ 52,500	\$ 52,500	\$ 40,000	\$ 45,000	\$ 45,000	\$ 45,000	\$ 45,000	\$ 45,000	\$ 45,000	\$ 45,000	\$ 45,000	\$ 45,000	\$ 45,000	\$ 45,000	\$ 45,000	\$ 45,000	\$ 45,000		
Useful Life (years)	8	8	8	8	8	8	8	8	8	8	8	8	8	8	8	8	8	8	8	8	8	8	8	8	8	8		
Annualized Capital Cost (\$/yr)	\$4,986	\$5,698	\$6,411	\$6,411	\$6,411	\$7,479	\$7,479	\$7,479	\$7,479	\$7,479	\$7,479	\$5,698	\$6,411	\$6,411	\$6,411	\$6,411	\$6,411	\$6,411	\$6,411	\$6,411	\$6,411	\$6,411	\$6,411	\$6,411	\$6,411	\$6,411		
Conversion Factor (bhp-hr/mi)	3.11	3.05	2.90	2.90	2.90	2.90	2.90	2.90	2.90	2.90	2.90	3.05	2.90	2.90	2.90	2.90	2.90	2.90	2.90	2.90	2.90	2.90	2.90	2.90	2.90	2.90		
NOx (g/mi)	33.24	18.30	18.30	11.38	6.95	0.38	0.38	18.30	18.30	6.95	0.38	14.75	11.38	6.95	0.38	0.38	11.38	11.38	6.95	0.38	0.38	11.38	11.38	6.95	0.38	0.38		
PM (g/mi)	1.86	1.83	1.83	0.29	0.29	0.03	0.03	1.83	1.83	0.29	0.03	1.45	1.45	0.41	0.41	0.41	0.29	0.29	0.29	0.03	0.03	0.29	0.29	0.29	0.03	0.03		
HC (g/mi)	4.04	3.97	3.76	1.45	1.45	0.41	0.41	3.97	3.76	1.45	0.41	3.83	3.76	1.45	0.41	0.41	3.76	3.76	1.45	0.41	0.41	3.76	3.76	1.45	0.41	0.41		
NOx (tons/year)	0.37	0.20	0.13	0.08	0.08	0.01	0.01	0.24	0.15	0.09	0.01	0.31	0.24	0.24	0.24	0.24	0.24	0.24	0.24	0.24	0.24	0.24	0.24	0.24	0.24	0.24		
PM (tons/year)	0.02	0.02	0.00	0.00	0.00	0.00	0.00	0.02	0.02	0.02	0.01	0.01	0.01	0.01	0.01	0.01	0.01	0.01	0.01	0.01	0.01	0.01	0.01	0.01	0.01	0.01		
HC (tons/year)	0.04	0.04	0.04	0.02	0.02	0.00	0.00	0.05	0.05	0.05	0.01	0.08	0.08	0.08	0.08	0.08	0.08	0.08	0.08	0.08	0.08	0.13	0.13	0.13	0.08	0.08		
NOx Reduction (tons/year)	0.16	0.16	0.24	0.29	0.29	0.36	0.36	0.36	0.36	0.29	0.23	0.07	0.07	0.07	0.07	0.07	0.07	0.07	0.07	0.07	0.07	0.07	0.07	0.07	0.07	0.07		
PM Reduction (tons/year)	0.00	0.00	0.02	0.02	0.02	0.02	0.02	0.02	0.02	0.02	0.02	0.02	0.02	0.02	0.02	0.02	0.02	0.02	0.02	0.02	0.02	0.02	0.02	0.02	0.02	0.02		
HC Reduction (tons/year)	0.00	0.00	0.00	0.03	0.03	0.04	0.04	0.04	0.04	0.00	0.00	0.05	0.05	0.05	0.05	0.05	0.05	0.05	0.05	0.05	0.05	0.05	0.05	0.05	0.05	0.05		
Cost-Effectiveness (\$/ton)	\$28,769	\$9,661	\$9,522	\$155,243	\$155,243	\$9,173	\$9,173	\$349,808	\$349,808	\$8,450	\$8,087	\$9,707	\$29,983	\$19,687	\$12,641	\$19,926	\$24,024	\$24,024	\$13,392	\$13,392	\$19,926	\$24,024	\$24,024	\$13,392	\$13,392	\$19,926		
One-Year Cost-Effectiveness (NOx Only)	\$212,460	\$167,497	\$155,243	\$155,243	\$155,243	\$155,243	\$155,243	\$155,243	\$155,243	\$155,243	\$155,243	\$155,243	\$155,243	\$155,243	\$155,243	\$155,243	\$155,243	\$155,243	\$155,243	\$155,243	\$155,243	\$155,243	\$155,243	\$155,243	\$155,243	\$155,243		

### Measure 20/25: Nonroad Equipment Modernization via Diesel Engines or Equipment Replacement

**Description** - By far the most widely employed method for reducing emissions from diesel engines is the replacement of the engines with new lower-emitting engines or replacement of the entire vehicle or piece of equipment with cleaner diesel engines, including remanufactured or new engines. The expected percentage emission reduction from this approach will depend upon the engine model year to be replaced and the emission standard that the new engine meets.

This measure focuses on nonroad construction and agricultural equipment as they are identified as major NO<sub>x</sub> emissions contributors to the NONROAD NO<sub>x</sub> emission inventories in the LADCO States. The emissions reductions from replacing these engines or vehicles with newer engines or models will also impact PM and ROG emissions.

The EPA adopted the first Tier 1 emission standards for non-road compression-ignition (CI) engines at or above 50 hp (37 kW) in June 1994. Subsequently in October 1998, the EPA adopted Tier 1 emission standards for non-road CI engines below 50 hp, as well as Tier 2 and Tier 3 emission standards for all engine sizes of these non-road CI engines. The Tier 1 standards were phased in from 1996 to 2000. The more stringent Tier 2 standards for all engine sizes were phased in from 2001 to 2006, and yet more stringent Tier 3 standards are to be phased in from 2006 to 2008. In June 2004 the EPA adopted more stringent Tier 4 standards which would further greatly reduce NO<sub>x</sub> and PM emissions from nonroad diesel vehicles. These reductions would generally require the use of advanced exhaust gas aftertreatment technology, consistent with the phase-in of more stringent sulfur content standards for diesel fuel. The Tier 4 standards are expected to be phased in over the 2008 – 2015 time frame, and thus have only limited applicability to the 2009 and 2012 emissions reduction scenario analyses conducted here for the LADCO region. The NO<sub>x</sub>+NMHC emission standards for Tier 1 – 3 engines, along with Tier 0 uncontrolled emission levels, are summarized in Table M20/25-1. The new Tier 4 emissions standards are summarized in Tables M20/25-2, and M20/25-3.

**Table M20/25-1.** HC, NO<sub>x</sub> and PM emissions standards and uncontrolled levels for nonroad diesel engines in the Tier 1 – 3 categories, and the Tier 0 uncontrolled engines.

Engine Power (hp)	Model Year	Regulation	Emission Standard (g/hp-hr)			
			HC	NO <sub>x</sub> + NMHC	NO <sub>x</sub>	PM
25 to < 50	Pre-1998	Tier 0 (uncontrolled)	-	-	7.2	0.6
25 to < 50	1999 – 2003	Tier 1	-	7.1	-	0.6
	2004 +	Tier 2	-	5.6	-	0.45
50 to < 100	Pre-1998	Tier 0 (uncontrolled)	-	-	8.8	0.6
	1998 – 2003	Tier 1	-	-	6.9	-
	2004 – 2007	Tier 2	-	5.6	-	0.22
	2008+	Tier 3	-	3.5	-	- <sup>T</sup>
100 to < 175	Pre-1997	Tier 0 (uncontrolled)	-	-	9.5	0.4
	1997 – 2002	Tier 1	-	-	6.9	-
	2003 – 2006	Tier 2	-	4.9	-	0.22
	2007+	Tier 3	-	3.0	-	- <sup>T</sup>
175 to < 300	Pre-1996	Tier 0 (uncontrolled)	-	-	9.3	0.4

Engine Power (hp)	Model Year	Regulation	Emission Standard (g/hp-hr)			
			HC	NOx + NMHC	NOx	PM
	1996 – 2002	Tier 1	1.0	-	6.9	0.4
	2003 – 2005	Tier 2	-	4.9	-	0.15
	2006+	Tier 3	-	3.0	-	-†
300 to < 600	Pre-1996	Tier 0 (uncontrolled)	-	-	9.5	0.4
	1996 – 2000	Tier 1	1.0	-	6.9	0.4
	2001 – 2005	Tier 2	-	4.8	-	0.15
	2006+	Tier 3	-	3.0	-	-†
600 to < 750	Pre-1996	Tier 0 (uncontrolled)	-	-	9.7	0.4
	1996 – 2001	Tier 1	1.0	-	6.9	0.4
	2002 – 2005	Tier 2	-	4.8	-	0.15
	2006+	Tier 3	-	3.0	-	-†

† Not adopted, engines must meet Tier 2 PM standard

**Table M20/25-2.** HC, NOx and PM emissions standards and uncontrolled levels for nonroad diesel engines in the Tier 4 category up to 750hp.

Engine Power	Year	CO	NMHC	NMHC+NOx	NOx	PM
kW < 8 (hp < 11)	2008	8.0 (6.0)	-	7.5 (5.6)	-	0.4 <sup>a</sup> (0.3)
8 ≤ kW < 19 (11 ≤ hp < 25)	2008	6.6 (4.9)	-	7.5 (5.6)	-	0.4 (0.3)
19 ≤ kW < 37 (25 ≤ hp < 50)	2008	5.5 (4.1)	-	7.5 (5.6)	-	0.3 (0.22)
	2013	5.5 (4.1)	-	4.7 (3.5)	-	0.03 (0.022)
37 ≤ kW < 56 (50 ≤ hp < 75)	2008	5.0 (3.7)	-	4.7 (3.5)	-	0.3 <sup>b</sup> (0.22)
	2013	5.0 (3.7)	-	4.7 (3.5)	-	0.03 (0.022)
56 ≤ kW < 130 (75 ≤ hp < 175)	2012-2014 <sup>c</sup>	5.0 (3.7)	0.19 (0.14)	-	0.40 (0.30)	0.02 (0.015)
130 ≤ kW < 560 (175 ≤ hp < 750)	2011-2014 <sup>d</sup>	3.5 (2.6)	0.19 (0.14)	-	0.40 (0.30)	0.02 (0.015)

a – hand-startable, air-cooled, DI engines may be certified to Tier 2 standards through 2009 and to an optional PM standard of 0.6 g/kWh starting in 2010

b – 0.4 g/kWh (Tier 2) if manufacturer complies with the 0.03 g/kWh standard from 2012

c – PM/CO: full compliance from 2012; NOx/HC: Option 1 (if banked Tier 2 credits used) – 50% engines must comply in 2012-2013; Option 2 (if no Tier 2 credits claimed) – 25% engines must comply in 2012-2014, with full compliance from 2014.12.31

d – PM/CO: full compliance from 2011; NOx/HC: 50% engines must comply in 2011-2013

**Table M20/25-3.** HC, NOx and PM emissions standards and uncontrolled levels for nonroad diesel engines in the Tier 4 category larger than 750hp.

Year	Category	CO	NMHC	NOx	PM
2011-2014	Generator sets > 900 kW	3.5 (2.6)	0.40 (0.30)	0.67 (0.50)	0.10 (0.07)
	All engines except gensets > 900 kW	3.5 (2.6)	0.40 (0.30)	3.5 (2.6)	0.10 (0.07)
2015	Generator sets	3.5 (2.6)	0.19 (0.14)	0.67 (0.50)	0.03 (0.022)
	All engines except gensets	3.5 (2.6)	0.19 (0.14)	3.5 (2.6)	0.04 (0.03)

This control measure consists of replacing the engine or the entire vehicle with a new engine meeting the current emission standard or better, or a remanufactured engine that has lower emissions than the older engine. The actual emission reduction realized will depend upon the actual engine replaced and the emission standard that the new engine meets. Like any scrappage program, the scrapped engine or vehicle should be in good working order and would otherwise be used for many years to come if not replaced under this program. The life of the emission credit generated will be equivalent to the remaining life of the engine or vehicle to be replaced.

For non-road agricultural and construction equipment modernization program, replacing Tier 0 and Tier 1 baseline engines with Tier 2 engines, replacing Tier 0, Tier 1, and Tier 2 baseline engines with Tier 3 engines, and replacing Tier 2 with Tier 4 engines were investigated for several major non-road construction and agricultural equipment types based on their contribution to the NOx emissions.

**Emissions** - For non-road equipment, the NOx, PM and ROG emission factors were calculated based on the Tier 0, Tier 1, Tier 2, Tier 3 and Tier 4 non-road emission standards, as well as load factors and activity data extracted from the EPA's NONROAD model<sup>5</sup>.

**Cost** – ENVIRON estimated that Tier 2 engines cost about \$100 per horsepower and that Tier 3 and Tier 4 engines cost about \$120 per horsepower. Since limited cost data are available, ENVIRON assumed that the incremental engine or equipment replacement cost for Tier 3 and 4 engines was about 20% more than the Tier 2 engines. The overall range of costs for equipment replacement for all vehicle types was about \$3,750 to \$244,000, depending on the horsepower range.

**Emission Reductions** - The emission reduction would depend on the technology group (i.e. Tier 0, Tier 1, Tier 2 or Tier 3), equipment type or horsepower range of the engine replaced and the emission standard that the remanufactured or new engine meets. The emission reduction values for equipment modernization via replacing lower emission engines or equipment for construction and agricultural equipment are summarized in Table M20/25-4.

**Table M20/25-4.** Emission reduction values for engine or equipment replacement.

	Emission Reduction per Equipment (tpy)											
	Tier 0 with Tier 2			Tier 1 with Tier 3			Tier 2 with Tier 3			Tier 2 with Tier 4 <sup>a</sup>		
	NOx	PM	ROG	NOx	PM	ROG	NOx	PM	ROG	NOx	PM	ROG
<b>Agr.</b>	0.02 – 0.69	0.00 – 0.04	N/A	0.05 – 0.56	0.00 – 0.01	0.01 – 0.12	0.02 – 0.24	N/A	0.00 – 0.01	0.04 – 0.59	0.00 – 0.01	0.00 – 0.01
<b>Const</b>	0.04 – 8.95	0.01 – 0.56	N/A	0.10 – 2.00	0.01 – 0.02	0.02 – 0.42	0.06 – 0.84	N/A	0.01 – 0.04	0.14 – 3.41	0.00 – 0.03	0.00 – 0.05

a – Tier 2 replacement with Tier 4 engines applicable only to 2012 scenario analysis

**Cost-Effectiveness** - The cost-effectiveness of the engine or equipment replacement would depend on the technology group, equipment type or horsepower range of the engine replaced. The range of cost effectiveness values for non-road equipment engine or equipment replacement is summarized in Table M20/25-5.

<sup>5</sup> <http://www.epa.gov/otaq/nonrdmdl.htm>

**Table M20/25-5.** Cost effectiveness values for engine or equipment replacement.

	Cost-Effectiveness Values (\$/ton)			
	Tier 0 with Tier 2	Tier 1 with Tier 3	Tier 2 with Tier 3	Tier 2 with Tier 4 <sup>a</sup>
<b>Agricultural</b>	\$3300 - \$11100	\$3900 - \$25000	\$20300 - \$75000	\$5300 - \$24700
<b>Construction</b>	\$800 - \$7700	\$1500 - \$12500	\$6000 - \$13000	\$2100 - \$15400

a – Tier 2 replacement with Tier 4 engines applicable only to 2012 scenario analysis

**Technical Implementation Feasibility and Public Acceptance** - The measure is feasible, as the emission reductions are based on available certified lower emission engines or equipment with lower emission engines. In addition, such equipment fleet modernization program has already been implemented in many states in the U.S (e.g. California, Texas etc.) However, guidelines or mechanism, especially on eligible equipment or engines, and project/emission credit life, should be clearly defined to avoid potential issues related to surplus emissions versus normal turnover rates.

The following list of tables can be found in Appendix A.

**Appendix M20/25**

**Table M20/25-Con.** Potential NOx emission reduction and cost-effectiveness values for equipment modernization for major nonroad construction equipment.

**Table M20/25-Ag.** Potential NOx emission reduction and cost-effectiveness values for equipment modernization for major nonroad agricultural equipment.

**Measure 33/34/35/37: Anti-Idling Restriction on Onroad HDDVs,  
Nonroad Diesel Equipment, and Locomotives**

**Description** - This measure would reduce idling from onroad heavy-duty diesel vehicles through the use of auxiliary power units (APUs), automatic engine shut-off systems, or truck stop electrification, as described in the EPA's SmartWay website of the EPA Clean Freight Strategies for Idle Reduction;<sup>6</sup> idling from nonroad diesel equipment via idling restriction and/or the use of automatic engine shut-off systems; and idling from locomotives through the use of APUs and automatic shut-off systems. Technology approaches for an automatic shut-off system could be as simple as a timer on the ignition or more complex like the use engine and oil heaters and an engine shut-off software. Truck stop electrification (TSE) or off-board idling reduction technologies could be a simple onboard power connector and an electric outlet kiosk to a full off-board cooling or heating ventilation and power connections.

Idling emissions from HD diesel vehicles are generally from intercity tractor-trailers that are parked in truck stops, rest areas, ports, and to a lesser extent, distribution centers, if idling emissions are not regulated. Even though it is not encouraged or even illegal, extensive truck idling can be found in some truck stops or rest areas, mainly for heating or cooling cab/sleeper compartments, powering cab/sleeper appliances or auxiliary devices, and in some ports for waiting in line to deliver or pick-up goods. Many studies reported that intercity tractor-trailers idle average about 6 hours per day.<sup>7,8</sup> However, most engine manufacturers recommend that engines run for roughly 3 to 5 minutes for engine warm-up and cool down.<sup>9</sup> In general, a typical heavy-duty diesel engine, including those for nonroad diesel equipment, would consume about one gallon of fuel for every hour of idling.<sup>10</sup>

Locomotive engines are often kept idling when standing still and may idle for as long as eight hours while cars are switched or while the train waits on a siding for other trains to pass. Idling may also be needed to keep the engine warm in cold weather, to keep car interiors at a comfortable temperature, to keep car accessories from freezing, and to prevent potential engine restart problems. However, locomotives are often kept idling even when there are no operational reasons to do so. In order to reduce idling time, fuel consumption, and pollutant emissions, an APU can be used to provide power when a locomotive is idling. The CSX Corporation has developed an APU that automatically shuts down the main locomotive engine while maintaining all vital main engine systems, such as climate control and heating engine fluids in cold weather. The EPA has tested an APU system from Kim Hotstart Manufacturing Company combined with an automatic shut-down system from ZTR Control Systems in a pilot study conducted on a locomotive in Chicago, and found both systems to operate acceptably at temperatures as low as 0°F.<sup>11</sup>

Many states and local governments have adopted some kind of anti-idling regulations. A list compiled by the EPA indicated that, as of April 2006, there were approximately fifteen states

<sup>6</sup> <http://www.epa.gov/otaq/smartway/documents/apu.pdf>

<sup>7</sup> "Analysis of Technology Options to Reduce the Fuel Consumption of Idling Trucks." Paper ANL/ESD-43-2000, Center of Transportation Research, Argonne National Laboratory, Chicago IL, 2000 <http://www.transportation.anl.gov/pdfs/TA/15.pdf>

<sup>8</sup> "Heavy-Duty Truck Idling Characteristics: Results from a Nationwide Truck Survey." Institute of Transportation Studies, University of California, Davis CA, 2004.

<http://www.its.ucdavis.edu/publications/2004/UCD-ITS-RP-04-38.pdf>

<sup>9</sup> "Truck Engine Idling – Fact Sheet," U.S. Environmental Protection Agency – New England, Boston MA, April 2002.

<sup>10</sup> <http://www.epa.gov/smartway/documents/epaidlingtesting.pdf>

<sup>11</sup> EPA (2004), "Case Study: Chicago Locomotive Idle Reduction Project," Report, United States Environmental Protection Agency, Office of Transportation and Air Quality, March 2004, EPA420-R-04-003].

and dozens of local counties that have promulgated laws that restrict the amount of time that an onroad vehicle can idle its main engine.<sup>12</sup> A continually updated list of state and local anti-idling laws is maintained by the American Transportation Research Institute (ATRI).<sup>13</sup> The maximum idling time or idling time limit from these anti-idling laws ranges from 0 to 15 minutes, with 5 minutes as the most common idling restriction time. As shown in the latest ATRI anti-idling laws list, the State of Illinois recently adopted an anti-idling law, which was promulgated in House Bill 4782<sup>14</sup>, to restrict operators of heavy-duty trucks with 8,000 lbs GVWR and over to idle not more than a total of 10 minutes within any 60 minute period, with a few circumstantial exceptions.<sup>15</sup>

Locomotive engines are also coming under potential anti-idling laws. In 2006 California's South Coast Air Quality Management District (SCAQMD) adopted regulations limiting idling of locomotives in switch yards to a maximum of 30 minutes, although this regulation is being challenged by rail companies and locomotive manufacturers.<sup>16</sup>

In addition to these anti-idling regulations, the EPA Diesel Retrofit and SmartWay programs are actively educating truckers to minimize, if not eliminate, idling emissions by providing information of alternative technologies for powering climate controls and appliances in cab/sleeper. In addition to the in-use idling restriction regulation for commercial trucks, CARB has also adopted a truck idling reduction regulation that will require new MY2008 trucks to be equipped with idle shutdown timers that will turn off the engine if the vehicle is left to idle for more than 5 minutes.<sup>17</sup>

This measure would focus on heavy HDDVs such as class 8a and 8b trucks, nonroad construction and agricultural equipment, and locomotives as these emission sources are identified as the most significant NOx and PM emissions sources in the LADCO States. Both NOx and PM emissions are expected to be reduced by this measure.

**Emissions** – EPA estimates that the emissions from idling constitute no more than 3.4% of truck exhaust emissions<sup>18</sup>. For nonroad diesel equipment, the EPA emission testing procedure for off-road engines weighs 15% of the time they are in operation is idling. In general, depending on the operational characteristics, the idling emissions for nonroad diesel engines are about 1 to 2% of the total exhaust emissions. For locomotive engines, the EPA emission testing procedures provides a weighting factor of 38% for linehaul engine idling emissions and 59% for switching engine idling emissions.<sup>19</sup> The EPA estimates that locomotive idling accounts for 3-5% of NOx emissions from line-haul engines and 16-20% of NOx emissions from switching engines. The EPA also estimates that idling accounts for 2-15% of PM emissions from line-haul engines and 9-35% of PM emissions from switching engines<sup>10</sup>.

<sup>12</sup> <http://www.epa.gov/otaq/smartway/documents/420b06004.pdf>

<sup>13</sup> [http://atri-online.org/research/idling/Truck\\_Idling\\_Regulations.htm](http://atri-online.org/research/idling/Truck_Idling_Regulations.htm)

<sup>14</sup> <http://www.ilga.gov/legislation/publicacts/fulltext.asp?Name=094-0845>

<sup>15</sup> The anti-idling law applies to the counties of Cook, DuPage, Lake, Kane, McHenry, Will, Madison, St. Clair, and Monroe and cities of Aux Sable and Goose Lake in Grundy County and city of Oswego in Kendall County.

<sup>16</sup> <http://www.aqmd.gov/news1/2006/LocomotiveCourtChallengePR.html>

<sup>17</sup> <http://www.arb.ca.gov/msprog/truck-idling/truck-idling.htm>

<sup>18</sup> "Guidance for Quantifying and Using Long Duration Truck Idling Emission Reductions in State Implementation Plans and Transportation Conformity," Office of Transportation and Air Quality, Environmental Protection Agency, EPA420-B-04-001, January 2004. (<http://www.epa.gov/smartway/documents/420b04001.pdf>)

<sup>19</sup> EPA (2004), "Guidance for Quantifying and Using Long Duration Switch Yard Locomotive Idling Emission Reductions in State Implementation Plans," United States Environmental Protection Agency, Office of Transportation and Air Quality, January 2004, EPA420-B-04-002].

**Costs** – For heavy-duty diesel trucks, the cost of an automatic shut-off system ranges from \$1,325 to \$2,500, while the cost of an auxiliary power unit ranges from \$6,000 to \$9,000.<sup>20</sup> In its Initial Statement of Reasons, CARB estimated that the capital and installation costs of an APU would be about \$8,000 to \$9,000, with an annual APU maintenance cost of about \$460 to \$530.<sup>21</sup> CARB also indicated that the use of APU instead of the main engine would provide a fuel saving of about \$2,800 to \$3,600, and annual main engine maintenance saving of \$300 to \$315. Accounting for the annualized APU capital and maintenance costs, CARB estimated that there would be an annual net saving of \$200 to \$430 from the fuel and main engine maintenance savings with the use of an APU instead of the truck main engine.<sup>12</sup>

IdleAire provides one of the truck stop electrification technologies, which provides cooling or heating ventilation and power connections to the truck cab through the passenger side window. Based on a study done by the University of Tennessee, the initial capital cost of a truck stop parking space, for 100 HD diesel trucks, that is equipped with an IdleAire truck stop electrification system in Knox County, Tennessee, was about one million dollars.<sup>22</sup>

Shorepower is an alternative TSE technology manufactured by Shurepower<sup>23</sup>. The Shurepower system consists of a central kiosk containing power regulating equipment and internet-connected power management and customer interface systems. The kiosk then provides power to individual trucks through a series of docking stations. HVAC and other electric appliances must be provided by the individual truck owner/operator. Although similar to off-board TSE technologies, in terms of emissions reduction, the Shurepower's TSE system has a significantly lower initial capital cost. Shurepower estimates that the cost of the system will be \$3,500 to \$6,000 per parking space.<sup>24</sup> At minimum truck owners/operators can install a \$200 simple power connector to interface with the Shurepower kiosk. However, a state-of-the-art power management and HVAC system is estimated to cost the owner/operator \$4,000.<sup>19</sup> A demonstration project of the Shurepower system has been implemented in the upstate New York and its performance in terms of power consumption and utilization statistics is being studied.<sup>25</sup>

CSX provides one APU system for locomotives and the capital cost is approximately \$30,000 per unit, without including potential fuel-savings benefits. This cost estimate is consistent with the cost estimates for the Kim Hotstart and ZTR APU/automatic shut-off systems reported in the Vancouver Switchyard Locomotive Idle Reduction Project<sup>26</sup> and the Chicago Locomotive Idle Reduction Project<sup>27</sup>. Although these systems are more common in Europe, they have seen only limited use in the U.S. and thus they have a very small market share.

**Emissions Reduction** – For onroad HDDVs, a reduction of 50 to 100% in idling emissions would result in about 1.7 to 3.4% reduction of the total exhaust emissions. For nonroad diesel equipment, a reduction of 50 to 100% in idling reductions would result in an average of about

<sup>20</sup> <http://www.epa.gov/smartway/idlingtechnologies.htm>

<sup>21</sup> <http://www.arb.ca.gov/regact/idling/idling.htm>

<sup>22</sup> "Draft Report: Emission Inventories and Potential Emission Control Strategies for Ozone Earl Action Compact Areas in Tennessee." Department of Civil and Environmental Engineering, University of Tennessee, April 13, 2003.

<sup>23</sup> <http://www.shurepower.com/tse.htm>

<sup>24</sup> <http://www.nyserda.org/publications/Shorepower.pdf>

<sup>25</sup> <http://www.epa.gov/smartway/documents/dewitt-study.pdf>

<sup>26</sup> "Vancouver, WA Switchyard Locomotive Idle Reduction Project." Final Report to U.S Environmental Protection Agency, Southwest Clean Air Agency, Vancouver, WA, October 2005.

<sup>27</sup> "Case Study: Chicago Locomotive Idle Reduction Project." EPA420-R-04-003, U.S. Environmental Protection Agency, March 2004.

0.75 to 1.5% reduction of the total exhaust emissions. For locomotive engines, a reduction of 50 to 100% of the idling emissions would result in a total exhaust emission reduction of 1.5 to 5% in linehaul engines and 8% to 20% in switching engines.

**Cost-Effectiveness** – The use of APU for onroad HDDVs would result in a net saving. As for the truck stop electrification, the estimated cost effectiveness value for that program was about \$1,700 per ton of NOx emission reduced, according to the University of Tennessee Study. The Vancouver, WA and Chicago case studies calculated the cost effectiveness of a combination APU/automatic shut-off system for a locomotive at approximately \$1,400 per ton of NOx and PM emissions reduced, assuming a conservative 10-year useful life of the equipment and not including fuel savings costs.

**Technical Implementation Feasibility and Public Acceptance** – For onroad vehicles, this measure would require retrofits of truck stops or other places where extended vehicle idling occurs with systems to provide HVAC and/or electric power to parked trucks, or the installation of APUs or automatic shut-off systems or on-board electrical HVAC system on trucks. The capital cost of truck stop power systems, APU units or automatic shut-off systems, or on-board electrical HVAC system would most likely to require some of financial/loan or incentive programs to assist truck operators or truck stop operators to cover these initial costs. The loans of these initial costs would be paid off from potential fuel saving for truck operators, or from fees charged to the truck stops for truck stop operators.

For nonroad diesel, this measure is often considered as an operational control, and the measure effectiveness would depend on the operational characteristic of the equipment. However, the use of automatic shut-off systems, instead of solely idling time restriction, would be useful for demonstrating compliance.

For locomotives, anti-idling strategies have been implemented for some engines. This method is primarily for cold temperature idle shut-offs which would be very useful during winter months in the LADCO states area when cold temperatures are anticipated. Idle shutoff technology implementations have been funded under the Carl Moyer program in California and the Texas Emission Reduction Plan (TERP) program. Rail companies generally have little capital to invest in new emissions technologies so it is anticipated that financial incentive programs would be needed to cover initial costs. The measure could also gain acceptance through voluntary grants for installation of anti-idling equipment.

**Measure 42: Accelerated Low NOx Calibration/Chip Reflashing  
Program for On-Road Heavy-Duty Diesel Vehicles**

**Description** – The Environmental Protection Agency (EPA), the Department of Justice, and California Air Resources Board (CARB) discovered that seven large diesel engine manufacturers had designed engines with advanced computer controls (software) that maximized fuel economy and created “off-cycle” NOx emissions during certain periods of vehicle operation, such as long-haul or steady-state driving, during the 1990s. As part of the federal Consent Decrees signed in 1998 between them, the EPA and the Department of Justice, seven engine manufacturers are required to partially mitigate their off-cycle NOx emissions via a number of mitigation measures, including development of low NOx software and installation of low NOx software upgrades free of charge upon rebuild or upon request on applicable vehicles that produce off-cycle emissions<sup>28</sup>. Most 1993-1999 model year Class 7 and Class 8 HDDVs with model year 1993-1998 engines manufactured by Caterpillar, Cummins, Detroit Diesel Corporation, Mack/Renault, Volvo and International have an obligation to have low NOx software installed. However, years later, evidence has shown that the installation of the low NOx software has not been done on as many applicable trucks as anticipated. California, in 1993, estimated that only less than 10% of the applicable trucks had been installed with low NOx software over a 4 year period after signing of the Consent Decrees<sup>29</sup>. This finding was consistent with the Low NOx Rebuilt Program Summary reported by the EPA as of January 2006.<sup>30</sup>

Resulting from the finding, CARB, in 2004, adopted the heavy-duty diesel engine software upgrade regulation (chip reflashing) to accelerate the low NOx software upgrade<sup>2</sup>. The program requires that:

- 1993 and 1994 model year Low NOx Calibration Engines (i.e. engines with off-cycle NOx emissions) must have a Low NOx Calibration Kit installed by April 30, 2005;
- 1995 and 1996 model year must have a Low NOx Calibration Kit installed by August 31, 2005;
- 1997 and 1998 model year Low NOx Rebuild Engines other than MHDDE, must have a Low NOx Calibration Kit installed by December 31, 2005; and
- 1997 and 1998 model year MHDDE Low NOx Rebuild Engines must have a Low NOx Calibration Kit installed by December 31, 2006.

The adoption of the regulation led to a lawsuit between the Engine Manufacturer's Association (EMA) and four engine manufacturers (Caterpillar, Cummins, Mack/Renault, and Volvo) and the CARB. The EMA and engine manufacturers sued the CARB on lack of authority to adopt the Low NOx Software Upgrade Regulation and that adopting the regulation breaches the Consent Decree Settlement. The Sacramento County Superior Court, on October 16, 2006, has ruled that the Low NOx Software Upgrade Regulation is invalid, and the CARB is suspending further enforcement of the regulation pending entry of a formal order and judgment<sup>31</sup>.

This control measure would be to use existing authorities, adopt a mandatory program, or develop a voluntary accelerated program to have low NOx calibration kits installed.

<sup>28</sup> <http://www.epa.gov/compliance/resources/cases/civil/caa/diesel/condec.html>

<sup>29</sup> <http://www.arb.ca.gov/regact/chip04/chip04.htm>

<sup>30</sup> [www.epa.gov/compliance/resources/cases/civil/caa/diesel/progress.pdf](http://www.epa.gov/compliance/resources/cases/civil/caa/diesel/progress.pdf)

<sup>31</sup> <http://www.saccourt.com/courtrooms/trulings/dept45/d45-10.16.06-05cs00386.doc>

**Emissions** – NOx emission factor for MY 1993-1998 medium and heavy HDDVs with low NOx calibration kit installed was estimated at 6.0 g/bhp-hr (after rebuild NOx level) based on the EPA's MOBILE6 model<sup>32</sup>. For this analysis, ENVIRON assumed that the Low NOx Calibration/Chip Reflashing Program would reduce NOx emissions by about 5% to 40%, with an average of 23% based on a study to assess the low NOx rebuild on in-use service trucks conducted by the University of California, Riverside.<sup>33</sup> As part of an emissions factor development project (CRC E55/59 project), the California ARB presented test data on four trucks tested with and without chip reflashing, showing approximately a 17% NOx reduction but a 7% PM increase. This is consistent with the assumptions made in this analysis based on the University of California, Riverside study, as well as the EPA estimate in the MOBILE6 model of a 40% NOx reduction.

**Cost** - ENVIRON assumed that the phase-in of the Low NOx Calibration/Chip Reflashing Program would entail no incremental capital cost, as per the Consent Decrees. Most engine manufacturers reported that the increase in fuel consumption is negligible<sup>34</sup>, and CARB expected that the average fuel economy penalty, if any, to be below one percent.<sup>7,35</sup> Although the American Trucking Association reported a potential fuel consumption penalty of up to 3%<sup>36</sup>, it is not clear what this figure is based on. Consequently, for the purposes of this paper, it will be assumed that there is no significant fuel penalty. A more important concern is the potential downtime cost which would be incurred if truck operators had to make an unscheduled service visit just to reflash their truck engines. CARB estimated the out-of-service time to be two hours and the dollar amount for vehicle time out-of-service at \$100 per vehicle.<sup>37</sup>

**Emission Reductions** – As shown in Table M42-1, potential NOx emission reductions for the Low NOx Calibration Program were estimated to be 0.04 tpy per vehicle for MY 1993-1998 medium-HDDVs, and 0.13 tpy per vehicle for MY 1993-1998 heavy-HDDVs. Note that these potential emission reductions were estimated on a per vehicle basis. Engine activity was assumed based on default MOBILE6 annual mileage accumulation in which activity is assumed to vary by vehicle age (older trucks are assumed to be less active than newer trucks). In this case medium HDDVs were assumed to have an annual VMT of 12,000 miles and heavy HDDVs were assumed to have an annual VMT of 30,000 miles. In both cases the NOx control factor was assumed to be 23%.

A more refined estimate can be made by applying the MOBILE6 model and actual transportation model VMT data. USEPA has recommended the use of this methodology to determine SIP emission reduction credits. It should be noted that this analysis must account for more realistic compliance rates than currently assumed by MOBILE6. For example, MOBILE6.2 modeling by Wisconsin DNR showed 4% higher base year (2002) NOx emissions (based on an actual compliance rate of 10% compared to the assumed compliance rate of 90% in the MOBILE model) and 4% higher future year (2009) NOx emissions (based on an expected compliance rate of 30% compared to the assumed compliance rate of 90%). If a chip reflashing program is able to achieve a 60% compliance rate by 2009 for the 2009 scenario year analysis and by 2012 for

<sup>32</sup> "Development and Use of Heavy Duty Defeat Device Emission Effects for MOBILE5 and MOBILE6," M6.HDE.003 (<http://www.epa.gov/otaq/models/mobile6/m6tech.htm>)

<sup>33</sup> "Evaluation of the Effectiveness of Low NOx ECM Reprogramming to In Service Heavy-Duty Vehicles," UC Riverside CE-CERT, CRC Poster Session, 1998.

<sup>34</sup> [www.epa.gov/compliance/resources/cases/civil/caa/diesel/progress.pdf](http://www.epa.gov/compliance/resources/cases/civil/caa/diesel/progress.pdf)

<sup>35</sup> <http://www.arb.ca.gov/msprog/hdsoftware/regdocs/isor.pdf>

<sup>36</sup> <http://www.arb.ca.gov/board/mt/mt121103.txt>

<sup>37</sup> <ftp://ftp.arb.ca.gov/carbis/board/books/121103/03-8-4.pdf> (page 46).

the 2012 scenario year analysis, then the future year NOx emissions would only be about 2% higher than currently estimated by the MOBILE model.

In addition, to properly estimate the emissions reductions it would be necessary to determine the fraction of the MY 1993-1998 truck VMT that originated from in-state transit versus out-of-state transit. In practice this is difficult to approximate and this type of analysis has not been conducted for the LADCO region. The only study conducted to-date on the issue of in-state versus out-of-state truck VMT was conducted in Texas, however this analysis assumes that all of the MY 1993-1998 heavy-duty truck VMT would occur in-state because out-of-state long-haul trips would tend to use newer model trucks.

**Cost-Effectiveness** - As shown in Table M42-1, lifetime cost-effectiveness values for medium-HDDVs and heavy-HDDVs are estimated to be \$625 and \$463 per ton of NOx reduced, respectively.

**Technical Implementation Feasibility and Public Acceptance** - The measure is feasible given that low NOx calibration kits are available, and the manufacturers are required to install them on trucks upon rebuild or upon request. Furthermore, engine manufacturers have expressed interest in working cooperatively with states to develop and implement voluntary low NOx reflashing programs.

Implementation options include using existing authorities, such as anti-tampering compliance authority, pursuing a mandatory program based on the current draft OTC model rule, or working with the engine manufacturers on a voluntary program. Further analysis is needed to determine whether all the affected states have sufficient existing authority. A mandatory program could ensure higher compliance rates, but may be subject to legal challenges, as was the case in California. A cooperative voluntary program would avoid any problems and delays due to legal challenges, but there is less certainty in achieving the desired compliance rates (and emission reductions).

**Table M42-1.** Potential NOx emission reductions and cost-effectiveness values for the Low NOx Calibration Program for MY 1993-1998 medium- and heavy-HDDVs.

Cost of Diesel:	2.33
Incremental Fuel Consumption	0%
NOx Reduction	23%
HC to ROG Conversion	1.26639

	Medium-HDDVs		Heavy-HDDVs	
	MY1993-MY1998		MY1993-MY1998	
	Off-Cycle Baseline	Reflashed	Off-Cycle Baseline	Reflashed
Annual mileage	12,000	12,000	30,000	30,000
Incremental Capital Cost		100		100
Useful Life (years)	8	8	8	8
Annualized Capital Cost (\$/yr)		\$14		\$14
Diesel mpg	5.35	5.35	5.35	5.35
Fuel Cost/mile	\$0.44	\$0.44	\$0.44	\$0.44
Fuel Cost/year	\$5,231	\$5,231	\$5,231	\$5,231
Incremental Fuel Cost/year		\$0		\$0
Emission Std (g/bhp-hr)	7.4	6	7.4	6
Conversion Factor (bhp-hr/mi)	2.15	2.15	2.90	2.90
NOx g/mile	15.80	12.90	21.32	17.40
NOx tons/year	0.21	0.17	0.70	0.58
NOx Reduction tons/year		0.04		0.13
Cost-Effectiveness (\$/ton)		\$371		\$110
CE including Fuel (\$/ton)		\$371		\$110
One-Year Cost-Effectiveness (NOx Only)		\$2,604		\$772

### Measure 46/51: Retrofit Technologies for Diesel Engines

**Description** - This control measure includes encouraging fleet owners and operators to retrofit on-road HDDVs and non-road equipment with emission-reduction devices to reduce NO<sub>x</sub>, PM and VOC diesel exhaust emissions. The primary purpose of these devices is to significantly reduce NO<sub>x</sub> and PM emissions, but some NO<sub>x</sub> or PM retrofit devices would also reduce VOC emissions, and some PM retrofit devices would also reduce smoke and odor from diesel exhaust emissions. In addition, this measure evaluates a voluntary retrofit program partially funded by and organized by the US EPA Region 5, called the Midwest Clean Diesel Initiative (MCDI). Information provided by EPA was used to evaluate the MCDI in a methodology similar to that of the other retrofit technologies considered in this measure. Also, a conceptual region-wide Midwest States Retrofit Program based on these retrofit technologies is also presented.

This measure focuses on the retrofit technologies for Class 8 HDDVs and major construction and agricultural equipment types as they are identified as the major NO<sub>x</sub> emission contributors to the NO<sub>x</sub> and PM emission inventory in the LADCO States. The potential emission reductions, cost, and cost effectiveness values presented in this retrofit measure will be used to assess and project the potential emission reductions of the Midwest Clean Diesel Initiative and a Midwest States Retrofit Program in the LADCO states in 2009 and 2012.

The technologies available to reduce NO<sub>x</sub> and PM emissions from mobile sources include retarded engine timing modification, Exhaust Gas Recirculation (EGR), lean NO<sub>x</sub> catalyst, and Selective Catalytic Reduction (SCR). Diesel PM retrofit devices are similar in appearance to mufflers. Using catalytic processes and/or filter technology reduces PM, VOC and CO emissions. The two devices that have been employed to date include diesel oxidation catalysts (DOCs), and the much more effective, diesel particulate traps and filters (DPFs) or flow through filters (FTFs). The NO<sub>x</sub> control retrofits will not reduce PM emissions by themselves and may increase PM emissions. Often a NO<sub>x</sub> control device is accompanied by a particulate control device in a package offered by the vendor. This is especially true for retarded timing and EGR NO<sub>x</sub> control strategies.

In its Diesel Emission Control Strategies Verification website, CARB lists the verified diesel emission control systems (VDECS) to date that reduce NO<sub>x</sub> and PM emissions<sup>38</sup>; EPA also provides a list of verified technologies in its retrofit website<sup>39</sup>. Intended to reduce verification burden to technology vendors and expedite the verification process, the EPA and CARB signed a Memorandum of Agreement for the coordination and reciprocity in the diesel retrofit device verification process.

Examples of verified and demonstrated emission reductions effectiveness are shown in Table M46/51-1.

<sup>38</sup> <http://www.arb.ca.gov/diesel/verdev/verifiedtechnologies/cvt.htm>

<sup>39</sup> <http://www.epa.gov/otaq/retrofit/retroverifiedlist.htm>

**Table M46/51-1.** NOx and PM retrofit control technologies.

Emission Control Device	Verification Status	NOx Control Efficiency	PM Control Efficiency
Lean NOx reduction catalyst or LNC+DPF (Example Vendor: Cleaire's Longview)	CARB Verified	25+%	85+%
EGR+DPF (Example Vendor: Johnson Matthey EGRT; STT Emtec System;)	CARB Verified/EPA Certification Process	40+%	85+%
DOC (Example Vendor: a few aftertreatment vendors)	CARB/EPA verified	0	25+%
Flow Through Filter or FTF (Example vendor: a few aftertreatment vendors)	CARB/EPA verified	0	50+%
DPF (Example vendor: a few aftertreatment vendors)	CARB/EPA verified	0	85+%
DOC+SCR (example vendor: Extengine)	CARB Verified for some offroad engines	80+%	25+%
SCR (Example Vendor: Extengine etc.)	CARB/EPA Verification Process.	80+%	0
SCR+DPF (Example Vendor: Extengine etc.)	CARB/EPA Verification Process	80+%	85+%

**Emissions** – NOx and PM emission factors for on-road HDDVs were calculated based on the emission standards and conversion factors for HDDVs from EPA’s MOBILE6 model<sup>40</sup>. Engine activity was assumed based on default Mobile6 annual mileage accumulation in which activity is assumed to vary by vehicle age (older trucks are assumed to be less active than newer trucks). Table M46/51-2 lists estimated HDDV8 annual mileage accumulation for the model year ranges used in this study within the nonattainment or maintenance area that the SIP or conformity analysis or emission credit applies to. The analysis also assumed 8 years of project life. Detailed emissions by different model year groups are provided in Appendix M46/51.

**Table M46/51-2.** Annual HDDV Class 8 annual VMT.

	Calendar Year 2009	Calendar Year 2012
MY 1989 & Earlier	11,000	10,000
MY 1990	16,000	12,000
MY 1991 - 1997	26,000	19,000
MY 1998 - 2001	44,000	32,000
MY 2002 - 2006	70,000	51,000
MY 2007	101,000	88,000
MY 1993 - 1998	30,000	22,000

For non-road equipment, the PM and NOx emission factors were calculated based on the Tier 0, Tier 1, Tier 2, Tier 3, and Tier 4 non-road emission standards, as well as load factors and activity data extracted from EPA’s NONROAD model<sup>41</sup>. The useful life for these retrofit technologies was assumed to be 10 years. Detailed emission rates, load factors, and activity data for major construction and agricultural equipment types are provided in Appendix M46/51.

In the analysis for this measure, the NOx and PM control efficiencies for the LNC+DPF system were assumed to be 25% and 85%, respectively. The NOx control efficiencies for the

<sup>40</sup> EPA Technical Support Document for MOBILE 6: M6.HDE.002 (<http://www.epa.gov/otaq/mobile.htm>)

<sup>41</sup> <http://www.epa.gov/otaq/nonrdmdl.htm>

EGR+DPF and SCR systems were assumed to be 40% and 80%, respectively; the PM control efficiency for the EGR+DPF system was assumed to be 85%. The PM control efficiencies for the DOC and FTF systems were assumed to be 40% and 50%, respectively. The control efficiencies are consistent with the emission reduction range provided in the EPA website on verified technologies<sup>42</sup>, and discussion in the EPA Draft Guidance for Qualifying and Using Emission Reductions from Mobile Source Retrofit Projects in State Implementation Plans and Transportation and General Conformity (EPA Draft Retrofit Guidance).

**Cost** – The major costs for these retrofit systems include both capital and operational costs. The average capital costs for installation of these systems are estimated to be \$85 per hp for LNC+DPF systems, \$95 per hp for EGR+DPF systems, \$110 per hp for SCR systems, and \$130 per hp for SCR+DPF systems. The cost for a DOC for onroad HDDV applications ranges from \$1,500 to \$2,500, and about \$5 per hp for nonroad diesel engines. The cost for a DPF or FTF for onroad HDDV applications ranges from \$8,000 to \$10,000, and about \$30 to \$50 per hp for nonroad diesel engines. These costs were consistent with the incremental capital costs funded by other similar programs (e.g. Sacramento Emergency Clean Air Transportation Program, Texas Emission Reduction Plan, or Houston-Galveston and Dallas Fort-Worth VMEP programs, Clean Diesel Initiatives), as well as those provided by the Manufacturers of Emissions Control Association<sup>43</sup>. Note that the cost estimates for these retrofit systems for on-road heavy HDDVs (e.g. Class 8 trucks) are slightly higher than the cost estimates for those systems used in school buses or medium HDDVs.

Table M46/51-3 provides a summary of the estimated capital costs. For a LNC+DPF, DOC, or DPF system, a 2% increase in the fuel consumption was assumed. For the EGR+DPF system, it was assumed that it would increase fuel consumption by 3%. For any SCR system, it was assumed that the urea consumption was equivalent to about 2% of the fuel consumption. Capital recover factor for the project life was calculated using an assumed 3% discount rate.

**Table 46/51-3.** Estimated average capital cost for some NOx and PM retrofit control technologies.

Measure	Control Technology	Capital Cost
Measure 46/51a	DPF	\$8,000-\$10,000 or ~\$30-\$50/hp
Measure 46/51b	LNC+DPF	~\$85/hp
Measure 46/51c	EGR+DPF	~\$95/hp
Measure 46/51d	SCR	~\$110/hp
Measure 46/51e	DOC	\$1,500-\$2,500 or \$5/hp
Measure 46/51f	FTF	\$8,000-\$10,000 or ~\$30-\$50/hp
Measure 46/51g	DOC+SCR	~\$120/hp
Measure 46/51h	SCR+DPF	~\$130/hp

**Emission Reductions** - The emission reduction values for different retrofit technologies for on-road HDDVs and major non-road construction and agricultural equipment are presented in Appendix M46/51. Annual emission reduction values associated with each control measure vary depending on the equipment types and horsepower ranges.

<sup>42</sup> <http://www.epa.gov/otaq/retrofit/retroverifiedlist.htm>

<sup>43</sup> [http://www.meca.org/galleries/default-file/MECA%20Diesel%20Retrofit%20White%20Paper%200406%20\(revised\).pdf](http://www.meca.org/galleries/default-file/MECA%20Diesel%20Retrofit%20White%20Paper%200406%20(revised).pdf)

Emissions reductions can be summarized for each of the three vehicle types considered in this analysis: onroad class 8a and 8b diesel engines, nonroad construction equipment, and nonroad agricultural equipment.

*Onroad (Class 8a and 8b) HDDVs* – For onroad vehicles the NOx reductions range from 0 to 0.45 tons/year per vehicle. The maximum NOx reductions come from the three retrofit technologies that affect NOx emissions – EGR, LNC, and SCR technologies. Of these, the most effective is SCR which can reduce NOx up to 0.45 tons/year per vehicle, and the least effective is the LNC which offers a maximum reduction of 0.14 tons/year per vehicle. A number of control technologies offer no NOx reduction potential including a DOC, FTF, or DPF. PM reductions range from roughly 0.004 tons/year per vehicle to as high as 0.03 tons/year per vehicle. The highest emissions reductions are seen from a DPF retrofit, or any retrofit of a combination of devices including a DPF. It should be noted that only a SCR system would offer no PM reduction. Thus overall the retrofit technology that offers the greatest combined NOx and PM reduction is the SCR+DPF retrofit.

*Nonroad Construction Equipment* – For nonroad construction vehicles, five categories of equipment were considered: excavators, rubber tire loaders, crawler tractors/dozers, tractors/loaders/backhoes, and off-highway trucks. These categories and the HP ranges for each equipment type were chosen based on the NONROAD emission results indicating that these equipment type/HP range are among the highest contributions to total NOx and PM emissions for the LADCO states. Excavators and crawler tractors/dozers were chosen as the representative equipment for potential emission reduction reporting since their HP ranges and cost-effectiveness values were in the middle of the range for the five equipment types. NOx reductions for these two equipment types ranged from 0 to 2.91 tons/year per equipment for excavators and 0 to 3.83 tons/year per equipment for crawler tractors/dozers. Similar to onroad vehicles, the most effective control technology in terms of NOx reduction is the SCR, and least effective was the LNC. Again the DOC, FTF and DPF control technologies offered no NOx reduction potential. PM emissions reductions ranged from 0.01 to 0.13 tons/year per equipment for excavators and 0.01 to 0.17 tons/year per equipment for crawler tractors/dozers. The control technology which offered the greatest potential PM reductions is the DPF, or any measure that includes a DPF.

*Nonroad Agricultural Equipment* – For agricultural vehicles only two categories of equipment were considered - agricultural tractors and combines. Again, this was based on the NONROAD emission results identifying these equipment types as the largest emission contributors to total agricultural equipment emissions for the LADCO states. Agricultural tractors span a wide range of horsepower from 25 HP to 600 HP with Tier 0 to Tier 3 engines. In general, the maximum NOx and PM reductions for agricultural tractors are from retrofits applied to high horsepower (300-600 HP) with Tier 0 engines. The minimum NOx and PM reductions for agricultural tractors are from retrofits applied to any horsepower with Tier 3 engines. NOx reductions range from a minimum of 0.02 tons/year per equipment for 50-75 HP Tier 3 agricultural tractors retrofitted with a LNC to 1.06 tons/year per equipment for 300-600 HP Tier 0 agricultural tractors retrofitted with a SCR system. Again, DOC, FTF and DPF retrofits do not have any potential NOx reductions. PM reductions range from a minimum of 0.001 tons/year per equipment for 50-75 HP Tier 3 equipment retrofitted with a DOC, to 0.05 tons/year per equipment for 300-600 HP Tier 0 equipment retrofitted with a DPF. A combined SCR+DPF retrofit would provide the highest combined NOx and PM reductions.

Agricultural combines do not have as wide a range of horsepower categories as agricultural tractors. Only combines in the 100-175 HP and 175-300 HP categories were considered. The NOx reductions range from a minimum of 0.01 tons/year per equipment for 100-175 HP Tier 3 combines retrofitted with a LNC to 0.17 tons/year per equipment for 175-300 HP Tier 0 combines retrofitted with a SCR system. The PM reductions range from a minimum of 0.001 tons/year per equipment for 100-175 HP Tier 3 combines retrofitted with a DOC to 0.01 tons/year per equipment for 175-300 HP Tier 0 combines retrofitted with a DPF. Similar to agricultural tractors, the most effective retrofit at reducing combined NOx and PM emissions from agricultural combines was the SCR+DPF retrofit.

**Cost-Effectiveness** – The cost-effectiveness calculations for each control measure in this analysis can be summarized for each of the three major equipment types considered: onroad class 8a and 8b diesel engines, and nonroad construction and agricultural equipment. The methodology used in the cost-effectiveness calculations is based on that described in the Carl Moyer Program instituted by the California Air Resources Board<sup>44</sup>. The Carl Moyer program uses a cost-effectiveness calculation to account for reductions in NOx, PM, and ROG emissions:

$$CE = \frac{[ACC]}{[NOxRed + 20 * PMRed + ROGRed]}$$

where *CE* is the cost-effectiveness, *ACC* is the annualized capital cost of the control technology (assumed here as the initial capital cost amortized over the useful life of the control technology at a discount rate of 3%), and *NOxRed*, *PMRed*, and *ROGRed* are the NOx reductions, PM reductions and ROG reductions, respectively, in tons/year per vehicle. This calculation leads to lower cost-effectiveness values than when using NOx reductions alone. A summary of cost-effective values for onroad HDDVs, and nonroad construction and agricultural equipment are discussed here, and detail cost-effectiveness values are shown in Appendix M46/51.

*Onroad (Class 8a and 8b) HDDVs* – For onroad vehicles, class 8a and 8b heavy-duty diesel vehicles were considered with model years ranging from 1989 and earlier to model years 2002-2006. Model years were grouped based on any change in the emissions standards. Older model year engines generally have the lowest cost-effectiveness values because they have the greatest reductions in emissions, while model year 2002-2006 engines have the highest cost-effectiveness values because their emissions are already relatively low. Note that although some vehicles were compared to model year 2007+ engines, these engines are expected to meet these lower emissions levels by using some or all of the retrofit technology considered here and thus are not evaluated separately. Using the Carl Moyer cost-effectiveness methodology, it was determined that the most cost-effective control technologies were a DOC and a DPF. However, neither of these two technologies provides NOx emissions reductions. The DOC has a control efficiency of 40% for PM, and the DPF has a control efficiency of 85% for PM. The cost-effectiveness values for a DOC ranged from \$920/ton pollutant to \$1,431/ton pollutant. The cost-effectiveness values for a DPF ranged from \$2069/ton pollutant to \$3,534/ton pollutant. To address the combined NOx, PM and VOC emissions, combined control technologies are the most cost-effective. The two most cost-effective combined control technologies are combined EGR and DPF system and SCR with DPF system. The cost-effectiveness values for an EGR+DPF system ranged from \$4,415/ton pollutant to \$6,281/ton pollutant. The cost-effectiveness values for a SCR+DPF system ranged from \$5,060/ton pollutant to \$6,505/ton pollutant.

<sup>44</sup> <http://www.arb.ca.gov/msprog/moyer/moyer.htm>

*Nonroad Construction Equipment* - For construction vehicles, five categories of equipment were considered: excavators, rubber tire loaders, crawler tractors/dozers, tractors/loaders/backhoes, and off-highway trucks. Excavators and crawler tractors/dozers were chosen as the representative equipment since their HP ranges and cost-effectiveness values were in the middle of the range for these equipment types. For these two equipment types the cost-effectiveness was in the range of \$1,208-\$9,529/ton pollutant depending on the EPA Tier standard of the engine being retrofitted. In general, the higher Tier engines (Tier 2 and Tier 3) have higher cost-effectiveness values because the pollutant reduction was more modest than that for Tier 0 or Tier 1 engines. In general, the higher HP category engines have higher cost-effectiveness values because the annualized capital cost of the equipment scaled with HP.

Similarly to the onroad analysis, the DOC and DPF retrofits were most cost-effective however they address only some of the pollutants. The most cost-effective combined pollutant technology was a SCR+DPF system which had a control efficiency of 80% for NO<sub>x</sub>, 85% for PM, and 73% for ROG. For excavators the cost-effectiveness ranged from \$1,426/ton pollutant for 100-175 HP Tier 0 engines to \$5,400/ton pollutant for 300-600 HP Tier 3 engines. For crawler tractors/dozers the cost-effectiveness ranged from \$1,621/ton pollutant for 100-175 HP Tier 0 engines to \$6,139/ton pollutant for 600-750 HP Tier 3 engines.

*Nonroad Agricultural Equipment* - For agricultural vehicles only two categories of equipment were considered, agricultural tractors and combines. Due to differences in activity hours and HP ranges, these equipment had overall higher cost-effectiveness values than construction equipment and a wider range of cost-effectiveness values. Similar to construction vehicles, the higher HP ranges had higher cost-effectiveness values due to the increased annualized capital cost of the control technology, and the higher Tier standard engines had higher cost-effectiveness values due to the smaller pollutant reductions per vehicle.

For agricultural tractors there is a wide range of HP categories considered from 25 to 600 HP and thus a wide range of cost-effectiveness values. The most cost-effective control technology in reducing all pollutants is a combined SCR+DPF, which has a cost-effectiveness of \$3,460/ton pollutant for Tier 0 25-50 HP engines, up to \$9,635/ton pollutant for Tier 2 300-600 HP engines. Tier 3 agricultural tractor engines have high cost-effectiveness values ranging from \$8,563/ton pollutant for 50-75 HP engines to \$13,207/ton pollutant for 300-600 HP engines.

For combines, the relatively low yearly activity leads to small reductions in pollutant emissions and thus high cost-effectiveness values. The most cost-effective control technology is a DOC, with cost-effectiveness values ranging from \$4,338/ton pollutant for a Tier 0 100-175 HP engines, to \$18,105/ton pollutant for a Tier 3 175-300 HP engine. However, as noted above, a DOC does not address NO<sub>x</sub> emissions so the most cost-effective control technology to reduce combined emissions is a combined SCR+DPF. The SCR+DPF has a cost-effectiveness ranging from \$10,495/ton pollutant for Tier 0 100-175 HP engines to \$39,744/ton pollutant for Tier 3 175-300 HP engines.

**Technical Implementation Feasibility and Public Acceptance** - These NO<sub>x</sub> and PM retrofit strategies are feasible, as they have already been implemented in the Midwest States, California, Texas and elsewhere in the U.S. While most of these retrofit technologies are verified technologies, some of these technologies are unverified or verified for limited and specific onroad or non-road applications. Therefore, until they are verified, guidelines and enforcement

mechanism should be developed to assure the emission reductions are real if one chooses to fund demonstration projects that focus on technology research, development and deployment of these unverified but promising technologies. These demonstration projects would include emission measurement to assess the emission performance and durability of those unverified technologies, as well as to provide applicable data for the CARB and EPA verification processes.

### **Midwest Clean Diesel Initiative (MCDI)**

**Description** - The Midwest Clean Diesel Initiative (MCDI) is a collaboration of federal, state and local agencies, along with communities, non-profit organizations and private companies working together to reduce emissions from diesel engines in the Midwest<sup>45</sup>. The US EPA administers the program and keeps track of funding and emissions reduction information. The program estimates that there are approximately 3.3 million diesel-powered engines in the Midwest that can be affected through voluntary action<sup>45</sup>. The program's goal is to reduce emissions from one million diesel-powered engines by 2010<sup>45</sup>.

The MCDI is investigating operational changes in diesel engines, technological improvements including retrofits of after-treatment devices, and use of cleaner fuels as methods to reduce diesel engine emissions. The focus of the program has been on three key sectors: ports, agriculture-freight and rail. Specifically, the MCDI has already provided funding for various programs that have addressed emissions from construction equipment, locomotives, municipal vehicles, school buses, transit buses, and heavy-duty trucks. A summary of the number of programs, types of programs, funding and total emissions reductions by state is shown in Table M46/51-3.

As shown in Table M46/51-4, the MCDI has funded more than 230 projects involving more than 350,000 diesel engines. Funded retrofit technologies were mostly DOCs and DPFs coupled with ULSD, and other technologies included anti-idling strategies (e.g. heaters, APU etc.) and biodiesel fuel.

**Table M46/51-4.** Summary information on the MCDI program by state in the six Midwest states<sup>46</sup> (Note: Minnesota is included in the table for completeness).

State	No. of Projects	No. of Engines	Project Type(s)	Overall Lifetime Emissions Reductions (tons)			
				CO	VOC	NOx	PM <sub>2.5</sub>
Illinois	95	171,224	DOC, DPF, Heater, ULSD, B20, Anti-Idling Policy, Other	3,498	665	5,364	310
Indiana	28	2,649	DOC, DPF, Heater, ULSD, APU, Engine Upgrades, Anti-Idling Policy	240	82	144	18
Michigan	8	1,543	DOC, DPF, Heater, B20	100	45	75	9
Minnesota	23	147,853	DOC, Heater, APU, CCR, Engine Upgrades, B2, Anti-Idling Policy	15	5	0	584
Ohio	28	1,622	DOC, DPF, Heater, ULSD, B20, CCR	269	53	2	19
Wisconsin	8	27,359	DOC, Heater	93	35	0	15
<b>Total</b>	<b>236</b>	<b>352,893</b>		<b>4,215</b>	<b>885</b>	<b>5,585</b>	<b>955</b>

<sup>45</sup> <http://www.epa.gov/midwestcleandiesel>

<sup>46</sup> MCDI funded project data were provided by Dr. Jennifer Dunn of EPA Region 5, October 30, 2006.

**Emissions** - Retrofit technologies were given control efficiencies based on EPA's verified technologies for the analysis of emissions reductions for the MCDI<sup>39</sup>. Anti-idling policies assumed a fraction of the vehicle activity would be affected by the policy, and this fraction was used to determine the emissions impact. For alternative fuels, the EPA's verified technologies list was used to determine specific emissions reductions. The emissions factors for onroad vehicles came from the MOBILE6 model using standard onroad diesel fuel and average conditions during the summer, and the nonroad vehicle emissions factors came from the NONROAD2004 model. For transit and school buses, the program assumed an average project life of 12 years, and for municipal and freight trucks the program assumed an average project life of 20 years. For nonroad vehicles the MCDI analysis assumes the following project life:

Project life = average vehicle life - current age

where average vehicle life = expected engine life/(annual hours of operation x load factor)

This analysis will yield different project lifetimes for different nonroad vehicle types.

**Cost** - For the MCDI program, the costs were determined using compiled funding information provided by EPA. This funding summarizes the total costs, including both federal sources and leveraged sources for each project by state. Federal sources include the EPA's Clean School Bus, National Clean Diesel, RGI/Grt Cities, Sensitive Population, and SmartWay programs, as well as grants from OTAQ, and the US DOT's CMAQ program. Other sources of funding include state, and local governments, federal and state SEP, and private sources. A summary of the funding broken down by state and fiscal year of funding is shown in Table M46/51-5 for all projects through fiscal year 2006.

**Table M46/51-5.** Funding information on the MCDI program by state in the six Midwest states for FY 2002-2006.

Fiscal Year	Illinois	Indiana	Michigan	Minnesota	Ohio	Wisconsin
2002	\$150,000	-	-	-	-	-
2003	\$6,861,984	\$150,000	\$123,500	\$65,000	\$684,475	-
2004	\$524,900	\$250,000	\$95,357	-	\$40,000	\$1,338,000
2005	\$6,300,679	\$591,800	\$82,600	\$270,000	\$540,000	\$139,750
2006	\$2,677,833	\$2,280,146	\$6,583,714	-	\$1,193,689	\$1,429,625
Total:	\$16,515,396	\$3,271,946	\$6,885,171	\$335,000	\$2,458,164	\$2,907,375
Annual Average	\$3,303,079	\$817,987	\$1,721,293	\$167,500	\$614,541	\$969,125

As shown in Table M46/51-5, the majority of funding has been directed towards Illinois, with a large fraction of that funding going to projects in the Chicago metropolitan area. Annual average funding levels for each state were calculated for annual emission reduction projections.

**Emissions Reductions** -For the MCDI program, emissions reductions were calculated by multiplying the retrofit technology control factors and the emissions factors for the various onroad and nonroad diesel vehicles addressed by the program. The project emissions reductions are calculated for the years-to-date that the individual project has been active, from inception date to termination date or to present date if the project is ongoing. The EPA has provided estimates of the number of vehicles affected by each project, and this is used to determine an

emissions reduction per vehicle. The overall emissions reductions are summarized in Table M46/51-6 and detailed reductions by project type are presented in the Appendix. As shown in Table M46/51-6, the greatest gaseous emission reductions were largely due to anti-idling policies or technologies, such as the use of heaters and APUs. PM emissions reductions were due to anti-idling policies, as well as retrofit technologies such as DOCs and DPFs.

**Cost-Effectiveness** -Detailed cost-effectiveness calculations are presented in Table M46/51-5. The cost-effectiveness is presented by technology type on an individual pollutant basis, as well as the Carl Moyer methodology. The calculation for cost-effectiveness is conducted both on an overall program funding and emissions reduction basis, and on a yearly average funding and emissions reduction basis. For one-year cost-effectiveness, the projects are assumed to have a lifetime of 5 years, consistent with the approach used in the Carl Moyer methodology for retrofit technology useful lifetimes<sup>47</sup>. The project cost-effectiveness of CO reduction ranges from \$4,721/ton to \$69,426/ton; the project cost-effectiveness of VOC reduction ranges from \$24,830/ton to \$151,859/ton; the project cost-effectiveness of NOx reduction ranges from \$3,079/ton to \$1,524,600/ton; the project cost-effectiveness of PM reduction ranges from \$53,349/ton to \$764,498/ton. Using the Carl Moyer methodology the project cost-effectiveness ranges from \$1,360/ton to \$23,358/ton. Except Michigan, the average cost-effectiveness value for overall MCDI funded projects for each state was below the Carl Moyer cost effectiveness limit of \$14,300 per weighted ton of NOx+ROG+20\*PM emissions. It should be noted that the figures for Illinois are the most complete because a large number of projects are funded in that state through the MCDI.

**Table M46/51-6.** Summary information on the overall emission reductions and cost-effectiveness estimates of the MCDI program by state in the six Midwest states.

Pollutant	Illinois	Indiana	Michigan	Minnesota	Ohio	Wisconsin
<b>Estimated Emission Reductions (tons/year)<sup>1</sup></b>						
CO	700	48	20	3	54	19
VOC	133	16	9	1	11	7
NOx	1,073	29	15	0	0	0
PM	62	4	2	NA <sup>2</sup>	4	3
<b>Project Cost-Effectiveness Values (\$/ton)</b>						
CO	4,721	13,655	69,426	22,086	9,124	31,363
VOC	24,830	40,065	151,859	62,570	46,055	83,917
NOx	3,079	22,726	92,028	NA	1,524,600	NA
PM	53,349	183,832	764,498	NA	126,082	198,665
Carl Moyer	1,360	5,723	23,358	NA	5,607	8,998

Note:

<sup>1</sup>Note that these emissions reductions are tons/year, not the overall emissions reductions for the project with an assumed lifetime of 5 years

<sup>2</sup>PM emission reduction from the biodiesel B2 project was not included here.

**Technical Implementation Feasibility and Public Acceptance** - Various projects under the MCDI program have already been funded and implemented in the LADCO states from as early as 2003. Because such a broad range of technology types are included in the program, it is difficult to generalize the overall feasibility of the program. Anti-idling policies and technologies have been implemented and have shown substantial emissions reductions. Other retrofit technologies would be subject to similar recommendations as described in the Technical Implementation Feasibility and Public Acceptance for Retrofits Technologies. Because funding

<sup>47</sup> "The Carl Moyer Program Guidelines: Part IV Appendices," California Air Resources Board, 2005.

sources are leveraged from a number of sources it is expected that there would be sufficient resources to track the implementation and results of these projects.

### **Midwest States Retrofit Program**

**Description** - In addressing emissions from in-use diesel engines, federal, state, and county-level air pollution regulatory agencies, have embarked on a number of initiatives in recent years to reduce emissions from in-use on-road HDDVs and non-road diesel equipment in addition to the emission reductions gained through EPA regulations. Many emission control programs for on-road HDDVs and non-road equipment have been or are being implemented at the federal, state, and local levels in the U.S. for the past few years to reduce in-use emissions from these sources. While some are regulatory or voluntary programs, most of the emission control programs are monetary incentive programs. The major emission control programs that are applicable to on-road HDDV fleets and/or non-road equipment are as follows:

- Federal Programs
  - U.S. Department of Transportation's (U.S. DOT)'s SAFETEA-LU, which replaced the Transportation Equity Act for the 21<sup>st</sup> Century (TEA-21)
    - Congestion Mitigation and Air Quality Improvement Program (CMAQ)
  - U.S. Department of Energy's (U.S. DOE) Energy Policy Act of 2005 (EPAAct)
    - State & Alternative Fuel Provider Program
    - Federal Fleet Program
    - Private & Local Government Fleet Program
    - Alternative Fuel Petition Program
    - Clean Cities Program
  - U.S. EPA's Office of Air & Radiation Programs
    - National Clean Diesel Campaign (NCDC)
      - Voluntary Diesel Retrofit Program
      - Clean School Bus USA
      - Clean Construction USA
      - Clean Agriculture USA
      - West Coast Diesel Emissions Reduction Collaboration
      - Midwest Clean Diesel Initiative
      - Northeast Diesel Collaboration
- California Programs
  - California Air Resources Board (CARB)
    - Diesel Risk Reduction Plan
    - Carl Moyer Program
  - California Department of Transportation's (Caltrans) Greening the Fleet Program
  - South Coast Air Quality Management District's (SCAQMD) MSRC Funding Program (AB 2766)
  - Sacramento Emergency Clean Air & Transportation (SECAT) Program (AB 2511)
  - San Joaquin Valley Emergency Clean Air Attainment Program (AB 2511)
  - Gateway Cities Clean Air Program
  - Port of Los Angeles and Port of Oakland Clean Air Programs
  - Bay Area Air Quality Management District's (BAAQMD) Transportation Fund for Clean Air (TFCA) Program
- Programs in Other States

- Texas Commission on Environmental Quality's (TCEQ) Texas Emissions Reduction Plan or TERP (SB 5)
- Houston-Galveston Area Council (H-GAC)'s Clean Cities/Clean Vehicles Program
- New York State Department of Environment Conservation's Clean Water/Clean Air Bond Act Program
- Puget Sound Clean Air Agency's Diesel Solutions Program

This measure would involve the development and implementation of a region-wide Midwest States Retrofit Program to reduce emissions from in-use diesel vehicles and equipment via retrofitting emission reduction devices such as those retrofit technologies discussed in the white paper. It would be an incentive-based voluntary program that would be similar to programs such as the Carl Moyer and SECAT programs in California, and the TERP program in Texas.

The Midwest States Retrofit Program would be established to reduce emissions from diesel engines from the onroad and nonroad sources in the nonattainment and near nonattainment areas (and Early Action Compact areas) of the LADCO states through voluntary incentive programs. The Midwest States Retrofit Program would fund through general transportation fund or revenues generated from fuel taxes, or transportation fees or surcharges. The Midwest States Retrofit Program would provide monetary grants to eligible projects in nonattainment areas and affected counties. The grants would offset the incremental costs associated with retrofitting high emitting diesel engines with emission reduction devices.

Once the funding sources and allocations have been established based on program emission reduction goals or objectives, LADCO would work with stakeholders to develop project eligibility, selection criteria, and monitoring and reporting based on well-established and successful programs, such as the Carl Moyer, SECAT and TERP programs. These requirements would be incorporated in the program guideline, request for applications, and contract documents.

Prior to releasing request for applications, LADCO would organize workshops and outreach activities to inform and solicit comments from interest parties and stakeholders on the Midwest States Retrofit Program. Request for applications would then be published to solicit emission reduction retrofit applications. Applications would then be reviewed by pre-determined application review committee(s). The committee(s) would approve or reject, and prioritize applications based on the established evaluation criteria.

**Emissions and Emission Reductions** – Emission and emission reduction estimates would be calculated based on the guidelines developed for the Midwest States Retrofit Program. The guidelines would ensure that the emission and emission reduction estimates would be SIP creditable.

**Cost and Cost-Effectiveness** – Costs for retrofit technologies would depend on the technology and application types as presented in Table 46/51-2. The cost effectiveness of an application would depend on the cost and potential emission reductions. Once an overall project cost-effectiveness limit is set (e.g. the Carl Moyer's cost-effectiveness limit of \$14,300 per weighted ton of ROG+NO<sub>x</sub>+PM emissions), the overall project cost effective value would be equal or less than that limit.

**Technical Implementation Feasibility and Public Acceptance** – A Midwest States Retrofit Program is feasible as similar programs have already been implemented in the Midwest States, California, Texas and elsewhere in the U.S. However, it would require substantial effort to establish the funding mechanism and sources, as well as to develop, implement and monitor such a program. Once established, voluntary incentive retrofits program generally is one of the most cost effective measures to reduce in-use emissions from vehicles and equipment, as demonstrated in California and Texas, and elsewhere in the U.S. While it is not exactly a retrofit technology, fleet modernization strategy via repowering high-emitting engines with lower emitting engines, or replacing older vehicle/equipment with newer, cleaner vehicle/equipment should be considered one of the retrofit options.

The following list of tables can be found in Appendix A.

#### **Appendix M46/51**

**Table M46/51-OR.** Potential NOx emission reduction and cost-effectiveness values for the use of retrofit technologies for on-road HDDVs.

**Table M46/51-Con.** Potential NOx emission reduction and cost-effectiveness values for the use of retrofit technologies for non-road construction equipment.

**Table M46/51-Ag.** Potential NOx emission reduction and cost-effectiveness values for the use of retrofit technologies for non-road agricultural equipment.

**Table 46/51-MCDI-1.** Overall emissions reductions and emissions reductions per vehicle for MCDI-funded projects in Illinois.

**Table 46/51-MCDI-2.** Overall emissions reductions and emissions reductions per vehicle for MCDI-funded projects in Indiana.

**Table 46/51-MCDI-3.** Overall emissions reductions and emissions reductions per vehicle for MCDI-funded projects in Michigan.

**Table 46/51-MCDI-4.** Overall emissions reductions and emissions reductions per vehicle for MCDI-funded projects in Minnesota.

**Table 46/51-MCDI-5.** Overall emissions reductions and emissions reductions per vehicle for MCDI-funded projects in Ohio.

**Table 46/51-MCDI-6.** Overall emissions reductions and emissions reductions per vehicle for MCDI-funded projects in Wisconsin.

### Measure 38/39/40/41: Particulate Matter Emission Reduction Programs for High Emitting Vehicles

**Description** – This control measure involves reducing organic carbon emissions from smoking vehicles, focusing mostly on light-duty gasoline vehicles (LDGVs). High-emitting vehicles of the type that fail an inspection test are usually high-emitters of gaseous emissions (e.g. CO, NO<sub>x</sub>, HCs) and are often non-visible emitters. However, it is believed that visibly smoking vehicles will represent a large percentage of the high PM-emitting vehicles, and thus this measure focuses on visibly smoking vehicles. Smoking vehicles mainly result from a vehicle malfunction or from poorly-maintained vehicles. Well-maintained vehicles should not emit smoke. There are three categories of smoke emitted from LDGVs that have been identified: white smoke, black smoke and blue smoke.<sup>48</sup> Black smoke is believed to be related to incomplete fuel combustion and can be attributed to a number of factors including clogged air filters, fuel injection or emission system malfunction, ignition timing malfunction or a blocked manifold. Blue smoke is usually identified as engine oil being burned, and is most often due to oil leakage into the combustion chamber from worn piston rings and valves. White smoke is usually water vapor or coolant, and can be due only to a cold engine startup, in which case repair is not necessary. However other causes could be coolant leakage into the combustion chamber and subsequent emissions of coolant as an aerosol. The types of smoke from LDGVs and their likely causes are summarized in Table 38/39/40/41-1.

**Table 38/39/40/41-1:** Possible causes of smoking vehicles by smoke colors.

Smoke Color	Causes	Possible Reasons
Black or grey	Unburned hydrocarbons being emitted due to incomplete fuel combustion	Clogged air filter Fuel injection/timing malfunction Failed emission control system Blocked manifold
Blue	Combustion of engine/lubrication oil	Oil leakage into combustion chamber from worn piston rings or valve seals
White	Water vapor or coolant	Cold engine startup (water vapor): does not require repair Coolant leakage onto engine block

*Smoking Vehicles* - Studies have shown that visibly smoking vehicles can emit substantially higher PM emissions than non-smoking vehicles. However, some high PM-emitting vehicles do not visibly emit smoke. A recent study by the California Air Resources Board (CARB) indicated that for light-duty vehicles, smoking vehicles emit PM emissions as high as 0.27 g/mi, compared to 0.03 g/mi for well-maintained vehicles.<sup>49</sup> The CARB study also showed that particulate matter from high PM-emitting vehicles tended to be highly weighted towards ultra-fine particles. A detailed study conducted in the South Coast Air Quality Management District (SCAQMD) in California by the University of California, Riverside in 1999 indicated that smoking light-duty vehicles make up only 1.11%-1.75% of the total vehicle fleet yet account for a disproportionate amount of PM emissions in the district.<sup>50</sup> That study (CRC E24-2) also showed that PM emissions from LDGVs, especially older model high emitting or smoking vehicles, consisted of

<sup>48</sup> <http://www.nctcog.org/trans/air/smoking/causes.asp>

<sup>49</sup> "Evaluation of the California Enhanced Vehicle Inspection and Maintenance (Smog Check) Program, Report to the Legislature." California Air Resources Board and Department of Consumer Affairs/Bureau of Automotive Repair, April 2004.

<sup>50</sup> "Population Density, Particulate Emission Characterization, and Impact on the Particulate Inventory of Smoking Vehicles in the South Coast Air Quality Management District," Durbin, T.D. et al., Center for Environmental Research and Technology, College of Engineering, University of California, Riverside, Journal of Air & Waste Management Association 49:28-38, 1999.

more than 65% organic carbon.<sup>51</sup> Other studies, such as an EPA study conducted in the Phoenix Arizona metropolitan area, have indicated that in fact LDGVs represent the major source of organic carbon PM emissions (higher than diesel engine sources)<sup>52</sup>. A recent study has been undertaken in the LADCO region on urban organic carbon emissions and also found that mobile sources were major sources of PM<sub>2.5</sub> organic carbon<sup>53</sup>.

PM emissions from these high-emitting vehicles have not been addressed by modeling by either CARB or EPA. For light duty gasoline vehicles, EPA's MOBILE6.2 model incorporates high emitter adjustments to account for possible bias in emission and deterioration factors derived from federal test procedure data for hydrocarbons, carbon monoxide, and nitrogen oxides based on Dayton, Ohio inspection and maintenance test data. However, no such high emitter adjustments are incorporated into MOBILE6.2 for PM emissions for light duty or heavy duty vehicles.<sup>54</sup> A consortium led by the EPA has initiated a program to evaluate exhaust emissions from light-duty, gasoline powered vehicles in the Kansas City Metropolitan Area, including assessment of PM emissions from high emitting or smoking vehicles.<sup>55,56</sup> One of the objectives of the Kansas City Light Duty Vehicles Study is to develop an emissions database to improve mobile source inventories, including PM emissions from high emitting or smoking vehicles. Preliminary results from that study indicated that high emitting LDGVs contributed a disproportionate share (75%) of the total PM emissions<sup>57</sup>.

*Remote Sensing Devices* - Remote sensing of visibly smoking vehicles is possible and has been investigated in a number of locations. The Regional Air Quality Council (RAQC) in the State of Colorado has explored the possibility of using remote sensing to detect high-emitting vehicles in the Denver metropolitan area.<sup>58</sup> The remote sensing technology is optically-based and focuses on measuring gaseous emissions, including HC, CO and NO<sub>x</sub> emissions. Infrared (IR) and ultraviolet (UV) light is directed across the road and passively reflected back to detectors that monitor light intensity at characteristic wavelengths. The amount of IR or UV light absorbed is translated into the exhaust concentration of the regulated pollutant of interest, CO, CO<sub>2</sub>, HC, and NO<sub>x</sub>. However, the RSD was incapable of measuring PM emissions. Opacity measurement capability in RSDs has been studied and implemented in a research program conducted by the University of Denver.<sup>59</sup> The research indicated that opacity measurement using a laser-based system would be possible and should be able to measure vehicle opacity and therefore identify smoking vehicles as part of the RAQC remote sensing program. However, the University of Denver researcher cautioned that opacity measurements do not necessarily correlate to high-PM-emitting vehicles as it measures only particle size

<sup>51</sup> "Measurement of Primary Particulate Matter Emissions from Light-Duty Motor Vehicles" CRC Project E-24-2, Final Report to Coordinating Research Council and South Coast Air Quality Management District, Center for Environmental Research and Technology, College of Engineering, University of California, Riverside, December 1998.

<sup>52</sup> "Source Apportionment of Phoenix PM<sub>2.5</sub> Aerosol with the Unmix Receptor Model," Lewis, C.W. et al., National Exposure Research Laboratory, U.S. EPA, J. of Air & Waste Manage. Assoc., 53: 325-338, 2003.

<sup>53</sup> "Midwest Urban Organics Study: Lessons Learned," Brown, S.G., et al., University of Wisconsin, Madison and Sonoma Technology Inc., prepared for LADCO, STI-903520-2945, 2006.

<sup>54</sup> "Analysis of Emissions Deterioration Using Ohio and Wisconsin IM240 Data" United States Environmental Protection Agency, Air and Radiation, EPA420-R-02-003, 2002.

<sup>55</sup> [http://www.epa.gov/ttn/chief/conference/ei13/mobile/baldauf\\_pres.pdf](http://www.epa.gov/ttn/chief/conference/ei13/mobile/baldauf_pres.pdf)

<sup>56</sup> <http://www.epa.gov/ttn/chief/conference/ei13/mobile/baldauf.pdf>

<sup>57</sup> "Characterizing Exhaust Emission of Regulated Pollutants from the Kansas City Light-Duty Vehicle Emission Study (E-69)." 16th CRC On-Road Vehicle Emissions Workshop, San Diego CA, March 30, 2006.

<sup>58</sup> "An Analysis of Strategies to Identify, Repair and Retire Smoking and High-Emitting Vehicles in the Denver Metropolitan Region" Denver Regional Air Quality Council, 2002.

<sup>59</sup> (2002) "Opacity Enhancement of the On-Road Remote Sensor for HC, CO and NO," Final Report for E-56-2, Coordinating Research Council (CRC), Stedman, D.H., et al, University of Denver.

distribution and not particle mass distribution or particle number distribution. However it should be noted that a high value for the smoke number using the RSD will most likely translate to a high PM-emitting vehicle even if not all of the parameters of the PM are measured<sup>60</sup>.

More recently a study by ESP Corp. for the Virginia Department of Environmental Quality has shown that a new shorter wavelength UV RSD developed by ESP has the potential to detect high PM-emitting vehicles<sup>61</sup>. The new RSD operates at a wavelength of 250 nm, and so is able to detect smaller particles and is not sensitive to fuel flow rate due to the ability of the RSD to detect other hydrocarbons. This new RSD in development is calibrated only for black smoke from gasoline vehicles, and additional calibration is necessary to detect blue and white smoke from smoking vehicles. However the study shows that at this stage the RSD is able to identify smoking vehicles which will likely be high PM-emitting vehicles, even though a direct measurement of PM emissions is not possible now.

In the RAQC study, it was claimed that the remote sensing device would have a 100% effectiveness in identifying gaseous high-emitting vehicles and was limited only in the extent of coverage of the remote sensing devices. It was suggested that some PM high-emitters would also be identified through this remote sensing program. Based on a research study at the University of Denver, the RAQC determined that 60% of PM high-emitters would be captured by remote sensing of visibly smoking vehicles, but that 40% would be undetected because they are non-visible PM high-emitters.<sup>10,11</sup> However, it should be noted that there is no strong demonstrated correlation between high gaseous emissions and high PM emissions. A study conducted by NREL and the EPA indicated that only HC emissions have a moderate correlation with PM emissions but this cannot be generalized for all vehicles and all operating conditions<sup>62</sup>. Remote-sensing has also been evaluated by the California ARB in the Fresno area in a study conducted in 2005<sup>63</sup>. The study was able to identify high gaseous emitters, by remote sensing of CO, HC and to some extent NOx. Of the 48 vehicles identified in this study, follow-up investigation of the repairs indicated that the program was able to reduce CO and HC emissions by 94% and 65% respectively. NOx emissions were reduced by 54%. A combined cost-effectiveness of the remote-sensing program for all pollutant reduction was given as \$1850/ton, however the program did not measure PM emissions. It is likely that some of the high gaseous emitters caught in this program would also be high PM-emitters, but that was not verified.

*Smoking Vehicles Hotlines Programs* - Different states have adopted mitigation measures to address visibly smoking vehicles. These are primarily "hotlines", 800 numbers that residents can use to call the local air quality regulatory agency to report a smoking vehicle. This is then followed by the regulatory agency contacting the vehicle owner/operator and requesting that repairs be made or issuing a fine for operation of a smoking vehicle. Many Air Quality Management Districts in California have reporting programs for visibly smoking vehicles<sup>64</sup>, as

<sup>60</sup> Stedman, D., Personal Communication, January 2007.

<sup>61</sup> "Virginia Remote Sensing Device Study, Addendum – Vehicle Opacity," ESP Corp., prepared for Virginia Dept. of Environmental Quality, February 2003.

<sup>62</sup> "Analysis of Second Analysis of Second-by-by-Second Emissions and Air Second Emissions and Air Toxics Data from Gasoline Vehicles Toxics Data from Gasoline Vehicles," Lawson, D.R., et al., National Renewable Energy Research Laboratory, CRC Mobile Source Air Toxics Workshop, 2004.

in DOE in DOE's Gasoline/Diesel PM Split Study s Gasoline/Diesel PM Split Study

<sup>63</sup> <http://raqc.org/presentations/1302/Lawson073106.pdf>

<sup>64</sup> <http://www.arb.ca.gov/enf/complaints/smoke.htm>

well as the Denver metropolitan area in Colorado.<sup>65</sup> The CARB indicated that, of those vehicle owners identified in visibly smoking vehicle hotline programs, over 40% of vehicle owners who were sent advisory letters sent information back to the agency indicating that they had attended to their vehicles and made repairs if necessary.<sup>66</sup> However, it should be noted that a reply from a vehicle owner identified as operating a smoking vehicle does not necessarily mean that the repairs have been conducted.

The Denver RAQC reported an operating cost of \$14,000 annually for its Smoking Vehicle Hotline Program (not inclusive of public outreach costs), which receives an average of 6,000 complaints annually.<sup>12</sup> In the Denver RAQC report, four successful public outreach programs were cited with costs ranging from \$5,000 to \$80,000 per campaign depending on the method and scope of the outreach program. However, it was estimated that among vehicle owners contacted, only 5% of the calls resulted in voluntary repairs being made to smoking vehicles.

*Smoking Vehicles Policy Enforcement* - Another mitigation measure is through citations given by local and state police, which has been implemented in the SCAQMD in California, the Denver Metropolitan Area and the Salt Lake City metropolitan area in Utah.<sup>12,13,67</sup> However, it has been reported in California that police enforcement of smoking-vehicle policies is not sufficient to ensure that these high emitters are removed from service and/or repaired because the police are able to target only a small fraction of smoking vehicles (less than 1%) and the vehicle operator can choose to simply pay a fine and not conduct the necessary maintenance or repair<sup>12</sup>.

In the Denver metropolitan area field inspectors can issue a court summons to the owner/operator of a smoking vehicle which would result in a court appearance. Subsequently the owner/operator is required to prove that necessary repairs have been made to the smoking vehicle.

*Inspection/Maintenance Program with Visual Smoke Procedure* - Conventional I/M programs would not be properly equipped to detect smoking vehicles and have not demonstrated any reductions in PM emissions from high-emitting vehicles<sup>68</sup>. California is currently considering implementing a visual smoke observation as part of the regular vehicle inspection and registration requirements in the state for light-duty gasoline vehicles.<sup>69</sup> The program would be similar to the visual smoke test currently in place in Nevada's I/M program for LDGVs<sup>70</sup>. Any visible smoke observed exiting from the vehicle's tailpipe during an inspection will cause the vehicle to fail the inspection. According to CARB<sup>13</sup>, Nevada's smoke test has identified a substantial number of vehicle failures. For example, during the 2003 calendar year, emission inspectors in Washoe County performed 188,600 tests on 1976 to 1995 model year vehicles, and 920 or 0.5 percent of these vehicles were identified as having excessive smoke. The Nevada's Clark County program netted similar test results in 2003 with a 0.89 percent failure rate after testing 373,725 vehicles.

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<sup>65</sup> "An Analysis of Strategies to Identify, Repair and Retire Smoking and High-Emitting Vehicles in the Denver Metropolitan Region" Denver Regional Air Quality Council, 2002.

<sup>66</sup> "Evaluation of the California Enhanced Vehicle Inspection and Maintenance (Smog Check) Program, Report to the Legislature." California Air Resources Board and Department of Consumer Affairs/Bureau of Automotive Repair, April 2004.

<sup>67</sup> "Smoking Vehicle Enforcement Program to Expand County-Wide," News Release, Salt Lake Valley Health Department, State of Utah, 2002.

<sup>68</sup> "Evaluating Vehicle Emissions Inspection and Maintenance Programs," National Research Council, Committee on Vehicle Emission Inspection and Maintenance Programs, 2001.

<sup>69</sup> "AB1870 - (Lieber) Cleaning Up Smoking Vehicles," Lieber, S., Office of Assemblywoman Sally Lieber. 2006.

<sup>70</sup> <http://www.dmvnv.com/pdf/forms/1Gbook.pdf>

An additional mitigation measure might be based on the smoke opacity tests for heavy heavy-duty diesel trucks such as those conducted in California.<sup>71</sup> In these tests the truck is inspected for smoke emissions using an opacity meter that measures light extinction across the tailpipe of the truck and a pass/fail test criterion is based upon a maximum allowable smoke opacity value. In the case of California's HHDV smoke inspection program, all model year HHDVs 1991 and earlier must not exceed a 55% smoke opacity, and all model year HHDVs 1991 and later must not exceed a 40% smoke opacity<sup>71</sup>. Such a program may be applicable in the LADCO region, but it requires significant capital investment in the form of opacity meters as well as changes in the existing regulatory code for vehicles.

*Vehicle Scrappage Programs* - Vehicle scrappage programs, also called vehicle retirement programs, have been implemented in California and elsewhere in the U.S. The accelerated vehicle replacement program would target fleet light-duty vehicles 10 years old or older, and the scrappage program would target all light-duty vehicles 25 years old or older, including high emitting or smoking vehicles. The actual emission reduction realized would depend upon the model year of the replaced/scrapped vehicle, and the replacement vehicle. Like any replacement or scrappage program, the replaced or scrapped vehicle should be in good working order and would otherwise be used for many years to come if not replaced under these programs. The life of the emission credit generated will be equivalent to the remaining life of the engine or vehicle to be replaced. These programs should follow the EPA guidance document on accelerated vehicle retirement or scrappage for LDVs.<sup>72</sup>

In California, various Air Quality Management Districts have implemented voluntary accelerated vehicle retirement (VAVR) programs under the direction of the Carl Moyer Program. These programs provide financial assistance for vehicle owners/operators who voluntarily choose to retire aged vehicles. Between 2000 and 2003, these districts scrapped over 21,000 vehicles or over 5,000 vehicles per year. In the San Francisco Bay Area Air Quality Management District (BAAQMD) for example, the voluntary vehicle retirement program offers \$650 to owner/operators of light-duty vehicles older than model year 1985 to be retired and scrapped under the direction of the BAAQMD<sup>73</sup>. The California Bureau of Automotive Repair separately runs a state-wide voluntary vehicle scrappage program that provides up to \$1,000 to owner/operators towards accelerated retirement of a vehicle.<sup>74</sup>

In 2006 the ARB began a review process to modify the VAVR programs in California to potentially include RSD as a methodology for identifying vehicles for retirement or scrappage<sup>75</sup>. The ARB analysis confirms that RSD programs would not have a 100% accuracy rate in determining whether a vehicle was a gaseous or PM high-emitter, but could instead be used as a screening device to determine if a vehicle should then be brought to an authorized smog check facility for more detailed testing. Under this modified VAVR program, the SCAQMD is

<sup>71</sup> "Facts about California's Heavy-Duty Vehicle Inspection Program," HD Vehicle Information Series 1, California Air Resources Board, <http://www.arb.ca.gov/msprog/hdvp/pamphl1.pdf>.

<sup>72</sup> "Guidance for the Implementation of Accelerated Retirement of Vehicles Programs," EPA 420-R-93-018, Environmental Protection Agency, Ann Arbor, MI, February 1993.

<sup>73</sup> "Vehicle Buy Back Program Information," Bay Area Air Quality Management District (BAAQMD), [http://www.baaqmd.gov/pln/grants\\_and\\_incentives/vehicle\\_buyback/index.htm](http://www.baaqmd.gov/pln/grants_and_incentives/vehicle_buyback/index.htm), 2006.

<sup>74</sup> "Evaluation of the California Enhanced Vehicle Inspection and Maintenance (Smog Check) Program, Report to the Legislature." California Air Resources Board and Department of Consumer Affairs/Bureau of Automotive Repair, April 2004.

<sup>75</sup> "Proposed Amendments to the Air Resources Board's Regulations for Voluntary Accelerated Light-Duty Vehicle Retirement," Staff Report, California Air Resources Board, Oct. 20, 2006.

planning to use RSD, along with smog checks and the district's own Smoking Vehicles Database to better identify high-emitting vehicles operating in the district. Currently the SCAQMD has budgeted approximately \$900,000 for this work and expects to identify approximately 1,000,000 unique vehicle records through the use of RSD combined with other vehicle databases<sup>75</sup>.

The effectiveness of vehicle scrappage programs has not been clearly demonstrated. Some research efforts have indicated that vehicle scrappage programs tend to target "marginal" emitters, but do not substantially reduce the numbers of high-emitting vehicles on the road<sup>76</sup>, because these vehicles are not easily identifiable and thus cannot be targeted by a scrappage program.

**Emissions** – As discussed above, MOBILE6 estimates emissions for high emitters for VOC, CO, and NOx, but not for PM emissions. At the time that MOBILE6 was developed, there was not sufficient test data to develop high emitter rates for PM emissions. However, PM emissions from smoking vehicles were reported in a few studies, such as the CARB/SwRI's LDGV PM data; CRC Project E-24-1 in Denver, CO; CRC Project E-24-2 in Los Angeles, CA; and the recent Kansas City Light Duty Vehicle Study. The CARB/SwRI reported that PM emissions from smoking vehicles were as high as 0.23 g/mile, as compared to about 0.03 g/mile for well-maintained vehicles. The CRC studies reported that smoking vehicles emitted even higher PM emissions ranging from 0.33 to 0.39 g/miles. While the data are still being analyzed, preliminary results from the Kansas City LDV Study showed that PM emissions from high emitters were as high as 0.30 g/mile, and that these vehicles contributed to more than 75% of the total PM emissions in the test fleet.

**Cost** – As described above, the Denver metropolitan area has instituted a smoking vehicle hotline program that has collected some cost information. The program was estimated to have program start up costs between \$5,000 - \$80,000 for several outreach programs, and approximately \$14,000 per year in operational cost. However, it should be noted that this program does not include any financial assistance programs for low-income residents in the Denver metropolitan area for repairing smoking vehicles. The ARB in California has a financial assistance program as part of the smoking vehicle program that provides low-income households that have been cited for a smoking vehicle with up to \$500 towards repairs that would lead to the vehicle passing the smog check in California<sup>77</sup>. This program also offers up to \$1,000 for a vehicle owner to voluntarily retire an older smoking vehicle.

The Denver metropolitan area is currently considering the implementation of a remote sensing program for high-emitting vehicles. This program will only be able to identify visibly smoking vehicles, which is expected to capture roughly 60% of high-PM-emitting vehicles. This program is expected to incur an initial cost of \$50,000 and operational costs of up to \$150,000 per year. It may be possible to offset these costs by an increase in vehicle inspection fees.

**Emission Reductions** – Based on the available PM data, PM emissions from smoking vehicles could be reduced by more than 90% if they are to be repaired, if possible, to become well-maintained vehicles, or to be replaced with well-maintained vehicles (i.e. reducing PM emissions from a range of 0.23-0.39 g/mile to 0.03 g/mile.) Table 38/39/40/41-2 shows the potential

<sup>76</sup> "The Costs of "M" in I/M – Reflections on Inspection/Maintenance Programs," Lawton, D.R., J. Air & Waste Manage. Assoc., 45:465-476, 1995.

<sup>77</sup> "Smog-Check Program Fact Sheet – Consumer Assistance Program," California Department of Consumer Affairs, Bureau of Automotive Repair, 2006.

emission benefits of repairing or replacing a smoking vehicle. Assuming an annual VMT of 10,000 miles, the potential PM emission reduction to replace or maintain a smoking vehicle was estimated to be about 0.003 tons/year per vehicle. The useful life was assumed to be 3 years for the scrappage program as per the EPA LDV Vehicle Replacement Guidance<sup>78</sup>.

**Cost-Effectiveness** – As shown in Table 38/39/40/41-2, repairing and replacing a smoking vehicle with an assumed repair cost or incentive rebate of \$1,000 would yield a Carl Moyer cost-effectiveness value of about \$6,000 per tons of PM emissions reduced.

**Technical Implementation Feasibility and Public Acceptance** – This Organic Carbon Emission Reduction Programs on Smoking Vehicles Measure is feasible but the effectiveness of the measure would depend on the mean to identify and mitigate these vehicles. Based on the experiences in California and Colorado, an effective smoking vehicle emission reduction program would include effective smoking vehicle identification and mitigation programs. An effective smoking vehicle identification program would include a combination of public and regulatory participations, such as smoking vehicle outreach and hotline programs, and law enforcement program (traffic police and/or dedicated field/roadside inspectors); visual smoke inspection in I/M programs; and when technology is available, supplement the I/M programs with roadside remote sensing device to capture smoking vehicles. Mitigation measures would include repair or fine or lost of registration policy, and providing incentive to retire smoking vehicles via voluntary accelerated vehicle retirement (VAVR) programs.

**Table 38/39/40/41-2:** Potential emission benefits and cost effectiveness of smoking vehicle emission reduction measure.

	LDVs	
	Smoking	Well-Maintained
Annual mileage	10,000	10,000
Incremental Capital Cost		\$1,000
Useful Life (years)	3	3
Annualized Capital Cost (\$/yr)		\$354
PM Emissions (g/mile)	0.31	0.03
PM (tons/year)	0.0034	0.0003
PM Reduction (tons/year)		0.003
Carl Moyer Cost-Effectiveness (\$/ton)		\$5,830

<sup>78</sup> "Guidance for the Implementation of Accelerated Retirement of Vehicles Programs," EPA 420-R-93-018, Environmental Protection Agency, Ann Arbor, MI, February 1993.

## 5. EMISSION REDUCTION SCENARIOS

### Introduction

There are a variety of possible emission reduction measures that can be implemented in the LADCO states to reduce NO<sub>x</sub> and PM emissions from mobile sources. These measures will also address VOC emissions although onroad and nonroad diesel engines are not a major source of VOC emissions. Per LADCO request, several emission reduction scenarios were developed to estimate potential emission reductions and associated costs using the results from the detailed cost benefit analyses of the selected control measures. These scenarios are evaluated for calendar years 2009 and 2012.

This section presents a few of many potential emission reduction scenarios for reducing NO<sub>x</sub>, PM and VOC emissions from on-road diesel vehicles and nonroad diesel equipment, focusing on the on-road HDDVs, and diesel construction and agricultural equipment as they are the primary sources of NO<sub>x</sub> and PM emissions in the mobile source emission inventories in the LADCO states. However, the Smoking Vehicles measure focuses on reducing PM emissions from high-emitting smoking light-duty gasoline vehicles, and the Anti-Idling measure also includes the estimation of the potential emission reductions from locomotives. The measures included in these scenarios have a range of costs, potential NO<sub>x</sub> and PM emission reductions, and cost effectiveness. Some of the measures (technologies) discussed are as yet unverified technologies by the Environmental Protection Agency (EPA) or the California Air Resources Board (CARB) but have been implemented and funded in some emission reduction programs, such as the TERP and SECAT programs.

The general approach for developing these emission reduction scenarios was as follows<sup>1</sup>:

- Identify major emission contributors based on emission inventories;
- Generate/estimate vehicle or equipment population data;
- Identify and select control measures for target sources;
- Estimate potential emission reductions and associated cost estimates on a per vehicle or equipment basis, and cost-effectiveness values for selected measures;
- Develop criteria for penetration or compliance rates based on cost effectiveness values and vehicle or equipment availability (turnover rates); and
- Estimate potential total emission reductions and measure costs for selected measures and combinations of selected measures<sup>2</sup>.

The emission reduction scenario analysis provides a general idea of whether there are available or excess emissions, and potential emission reductions from target sources, as well as available measures to cost effectively reduce these available or excess emissions and associated costs to achieve the potential emission reductions.

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<sup>1</sup> A summary of emission inventory and vehicle population estimates, and emission control measure and emission reduction scenario analysis is provided in Appendix C.

<sup>2</sup> A more refined estimate can be made by applying the MOBILE6 model and actual transportation model VMT data. USEPA has recommended the use of this methodology to determine SIP emission reduction credits.

### Emission Reduction Scenario for On-road Heavy-Duty Diesel Vehicles

Tables 5-1 and 5-2 show example emission reduction scenarios for on-road diesel vehicles in the LADCO states in 2009 and 2012. These emission reduction scenarios focus on Class 8 HDDVs (the largest truck class), as they contribute to approximately 38% of NO<sub>x</sub> and 28% of PM emissions in the 2009 on-road emission inventories in every LADCO state, and approximately 38% of NO<sub>x</sub> and 22% of PM emissions in the 2012 on-road LADCO emissions inventories. Based on projected 2009 VMT and average annual VMT, it was estimated that there will be nearly 400,000 Class 8 HDDVs operating in the LADCO states in 2009. Based on projected 2012 VMT and average annual VMT, it was estimated that there will be more than 415,000 Class 8 HDDVs operating in the LADCO states in 2012.

This emissions reduction scenario includes the following control measures: voluntary after-treatment device retrofits, fleet modernization, reductions associated with the Midwest Clean Diesel Initiative, anti-idling restrictions, and a mandatory chip reflashing program.

For the after-treatment device retrofits and fleet modernization scenarios, ENVIRON assumed penetration rates based on cost-effectiveness values using the following criteria (with measures implemented in 2007):

Cost-Effectiveness (CE) Value (\$/ton of NO <sub>x</sub> reduced)	Penetration Rate (%)	
	2009	2012
CE < 4,000	5	10
4,000 < CE < 7,000	4	8
7,000 < CE < 10,000	3	6
10,000 < CE < 14,300	2	4
14,300 and over	0	0

The penetration rates were assumed to be doubled for the 2012 scenario to reflect the fact that those measures would have been implemented for twice as long (e.g. 3 years for 2007 to 2009, and 6 years for 2007 to 2012).

For the Low NO<sub>x</sub> Calibration/Reflashing measure, ENVIRON assumed that it would be implemented in 2007, with cumulative compliance rates of 60% and 80% in 2009 and 2012 for applicable model year (1993 to 1998) medium HDDVs and heavy HDDVs, respectively. The implementation options would include using existing authorities, such as anti-tampering compliance authority, pursuing a mandatory program based on the current draft OTC model rule, or working with the engine manufacturers on a voluntary program. These compliance rates account for HDDVs that have been reflashed or do not have calibration that produces excess off-cycle emissions. It is assumed that by 2012 all of these vehicles have either complied with the reflashing measure or are no longer operating. For the Anti-idling measure, ENVIRON assumed that 15% and 30% of the Class 8 HDDV would participate in the program in 2009 and 2012, respectively.

As shown in Table 5-1, the 2009 emission reduction scenario for heavy HDDVs could achieve approximately 54 tons per day (tpd) of NO<sub>x</sub> emissions reduction, 3.8 tpd of PM emissions reduction, and approximately 23 tpd of ROG emissions reduction for selected incentive/voluntary measures (including fleet modernization and aftertreatment device retrofits)

for a cost of about \$2.2 billion with an average cost-effectiveness value of \$7,100 per combined ton of NO<sub>x</sub>, 20xPM, and ROG emissions reduced. The total number of vehicles involved under this scenario for voluntary/incentive programs is about 113,000, which is about 34% of the total available fleet in 2009. Note that the grand total of vehicles recommended, shown at the bottom of Table 5-1, includes vehicles to which Measure 42 (Low NO<sub>x</sub> Calibration/Reflash) and Measure 46 apply thus these vehicles may be double-counted. Assuming that these control measures would begin in 2007, the 34% vehicle penetration rate would translate to about 11% turnover rate per year in a three-year time frame, which is a viable penetration rate to achieve.

Assuming the Low NO<sub>x</sub> Calibration/Reflashing measure would effectively provide cumulative compliance rates of 60% and 80% in 2009 for applicable model year (1993 to 1998) medium HDDVs and heavy HDDVs, the measure would reduce about 27 tpd of NO<sub>x</sub> emissions for a cost of about \$11 million in 2009 from the applicable HDDVs. The cost-effectiveness value for the Low NO<sub>x</sub> Calibration/Reflashing measure was estimated to be about \$370 per ton of NO<sub>x</sub> emissions reduced for heavy duty trucks.

Assuming that 15% of the Class 8 trucks participated in the measure in 2009, the Anti-Idling measure would provide about 4 tpd of NO<sub>x</sub> emission reduction, 0.06 tpd of PM emission reduction, and 0.18 tpd of ROG emission reduction for a cost of about \$444 million. The cost-effectiveness value for this measure was estimated to be about \$1,700 per ton of NO<sub>x</sub>, 20xPM and ROG emissions reduced.

Assuming that the MCDI would continue to receive a similar level of funding of \$23 million per year on average (as reported to-date by USEPA), the MCDI would provide about additional 9, 2 and 1 tpd of NO<sub>x</sub>, PM and ROG emission reductions, respectively, in 2009 for a cost about \$97 million, as determined by USEPA through MOBILE6 and NONROAD modeling.

The total potential emission reductions from this 2009 onroad emission reduction scenario are about 94 tpd for NO<sub>x</sub> emissions, 5.4 tpd for PM emissions, and 24.6 tpd for ROG emissions for a total cost of about \$2.8 billion.

As for the 2012 emission reduction scenario for onroad HDDV vehicles, Table 5-2 shows that the emission reductions achievable could be approximately 54 tpd for NO<sub>x</sub> emissions, 4.2 tpd of PM emissions, and 24.6 tpd for ROG emissions from these incentive/voluntary measures for a cost of about \$3.0 billion. The average cost-effectiveness value is estimated to be about \$7,100 per combined ton of NO<sub>x</sub>, 20xPM, and ROG emissions reduced. The total number of vehicles involved under this scenario for voluntary/incentive programs is about 286,000, which is about 68% of the total available fleet in 2012. Assuming that these control measures would begin in 2007, the 68% vehicle penetration rate would translate to about 11% turnover rate per year in a six-year time frame, which is a viable penetration rate to achieve.

The compliance rates and cost-effectiveness in 2012 for the Low NO<sub>x</sub> Calibration/Reflashing measure are the same as those in 2009. The number of vehicles available for reflashing is less in 2012 due to vehicle retirement/scrappage. For 2012 the potential emissions reductions are 23 tpd of NO<sub>x</sub> for a cost of approximately \$9.6 million.

For the 2012 emission reduction scenario, the HDDVs participated in the Anti-Idling measure are assumed to be doubled to reflect the fact that the measure would have been implemented for six years starting in 2007. The potential emission reductions are estimated to be about 6 tpd of

NOx emission reduction, 0.08 tpd of PM emission reduction, and 0.33 tpd of ROG emission reduction.

Again, assuming that MCDI would receive similar level of annual funding, the MCDI measure would provide about 18 tpd of NOx emission reduction, 3 tpd each of PM and ROG emission reductions for a cost of about \$190 million.

The total potential emission reductions for the 2012 emission reduction scenario for the onroad HDDVs are about 102 tpd for NOx emissions, 7.4 tpd for PM emissions, and 27.5 tpd for ROG emissions for a total cost of about \$4.1 billion.

**Table 5-1.** An example emission reduction scenario to reduce NOx, PM and VOC emissions from on-road diesel vehicles in the LADCO states in 2009.

Technology	Project Cost-Effectiveness (\$/ton)	Estimated Reductions per Vehicle (tons/yr)			Cost per Unit (\$)	Number of Units Available	Units Recommended	Total Cost	Total Emissions Reductions (tons/day)		
		NOx	PM	ROG					NOx	PM	ROG
<b>Measure 31: Fleet Modernization (Incentive/Voluntary Program)</b>											
<b>Diesel Engine/Vehicle Upgrades (MY 1990 Engine 6 g NOx)</b>											
MY 1989 and Earlier	\$26,154	0.18	0.000	0.001	\$35,000	60,761	-	\$0	0.00	0.00	0.00
<b>Sub Total</b>							-		<b>0.00</b>	<b>0.00</b>	<b>0.00</b>
<b>Diesel Engine/Vehicle Upgrades (MY 2001/2 Engine 4 g NOx)</b>											
MY 1989 and Earlier	\$8,783	0.26	0.02	0.00	\$40,000	60,761	1,823	\$72,912,642	1.31	0.10	0.02
MY 1990	\$8,551	0.12	0.03	0.00	\$40,000	8,641	259	\$10,368,746	0.08	0.02	0.00
MY 1991 - 1997	\$25,529	0.09	0.01	0.00	\$40,000	79,541	-	\$0	0.00	0.00	0.00
<b>Sub Total</b>							<b>2,082</b>	<b>83,281,388</b>	<b>1.40</b>	<b>0.11</b>	<b>0.02</b>
<b>Diesel Engine/Vehicle Upgrades (MY 2002/4 Engine 2.4 g NOx)</b>											
MY 1989 and Earlier	\$8,657	0.32	0.02	0.03	\$45,000	60,761	1,823	\$82,026,722	1.59	0.10	0.16
MY 1990	\$8,015	0.20	0.03	0.04	\$45,000	8,641	259	\$11,664,839	0.14	0.02	0.03
MY 1991 - 1997	\$14,569	0.22	0.01	0.07	\$45,000	79,541	-	\$0	0.00	0.00	0.00
MY 1998 - 2001	\$17,472	0.22	0.00	0.11	\$45,000	65,258	-	\$0	0.00	0.00	0.00
<b>Sub Total</b>							<b>2,082</b>	<b>93,691,561</b>	<b>1.73</b>	<b>0.11</b>	<b>0.19</b>
<b>Diesel Engine/Vehicle Upgrades (MY 2007 Engine 0.2 g NOx)</b>											
MY 1998 - 2001	\$8,611	0.53	0.01	0.16	\$60,000	65,258	1,958	117,465,107	2.86	0.07	0.87
MY 2002 - 2006	\$8,587	0.49	0.02	0.08	\$60,000	110,395	3,312	198,710,622	4.46	0.18	0.73
<b>Sub Total</b>							<b>5,270</b>	<b>316,175,729</b>	<b>7.32</b>	<b>0.25</b>	<b>1.60</b>
<b>Measure 46: Aftertreatment Device Retrofits (Incentive/Voluntary Program)</b>											
<b>Measure 46a: DPF</b>											
MY 1989 and Earlier	\$2,954	0.00	0.02	0.04	\$9,000	60,761	3,038	\$27,342,241	0.00	0.16	0.33
MY 1990	\$2,069	0.00	0.03	0.06	\$9,000	8,641	432	\$3,888,280	0.00	0.03	0.07
MY 1991 - 1997	\$3,534	0.00	0.01	0.09	\$9,000	79,541	3,977	\$35,793,479	0.00	0.14	0.96
MY 1998 - 2001	\$3,026	0.00	0.01	0.15	\$9,000	65,258	3,263	\$29,366,277	0.00	0.11	1.31
MY 2002 - 2006	\$2,601	0.00	0.02	0.09	\$9,000	110,395	5,520	\$49,677,656	0.00	0.29	1.35
<b>Sub Total</b>							<b>16,230</b>	<b>\$146,067,931</b>	<b>0.00</b>	<b>0.72</b>	<b>4.01</b>
<b>Measure 46b: Cleaire LNC + DPF</b>											
MY 1989 and Earlier	\$5,536	0.10	0.02	0.04	\$ 20,000	60,761	2,430	\$48,608,428	0.67	0.13	0.24
MY 1990	\$4,281	0.08	0.03	0.05	\$ 20,000	8,641	346	\$6,912,497	0.08	0.03	0.05
MY 1991 - 1997	\$6,645	0.11	0.01	0.08	\$ 20,000	79,541	3,182	\$63,632,851	0.92	0.11	0.70
MY 1998 - 2001	\$5,816	0.14	0.01	0.13	\$ 20,000	65,258	2,610	\$52,206,714	1.00	0.09	0.95
MY 2002 - 2006	\$5,487	0.13	0.02	0.08	\$ 20,000	110,395	4,416	\$88,315,832	1.62	0.23	0.99
<b>Sub Total</b>							<b>12,984</b>	<b>259,676,323</b>	<b>4.29</b>	<b>0.58</b>	<b>2.92</b>

Technology	Project Cost-Effectiveness (\$/ton)	Estimated Reductions per Vehicle (tons/yr)			Cost per Unit (\$)	Number of Units Available	Units Recommended	Total Cost	Total Emissions Reductions (tons/day)		
		NOx	PM	ROG					NOx	PM	ROG
<b>Measure 46c: EGR + DPF Retrofit</b>											
MY 1989 and Earlier	\$5,548	0.16	0.02	0.04	\$ 23,000	60,761	2,430	\$65,899,692	1.07	0.13	0.24
MY 1990	\$4,415	0.13	0.03	0.05	\$ 23,000	8,641	346	\$7,949,372	0.12	0.03	0.05
MY 1991 – 1997	\$6,281	0.17	0.01	0.08	\$ 23,000	79,541	3,182	\$73,177,779	1.47	0.11	0.70
MY 1998 – 2001	\$5,192	0.22	0.01	0.13	\$ 23,000	65,258	2,610	\$60,037,721	1.61	0.09	0.95
MY 2002 – 2006	\$4,710	0.21	0.02	0.08	\$ 23,000	110,395	4,416	\$101,563,207	2.59	0.23	0.99
<b>Sub Total</b>							<b>12,984</b>	<b>298,627,771</b>	<b>6.87</b>	<b>0.58</b>	<b>2.92</b>
<b>Measure 46d: SCR Retrofit</b>											
MY 1989 and Earlier	\$12,002	0.32	0.00	0.00	\$ 26,500	60,761	1,215	\$32,203,084	1.07	0.00	0.00
MY 1990	\$15,139	0.26	0.00	0.00	\$ 26,500	8,641	-	\$0	0.00	0.00	0.00
MY 1991 – 1997	\$11,810	0.34	0.00	0.00	\$ 26,500	79,541	1,591	\$42,156,764	1.47	0.00	0.00
MY 1998 – 2001	\$9,224	0.45	0.00	0.00	\$ 26,500	65,258	1,958	\$51,880,422	2.41	0.00	0.00
MY 2002 – 2006	\$10,173	0.43	0.00	0.00	\$ 26,500	110,395	2,208	\$58,509,239	2.59	0.00	0.00
<b>Sub Total</b>							<b>6,972</b>	<b>184,749,508</b>	<b>7.55</b>	<b>0.00</b>	<b>0.00</b>
<b>Measure 46e: DOC Retrofit</b>											
MY 1989 and Earlier	\$1,314	0.00	0.01	0.03	\$2,000	60,761	3,038	\$6,076,053	0.00	0.08	0.24
MY 1990	\$920	0.00	0.01	0.04	\$2,000	8,641	432	\$864,062	0.00	0.02	0.05
MY 1991 – 1997	\$1,431	0.00	0.01	0.06	\$2,000	79,541	3,977	\$7,954,106	0.00	0.06	0.69
MY 1998 – 2001	\$1,156	0.00	0.01	0.11	\$2,000	65,258	3,263	\$6,525,839	0.00	0.05	0.95
MY 2002 – 2006	\$1,093	0.00	0.01	0.06	\$2,000	110,395	5,520	\$11,039,479	0.00	0.14	0.98
<b>Sub Total</b>							<b>16,230</b>	<b>32,459,540</b>	<b>0.00</b>	<b>0.34</b>	<b>2.90</b>
<b>Measure 46f: FTF Retrofit</b>											
MY 1989 and Earlier	\$4,650	0.00	0.01	0.04	\$9,000	60,761	2,430	\$21,873,793	0.00	0.08	0.26
MY 1990	\$3,257	0.00	0.02	0.06	\$9,000	8,641	432	\$3,888,280	0.00	0.02	0.07
MY 1991 – 1997	\$4,945	0.00	0.01	0.09	\$9,000	79,541	3,182	\$28,634,783	0.00	0.06	0.77
MY 1998 – 2001	\$3,941	0.00	0.01	0.15	\$9,000	65,258	3,263	\$29,366,277	0.00	0.06	1.31
MY 2002 – 2006	\$3,809	0.00	0.01	0.09	\$9,000	110,395	5,520	\$49,677,656	0.00	0.17	1.35
<b>Sub Total</b>							<b>14,827</b>	<b>133,440,788</b>	<b>0.00</b>	<b>0.39</b>	<b>3.75</b>
<b>Measure 46g: DOC + SCR Retrofit</b>											
MY 1989 and Earlier	\$8,517	0.32	0.01	0.03	\$27,500	60,761	1,823	\$50,127,441	1.61	0.03	0.14
MY 1990	\$8,611	0.26	0.01	0.04	\$27,500	8,641	259	\$7,128,513	0.18	0.01	0.03
MY 1991 – 1997	\$8,404	0.34	0.00	0.06	\$27,500	79,541	2,386	\$65,621,378	2.21	0.02	0.41
MY 1998 – 2001	\$6,571	0.45	0.004	0.10	\$27,500	65,258	2,610	\$71,784,232	3.21	0.03	0.75
MY 2002 – 2006	\$7,245	0.43	0.006	0.06	\$27,500	110,395	3,312	\$91,075,702	3.89	0.05	0.58
<b>Sub Total</b>							<b>10,390</b>	<b>285,737,266</b>	<b>11.11</b>	<b>0.13</b>	<b>1.92</b>
<b>Measure 46h: SCR + DPF Retrofit</b>											
MY 1989 and Earlier	\$5,812	0.32	0.02	0.04	\$30,000	60,761	2,430	\$72,912,642	2.15	0.13	0.24
MY 1990	\$5,060	0.26	0.03	0.05	\$30,000	8,641	346	\$10,368,746	0.24	0.03	0.05
MY 1991 – 1997	\$6,505	0.34	0.01	0.08	\$30,000	79,541	3,182	\$95,449,277	2.95	0.11	0.69
MY 1998 – 2001	\$5,427	0.45	0.01	0.13	\$30,000	65,258	2,610	\$78,310,071	3.21	0.09	0.95
MY 2002 – 2006	\$5,335	0.43	0.02	0.08	\$30,000	110,395	4,416	\$132,473,748	5.19	0.23	0.98
<b>Sub Total</b>							<b>12,984</b>	<b>389,514,484</b>	<b>13.74</b>	<b>0.58</b>	<b>2.91</b>
<b>Overall Projects</b>											
MY 1989 and Earlier						60,761	22,481	469,982,738	9.48	0.91	1.85
MY 1990						8,641	3,111	63,033,334	0.85	0.19	0.39
MY 1991 – 1997						79,541	24,658	412,420,416	9.03	0.62	4.92
MY 1998 – 2001						65,258	24,146	496,942,660	14.31	0.57	8.03
MY 2002 – 2006								781,043,140			

Technology	Project Cost-Effectiveness (\$/ton)	Estimated Reductions per Vehicle (tons/yr)			Cost per Unit (\$)	Number of Units Available	Units Recommended	Total Cost	Total Emissions Reductions (tons/day)		
		NOx	PM	ROG					NOx	PM	ROG
MY 2007+						110,395	38,638		20.35	1.51	7.95
MY 2007+						69,954	0	0	0	0	0
<b>Total</b>						<b>394,549</b>	<b>113,034</b>	<b>2,223,422,288</b>	<b>54.03</b>	<b>3.80</b>	<b>23.14</b>
<b>Measure 46i: Midwest Clean Diesel Initiative</b>											
All Diesel Sources	\$1,492	N/A	N/A	N/A	N/A	N/A	N/A	\$97,119,156	9.18	1.56	1.29
<b>Sub Total</b>								<b>\$97,119,156</b>	<b>9.18</b>	<b>1.56</b>	<b>1.29</b>
<b>Measure 33/34/35/37: Anti-Idling Restriction on Onroad HDDVs</b>											
All MY Heavy HDDVs	\$1,700	0.02	0.000	0.001	\$7,500	394,549	59,182	\$443,867,544	3.84	0.06	0.18
<b>Sub Total</b>						<b>394,549</b>	<b>59,182</b>	<b>\$443,867,544</b>	<b>3.84</b>	<b>0.06</b>	<b>0.18</b>
<b>Measure 42: Accelerate Low NOx Calibration/Refresh Program (Mandatory Phase-in)</b>											
MY 1993-1998 Med-HDDVs	\$371	0.04	0.00	0.00	\$100	83,577	50,146	\$5,014,633	5.28	0.00	0.00
MY 1993-1998 Heavy-HDDVs	\$110	0.13	0.00	0.00	\$100	75,162	60,129	\$6,012,926	21.33	0.00	0.00
<b>Sub Total</b>							<b>110,276</b>	<b>11,027,558</b>	<b>26.61</b>	<b>-</b>	<b>-</b>
<b>Grand Total</b>						<b>394,549</b>	<b>282,491</b>	<b>\$2,775,436,547</b>	<b>93.7</b>	<b>5.4</b>	<b>24.6</b>

**Table 5-2. An example emission reduction scenario to reduce NOx, PM and VOC emissions from on-road diesel vehicles in the LADCO states in 2012.**

Technology	Project Cost-Effectiveness (\$/ton)	Estimated Reductions per Vehicle (tons/yr)			Cost per Unit (\$)	Number of Units Available	Units Recommended	Total Cost	Total Emissions Reductions (tons/day)		
		NOx	PM	ROG					NOx	PM	ROG
<b>Measure 31: Fleet Modernization (Incentive/Voluntary Program)</b>											
<b>Diesel Engine/Vehicle Upgrades (MY 1990 Engine 6 g NOx)</b>											
MY 1989 and Earlier	\$28,789	0.16	0.000	0.001	\$35,000	40,446	-	\$0	0.00	0.00	0.00
<b>Sub Total</b>								<b>-</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>
<b>Diesel Engine/Vehicle Upgrades (MY 2001/2 Engine 4 g NOx)</b>											
MY 1989 and Earlier	\$9,661	0.24	0.02	0.00	\$40,000	40,446	2,427	\$97,071,049	1.59	0.12	0.02
MY 1990	\$11,401	0.09	0.02	0.00	\$40,000	7,495	300	\$11,991,524	0.07	0.02	0.00
MY 1991 - 1997	\$34,934	0.07	0.00	0.00	\$40,000	69,085	-	\$0	0.00	0.00	0.00
<b>Sub Total</b>							<b>2,727</b>	<b>109,062,573</b>	<b>1.66</b>	<b>0.13</b>	<b>0.02</b>
<b>Diesel Engine/Vehicle Upgrades (MY 2002/4 Engine 2.4 g NOx)</b>											
MY 1989 and Earlier	\$9,522	0.29	0.02	0.03	\$45,000	40,446	2,427	\$109,204,930	1.93	0.12	0.19
MY 1990	\$10,687	0.15	0.02	0.03	\$45,000	7,495	300	\$13,490,464	0.12	0.02	0.03
MY 1991 - 1997	\$19,936	0.16	0.00	0.05	\$45,000	69,085	-	\$0	0.00	0.00	0.00
MY 1998 - 2001	\$24,024	0.16	0.00	0.08	\$45,000	56,650	-	\$0	0.00	0.00	0.00
<b>Sub Total</b>							<b>2,727</b>	<b>122,695,395</b>	<b>2.05</b>	<b>0.13</b>	<b>0.22</b>
<b>Diesel Engine/Vehicle Upgrades (MY 2007 Engine 0.2 g NOx)</b>											
MY 1998 - 2001	\$11,841	0.39	0.01	0.12	\$60,000	56,650	2,266	135,959,762	2.41	0.06	0.74
MY 2002 - 2006	\$11,789	0.36	0.01	0.06	\$60,000	95,840	3,834	230,016,182	3.76	0.15	0.61
<b>Sub Total</b>							<b>6,100</b>	<b>365,975,944</b>	<b>6.17</b>	<b>0.21</b>	<b>1.35</b>
<b>Measure 46a: Aftertreatment Device Retrofits (Incentive/Voluntary Program)</b>											
<b>Measure 46a: DPF</b>											
MY 1989 and Earlier	\$3,250	0.00	0.02	0.04	\$9,000	40,446	4,045	\$36,401,643	0.00	0.19	0.39
MY 1990	\$2,759	0.00	0.02	0.04	\$9,000	7,495	749	\$6,745,232	0.00	0.04	0.09
MY 1991 - 1997	\$4,835	0.00	0.01	0.06	\$9,000	69,085	5,527	\$49,741,376	0.00	0.14	0.97
MY 1998 - 2001	\$4,161	0.00	0.01	0.11	\$9,000	56,650	4,532	\$40,787,928	0.00	0.11	1.32
MY 2002 - 2006	\$3,570	0.00	0.01	0.07	\$9,000	95,840	9,584	\$86,256,068	0.00	0.36	1.71
<b>Sub Total</b>							<b>24,437</b>	<b>\$219,932,249</b>	<b>0.00</b>	<b>0.85</b>	<b>4.48</b>
<b>Measure 46b: Cleaire LNC + DPF</b>											
MY 1989 and	\$6,074	0.09	0.02	0.03	\$ 20,000	40,446		\$64,714,033	0.81	0.15	0.29

Technology	Project Cost-Effectiveness (\$/ton)	Estimated Reductions per Vehicle (tons/yr)			Cost per Unit (\$)	Number of Units Available	Units Recommended	Total Cost	Total Emissions Reductions (tons/day)		
		NOx	PM	ROG					NOx	PM	ROG
Earlier						3,236					
MY 1990	\$5,650	0.06	0.02	0.04	\$ 20,000	7,495	600	\$11,991,524	0.10	0.03	0.06
MY 1991 – 1997	\$8,933	0.08	0.01	0.06	\$ 20,000	69,085	4,145	\$82,902,294	0.88	0.10	0.67
MY 1998 – 2001	\$7,766	0.10	0.01	0.10	\$ 20,000	56,650	3,399	\$67,979,881	0.95	0.08	0.90
MY 2002 – 2006	\$7,207	0.10	0.01	0.06	\$ 20,000	95,840	5,750	\$115,008,091	1.54	0.22	0.94
<b>Sub Total</b>							<b>17,130</b>	<b>342,595,822</b>	<b>4.28</b>	<b>0.59</b>	<b>2.86</b>
<b>Measure 46c: EGR + DPF Retrofit</b>											
MY 1989 and Earlier	\$6,102	0.15	0.02	0.03	\$ 23,000	40,446	3,236	\$74,421,138	1.30	0.15	0.29
MY 1990	\$5,886	0.10	0.02	0.04	\$ 23,000	7,495	600	\$13,790,252	0.16	0.03	0.06
MY 1991 – 1997	\$8,593	0.12	0.01	0.06	\$ 23,000	69,085	4,145	\$95,337,638	1.40	0.10	0.67
MY 1998 – 2001	\$7,136	0.16	0.01	0.10	\$ 23,000	56,650	3,399	\$78,176,863	1.52	0.08	0.90
MY 2002 – 2006	\$6,461	0.16	0.01	0.06	\$ 23,000	95,840	7,667	\$176,345,740	3.28	0.29	1.25
<b>Sub Total</b>							<b>19,047</b>	<b>438,071,630</b>	<b>7.67</b>	<b>0.66</b>	<b>3.17</b>
<b>Measure 46d: SCR Retrofit</b>											
MY 1989 and Earlier	\$13,197	0.29	0.00	0.00	\$ 26,500	40,446	1,618	\$42,873,047	1.30	0.00	0.00
MY 1990	\$20,057	0.19	0.00	0.00	\$ 26,500	7,495	-	\$0	0.00	0.00	0.00
MY 1991 – 1997	\$15,979	0.25	0.00	0.00	\$ 26,500	69,085	-	\$0	0.00	0.00	0.00
MY 1998 – 2001	\$12,445	0.33	0.00	0.00	\$ 26,500	56,650	2,266	\$60,048,895	2.03	0.00	0.00
MY 2002 – 2006	\$13,569	0.31	0.00	0.00	\$ 26,500	95,840	3,834	\$101,590,480	3.28	0.00	0.00
<b>Sub Total</b>							<b>7,717</b>	<b>204,512,422</b>	<b>6.61</b>	<b>0.00</b>	<b>0.00</b>
<b>Measure 46e: DOC Retrofit</b>											
MY 1989 and Earlier	\$1,445	0.00	0.01	0.03	\$2,000	40,446	4,045	\$8,089,254	0.00	0.09	0.29
MY 1990	\$1,227	0.00	0.01	0.03	\$2,000	7,495	749	\$1,498,940	0.00	0.02	0.06
MY 1991 – 1997	\$1,959	0.00	0.00	0.05	\$2,000	69,085	6,909	\$13,817,049	0.00	0.08	0.88
MY 1998 – 2001	\$1,590	0.00	0.00	0.08	\$2,000	56,650	5,665	\$11,329,980	0.00	0.06	1.20
MY 2002 – 2006	\$1,500	0.00	0.01	0.05	\$2,000	95,840	9,584	\$19,168,015	0.00	0.17	1.24
<b>Sub Total</b>							<b>26,952</b>	<b>53,903,239</b>	<b>0.00</b>	<b>0.43</b>	<b>3.66</b>
<b>Measure 46f: FTF Retrofit</b>											
MY 1989 and Earlier	\$5,115	0.00	0.01	0.04	\$9,000	40,446	3,236	\$29,121,315	0.00	0.09	0.32
MY 1990	\$4,343	0.00	0.01	0.04	\$9,000	7,495	600	\$5,396,186	0.00	0.02	0.07
MY 1991 – 1997	\$6,767	0.00	0.01	0.06	\$9,000	69,085	5,527	\$49,741,376	0.00	0.08	0.97
MY 1998 – 2001	\$5,418	0.00	0.01	0.11	\$9,000	56,650	4,532	\$40,787,928	0.00	0.06	1.32
MY 2002 – 2006	\$5,228	0.00	0.01	0.07	\$9,000	95,840	7,667	\$69,004,855	0.00	0.17	1.37
<b>Sub Total</b>							<b>21,561</b>	<b>194,051,660</b>	<b>0.00</b>	<b>0.43</b>	<b>4.04</b>
<b>Measure 46g: DOC + SCR Retrofit</b>											
MY 1989 and Earlier	\$9,365	0.29	0.01	0.03	\$27,500	40,446	2,427	\$66,736,346	1.95	0.03	0.17
MY 1990	\$11,411	0.19	0.01	0.03	\$27,500	7,495	300	\$8,244,173	0.16	0.00	0.02
MY 1991 – 1997	\$11,375	0.25	0.00	0.05	\$27,500	69,085	2,763	\$75,993,769	1.87	0.02	0.35
MY 1998 – 2001	\$8,871	0.33	0.003	0.08	\$27,500	56,650	3,399	\$93,472,336	3.04	0.02	0.71
MY 2002 – 2006	\$9,672	0.31	0.004	0.05	\$27,500	95,840	5,750	\$158,136,125	4.92	0.06	0.74
<b>Sub Total</b>							<b>14,639</b>	<b>402,582,749</b>	<b>11.95</b>	<b>0.15</b>	<b>1.99</b>
<b>Measure 46h: SCR + DPF Retrofit</b>											
MY 1989 and Earlier	\$6,391	0.29	0.02	0.03	\$30,000	40,446	3,236	\$97,071,049	2.60	0.15	0.29
MY 1990	\$6,708	0.19	0.02	0.04	\$30,000	7,495	600	\$17,987,286	0.32	0.03	0.06
MY 1991 – 1997	\$8,812	0.25	0.01	0.06	\$30,000	69,085	4,145	\$124,353,440	2.81	0.10	0.66
MY 1998 – 2001	\$7,336	0.33	0.01	0.10	\$30,000	56,650	3,399	\$101,969,821	3.04	0.08	0.90
MY 2002 – 2006	\$7,138	0.31	0.01	0.06	\$30,000	95,840	5,750	\$172,512,137	4.92	0.22	0.93
<b>Sub Total</b>							<b>17,130</b>	<b>513,893,733</b>	<b>13.69</b>	<b>0.59</b>	<b>2.84</b>

Technology	Project Cost-Effectiveness (\$/ton)	Estimated Reductions per Vehicle (tons/yr)			Cost per Unit (\$)	Number of Units Available	Units Recommended	Total Cost	Total Emissions Reductions (tons/day)		
		NOx	PM	ROG					NOx	PM	ROG
<b>Overall Projects</b>											
MY 1989 and Earlier						40,446	29,930	625,703,805	11.48	1.11	2.24
MY 1990						7,495	4,797	91,135,580	0.93	0.22	0.46
MY 1991 – 1997						69,085	33,161	491,886,942	6.96	0.64	5.17
MY 1998 – 2001						56,650	32,857	630,513,394	13.00	0.56	7.98
MY 2002 – 2006						95,840	59,421	1,128,037,693	21.71	1.65	8.78
MY 2007+						149,182	0	0	0	0	0
<b>Total</b>						<b>418,698</b>	<b>160,166</b>	<b>2,967,277,415</b>	<b>54.07</b>	<b>4.17</b>	<b>24.63</b>
<b>Measure 46: Midwest Clean Diesel Initiative</b>											
All Diesel Sources	\$1,492	N/A	N/A	N/A	N/A	N/A	N/A	\$194,238,312	18.36	3.12	2.58
<b>Sub Total</b>								<b>\$194,238,312</b>	<b>18.36</b>	<b>3.12</b>	<b>2.58</b>
<b>Measure 33/34/35/37: Anti-Idling Restriction on Onroad HDDVs</b>											
All MY Heavy HDDVs	\$1,700	0.02	0.0002	0.001	\$7,500	418,698	125,610	\$942,071,519	6.07	0.08	0.33
<b>Sub Total</b>						<b>418,698</b>	<b>125,610</b>	<b>\$942,071,519</b>	<b>6.07</b>	<b>0.08</b>	<b>0.33</b>
<b>Measure 42: Accelerate Low NOx Calibration/Refresh Program (Mandatory Phase-In)</b>											
MY 1993-1998 Med-HDDVs	\$371	0.04	0.00	0.00	\$100	72,630	43,578	\$4,357,819	4.58	-	0.00
MY 1993-1998 Heavy-HDDVs	\$110	0.13	0.00	0.00	\$100	65,317	52,254	\$5,225,357	18.54	-	0.00
<b>Sub Total</b>							<b>95,832</b>	<b>9,583,176</b>	<b>23</b>	<b>-</b>	<b>-</b>
<b>Grand Total</b>						<b>418,698</b>	<b>285,775</b>	<b>\$4,103,587,246</b>	<b>101.6</b>	<b>7.4</b>	<b>27.5</b>

**Emission Reduction Scenarios for Construction and Agricultural Diesel Equipment**

As discussed in Section 2, diesel equipment contributes most of the NOx and PM emissions from nonroad sources. The largest emitting diesel equipment categories are construction and agricultural equipment, with each equipment type contributing 20-30% of the NOx and PM emissions from nonroad sources.

This emissions reduction scenario includes voluntary after-treatment device retrofits and fleet modernization. Example potential emission reduction scenarios for the construction and agricultural equipment are summarized in Tables 5-3 to 5-6 for 2009 and 2012 NOx and PM reductions. For these scenarios, ENVIRON assumed different penetration rates by cost-effectiveness values. The penetration rates were based on the following cost-effectiveness criteria:

<b>Cost-Effectiveness (CE) Value (\$/ton of NOx reduced)</b>	<b>Penetration Rate (%)</b>	
	<b>2009</b>	<b>2012</b>
CE < 4,000	8	20
4,000 < CE < 7,000	4	12
7,000 < CE < 10,000	2	5
10,000 < CE < 14,300	1	3
14,300 and over	0	0

As shown in Tables 5-3 and 5-4, the example emission reduction scenario for major emissions contributors from construction equipment with all selected measures could reduce NOx emissions by approximately 29 tpd, PM emissions by more than 1.2 tpd, and ROG emissions by 4.4 tpd in the LADCO states in 2009 for a cost of about \$600 million. The total equipment count

in this scenario is about 33,000 units, which is about 34% of the available LADCO regional construction equipment population in 2009.

In 2012 the potential NOx emissions reductions are approximately 42 tpd, the PM emissions reductions approximately 2.2 tpd, and the ROG emissions reductions approximately 6.2 tpd for a cost of about \$1.1 billion. In 2012, this scenario estimates that approximately 68,000 units would be affected, which is about 79% of the available construction equipment population in 2012.

Assuming that a LADCO emission reduction program would begin in 2007, the equipment penetration rate for the targeted population would be 34% in 2009 and would translate to about 11% turnover rate per year in a three-year time frame. For the 2012 scenario the equipment penetration rate would be 79% of the targeted population and would translate into a 13% turnover rate per year over the 6-year time frame. Both of these are very viable penetration rates to achieve. Given the favorable average cost-effectiveness value and turnover rates, more aggressive cost-effectiveness criteria could be used to increase the penetration rates, and hence, the potential emission reductions, if funding were a secondary issue.

For the agricultural equipment, the example emission reduction scenario shown in Table 5-5 for major agricultural equipment including all selected measures could reduce 2009 NOx emissions by approximately 26 tpd, PM emissions by approximately 2 tpd, and ROG emissions by approximately 3.5 tpd for a cost of about \$0.9 billion. The total equipment count involved in this scenario is about 113,000 units, which is about 35% of the available LADCO regional agricultural equipment population in 2009.

Table 5-6 shows that this scenario for agricultural equipment could reduce 2012 NOx emissions by approximately 49 tpd, PM emissions by approximately 4.3 tpd, and ROG emissions by approximately 9.0 tpd. The total equipment count involved in this scenario is 220,000 which is approximately 77% of the available agricultural population in 2012.

Assuming that a LADCO emission reduction program would begin in 2007, the equipment penetration rate for the targeted population would be 35% in 2009 and would translate to a turnover rate of about 12% per year in a three-year time frame. For the 2012 scenario the equipment penetration rate for the targeted population would be 77% and would translate to a turnover rate of about 13% per year in a 6-year time frame. These are both very viable penetration rates to achieve. Similar to the emission reduction scenario for the construction equipment, given the favorable average cost-effective value and turnover rates, a more aggressive cost-effectiveness criteria could be used to increase the penetration rates, and hence, the potential emission reductions, if funding were a secondary issue.

Based on these emission reduction scenarios, a total NOx reduction of more than 55 tpd, a total PM reduction of 3.2 tpd and a total ROG reduction of 8 tpd could be achieved in 2009 by implementing these selected measures for nonroad diesel equipment, focusing on construction and agricultural equipment. In 2012, a total NOx reduction of 91 tpd, a total PM reduction of approximately 6.5 tpd, and a total ROG reduction of 15.1 tpd could be achieved by implementing these measures for nonroad construction and agricultural equipment.

**Table 5-3.** An example emission reduction scenario for some major construction equipment in LADCO states in 2009.

Equipment Type	Total Unit Recom.	Total Cost (\$)	Total NOx (tpd)	Total PM (tpd)	Total ROG (tpd)
<b>Measure 20: Fleet Modernization (Tier 2, 3 or 4 Engines)</b>					
Excavators	648	\$15,415,500	1.21	0.03	0.11
Rubber Tire Loaders	661	\$23,665,000	1.12	0.03	0.12
Crawler Tractor/Dozer	498	\$20,313,750	0.83	0.04	0.13
Tractors/Loaders/Backhoes	612	\$6,481,250	0.19	0.02	0.01
Off-Highway Trucks	42	\$6,906,726	0.45	0.00	0.00
<b>Sub Total</b>	<b>2,461</b>	<b>\$72,782,226</b>	<b>3.81</b>	<b>0.11</b>	<b>0.37</b>
<b>Measure 51a: DPF Retrofit</b>					
Excavators	1,136	\$8,493,632	0.00	0.05	0.15
Rubber Tire Loaders	1,177	\$11,541,789	0.00	0.06	0.21
Crawler Tractor/Dozer	835	\$8,558,526	0.00	0.05	0.16
Tractors/Loaders/Backhoes	1,647	\$6,342,158	0.00	0.05	0.06
Off-Highway Trucks	52	\$2,679,111	0.00	0.02	0.14
<b>Sub Total</b>	<b>4,847</b>	<b>\$37,615,216</b>	<b>0.00</b>	<b>0.23</b>	<b>0.71</b>
<b>Measure 51b: Lean NOx Catalyst</b>					
Excavators	976	\$17,022,105	0.50	0.05	0.13
Rubber Tire Loaders	760	\$15,208,421	0.40	0.04	0.11
Crawler Tractor/Dozer	730	\$16,103,158	0.35	0.04	0.14
Tractors/Loaders/Backhoes	1,496	\$12,454,737	0.24	0.05	0.06
Off-Highway Trucks	52	\$5,953,580	0.37	0.02	0.13
<b>Sub Total</b>	<b>4,014</b>	<b>\$66,742,001</b>	<b>1.86</b>	<b>0.20</b>	<b>0.56</b>
<b>Measure 51c: EGR+DPF Retrofit</b>					
Excavators	976	\$19,575,421	0.81	0.05	0.13
Rubber Tire Loaders	843	\$20,201,263	0.73	0.04	0.12
Crawler Tractor/Dozer	730	\$18,518,632	0.55	0.04	0.14
Tractors/Loaders/Backhoes	976	\$9,916,632	0.26	0.03	0.04
Off-Highway Trucks	52	\$6,846,617	0.59	0.02	0.13
<b>Sub Total</b>	<b>3,577</b>	<b>\$75,058,565</b>	<b>2.94</b>	<b>0.18</b>	<b>0.55</b>
<b>Measure 51d: SCR Retrofit</b>					
Excavators	732	\$16,908,395	1.34	0.00	0.00
Rubber Tire Loaders	626	\$17,418,868	1.17	0.00	0.00
Crawler Tractor/Dozer	667	\$20,370,132	1.03	0.00	0.00
Tractors/Loaders/Backhoes	461	\$6,542,711	0.30	0.00	0.00
Off-Highway Trucks	73	\$11,074,232	1.55	0.00	0.00
<b>Sub Total</b>	<b>2,559</b>	<b>\$72,314,337</b>	<b>5.39</b>	<b>0.00</b>	<b>0.00</b>
<b>Measure 51e: DOC Retrofit</b>					
Excavators	976	\$1,702,211	0.00	0.02	0.10
Rubber Tire Loaders	1,177	\$2,564,842	0.00	0.03	0.15
Crawler Tractor/Dozer	835	\$1,901,895	0.01	0.02	0.12
Tractors/Loaders/Backhoes	1,496	\$1,245,474	0.00	0.02	0.05
Off-Highway Trucks	52	\$595,358	0.00	0.01	0.10
<b>Sub Total</b>	<b>4,536</b>	<b>\$8,009,779</b>	<b>0.01</b>	<b>0.10</b>	<b>0.51</b>
<b>Measure 51f: FTF Retrofit</b>					
Excavators	627	\$4,572,000	0.00	0.02	0.09
Rubber Tire Loaders	617	\$5,465,368	0.00	0.02	0.11

Equipment Type	Total Unit Recom.	Total Cost (\$)	Total NOx (tpd)	Total PM (tpd)	Total ROG (tpd)
Crawler Tractor/Dozer	525	\$4,905,474	0.01	0.02	0.09
Tractors/Loaders/Backhoes	900	\$3,571,579	0.00	0.02	0.04
Off-Highway Trucks	52	\$2,679,111	0.00	0.01	0.14
<b>Sub Total</b>	<b>2,721</b>	<b>\$21,193,532</b>	<b>0.01</b>	<b>0.09</b>	<b>0.47</b>
<b>Measure 51g: DOC+SCR Retrofit</b>					
Excavators	976	\$23,405,395	1.61	0.01	0.10
Rubber Tire Loaders	996	\$30,034,342	1.92	0.01	0.14
Crawler Tractor/Dozer	667	\$21,138,816	1.04	0.01	0.11
Tractors/Loaders/Backhoes	799	\$11,620,921	0.51	0.01	0.03
Off-Highway Trucks	73	\$11,492,127	1.55	0.01	0.11
<b>Sub Total</b>	<b>3,511</b>	<b>\$97,691,601</b>	<b>6.63</b>	<b>0.06</b>	<b>0.49</b>
<b>Measure 51h: SCR+DPF Retrofit</b>					
Excavators	1,242	\$32,404,737	2.08	0.06	0.15
Rubber Tire Loaders	1,059	\$33,858,947	1.95	0.05	0.18
Crawler Tractor/Dozer	947	\$30,473,684	1.36	0.05	0.15
Tractors/Loaders/Backhoes	1,677	\$26,720,526	1.05	0.07	0.08
Off-Highway Trucks	73	\$12,536,866	1.55	0.03	0.14
<b>Sub Total</b>	<b>4,998</b>	<b>\$135,994,761</b>	<b>7.99</b>	<b>0.26</b>	<b>0.70</b>
<b>Grand Total</b>	<b>33,224</b>	<b>\$587,402,018</b>	<b>28.64</b>	<b>1.24</b>	<b>4.37</b>

**Table 5-4.** An example emission reduction scenario for some major construction equipment in LADCO states in 2012.

Equipment Type	Total Unit Recom.	Total Cost (\$)	Total NOx (tpd)	Total PM (tpd)	Total ROG (tpd)
<b>Measure 20: Fleet Modernization (Tier 2, 3 or 4 Engines)</b>					
Excavators	379	\$11,246,750	0.83	0.02	0.09
Rubber Tire Loaders	964	\$40,720,750	1.83	0.04	0.15
Crawler Tractor/Dozer	511	\$24,529,500	1.02	0.02	0.14
Tractors/Loaders/Backhoes	940	\$10,559,000	0.30	0.02	0.03
Off-Highway Trucks	46	\$9,082,072	0.47	0.00	0.00
<b>Sub Total</b>	<b>2,840</b>	<b>\$96,138,072</b>	<b>4.44</b>	<b>0.10</b>	<b>0.41</b>
<b>Measure 51a: DPF Retrofit</b>					
Excavators	3,373	\$25,842,316	0.00	0.14	0.26
Rubber Tire Loaders	3,167	\$28,250,053	0.00	0.12	0.32
Crawler Tractor/Dozer	2,900	\$28,918,895	0.00	0.13	0.30
Tractors/Loaders/Backhoes	5,708	\$22,890,316	0.00	0.14	0.23
Off-Highway Trucks	122	\$6,285,607	0.00	0.05	0.17
<b>Sub Total</b>	<b>15,270</b>	<b>\$112,187,186</b>	<b>0.00</b>	<b>0.57</b>	<b>1.28</b>
<b>Measure 51b: Lean NOx Catalyst</b>					
Excavators	2,094	\$35,753,684	0.84	0.09	0.17
Rubber Tire Loaders	1,393	\$28,635,789	0.65	0.06	0.16
Crawler Tractor/Dozer	1,418	\$29,146,316	0.59	0.07	0.18
Tractors/Loaders/Backhoes	3,166	\$26,604,211	0.48	0.10	0.14
Off-Highway Trucks	81	\$9,273,846	0.50	0.03	0.12
<b>Sub Total</b>	<b>8,152</b>	<b>\$129,413,846</b>	<b>3.05</b>	<b>0.35</b>	<b>0.77</b>

Equipment Type	Total Unit Recom.	Total Cost (\$)	Total NOx (tpd)	Total PM (tpd)	Total ROG (tpd)
<b>Measure 51c: EGR+DPF Retrofit</b>					
Excavators	1,667	\$29,525,947	1.02	0.07	0.14
Rubber Tire Loaders	1,393	\$32,931,158	1.04	0.06	0.16
Crawler Tractor/Dozer	1,418	\$33,518,263	0.93	0.07	0.18
Tractors/Loaders/Backhoes	2,245	\$22,790,579	0.56	0.07	0.11
Off-Highway Trucks	81	\$10,664,923	0.79	0.03	0.12
<b>Sub Total</b>	<b>6,804</b>	<b>\$129,430,870</b>	<b>4.35</b>	<b>0.30</b>	<b>0.70</b>
<b>Measure 51d: SCR Retrofit</b>					
Excavators	1,064	\$24,767,737	1.60	0.00	0.00
Rubber Tire Loaders	881	\$26,550,211	1.61	0.00	0.00
Crawler Tractor/Dozer	1,063	\$33,172,421	1.61	0.00	0.00
Tractors/Loaders/Backhoes	718	\$10,374,053	0.45	0.00	0.00
Off-Highway Trucks	122	\$18,507,620	2.30	0.00	0.00
<b>Sub Total</b>	<b>3,848</b>	<b>\$113,372,041</b>	<b>7.57</b>	<b>0.00</b>	<b>0.00</b>
<b>Measure 51e: DOC Retrofit</b>					
Excavators	770	\$1,178,737	0.00	0.02	0.06
Rubber Tire Loaders	1,012	\$2,017,789	0.00	0.02	0.11
Crawler Tractor/Dozer	665	\$1,329,368	0.01	0.02	0.08
Tractors/Loaders/Backhoes	1,343	\$1,112,947	0.00	0.02	0.06
Off-Highway Trucks	25	\$286,230	0.00	0.00	0.04
<b>Sub Total</b>	<b>3,815</b>	<b>\$5,925,072</b>	<b>0.01</b>	<b>0.08</b>	<b>0.35</b>
<b>Measure 51f: FTF Retrofit</b>					
Excavators	2,144	\$16,349,684	0.00	0.05	0.19
Rubber Tire Loaders	2,093	\$19,326,316	0.00	0.05	0.27
Crawler Tractor/Dozer	1,909	\$18,868,263	0.01	0.05	0.23
Tractors/Loaders/Backhoes	4,685	\$18,259,105	0.00	0.08	0.21
Off-Highway Trucks	122	\$6,285,607	0.00	0.03	0.17
<b>Sub Total</b>	<b>10,953</b>	<b>\$79,088,975</b>	<b>0.01</b>	<b>0.26</b>	<b>1.07</b>
<b>Measure 51g: DOC+SCR Retrofit</b>					
Excavators	1,667	\$35,302,763	2.04	0.02	0.11
Rubber Tire Loaders	1,722	\$53,145,921	2.93	0.02	0.18
Crawler Tractor/Dozer	1,063	\$34,424,211	1.62	0.02	0.13
Tractors/Loaders/Backhoes	1,764	\$26,486,842	1.12	0.02	0.08
Off-Highway Trucks	122	\$19,206,021	2.30	0.01	0.12
<b>Sub Total</b>	<b>6,338</b>	<b>\$168,565,758</b>	<b>10.01</b>	<b>0.10</b>	<b>0.63</b>
<b>Measure 51h: SCR+DPF Retrofit</b>					
Excavators	2,196	\$56,891,053	2.90	0.09	0.18
Rubber Tire Loaders	2,093	\$64,421,053	3.12	0.09	0.24
Crawler Tractor/Dozer	1,909	\$62,894,211	2.57	0.09	0.21
Tractors/Loaders/Backhoes	3,357	\$55,406,842	2.01	0.14	0.20
Off-Highway Trucks	122	\$20,952,023	2.30	0.05	0.15
<b>Sub Total</b>	<b>9,677</b>	<b>\$260,565,181</b>	<b>12.90</b>	<b>0.45</b>	<b>0.98</b>
<b>Grand Total</b>	<b>67,697</b>	<b>\$1,094,687,002</b>	<b>42.34</b>	<b>2.21</b>	<b>6.17</b>

**Table 5-5.** An example emission reduction scenario for some major agricultural equipment in LADCO states in 2009.

Equipment Type	Total Unit Recom.	Total Cost (\$)	Total NOx (tpd)	Total PM (tpd)	Total ROG (tpd)
<b>Measure 20: Fleet Modernization (Tier 2, 3 or 4 Engines)</b>					
Agricultural Tractors	13,974	\$185,035,850	5.54	0.32	0.41
Combines	121	\$2,283,750	0.03	0.00	0.00
<b>Sub Total</b>	<b>14,095</b>	<b>\$187,319,600</b>	<b>5.56</b>	<b>0.32</b>	<b>0.41</b>
<b>Measure 51a: DPF Retrofit</b>					
Agricultural Tractors	16,560	\$73,719,000	0.00	0.48	0.65
Combines	579	\$3,960,474	0.00	0.01	0.00
<b>Sub Total</b>	<b>17,139</b>	<b>\$77,679,474</b>	<b>0.00</b>	<b>0.49</b>	<b>0.65</b>
<b>Measure 51b: Lean NOx Catalyst</b>					
Agricultural Tractors	10,108	\$128,844,316	2.23	0.36	0.36
Combines	168	\$2,467,368	0.02	0.00	0.00
<b>Sub Total</b>	<b>10,276</b>	<b>\$131,311,684</b>	<b>2.25</b>	<b>0.36</b>	<b>0.36</b>
<b>Measure 51c: EGR+DPF Retrofit</b>					
Agricultural Tractors	13,032	\$111,681,947	2.94	0.30	0.36
Combines	168	\$2,837,474	0.03	0.00	0.00
<b>Sub Total</b>	<b>13,200</b>	<b>\$114,519,421</b>	<b>2.96</b>	<b>0.31</b>	<b>0.36</b>
<b>Measure 51d: SCR Retrofit</b>					
Agricultural Tractors	5,330	\$67,778,632	3.09	0.00	0.00
Combines	0	\$0	0.00	0.00	0.00
<b>Sub Total</b>	<b>5,330</b>	<b>\$67,778,632</b>	<b>3.09</b>	<b>0.00</b>	<b>0.00</b>
<b>Measure 51e: DOC Retrofit</b>					
Agricultural Tractors	17,679	\$14,344,211	0.00	0.18	0.47
Combines	825	\$1,272,737	0.00	0.01	0.01
<b>Sub Total</b>	<b>18,504</b>	<b>\$15,616,947</b>	<b>0.00</b>	<b>0.18</b>	<b>0.48</b>
<b>Measure 51f: FTF Retrofit</b>					
Agricultural Tractors	11,517	\$36,177,632	0.00	0.15	0.39
Combines	168	\$1,110,316	0.00	0.00	0.00
<b>Sub Total</b>	<b>11,685</b>	<b>\$37,287,947</b>	<b>0.00</b>	<b>0.15</b>	<b>0.39</b>
<b>Measure 51g: DOC+SCR Retrofit</b>					
Agricultural Tractors	8,281	\$110,220,000	4.67	0.06	0.32
Combines	0	\$0	0.00	0.00	0.00
<b>Sub Total</b>	<b>8,281</b>	<b>\$110,220,000</b>	<b>4.67</b>	<b>0.06</b>	<b>0.32</b>
<b>Measure 51h: SCR+DPF Retrofit</b>					
Agricultural Tractors	14,517	\$179,733,158	6.98	0.33	0.48
Combines	168	\$3,701,053	0.06	0.00	0.00
<b>Sub Total</b>	<b>14,685</b>	<b>\$183,434,211</b>	<b>7.04</b>	<b>0.33</b>	<b>0.48</b>
<b>Grand Total</b>	<b>113,195</b>	<b>\$925,167,916</b>	<b>25.57</b>	<b>2.20</b>	<b>3.45</b>

**Table 5-6.** An example emission reduction scenario for some major agricultural equipment in LADCO states in 2012.

Equipment Type	Total Unit Recom.	Total Cost (\$)	Total NOx (tpd)	Total PM (tpd)	Total ROG (tpd)
<b>Measure 20: Fleet Modernization (Tier 2, 3 or 4 Engines)</b>					
Agricultural Tractors	27,896	\$384,923,950	11.31	0.60	1.00
Combines	313	\$10,663,750	0.14	0.01	0.00
<b>Sub Total</b>	<b>28,209</b>	<b>\$395,587,700</b>	<b>11.44</b>	<b>0.61</b>	<b>1.00</b>
<b>Measure 51a: DPF Retrofit</b>					
Agricultural Tractors	35,612	\$194,630,211	0.00	0.97	1.72
Combines	1,744	\$12,008,842	0.00	0.03	0.01
<b>Sub Total</b>	<b>37,356</b>	<b>\$206,639,053</b>	<b>0.00</b>	<b>1.00</b>	<b>1.73</b>
<b>Measure 51b: Lean NOx Catalyst</b>					
Agricultural Tractors	19,486	\$259,364,737	4.40	0.70	0.86
Combines	313	\$4,980,000	0.04	0.01	0.00
<b>Sub Total</b>	<b>19,799</b>	<b>\$264,344,737</b>	<b>4.44</b>	<b>0.70</b>	<b>0.86</b>
<b>Measure 51c: EGR+DPF Retrofit</b>					
Agricultural Tractors	22,849	\$205,178,158	5.15	0.53	0.86
Combines	313	\$5,727,000	0.06	0.01	0.00
<b>Sub Total</b>	<b>23,162</b>	<b>\$210,905,158</b>	<b>5.21</b>	<b>0.54</b>	<b>0.86</b>
<b>Measure 51d: SCR Retrofit</b>					
Agricultural Tractors	9,876	\$131,193,132	5.72	0.00	0.00
Combines	0	\$0	0.00	0.00	0.00
<b>Sub Total</b>	<b>9,876</b>	<b>\$131,193,132</b>	<b>5.72</b>	<b>0.00</b>	<b>0.00</b>
<b>Measure 51e: DOC Retrofit</b>					
Agricultural Tractors	27,485	\$25,822,000	0.00	0.31	1.12
Combines	2,248	\$3,391,158	0.00	0.02	0.02
<b>Sub Total</b>	<b>29,733</b>	<b>\$29,213,158</b>	<b>0.00</b>	<b>0.32</b>	<b>1.13</b>
<b>Measure 51f: FTF Retrofit</b>					
Agricultural Tractors	28,846	\$120,026,842	0.00	0.39	1.56
Combines	434	\$2,871,474	0.00	0.00	0.00
<b>Sub Total</b>	<b>29,280</b>	<b>\$122,898,316</b>	<b>0.00</b>	<b>0.39</b>	<b>1.56</b>
<b>Measure 51g: DOC+SCR Retrofit</b>					
Agricultural Tractors	15,541	\$190,948,421	7.87	0.11	0.57
Combines	0	\$0	0.00	0.00	0.00
<b>Sub Total</b>	<b>15,541</b>	<b>\$190,948,421</b>	<b>7.87</b>	<b>0.11</b>	<b>0.57</b>
<b>Measure 51h: SCR+DPF Retrofit</b>					
Agricultural Tractors	27,267	\$373,061,053	13.82	0.61	1.26
Combines	313	\$7,470,000	0.12	0.01	0.00
<b>Sub Total</b>	<b>27,580</b>	<b>\$380,531,053</b>	<b>13.94</b>	<b>0.61</b>	<b>1.26</b>
<b>Grand Total</b>	<b>220,536</b>	<b>\$1,932,260,726</b>	<b>48.62</b>	<b>4.29</b>	<b>8.99</b>

### Emissions Reduction Scenario for Smoking Vehicles

Table 5-7 shows potential PM emissions reduction scenarios for light-duty gasoline vehicles that have been identified as smoking vehicles and removed/replaced in 2009 and 2012. This measure uses an analysis similar to onroad HDDV retrofit or fleet modernization measures, by assuming certain vehicle parameters and calculating a potential PM emissions reduction on a per vehicle basis. Unlike the other control measures, it is not possible to provide a more refined estimate using available emissions models, because the MOBILE6 model does not adequately account for high emitting vehicles. Further discussion with USEPA may be necessary to determine the

appropriate methodology for estimating SIP emission reduction credits. The assumptions used in this analysis are detailed as follows:

	LDVs	
	Smoking	Well-Maintained
Annual mileage	10,000	10,000
Incremental Capital Cost		\$1,000
Useful Life (years)	3	3
Annualized Capital Cost (\$/yr)		\$354
PM Emissions g/mile	0.31	0.03
PM tons/year	0.0034	0.0003
PM Reduction tons/year		0.003
Carl Moyer Cost-Effectiveness (\$/ton)		\$5,830

As discussed in the Smoking Vehicle White Paper, the PM emissions factors for smoking and non-smoking LDGVs have been obtained from a number of sources<sup>3</sup>, which indicate that for non-smoking vehicles the PM emissions factors are approximately 0.03 g/mi, while for smoking vehicles they may be as high as 0.3 g/mi. The measure calculation is based upon an assumed repair cost or incentive rebate of \$1,000 to remove or replace the smoking vehicle.

The scenario is calculated based on an assumption that 1.43% of the LDGV fleet are smoking vehicles, and a penetration rate of 50% for enforcement and subsequent removal/replacement of the smoking vehicle. The analysis indicates that in 2009 the potential LADCO area PM reductions from smoking vehicles are 2.9 tons/day, and for 2012 the reductions are 3.0 tons/day. The per vehicle cost-effectiveness is \$5,830/ton PM reduced, and the overall cost is approximately \$344 million in 2009 and \$365 million in 2012 for the LADCO area.

**Table 5-7.** An example emission reduction scenario for removal/replacement of smoking vehicles in LADCO states in 2009 and 2012.

Project Cost-Effectiveness (\$/ton)	Estimated PM Reductions per Vehicle (tons/year)	Cost per Unit (\$)	Number of Units Available	Units Recommended	Total Cost	Total PM Reduction (tons/day)
<b>Measure 38/39/40/41: Smoking Vehicles Identification/Emissions Reduction</b>						
<b>2009</b>						
\$5,830	0.003	\$1,000	48,131,991	344,144	\$344,143,739	2.9
<b>2012</b>						
\$5,830	0.003	\$1,000	51,078,054	365,208	\$365,208,088	3.0

**Locomotive Anti-Idling Emissions Reduction Scenario**

Table 5-8 shows the emission reduction scenario for the Anti-Idling measure for locomotives. As discussed in the Anti-Idling White Paper, the EPA estimates that locomotive idling accounts for 3-5% of NOx emissions from line-haul engines and 16-20% of NOx emissions from switching engines, as well as 2-15% of PM emissions from line-haul engines and 9-35% of PM emissions from switching engines. The average of these emission reduction ranges were used to

<sup>3</sup> “Measure 38/39/40/41: Organic Carbon Emission Reduction Programs – Smoking Vehicles” White Paper prepared by ENVIRON International Corp. to LADCO, December 2006.

estimate the potential emission reductions of anti-idling for locomotives. The average emission reduction for PM emissions were also used in estimating the ROG emission reduction. Thus, the average control factors for idling reduction for locomotives are as follows:

	Control Factors		
	NOx	PM	ROG
Switching Locomotives	18%	22%	22%
Linehaul Locomotives	4%	9%	9%

For this analysis, ENVIRON assumed that the Anti-Idling measure would effectively reduce 50% and 75% of the idling emissions from switching locomotives and linehaul locomotives, respectively. In addition, ENVIRON also assumed that the Anti-Idling measure would impact 25% and 50% of total switching locomotives and linehaul locomotives, respectively, in the LADCO states.

Based on the assumptions and the 2009 locomotive emission inventories in the LADCO states, the potential emission reductions for the Anti-Idling measure were estimated to be about 5 tpd for NOx emissions, 0.2 tpd for PM emissions, and 0.5 tpd for ROG emissions for a cost of about \$50 million.

The potential emission reductions for the 2012 scenario were estimated to be doubled of those for the 2009 scenario as they were estimated by scaling the 2009 emission reductions over a six-year timeframe, assuming that the Anti-Idling measure would implement in 2007.

**Table 5-8.** An example emission reduction scenario for anti-idling restrictions on locomotives in LADCO states in 2009 and 2012.

Technology	Project Cost-Effectiveness (\$/ton)	Estimated Reductions per locomotive (tons/yr)			Cost per Unit (\$)	# of Units Available	Units Estimated	Total Cost	Total Emissions Reductions (tons/day)		
		NOx	PM	ROG					NOx	PM	ROG
<b>Measure 33/34/35/37: Anti-Idling Restriction on Locomotives</b>											
<b>2009</b>											
Switching	\$1,400	1.28	0.04	0.10	\$30,000	NA	757	\$22,713,439	2.65	0.08	0.22
Line-Haul	\$1,400	0.84	0.06	0.11	\$30,000	NA	898	\$26,953,254	2.07	0.15	0.27
Total		2.12	0.10	0.22			1,656	\$49,666,693	4.72	0.23	0.49
<b>2012</b>											
Switching	\$1,400	1.28	0.04	0.10	\$30,000	NA	1,514	\$45,426,878	5.31	0.16	0.44
Line-Haul	\$1,400	0.84	0.06	0.11	\$30,000	NA	1,797	\$53,906,508	4.14	0.29	0.55
Total		2.12	0.10	0.22		NA	3,311	\$99,333,386	9.44	0.45	0.98

**State-by-State Emissions Reduction Scenario**

A similar emissions reduction scenario analysis to that presented above for onroad HDDVs and nonroad construction and agricultural equipment has been conducted for each of the states in the LADCO region. In addition to the five LADCO states, a similar analysis was conducted for Minnesota (MN). The emissions inventory and results of the emissions reduction scenario for Minnesota are included in the report as Appendix D, and are presented in a similar format to the analysis for the LADCO states.

Although there were some differences in the nonroad construction and agricultural equipment types that were the major NOx and PM contributors in each state, these differences were minor and the state-by-state analysis was conducted for the same equipment types as that for the LADCO region. Tables 5-9 and 5-10 show the potential emissions reduction from the scenario analysis conducted for each state for 2009 and 2012 respectively. The criteria for penetration rate were identical to those in the LADCO region analysis.

**Table 5-9.** 2009 state-by-state emissions reduction potentials from the scenario analysis conducted for the LADCO region.

	2009 NOx PM and ROG Emission Reductions (tpd)								
	On-Road HDDV			Construction Equipment			Agricultural Equipment		
	NOx	PM	ROG	NOx	PM	ROG	NOx	PM	ROG
Illinois	26.21	1.78	6.65	8.43	0.37	1.29	9.49	0.81	1.28
Indiana	15.86	0.84	4.26	4.28	0.18	0.66	5.13	0.44	0.69
Michigan	16.41	0.97	4.17	4.92	0.23	0.75	2.86	0.25	0.39
Ohio	22.83	1.14	6.24	7.74	0.33	1.18	4.25	0.36	0.57
Wisconsin	12.25	0.67	3.28	3.25	0.14	0.50	3.83	0.33	0.52
<b>Total</b>	<b>93.56</b>	<b>5.41</b>	<b>24.60</b>	<b>28.63</b>	<b>1.24</b>	<b>4.38</b>	<b>25.56</b>	<b>2.19</b>	<b>3.45</b>

**Table 5-10.** 2012 state-by-state emissions reduction potentials from the scenario analysis conducted for the LADCO region.

	2012 NOx PM and ROG Emission Reductions (tpd)								
	On-Road HDDV			Construction Equipment			Agricultural Equipment		
	NOx	PM	ROG	NOx	PM	ROG	NOx	PM	ROG
Illinois	30.60	2.68	7.72	12.44	0.65	1.82	18.04	1.59	3.34
Indiana	16.41	1.07	4.68	6.36	0.32	0.92	9.77	0.86	1.81
Michigan	18.56	1.37	4.74	7.39	0.42	1.11	5.43	0.48	1.00
Ohio	23.08	1.37	6.77	11.48	0.58	1.65	8.08	0.71	1.49
Wisconsin	12.79	0.86	3.61	4.68	0.24	0.67	7.29	0.64	1.35
<b>Total</b>	<b>101.43</b>	<b>7.34</b>	<b>27.52</b>	<b>42.35</b>	<b>2.22</b>	<b>6.18</b>	<b>48.62</b>	<b>4.29</b>	<b>8.99</b>

**APPENDIX A**

Appendix M20/25 and Appendix M46/51

## Appendix M20/25

**Table M20/25-Con.** Potential NOx emission reduction and cost-effectiveness values for equipment modernization for major nonroad construction equipment.

**Table M20/25-Ag.** Potential NOx emission reduction and cost-effectiveness values for equipment modernization for major nonroad agricultural equipment.

## **Appendix M46/51**

**Table 46/51-MCDI-1.** Overall emissions reductions and emissions reductions per vehicle for MCDI-funded projects in Illinois.

**Table 46/51-MCDI-2.** Overall emissions reductions and emissions reductions per vehicle for MCDI-funded projects in Indiana.

**Table 46/51-MCDI-3.** Overall emissions reductions and emissions reductions per vehicle for MCDI-funded projects in Michigan.

**Table 46/51-MCDI-4.** Overall emissions reductions and emissions reductions per vehicle for MCDI-funded projects in Minnesota.

**Table 46/51-MCDI-5.** Overall emissions reductions and emissions reductions per vehicle for MCDI-funded projects in Ohio.

**Table 46/51-MCDI-6.** Overall emissions reductions and emissions reductions per vehicle for MCDI-funded projects in Wisconsin.

**Table M46/51-OR.** Potential NOx emission reduction and cost-effectiveness values for the use of retrofit technologies for on-road HDDVs.

**Table M46/51-Con.** Potential NOx emission reduction and cost-effectiveness values for the use of retrofit technologies for non-road construction equipment.

**Table M46/51-Ag.** Potential NOx emission reduction and cost-effectiveness values for the use of retrofit technologies for non-road agricultural equipment.



Tractor/Loader/Backhoes	75-100 HP		100-175 HP		75-100 HP		100-175 HP		75-100 HP		100-175 HP		75-100 HP		100-175 HP	
	Baseline	Engine	Baseline	Engine	Baseline	Engine	Baseline	Engine	Baseline	Engine	Baseline	Engine	Baseline	Engine	Baseline	Engine
NOx Emission Std (g/bhp-hr)	8.80	5.32	5.30	4.68	5.32	4.68	5.32	4.68	5.32	4.68	5.32	4.68	5.32	4.68	5.32	4.68
PM Emission Std (g/bhp-hr)	0.55	0.19	0.42	0.13	0.55	0.19	0.42	0.13	0.55	0.19	0.42	0.13	0.55	0.19	0.42	0.13
HC Emission Std (g/bhp-hr)	0.00	0.28	0.00	0.25	0.00	0.28	0.00	0.25	0.00	0.28	0.00	0.25	0.00	0.28	0.00	0.25
Average Horsepower (hp)	88	88	138	138	88	88	138	138	88	88	138	138	88	88	138	138
Load Factor	0.21	0.21	0.21	0.21	0.21	0.21	0.21	0.21	0.21	0.21	0.21	0.21	0.21	0.21	0.21	0.21
Activity (hr/yr)	1,362	1,362	1,362	1,362	1,362	1,362	1,362	1,362	1,362	1,362	1,362	1,362	1,362	1,362	1,362	1,362
Incremental Capital Cost	\$	8,750	\$	13,750	\$	8,750	\$	13,750	\$	8,750	\$	13,750	\$	8,750	\$	13,750
Useful Life (years)	10	10	10	10	10	10	10	10	10	10	10	10	10	10	10	10
Annualized Capital Cost (\$/yr)		\$1,026		\$1,612		\$1,026		\$1,612		\$1,026		\$1,612		\$1,026		\$1,612
NOx Emission Factor (g/hr)	162	274	134	127	162	274	134	127	162	274	134	127	162	274	134	127
PM Emission Factor (g/hr)	10	4	12	4	10	4	12	4	10	4	12	4	10	4	12	4
HC Emission Factor (g/hr)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
NOx (tons/year)	0.24	0.41	0.20	0.15	0.24	0.41	0.20	0.15	0.24	0.41	0.20	0.15	0.24	0.41	0.20	0.15
PM (tons/year)	0.02	0.01	0.02	0.01	0.02	0.01	0.02	0.01	0.02	0.01	0.02	0.01	0.02	0.01	0.02	0.01
HC (tons/year)	-	0.01	0.01	0.01	0.01	0.01	0.01	0.01	0.01	0.01	0.01	0.01	0.01	0.01	0.01	0.01
NOx Reduction (tons/year)	-	0.01	0.01	0.01	0.01	0.01	0.01	0.01	0.01	0.01	0.01	0.01	0.01	0.01	0.01	0.01
PM Reduction (tons/year)	-	0.01	0.01	0.01	0.01	0.01	0.01	0.01	0.01	0.01	0.01	0.01	0.01	0.01	0.01	0.01
HC Reduction (tons/year)	-	0.01	0.01	0.01	0.01	0.01	0.01	0.01	0.01	0.01	0.01	0.01	0.01	0.01	0.01	0.01
Cost-Effectiveness (\$/ton)		\$1,495		\$7,675		\$1,495		\$7,675		\$1,495		\$7,675		\$1,495		\$7,675
One-Year Cost-Effectiveness		\$91,144		\$65,766		\$200,747		\$141,283		\$109,460		\$82,368		\$62,808		\$47,720

Off-Highway Trucks	750+ HP		750+ HP		750+ HP		750+ HP	
	Baseline	Engine	Baseline	Engine	Baseline	Engine	Baseline	Engine
NOx Emission Std (g/bhp-hr)	9.78	4.56	4.56	2.6	4.56	2.6	4.56	2.6
PM Emission Std (g/bhp-hr)	0.41	0.09	0.12	0.09	0.41	0.09	0.12	0.09
HC Emission Std (g/bhp-hr)	0.00	0.24	0.00	0.24	0.00	0.24	0.00	0.24
Average Horsepower (hp)	1360	1360	1360	1360	1360	1360	1360	1360
Load Factor	0.39	0.39	0.39	0.39	0.39	0.39	0.39	0.39
Activity (hr/yr)	1,969	1,969	1,969	1,969	1,969	1,969	1,969	1,969
Incremental Capital Cost	\$	135,959	\$	138,959	\$	244,726	\$	244,726
Useful Life (years)	10	10	10	10	10	10	10	10
Annualized Capital Cost (\$/yr)		\$15,939		\$15,939		\$28,689		\$28,689
NOx Emission Factor (g/hr)	7781	3658	3658	2086	3658	2086	3658	2086
PM Emission Factor (g/hr)	329	71	71	56	71	56	71	56
HC Emission Factor (g/hr)	0	193	193	241	193	241	193	241
NOx (tons/year)	16.89	7.94	12.01	7.94	16.89	7.94	12.01	7.94
PM (tons/year)	0.72	0.15	0.21	0.15	0.72	0.15	0.21	0.15
HC (tons/year)	-	0.42	0.42	0.52	0.42	0.52	0.42	0.52
NOx Reduction (tons/year)	-	8.95	4.07	3.41	8.95	4.07	3.41	3.41
PM Reduction (tons/year)	-	0.56	0.06	0.03	0.56	0.06	0.03	0.03
HC Reduction (tons/year)	-	0.01	0.01	0.01	0.01	0.01	0.01	0.01
Cost-Effectiveness (\$/ton)		\$2,322		\$1,103		\$3,373		\$1,717
One-Year Cost-Effectiveness		\$15,193		\$33,373		\$71,103		\$33,373

Measure 20/25: Diesel Equipment Fleet Modernization via Replacing Tier 0 and Tier 1 Engines with Tier 2 and/or Tier 3 Engines and Replacing Tier 2 Engines with Tier 4 Engines.  
HC to ROG Conversion  
1.26639

Agricultural Tractors Tier 1 Agricultural Tractors Tier 2 Agricultural Tractors Tier 3 Agricultural Tractors Tier 4 Agricultural Tractors Tier 0 300-600 HP

Agricultural Tractors	25-50 HP		50-75 HP		75-100 HP		100-175 HP		175-300 HP		300-600 HP	
	Baseline	Tier 2	Baseline	Tier 2	Baseline	Tier 2	Baseline	Tier 2	Baseline	Tier 2	Baseline	Tier 2
NOx Emission Std (g/bhp-hr)	7.2	5.32	8.8	5.32	8.8	5.32	9.3	4.655	9.3	4.655	9.3	4.56
PM Emission Std (g/bhp-hr)	0.55	0.28	0.55	0.19	0.55	0.19	0.42	0.13	0.42	0.09	0.41	0.09
HC Emission Std (g/bhp-hr)	0.00	0.28	0.00	0.28	0.00	0.28	0.00	0.25	0.00	0.25	0.00	0.24
Average Horsepower (hp)	38	38	63	66	88	88	138	138	238	238	450	450
Load Factor	0.59	0.59	0.59	0.59	0.59	0.59	0.59	0.59	0.59	0.59	0.59	0.59
Activity (hr/yr)	475	475	475	475	475	475	475	475	475	475	475	475
Incremental Capital Cost	\$	3,750	\$	6,600	\$	8,750	\$	13,750	\$	23,750	\$	45,000
Useful Life (years)	10	10	10	10	10	10	10	10	10	10	10	10
Annualized Capital Cost (\$/yr)	\$440	\$774	\$440	\$774	\$440	\$774	\$1,612	\$1,612	\$2,784	\$2,784	\$2,784	\$5,275
NOx Emission Factor (g/hr)	159	118	325	207	454	275	771	378	1,303	652	2,522	1,211
PM Emission Factor (g/hr)	12	6	20	7	28	10	34	10	60	12	109	23
HC Emission Factor (g/hr)	0	6	0	11	0	14	0	20	0	34	0	64
NOx (tons/year)	0.08	0.06	0.17	0.11	0.24	0.14	0.40	0.20	0.68	0.34	1.32	0.63
PM (tons/year)	0.01	0.00	0.01	0.00	0.01	0.01	0.02	0.01	0.03	0.01	0.06	0.01
HC (tons/year)	-	0.00	-	0.01	-	0.01	-	0.01	-	0.02	-	0.03
NOx Reduction (tons/year)	-	0.02	0.06	0.06	0.06	0.09	0.21	0.21	0.34	0.34	0.69	0.69
PM Reduction (tons/year)	-	0.00	0.01	0.01	0.01	0.01	0.01	0.01	0.01	0.02	0.04	0.04
HC Reduction (tons/year)	-	-	-	-	-	-	-	-	-	-	-	-
Cost-Effectiveness (\$/ton)	\$5,256	\$3,949	\$5,256	\$3,949	\$5,256	\$3,949	\$3,520	\$3,520	\$3,335	\$3,335	\$3,330	\$3,330
One-Year Cost-Effectiveness	\$172,187	\$107,426	\$172,187	\$107,426	\$172,187	\$107,426	\$66,813	\$66,813	\$69,690	\$69,690	\$65,529	\$65,529

Agricultural Tractors	25-50 HP		50-75 HP		75-100 HP		100-175 HP		175-300 HP		300-600 HP	
	Baseline	Tier 2	Baseline	Tier 2	Baseline	Tier 2	Baseline	Tier 2	Baseline	Tier 2	Baseline	Tier 2
NOx Emission Std (g/bhp-hr)	6.745	5.32	6.9	5.32	6.9	5.32	6.9	4.655	6.9	4.655	6.9	4.56
PM Emission Std (g/bhp-hr)	0.48	0.28	0.55	0.19	0.55	0.19	0.30	0.13	0.12	0.09	0.12	0.09
HC Emission Std (g/bhp-hr)	0.36	0.28	1.00	0.28	1.00	0.28	1.00	0.25	1.00	0.25	1.00	0.24
Average Horsepower (hp)	38	38	63	63	88	88	138	138	238	238	450	450
Load Factor	0.59	0.59	0.59	0.59	0.59	0.59	0.59	0.59	0.59	0.59	0.59	0.59
Activity (hr/yr)	475	475	475	475	475	475	475	475	475	475	475	475
Incremental Capital Cost	\$	3,750	\$	6,250	\$	8,750	\$	13,750	\$	23,750	\$	45,000
Useful Life (years)	10	10	10	10	10	10	10	10	10	10	10	10
Annualized Capital Cost (\$/yr)	\$440	\$773	\$440	\$773	\$440	\$773	\$1,612	\$1,612	\$2,784	\$2,784	\$2,784	\$5,275
NOx Emission Factor (g/hr)	149	118	254	196	356	275	560	378	967	652	1,832	1,211
PM Emission Factor (g/hr)	11	6	20	7	28	10	25	10	17	12	32	23
HC Emission Factor (g/hr)	8	6	37	10	52	14	81	20	140	34	266	64
NOx (tons/year)	0.08	0.06	0.13	0.10	0.19	0.14	0.29	0.20	0.51	0.34	0.96	0.63
PM (tons/year)	0.01	0.00	0.01	0.00	0.01	0.01	0.01	0.01	0.01	0.01	0.02	0.01
HC (tons/year)	0.004	0.003	0.019	0.005	0.027	0.008	0.042	0.010	0.073	0.018	0.139	0.033
NOx Reduction (tons/year)	-	0.02	0.03	0.03	0.04	0.04	0.10	0.10	0.16	0.16	0.33	0.33
PM Reduction (tons/year)	-	0.00	0.01	0.01	0.01	0.01	0.01	0.01	0.01	0.01	0.02	0.01
HC Reduction (tons/year)	-	0.00	0.01	0.01	0.01	0.01	0.03	0.03	0.06	0.06	0.11	0.11
Cost-Effectiveness (\$/ton)	\$6,875	\$3,916	\$6,875	\$3,916	\$6,875	\$3,916	\$5,646	\$5,646	\$9,880	\$9,880	\$9,626	\$9,626
One-Year Cost-Effectiveness	\$227,166	\$204,880	\$227,166	\$204,880	\$227,166	\$204,880	\$144,192	\$144,192	\$144,192	\$144,192	\$138,338	\$138,338

Agricultural Tractors Tier 1 Agricultural Tractors Tier 2 Agricultural Tractors Tier 3 Agricultural Tractors Tier 4 Agricultural Tractors Tier 0 300-600 HP

50-75 HP	75-100 HP	100-175 HP	175-300 HP	300-600 HP
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Agricultural Tractors	Tier 1	Tier 3										
	Baseline	Engine	Baseline	Engines								
NOx Emission Std (g/bhp-hr)	6.9	3.325	6.9	3.325	6.9	2.85	6.9	2.85	6.9	2.85	6.9	2.85
PM Emission Std (g/bhp-hr)	0.55	0.19	0.55	0.19	0.30	0.11	0.12	0.09	0.12	0.09	0.12	0.09
HC Emission Std (g/bhp-hr)	1.00	0.18	1.00	0.18	1.00	0.15	1.00	0.15	1.00	0.15	1.00	0.15
Average Horsepower (hp)	63	63	88	88	138	138	238	238	450	450	450	450
Load Factor	0.59	0.59	0.59	0.59	0.59	0.59	0.59	0.59	0.59	0.59	0.59	0.59
Activity (hr/yr)	475	475	475	475	475	475	475	475	475	475	475	475
Incremental Capital Cost		\$ 7,500		\$ 10,500		\$ 16,500		\$ 28,500		\$ 54,000		\$ 100,000
Useful Life (years)	10	10	10	10	10	10	10	10	10	10	10	10
Annualized Capital Cost (\$/yr)		\$879		\$1,231		\$1,934		\$3,341		\$6,330		\$12,330
NOx Emission Factor (g/hr)	254	123	356	172	560	231	967	399	1832	757	1832	757
PM Emission Factor (g/hr)	20	7	28	10	25	9	17	12	32	23	32	23
HC Emission Factor (g/hr)	37	6	52	9	81	12	140	21	266	40	266	40
NOx (tons/year)	0.13	0.06	0.19	0.09	0.29	0.12	0.51	0.21	0.96	0.40	0.96	0.40
PM (tons/year)	0.01	0.00	0.01	0.01	0.01	0.00	0.01	0.01	0.02	0.01	0.02	0.01
HC (tons/year)	0.02	0.00	0.03	0.00	0.04	0.01	0.07	0.01	0.14	0.02	0.14	0.02
NOx Reduction (tons/year)		0.07		0.10		0.17		0.30		0.56		0.96
PM Reduction (tons/year)		0.01		0.01		0.01		0.00		0.00		0.00
HC Reduction (tons/year)		0.02		0.02		0.04		0.06		0.06		0.12
Cost-Effectiveness (\$/ton)		\$3,853		\$3,853		\$5,079		\$7,897		\$12,330		\$19,330
One-Year Cost-Effectiveness		\$108,658		\$108,658		\$95,914		\$95,914		\$95,914		\$95,914



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Measure 20/25: Equipment Modernization for Agricultural Equipment

NOx Emission Std (g/bhp-hr)	9.3	4.655	6.9	4.655	6.9	4.655	6.9	4.655	6.9	2.85	4.655	2.85	4.655	2.85
PM Emission Std (g/bhp-hr)	0.42	0.09	0.12	0.13	0.09	0.13	0.12	0.09	0.13	0.09	0.13	0.09	0.13	0.11
HC Emission Std (g/bhp-hr)	0.00	0.25	1.00	0.25	1.00	0.25	1.00	0.25	1.00	0.15	0.25	0.15	0.25	0.15
Average Horsepower (hp)	238	238	238	138	238	138	238	238	138	238	138	238	138	138
Load Factor	0.59	0.59	0.59	0.59	0.59	0.59	0.59	0.59	0.59	0.59	0.59	0.59	0.59	0.59
Activity (hr/yr)	150	150	150	150	150	150	150	150	150	150	150	150	150	150
Incremental Capital Cost	\$ 23,750	\$ 23,750	\$ 23,750	\$ 13,750	\$ 23,750	\$ 13,750	\$ 23,750	\$ 13,750	\$ 23,750	\$ 28,500	\$ 13,750	\$ 28,500	\$ 13,750	\$ 16,500
Useful Life (years)	10	10	10	10	10	10	10	10	10	10	10	10	10	10
Annualized Capital Cost (\$/yr)	\$2,784	\$2,784	\$2,784	\$1,612	\$2,784	\$1,612	\$2,784	\$1,612	\$2,784	\$3,341	\$1,612	\$3,341	\$1,612	\$1,934
NOx Emission Factor (g/hr)	1303	652	967	378	652	378	967	652	378	399	652	399	378	231
PM Emission Factor (g/hr)	60	12	17	10	12	10	17	12	10	12	12	12	10	9
HC Emission Factor (g/hr)	0	34	140	20	34	20	140	20	34	21	34	21	20	12
NOx (tons/year)	0.22	0.11	0.16	0.06	0.11	0.06	0.16	0.06	0.11	0.07	0.11	0.07	0.06	0.04
PM (tons/year)	0.01	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
HC (tons/year)	-	0.01	0.02	0.00	0.01	0.00	0.02	0.00	0.01	0.00	0.01	0.00	0.00	0.00
NOx Reduction (tons/year)		0.11		0.03	0.05	0.03		0.03	0.05	0.09	0.03	0.04	0.02	0.02
PM Reduction (tons/year)		0.01		0.00	0.00	0.00		0.00	0.00	0.00	0.00		0.00	0.00
HC Reduction (tons/year)		-		0.01	0.02	0.01		0.01	0.02	0.02	0.01	0.00	0.00	0.00
Cost-Effectiveness (\$/ton)		\$10,560		\$11,148	\$31,285	\$17,880		\$25,008	\$16,083	\$74,900	\$16,083	\$74,900	\$64,225	\$681,497
One-Year Cost-Effectiveness		\$220,686		\$211,576	\$456,608	\$456,608		\$303,729	\$303,729	\$681,497	\$303,729	\$681,497	\$681,497	\$681,497

## Draft Final

**Table 46/51-MCDI-1.** Overall emissions reductions and emissions reductions per vehicle for MCDI-funded projects in Illinois.

Technology Type	Number of Engines	Emissions Reductions (overall)				Emissions Reductions (per vehicle)			
		CO (t)	VOC (t)	NOx (t)	PM <sub>2.5</sub> (t)	CO (t/veh h)	VOC (t/veh)	NOx (t/veh)	PM <sub>2.5</sub> (t/veh)
DOC	2511	375.20	194.41	0.00	37.19	0.15	0.08	0.00	0.01
DPF	195	128.60	23.11	0.00	8.55	0.66	0.12	0.00	0.04
Heater	404	51.30	11.94	112.68	0.96	0.13	0.03	0.28	0.00
ULSD	2953	0.00	0.00	0.00	22.07	0.00	0.00	0.00	0.01
B20	3265	29.17	17.97	0.00	20.76	0.01	0.01	0.00	0.01
APU	0	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
Auto Shut-down	6	0.00	0.00	101.59	3.30	0.00	0.00	16.93	0.55
B100	0	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
B11	0	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
B2	0	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
B5	0	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
CCR	0	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
CNG	0	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
EGR	0	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
Engine Upgrade	0	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
Idling Policy	159269	2853.8	405.85	5149.4	46.70	0.02	0.00	0.03	0.00
Replace	0	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
Repower	0	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
TSE	0	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
Other	3264	60.05	11.87	0.00	170.03	0.02	0.00	0.00	0.05
Unknown	0	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
Hybrid	0	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
<b>Total</b>	<b>171867</b>	<b>3498</b>	<b>665</b>	<b>5364</b>	<b>310</b>	-	-	-	-

**Table 46/51-MCDI-2.** Overall emissions reductions and emissions reductions per vehicle for MCDI-funded projects in Indiana.

Technology Type	Number of Engines	Emissions Reductions (overall)				Emissions Reductions (per vehicle)			
		CO (t)	VOC (t)	NOx (t)	PM <sub>2.5</sub> (t)	CO (t/veh)	VOC (t/veh)	NOx (t/veh)	PM <sub>2.5</sub> (t/veh)
DOC	1165	162.46	65.05	0.00	16.00	0.14	0.06	0.00	0.01
DPF	10	2.85	1.08	0.00	0.26	0.29	0.11	0.00	0.03
Heater	288	5.03	1.01	10.33	0.09	0.02	0.00	0.04	0.00
ULSD	30	0.00	0.00	0.00	0.12	0.00	0.00	0.00	0.00
B20	0	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
APU	7	1.81	0.26	2.79	0.00	0.26	0.04	0.40	0.00
Auto Shut-down	0	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
B100	0	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
B11	0	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
B2	0	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
B5	0	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
CCR	0	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
CNG	0	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
EGR	0	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
Engine Upgrade	1	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00

*Draft Final*

Technology Type	Number of Engines	Emissions Reductions (overall)				Emissions Reductions (per vehicle)			
		CO (t)	VOC (t)	NOx (t)	PM <sub>2.5</sub> (t)	CO (t/veh)	VOC (t/veh)	NOx (t/veh)	PM <sub>2.5</sub> (t/veh)
Idling Policy	832	67.47	14.27	130.85	1.33	0.08	0.02	0.16	0.00
Replace	0	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
Repower	0	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
TSE	0	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
Other	310	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
Unknown	0	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
Hybrid	6	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
<b>Total</b>	<b>2649</b>	<b>240</b>	<b>82</b>	<b>144</b>	<b>18</b>	-	-	-	-

**Table 46/51-MCDI-3.** Overall emissions reductions and emissions reductions per vehicle for MCDI-funded projects in Michigan.

Technology Type	Number of Engines	Emissions Reductions (overall)				Emissions Reductions (per vehicle)			
		CO (t)	VOC (t)	NOx (t)	PM <sub>2.5</sub> (t)	CO (t/veh)	VOC (t/veh)	NOx (t/veh)	PM <sub>2.5</sub> (t/veh)
DOC	721	88.38	36.71	0.00	6.68	0.12	0.05	0.00	0.01
DPF	7	9.68	0.79	0.00	0.56	1.38	0.11	0.00	0.08
Heater	148	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
ULSD	0	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
B20	108	1.10	6.72	0.00	0.9	0.01	0.06	0.00	0.01
APU	0	1.10	0.00	0.00	0.00	0.00	0.00	0.00	0.00
Auto Shut-down	0	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
B100	0	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
B11	0	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
B2	0	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
B5	0	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
CCR	0	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
CNG	0	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
EGR	0	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
Engine Upgrade	0	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
Idling Policy	0	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
Replace	9	0.00	0.90	59.85	0.70	0.00	0.10	6.65	0.08
Repower	1	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
TSE	0	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
Other	546	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
Unknown	0	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
Hybrid	3	0.00	0.22	14.96	0.17	0.00	0.07	4.99	0.06
<b>Total</b>	<b>1543</b>	<b>100</b>	<b>45</b>	<b>75</b>	<b>9</b>	-	-	-	-

*Draft Final***Table 46/51-MCDI-4.** Overall emissions reductions and emissions reductions per vehicle for MCDI-funded projects in Minnesota.

Technology Type	Number of Engines	Emissions Reductions (overall)				Emissions Reductions (per vehicle)			
		CO (t)	VOC (t)	NOx (t)	PM <sub>2.5</sub> (t)	CO (t/veh)	VOC (t/veh)	NOx (t/veh)	PM <sub>2.5</sub> (t/veh)
DOC	115	15.17	5.35	0.00	1.54	0.13	0.05	0.00	0.01
DPF	0	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
Heater	322	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
ULSD	0	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
B20	0	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
APU	10	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
Auto Shut-down	0	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
B100	0	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
B11	0	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
B2	143700	0.00	0.00	0.00	582.1	0.00	0.00	0.00	0.00
B5	0	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
CCR	8	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
CNG	0	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
EGR	0	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
Engine Upgrade	823	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
Idling Policy	0	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
Replace	0	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
Repower	0	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
TSE	0	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
Other	2875	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
Unknown	0	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
Hybrid	0	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
<b>Total</b>	<b>147853</b>	<b>15</b>	<b>5</b>	<b>0</b>	<b>584</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>

**Table 46/51-MCDI-5.** Overall emissions reductions and emissions reductions per vehicle for MCDI-funded projects in Ohio.

Technology Type	Number of Engines	Emissions Reductions (overall)				Emissions Reductions (per vehicle)			
		CO (t)	VOC (t)	NOx (t)	PM <sub>2.5</sub> (t)	CO (t/veh)	VOC (t/veh)	NOx (t/veh)	PM <sub>2.5</sub> (t/veh)
DOC	608	81.74	25.77	0.00	7.01	0.13	0.04	0.00	0.01
DPF	190	158.40	23.64	0.00	10.39	0.83	0.12	0.00	0.05
Heater	65	0.73	0.17	1.61	0.01	0.01	0.00	0.02	0.00
ULSD	92	0.00	0.00	0.00	0.43	0.00	0.00	0.00	0.00
B20	323	28.53	3.79	0.00	1.66	0.09	0.01	0.00	0.01
APU	0	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
Auto Shut-down	0	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
B100	0	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
B11	0	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
B2	0	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
B5	0	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
CCR	10	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
CNG	0	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
EGR	0	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
Engine Upgrade	48	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
Idling Policy	0	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00

*Draft Final*

Technology Type	Number of Engines	Emissions Reductions (overall)				Emissions Reductions (per vehicle)			
		CO (t)	VOC (t)	NOx (t)	PM <sub>2.5</sub> (t)	CO (t/veh)	VOC (t/veh)	NOx (t/veh)	PM <sub>2.5</sub> (t/veh)
Replace	2	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
Repower	5	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
TSE	0	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
Other	279	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
Unknown	0	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
Hybrid	0	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
<b>Total</b>	<b>1622</b>	<b>269</b>	<b>53</b>	<b>2</b>	<b>19</b>	-	-	-	-

**Table 46/51-MCDI-6.** Overall emissions reductions and emissions reductions per vehicle for MCDI-funded projects in Wisconsin.

Technology Type	Number of Engines	Emissions Reductions (overall)				Emissions Reductions (per vehicle)			
		CO (t)	VOC (t)	NOx (t)	PM <sub>2.5</sub> (t)	CO (t/veh)	VOC (t/veh)	NOx (t/veh)	PM <sub>2.5</sub> (t/veh)
DOC	1100	92.70	34.65	0.00	14.63	0.08	0.03	0.00	0.00
DPF	0	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
Heater	10333	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
ULSD	0	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
B20	0	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
APU	0	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
Auto Shut-down	0	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
B100	0	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
B11	0	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
B2	0	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
B5	0	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
CCR	0	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
CNG	10	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
EGR	0	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
Engine Upgrade	10781	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
Idling Policy	0	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
Replace	0	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
Repower	0	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
TSE	0	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
Other	5135	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
Unknown	0	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
Hybrid	0	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
<b>Total</b>	<b>27359</b>	<b>93</b>	<b>35</b>	<b>0</b>	<b>15</b>	-	-	-	-

M46/51 - DPF Retrofits for Onroad HDDVs

Measure 46a: Catalyst Diesel Particulate Trap (DPF) Retrofit

- Cost of Diesel: 2.33
- Incremental Fuel Cost 2%
- NOx Reduction 0%
- PM Reduction 85%
- HC Reduction 80%
- HC to ROG Conversion 1.26639

	MY 1989 & Earlier		MY 1990		MY 1991 - 1997		MY 1998 - 2001		MY 2002 - 2006	
	Baseline	DPF	Baseline	DPF	Baseline	DPF	Baseline	DPF	Baseline	DPF
Annual mileage	11,000	11,000	16,000	16,000	26,000	26,000	44,000	44,000	70,000	70,000
Incremental Capital Cost		\$ 9,000		\$ 9,000		\$ 9,000		\$ 9,000		\$ 9,000
Useful Life (years)	8.0	8.0	8.0	8.0	8.0	8.0	8.0	8.0	8.0	8.0
Annualized Capital Cost (\$/yr)		\$1,282		\$1,282		\$1,282		\$1,282		\$1,282
Diesel mpg	5.35	5.35	5.54	5.54	5.54	5.54	5.54	5.54	5.54	5.54
Fuel Cost/mile	\$0.44	\$0.44	\$0.42	\$0.42	\$0.42	\$0.42	\$0.42	\$0.42	\$0.42	\$0.42
Fuel Cost/year	\$4,795	\$4,795	\$6,729	\$6,729	\$10,935	\$10,935	\$18,505	\$18,505	\$29,440	\$29,440
NOx Emission Std (g/bhp-hr)	10.7	6	6	6	5	4	4	4	2.4	2.4
PM Emission Std (g/bhp-hr)	0.6	0.6	0.6	0.6	0.2	0.1	0.1	0.1	0.1	0.1
HC Emission Std (g/bhp-hr)	1.3	1.3	1.3	1.3	1.3	1.3	1.3	1.3	0.5	0.5
Conversion Factor (bhp-hr/mt)	3.11	3.05	3.05	3.05	2.95	2.90	2.90	2.90	2.90	2.90
NOx g/mile	33.24	33.24	18.30	18.30	14.75	14.75	11.58	11.58	6.95	6.95
PM g/mile	1.86	0.28	1.83	0.27	0.52	0.08	0.29	0.04	0.29	0.04
HC g/mile	4.04	0.81	3.97	0.79	3.83	0.77	3.76	0.75	1.45	0.29
NOx tons/year	0.40	0.40	0.32	0.32	0.42	0.42	0.56	0.56	0.54	0.54
PM tons/year	0.02	0.00	0.03	0.00	0.01	0.00	0.01	0.00	0.02	0.00
HC tons/year	0.05	0.01	0.07	0.01	0.11	0.02	0.18	0.04	0.11	0.02
NOx Reduction tons/year		0.00		0.00		0.00		0.00		0.00
PM Reduction tons/year		0.02		0.03		0.01		0.01		0.02
HC Reduction tons/year		0.04		0.06		0.09		0.15		0.09
Cost-Effectiveness (\$/ton)		\$2,954		\$2,069		\$3,534		\$3,026		\$2,601
One-Year Cost-Effectiveness (NOx Only)		-		-		-		-		-

DRAFT  
Measure 46/51: LNC+DPF Retrofit for On-Road HDDVs

**Measure 46b- Lean NOx Catalyst + DPF**

- Cost of Diesel: 2.33
- Incremental Fuel Consumption 2%
- NOx Reduction 25%
- PM Reduction 85%
- HC Reduction 73%
- HC to ROG Conversion 1.26639

	MY1989 & Earlier		MY 1990		MY 1991 - 1997		MY 1998 - 2001		MY 2002 - 2006	
	Baseline	LNC+DPF	Baseline	LNC+DPF	Baseline	LNC+DPF	Baseline	LNC+DPF	Baseline	LNC+DPF
Annual mileage	11,000	11,000	16,000	16,000	26,000	26,000	44,000	44,000	70,000	70,000
Incremental Capital Cost		\$ 20,000		\$ 20,000		\$ 20,000		\$ 20,000		\$ 20,000
Useful Life (years)	8	8	8	8	8	8	8	8	8	8
Annualized Capital Cost (\$/yr)		\$2,849		\$2,849		\$2,849		\$2,849		\$2,849
Diesel mpg	5.35	5.35	5.54	5.54	5.54	5.54	5.54	5.54	5.54	5.54
Fuel Cost/mile	\$0.40	\$0.40	\$0.38	\$0.38	\$0.38	\$0.38	\$0.38	\$0.38	\$0.38	\$0.38
Fuel Cost/year	\$4,373	\$4,461	\$6,137	\$6,260	\$9,973	\$10,172	\$16,877	\$17,215	\$26,850	\$27,387
Incremental Fuel Cost/year		\$87		\$123		\$199		\$338		\$537
NOx Emission Std (g/bhp-hr)	10.7		6.0		5.0		4.0		2.4	
PM Emission Std (g/bhp-hr)	0.6		0.6		0.2		0.1		0.1	
HC Emission Std (g/bhp-hr)	1.3		1.3		1.3		1.3		0.5	
Conversion Factor (bhp-hr/mi)	3.11		3.05		2.95		2.90		2.90	
NOx g/mile	33.24	24.93	18.30	13.73	14.75	11.06	11.58	8.69	6.95	5.21
PM g/mile	1.86	0.28	1.83	0.27	0.52	0.08	0.29	0.04	0.29	0.04
HC g/mile	4.04	1.09	3.97	1.07	3.83	1.04	3.76	1.02	1.45	0.39
NOx tons/year	0.40	0.30	0.32	0.24	0.42	0.32	0.56	0.42	0.54	0.40
PM tons/year	0.02	0.00	0.03	0.00	0.01	0.002	0.01	0.002	0.02	0.003
HC tons/year	0.05	0.01	0.07	0.02	0.11	0.03	0.18	0.05	0.11	0.03
NOx Reduction tons/year		0.10		0.08		0.11		0.14		0.13
PM Reduction tons/year		0.02		0.03		0.01		0.01		0.02
HC Reduction tons/year		0.04		0.05		0.08		0.13		0.08
Cost-Effectiveness (\$/ton)		\$5,372		\$4,105		\$6,210		\$5,200		\$4,617
CE Including Fuel (\$/ton)		\$5,536		\$4,281		\$6,645		\$5,816		\$5,487
One-Year Cost-Effectiveness (NOx Only)		\$198,424		\$247,822		\$189,257		\$142,413		\$149,195

DARFT  
Measure 46/51: EGR+DPF Retrofit for On-road HDDVs

**Measure 46c: EGR+DPF Retrofit**

- Cost of diesel: 2.33
- Incremental Fuel Consumption 3%
- NOx Reduction 40%
- PM Reduction 85%
- HC Reduction 73%
- HC to ROG Conversion 1.26639

	MY1989 & Earlier		MY 1990		MY 1991 - 1997		MY 1998 - 2001		MY 2002 - 2006	
	Baseline	EGR+DPF	Baseline	EGR+DPF	Baseline	EGR+DPF	Baseline	EGR+DPF	Baseline	EGR+DPF
Annual mileage	11,000	11,000	16,000	16,000	26,000	26,000	44,000	44,000	70,000	70,000
Incremental Capital Cost		\$ 23,000		\$ 23,000		\$ 23,000		\$ 23,000		\$ 23,000
Useful Life (years)	8.0	8.0	8.0	8.0	8.0	8.0	8.0	8.0	8.0	8.0
Annualized Capital Cost (\$/yr)		\$3,276		\$3,276		\$3,276		\$3,276		\$3,276
Diesel mpg	5.35	5.35	5.54	5.54	5.54	5.54	5.54	5.54	5.54	5.54
Fuel Cost/mile	\$0.44	\$0.44	\$0.42	\$0.42	\$0.42	\$0.42	\$0.42	\$0.42	\$0.42	\$0.42
Fuel Cost/year	\$4,795	\$4,797	\$6,729	\$6,731	\$10,935	\$10,938	\$18,505	\$18,511	\$29,440	\$29,449
Incremental Fuel Cost/year		\$1		\$2		\$3		\$6		\$9
NOx Emission Std (g/bhp-hr)	10.7		6.0		5.0		4.0		2.4	
PM Emission Std (g/bhp-hr)	0.6		0.6		0.2		0.1		0.1	
HC Emission Std (g/bhp-hr)	1.3		1.3		1.3		1.3		0.5	
Conversion Factor (bhp-hr/mi)	3.11		3.05		2.95		2.90		2.90	
NOx g/mile	33.24	19.95	18.30	10.98	14.75	8.85	11.58	6.95	6.95	4.17
PM g/mile	1.86	0.28	1.83	0.27	0.52	0.08	0.29	0.04	0.29	0.04
HC g/mile	4.04	1.09	3.97	1.07	3.83	1.04	3.76	1.02	1.45	0.39
NO tons/year	0.40	0.24	0.32	0.19	0.42	0.25	0.56	0.34	0.54	0.32
PM tons/year	0.02	0.00	0.03	0.00	0.01	0.002	0.01	0.002	0.02	0.003
HC tons/year	0.05	0.01	0.07	0.02	0.11	0.03	0.18	0.05	0.11	0.03
NOx Reduction tons/year		0.16		0.13		0.17		0.22		0.21
PM Reduction tons/year		0.02		0.03		0.01		0.01		0.02
HC Reduction tons/year		0.04		0.05		0.08		0.13		0.08
Cost-Effectiveness (\$/ton)		\$5,545		\$4,412		\$6,275		\$5,183		\$4,697
CE Including Fuel (\$/ton)		\$5,548		\$4,415		\$6,281		\$5,192		\$4,710
One-Year Cost-Effectiveness (NOx Only)		\$142,617		\$178,122		\$136,028		\$102,359		\$107,234

DRAFT  
Measure 46/51: SCR Retrofit for On-road HDDVs

**Measure 46d: SCR Retrofit**

- Cost of Diesel: 2.33
- Urea Cost (equivalent to % fuel) 2%
- NOx Reduction 80%
- PM Reduction 0%
- HC Reduction 0%
- HC to ROG Conversion 1.26639

	MY1989 & Earlier		MY 1990		MY 1991 - 1997		MY 1998 - 2001		MY 2002 - 2006	
	Baseline	SCR	Baseline	SCR	Baseline	SCR	Baseline	SCR	Baseline	SCR
Annual mileage	11,000	11,000	16,000	16,000	26,000	26,000	44,000	44,000	70,000	70,000
Incremental Capital Cost		\$ 26,500		\$ 26,500		\$ 26,500		\$ 26,500		\$ 26,500
Useful Life (years)	8.0	8.0	8.0	8.0	8.0	8.0	8.0	8.0	8.0	8.0
Annualized Capital Cost (\$/yr)		\$3,775		\$3,775		\$3,775		\$3,775		\$3,775
Diesel mpg	5.35	5.35	5.54	5.54	5.54	5.54	5.54	5.54	5.54	5.54
Fuel Cost/mile	\$0.44	\$0.44	\$0.42	\$0.42	\$0.42	\$0.42	\$0.42	\$0.42	\$0.42	\$0.42
Fuel Cost/year	\$4,795	\$4,795	\$6,729	\$6,729	\$10,935	\$10,935	\$18,505	\$18,505	\$29,440	\$29,440
Urea Cost/year		\$96		\$135		\$219		\$370		\$589
NOx Emission Std (g/bhp-hr)	10.7		6.0		5.0		4.0		2.4	
PM Emission Std (g/bhp-hr)	0.6		0.6		0.2		0.1		0.1	
HC Emission Std (g/bhp-hr)	1.3		1.3		1.3		1.3		0.5	
Conversion Factor (bhp-hr/mi)	3.11		3.05		2.95		2.90		2.90	
NOx g/mile	33.24	6.65	18.30	3.66	14.75	2.95	11.58	2.32	6.95	1.39
PM g/mile	1.86	1.86	1.83	1.83	0.52	0.52	0.29	0.29	0.29	0.29
HC g/mile	4.04	4.04	3.97	3.97	3.83	3.83	3.76	3.76	1.45	1.45
NOx tons/year	0.40	0.08	0.32	0.06	0.42	0.08	0.56	0.11	0.54	0.11
PM tons/year	0.02	0.02	0.03	0.03	0.01	0.01	0.01	0.01	0.02	0.02
HC tons/year	0.05	0.05	0.07	0.07	0.11	0.11	0.18	0.18	0.11	0.11
NOx Reduction tons/year		0.32		0.26		0.34		0.45		0.43
PM Reduction tons/year		0.00		0.00		0.00		0.00		0.00
HC Reduction tons/year		0.00		0.00		0.00		0.00		0.00
Cost-Effectiveness (\$/ton)		\$11,704		\$14,618		\$11,163		\$8,400		\$8,800
CE Including Urea Cost (\$/ton)		\$12,002		\$15,139		\$11,810		\$9,224		\$10,173
One-Year Cost-Effectiveness (NOx Only)		\$82,160		\$102,614		\$78,364		\$58,968		\$61,776

M46/51 - DOC Retrofits for Onroad HDDVs

Measure 46e: Diesel Oxidation Catalyst (DOC) Retrofit

- Cost of Diesel: 2.33
- Incremental Fuel Cost: 2%
- NOx Reduction: 0%
- PM Reduction: 40%
- HC Reduction: 58%
- HC to ROG Conversion: 1.26639

	MY1989 & Earlier		MY 1990		MY 1991 - 1997		MY 1998 - 2001		MY 2002 - 2006	
	Baseline	DOC	Baseline	DOC	Baseline	DOC	Baseline	DOC	Baseline	DOC
Annual mileage	11,000	11,000	16,000	16,000	26,000	26,000	44,000	44,000	70,000	70,000
Incremental Capital Cost		\$ 2,000		\$ 2,000		\$ 2,000		\$ 2,000		\$ 2,000
Useful Life (years)	8.0	8.0	8.0	8.0	8.0	8.0	8.0	8.0	8.0	8.0
Annualized Capital Cost (\$/yr)		\$285		\$285		\$285		\$285		\$285
Diesel mpg	5.35	5.35	5.54	5.54	5.54	5.54	5.54	5.54	5.54	5.54
Fuel Cost/mile	\$0.44	\$0.44	\$0.42	\$0.42	\$0.42	\$0.42	\$0.42	\$0.42	\$0.42	\$0.42
Fuel Cost/year	\$4,795	\$4,795	\$6,729	\$6,729	\$10,935	\$10,935	\$18,505	\$18,505	\$29,440	\$29,440
NOx Emission Std (g/bhp-hr)	10.7		6		5		4		2.4	
PM Emission Std (g/bhp-hr)	0.6		0.6		0.2		0.1		0.1	
HC Emission Std (g/bhp-hr)	1.3		1.3		1.3		1.3		0.5	
Conversion Factor (bhp-hr/mi)	3.11		3.05		2.95		2.90		2.90	
NOx g/mile	33.24	33.24	18.30	18.30	14.75	14.75	11.58	11.58	6.95	6.95
PM g/mile	1.86	1.12	1.83	1.10	0.52	0.31	0.29	0.17	0.29	0.17
HC g/mile	4.04	1.70	3.97	1.67	3.83	1.61	3.76	1.58	1.45	0.61
NOx tons/year	0.40	0.40	0.32	0.32	0.42	0.42	0.56	0.56	0.54	0.54
PM tons/year	0.02	0.01	0.03	0.02	0.01	0.01	0.01	0.01	0.02	0.01
HC tons/year	0.05	0.02	0.07	0.03	0.11	0.05	0.18	0.08	0.11	0.05
NOx Reduction tons/year		0.00		0.00		0.00		0.00		0.00
PM Reduction tons/year		0.01		0.01		0.01		0.01		0.01
HC Reduction tons/year		0.03		0.04		0.06		0.11		0.06
Cost-Effectiveness (\$/ton)		\$1,314		\$920		\$1,431		\$1,156		\$1,093
One-Year Cost-Effectiveness (NOx Only)										

M46/51 0 FTF Retrofits for Onroad HDDVs

Measure 46f: Flow Through Filter (FTF) Retrofit

- Cost of Diesel: 2.33
- Incremental Fuel Cost 2%
- NOx Reduction 0%
- PM Reduction 50%
- HC Reduction 80%
- HC to ROG Conversion 1.26639

	MY1989 & Earlier		MY 1990		MY 1991 - 1997		MY 1998 - 2001		MY 2002 - 2006	
	Baseline	FTF	Baseline	FTF	Baseline	FTF	Baseline	FTF	Baseline	FTF
Annual mileage	11,000	11,000	16,000	16,000	26,000	26,000	44,000	44,000	70,000	70,000
Incremental Capital Cost		\$ 9,000		\$ 9,000		\$ 9,000		\$ 9,000		\$ 9,000
Useful Life (years)	8.0	8.0	8.0	8.0	8.0	8.0	8.0	8.0	8.0	8.0
Annualized Capital Cost (\$/yr)		\$1,282		\$1,282		\$1,282		\$1,282		\$1,282
Diesel mpg	5.35	5.35	5.54	5.54	5.54	5.54	5.54	5.54	5.54	5.54
Fuel Cost/mile	\$0.44	\$0.44	\$0.42	\$0.42	\$0.42	\$0.42	\$0.42	\$0.42	\$0.42	\$0.42
Fuel Cost/year	\$4,795	\$4,795	\$6,729	\$6,729	\$10,935	\$10,935	\$18,505	\$18,505	\$29,440	\$29,440
NOx Emission Std (g/bhp-hr)	10.7		6		5		4		2.4	
PM Emission Std (g/bhp-hr)	0.6		0.6		0.2		0.1		0.1	
HC Emission Std (g/bhp-hr)	1.3		1.3		1.3		1.3		0.5	
Conversion Factor (bhp-hr/mi)	3.11		3.05		2.95		2.90		2.90	
NOx g/mile	33.24	33.24	18.30	18.30	14.75	14.75	11.58	11.58	6.95	6.95
PM g/mile	1.86	0.93	1.83	0.92	0.52	0.26	0.29	0.14	0.29	0.14
HC g/mile	4.04	0.81	3.97	0.79	3.83	0.77	3.76	0.75	1.45	0.29
NOx tons/year	0.40	0.40	0.32	0.32	0.42	0.42	0.56	0.56	0.54	0.54
PM tons/year	0.02	0.01	0.03	0.02	0.01	0.01	0.01	0.01	0.02	0.01
HC tons/year	0.05	0.01	0.07	0.01	0.11	0.02	0.18	0.04	0.11	0.02
NOx Reduction tons/year		0.00		0.00		0.00		0.00		0.00
PM Reduction tons/year		0.01		0.02		0.01		0.01		0.01
HC Reduction tons/year		0.04		0.06		0.09		0.15		0.09
Cost-Effectiveness (\$/ton)		\$4,650		\$3,257		\$4,945		\$3,941		\$3,809
One-Year Cost-Effectiveness (NOx Only)		-		-		-		-		-

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Measure 46/51: DOC+SCR Retrofit for On-road HDDVs

**Measure 46g: DOC+SCR Retrofit**

- Cost of Diesel: 2.33
- Urea Cost (equivalent to % fuel) 2%
- NOx Reduction 80%
- PM Reduction 2.5%
- HC Reduction 58%
- HC to ROG Conversion 1.26639

	MY1989 & Earlier		MY 1990		MY 1991 - 1997		MY 1998 - 2001		MY 2002 - 2006	
	Baseline	DOC+SCR	Baseline	DOC+SCR	Baseline	DOC+SCR	Baseline	DOC+SCR	Baseline	DOC+SCR
Annual mileage	11,000	11,000	16,000	16,000	26,000	26,000	44,000	44,000	70,000	70,000
Incremental Capital Cost		\$ 27,500		\$ 27,500		\$ 27,500		\$ 27,500		\$ 27,500
Useful Life (years)	8.0	8.0	8.0	8.0	8.0	8.0	8.0	8.0	8.0	8.0
Annualized Capital Cost (\$/yr)		\$3,918		\$3,918		\$3,918		\$3,918		\$3,918
Diesel mpg	5.35	5.35	5.54	5.54	5.54	5.54	5.54	5.54	5.54	5.54
Fuel Cost/mile	\$0.44	\$0.44	\$0.42	\$0.42	\$0.42	\$0.42	\$0.42	\$0.42	\$0.42	\$0.42
Fuel Cost/year	\$4,795	\$4,795	\$6,729	\$6,729	\$10,935	\$10,935	\$18,505	\$18,505	\$29,440	\$29,440
Urea Cost/year		\$96		\$135		\$219		\$370		\$589
NOx Emission Std (g/bhp-hr)	10.7		6.0		5.0		4.0		2.4	
PM Emission Std (g/bhp-hr)	0.6		0.6		0.2		0.1		0.1	
HC Emission Std (g/bhp-hr)	1.3		1.3		1.3		1.3		0.5	
Conversion Factor (bhp-hr/mi)	3.11		3.05		2.95		2.90		2.90	
NOx g/mile	33.24	6.65	18.30	3.66	14.75	2.95	11.58	2.32	6.95	1.39
PM g/mile	1.86	1.40	1.83	1.37	0.52	0.39	0.29	0.22	0.29	0.22
HC g/mile	4.04	1.72	3.97	1.69	3.83	1.63	3.76	1.60	1.45	0.62
NOx tons/year	0.40	0.08	0.32	0.06	0.42	0.08	0.56	0.11	0.54	0.11
PM tons/year	0.02	0.02	0.03	0.02	0.01	0.01	0.01	0.01	0.02	0.02
HC tons/year	0.05	0.02	0.07	0.03	0.11	0.05	0.18	0.08	0.11	0.05
NOx Reduction tons/year		0.32		0.26		0.34		0.45		0.43
PM Reduction tons/year		0.01		0.01		0.00		0.004		0.006
HC Reduction tons/year		0.03		0.04		0.06		0.10		0.06
Cost-Effectiveness (\$/ton)		\$8,313		\$8,325		\$7,960		\$6,004		\$6,298
CE Including Urea Cost (\$/ton)		\$8,517		\$8,611		\$8,404		\$6,571		\$7,245
One-Year Cost-Effectiveness (NOx Only)		\$85,260		\$106,486		\$81,321		\$61,193		\$64,107

Measure 46/51: SCR+DPF Retrofit for On-road HDDVs

**Measure 46h: SCR+DPF Retrofit**

- Cost of Diesel: 2.33
- Urea Cost (equivalent to % fuel) 2%
- NOx Reduction 80%
- PM Reduction 85%
- HC Reduction 73%
- HC to ROG Conversion 1.26639

	MY1989 & Earlier		MY 1990		MY 1991 - 1997		MY 1998 - 2001		MY 2002 - 2006	
	Baseline	SCR+DPF	Baseline	SCR+DPF	Baseline	SCR+DPF	Baseline	SCR+DPF	Baseline	SCR+DPF
Annual mileage	11,000	11,000	16,000	16,000	26,000	26,000	44,000	44,000	70,000	70,000
Incremental Capital Cost		\$ 30,000		\$ 30,000		\$ 30,000		\$ 30,000		\$ 30,000
Useful Life (years)	8.0	8.0	8.0	8.0	8.0	8.0	8.0	8.0	8.0	8.0
Annualized Capital Cost (\$/yr)		\$4,274		\$4,274		\$4,274		\$4,274		\$4,274
Diesel mpg	5.35	5.35	5.54	5.54	5.54	5.54	5.54	5.54	5.54	5.54
Fuel Cost/mile	\$0.44	\$0.44	\$0.42	\$0.42	\$0.42	\$0.42	\$0.42	\$0.42	\$0.42	\$0.42
Fuel Cost/year	\$4,795	\$4,795	\$6,729	\$6,729	\$10,935	\$10,935	\$18,505	\$18,505	\$29,440	\$29,440
Urea Cost/year		\$96		\$135		\$219		\$370		\$589
NOx Emission Std (g/bhp-hr)	10.7		6.0		5.0		4.0		2.4	
PM Emission Std (g/bhp-hr)	0.6		0.6		0.2		0.1		0.1	
HC Emission Std (g/bhp-hr)	1.3		1.3		1.3		1.3		0.5	
Conversion Factor (bhp-hr/mi)	3.11		3.05		2.95		2.90		2.90	
NOx g/mile	33.24	6.65	18.30	3.66	14.75	2.95	11.58	2.32	6.95	1.39
PM g/mile	1.86	0.28	1.83	0.27	0.52	0.08	0.29	0.04	0.29	0.04
HC g/mile	4.04	1.11	3.97	1.09	3.83	1.05	3.76	1.03	1.45	0.40
NOx tons/year	0.40	0.08	0.32	0.06	0.42	0.08	0.56	0.11	0.54	0.11
PM tons/year	0.02	0.00	0.03	0.00	0.01	0.00	0.01	0.00	0.02	0.00
HC tons/year	0.05	0.01	0.07	0.02	0.11	0.03	0.18	0.05	0.11	0.03
NOx Reduction tons/year		0.32		0.26		0.34		0.45		0.43
PM Reduction tons/year		0.02		0.03		0.01		0.012		0.019
HC Reduction tons/year		0.04		0.05		0.08		0.13		0.08
Cost-Effectiveness (\$/ton)		\$5,684		\$4,905		\$6,189		\$4,994		\$4,689
CE Including Urea Cost (\$/ton)		\$5,812		\$5,060		\$6,505		\$5,427		\$5,335
One-Year Cost-Effectiveness (NOx Only)		\$93,011		\$116,167		\$88,714		\$66,756		\$69,935



Crews/Tractors/Items	18-12-18 IP		13-12-18 IP		33-12-18 IP		42-12-18 IP		10-12-18 IP		15-12-18 IP		22-12-18 IP		29-12-18 IP		5-1-19 IP		12-1-19 IP		19-1-19 IP		26-1-19 IP		2-2-19 IP		9-2-19 IP		16-2-19 IP		23-2-19 IP		1-3-19 IP		8-3-19 IP		15-3-19 IP		22-3-19 IP		29-3-19 IP		5-4-19 IP		12-4-19 IP		19-4-19 IP		26-4-19 IP		3-5-19 IP		10-5-19 IP		17-5-19 IP		24-5-19 IP		31-5-19 IP		7-6-19 IP		14-6-19 IP		21-6-19 IP		28-6-19 IP		5-7-19 IP		12-7-19 IP		19-7-19 IP		26-7-19 IP		2-8-19 IP		9-8-19 IP		16-8-19 IP		23-8-19 IP		30-8-19 IP		6-9-19 IP		13-9-19 IP		20-9-19 IP		27-9-19 IP		4-10-19 IP		11-10-19 IP		18-10-19 IP		25-10-19 IP		1-11-19 IP		8-11-19 IP		15-11-19 IP		22-11-19 IP		29-11-19 IP		6-12-19 IP		13-12-19 IP		20-12-19 IP		27-12-19 IP		3-1-20 IP		10-1-20 IP		17-1-20 IP		24-1-20 IP		31-1-20 IP		7-2-20 IP		14-2-20 IP		21-2-20 IP		28-2-20 IP		6-3-20 IP		13-3-20 IP		20-3-20 IP		27-3-20 IP		3-4-20 IP		10-4-20 IP		17-4-20 IP		24-4-20 IP		1-5-20 IP		8-5-20 IP		15-5-20 IP		22-5-20 IP		29-5-20 IP		5-6-20 IP		12-6-20 IP		19-6-20 IP		26-6-20 IP		3-7-20 IP		10-7-20 IP		17-7-20 IP		24-7-20 IP		31-7-20 IP		7-8-20 IP		14-8-20 IP		21-8-20 IP		28-8-20 IP		4-9-20 IP		11-9-20 IP		18-9-20 IP		25-9-20 IP		2-10-20 IP		9-10-20 IP		16-10-20 IP		23-10-20 IP		30-10-20 IP		6-11-20 IP		13-11-20 IP		20-11-20 IP		27-11-20 IP		4-12-20 IP		11-12-20 IP		18-12-20 IP		25-12-20 IP		1-1-21 IP		8-1-21 IP		15-1-21 IP		22-1-21 IP		29-1-21 IP		5-2-21 IP		12-2-21 IP		19-2-21 IP		26-2-21 IP		5-3-21 IP		12-3-21 IP		19-3-21 IP		26-3-21 IP		2-4-21 IP		9-4-21 IP		16-4-21 IP		23-4-21 IP		30-4-21 IP		7-5-21 IP		14-5-21 IP		21-5-21 IP		28-5-21 IP		4-6-21 IP		11-6-21 IP		18-6-21 IP		25-6-21 IP		2-7-21 IP		9-7-21 IP		16-7-21 IP		23-7-21 IP		30-7-21 IP		6-8-21 IP		13-8-21 IP		20-8-21 IP		27-8-21 IP		3-9-21 IP		10-9-21 IP		17-9-21 IP		24-9-21 IP		1-10-21 IP		8-10-21 IP		15-10-21 IP		22-10-21 IP		29-10-21 IP		5-11-21 IP		12-11-21 IP		19-11-21 IP		26-11-21 IP		3-12-21 IP		10-12-21 IP		17-12-21 IP		24-12-21 IP		31-12-21 IP		7-1-22 IP		14-1-22 IP		21-1-22 IP		28-1-22 IP		4-2-22 IP		11-2-22 IP		18-2-22 IP		25-2-22 IP		4-3-22 IP		11-3-22 IP		18-3-22 IP		25-3-22 IP		1-4-22 IP		8-4-22 IP		15-4-22 IP		22-4-22 IP		29-4-22 IP		6-5-22 IP		13-5-22 IP		20-5-22 IP		27-5-22 IP		3-6-22 IP		10-6-22 IP		17-6-22 IP		24-6-22 IP		1-7-22 IP		8-7-22 IP		15-7-22 IP		22-7-22 IP		29-7-22 IP		5-8-22 IP		12-8-22 IP		19-8-22 IP		26-8-22 IP		2-9-22 IP		9-9-22 IP		16-9-22 IP		23-9-22 IP		30-9-22 IP		7-10-22 IP		14-10-22 IP		21-10-22 IP		28-10-22 IP		4-11-22 IP		11-11-22 IP		18-11-22 IP		25-11-22 IP		2-12-22 IP		9-12-22 IP		16-12-22 IP		23-12-22 IP		30-12-22 IP		6-1-23 IP		13-1-23 IP		20-1-23 IP		27-1-23 IP		3-2-23 IP		10-2-23 IP		17-2-23 IP		24-2-23 IP		3-3-23 IP		10-3-23 IP		17-3-23 IP		24-3-23 IP		31-3-23 IP		7-4-23 IP		14-4-23 IP		21-4-23 IP		28-4-23 IP		5-5-23 IP		12-5-23 IP		19-5-23 IP		26-5-23 IP		2-6-23 IP		9-6-23 IP		16-6-23 IP		23-6-23 IP		30-6-23 IP		7-7-23 IP		14-7-23 IP		21-7-23 IP		28-7-23 IP		4-8-23 IP		11-8-23 IP		18-8-23 IP		25-8-23 IP		1-9-23 IP		8-9-23 IP		15-9-23 IP		22-9-23 IP		29-9-23 IP		6-10-23 IP		13-10-23 IP		20-10-23 IP		27-10-23 IP		3-11-23 IP		10-11-23 IP		17-11-23 IP		24-11-23 IP		1-12-23 IP		8-12-23 IP		15-12-23 IP		22-12-23 IP		29-12-23 IP		5-1-24 IP		12-1-24 IP		19-1-24 IP		26-1-24 IP		2-2-24 IP		9-2-24 IP		16-2-24 IP		23-2-24 IP		1-3-24 IP		8-3-24 IP		15-3-24 IP		22-3-24 IP		29-3-24 IP		5-4-24 IP		12-4-24 IP		19-4-24 IP		26-4-24 IP		3-5-24 IP		10-5-24 IP		17-5-24 IP		24-5-24 IP		31-5-24 IP		7-6-24 IP		14-6-24 IP		21-6-24 IP		28-6-24 IP		5-7-24 IP		12-7-24 IP		19-7-24 IP		26-7-24 IP		2-8-24 IP		9-8-24 IP		16-8-24 IP		23-8-24 IP		30-8-24 IP		6-9-24 IP		13-9-24 IP		20-9-24 IP		27-9-24 IP		4-10-24 IP		11-10-24 IP		18-10-24 IP		25-10-24 IP		1-11-24 IP		8-11-24 IP		15-11-24 IP		22-11-24 IP		29-11-24 IP		6-12-24 IP		13-12-24 IP		20-12-24 IP		27-12-24 IP		3-1-25 IP		10-1-25 IP		17-1-25 IP		24-1-25 IP		31-1-25 IP		7-2-25 IP		14-2-25 IP		21-2-25 IP		28-2-25 IP		5-3-25 IP		12-3-25 IP		19-3-25 IP		26-3-25 IP		2-4-25 IP		9-4-25 IP		16-4-25 IP		23-4-25 IP		30-4-25 IP		7-5-25 IP		14-5-25 IP		21-5-25 IP		28-5-25 IP		4-6-25 IP		11-6-25 IP		18-6-25 IP		25-6-25 IP		2-7-25 IP		9-7-25 IP		16-7-25 IP		23-7-25 IP		30-7-25 IP		6-8-25 IP		13-8-25 IP		20-8-25 IP		27-8-25 IP		3-9-25 IP		10-9-25 IP		17-9-25 IP		24-9-25 IP		1-10-25 IP		8-10-25 IP		15-10-25 IP		22-10-25 IP		29-10-25 IP		5-11-25 IP		12-11-25 IP		19-11-25 IP		26-11-25 IP		3-12-25 IP		10-12-25 IP		17-12-25 IP		24-12-25 IP		31-12-25 IP		7-1-26 IP		14-1-26 IP		21-1-26 IP		28-1-26 IP		4-2-26 IP		11-2-26 IP		18-2-26 IP		25-2-26 IP		3-3-26 IP		10-3-26 IP		17-3-26 IP		24-3-26 IP		31-3-26 IP		7-4-26 IP		14-4-26 IP		21-4-26 IP		28-4-26 IP		5-5-26 IP		12-5-26 IP		19-5-26 IP		26-5-26 IP		2-6-26 IP		9-6-26 IP		16-6-26 IP		23-6-26 IP		30-6-26 IP		7-7-26 IP		14-7-26 IP		21-7-26 IP		28-7-26 IP		4-8-26 IP		11-8-26 IP		18-8-26 IP		25-8-26 IP		1-9-26 IP		8-9-26 IP		15-9-26 IP		22-9-26 IP		29-9-26 IP		6-10-26 IP		13-10-26 IP		20-10-26 IP		27-10-26 IP		3-11-26 IP		10-11-26 IP		17-11-26 IP		24-11-26 IP		1-12-26 IP		8-12-26 IP		15-12-26 IP		22-12-26 IP		29-12-26 IP		5-1-27 IP		12-1-27 IP		19-1-27 IP		26-1-27 IP		2-2-27 IP		9-2-27 IP		16-2-27 IP		23-2-27 IP		1-3-27 IP		8-3-27 IP		15-3-27 IP		22-3-27 IP		29-3-27 IP		5-4-27 IP		12-4-27 IP		19-4-27 IP		26-4-27 IP		3-5-27 IP		10-5-27 IP		17-5-27 IP		24-5-27 IP		31-5-27 IP		7-6-27 IP		14-6-27 IP		21-6-27 IP		28-6-27 IP		5-7-27 IP		12-7-27 IP		19-7-27 IP		26-7-27 IP		2-8-27 IP		9-8-27 IP		16-8-27 IP		23-8-27 IP		30-8-27 IP		6-9-27 IP		13-9-27 IP		20-9-27 IP		27-9-27 IP		4-10-27 IP		11-10-27 IP		18-10-27 IP		25-10-27 IP		1-11-27 IP		8-11-27 IP		15-11-27 IP		22-11-27 IP		29-11-27 IP		6-12-27 IP		13-12-27 IP		20-12-27 IP		27-12-27 IP		3-1-28 IP		10-1-28 IP		17-1-28 IP		24-1-28 IP		31-1-28 IP		7-2-28 IP		14-2-28 IP		21-2-28 IP		28-2-28 IP		5-3-28 IP		12-3-28 IP		19-3-28 IP		26-3-28 IP		2-4-28 IP		9-4-28 IP		16-4-28 IP		23-4-28 IP		30-4-28 IP		7-5-28 IP		14-5-28 IP		21-5-28 IP		28-5-28 IP		4-6-28 IP		11-6-28 IP		18-6-28 IP		25-6-28 IP		2-7-28 IP		9-7-28 IP		16-7-28 IP		23-7-28 IP		30-7-28 IP		6-8-28 IP		13-8-28 IP		20-8-28 IP		27-8-28 IP		3-9-28 IP		10-9-28 IP		17-9-28 IP		24-9-28 IP		1-10-28 IP		8-10-28 IP		15-10-28 IP		22-10-28 IP		29-10-28 IP		5-11-28 IP		12-11-28 IP		19-11-28 IP		26-11-28 IP		3-12-28 IP		10-12-28 IP		17-12-28 IP		24-12-28 IP		31-12-28 IP		7-1-29 IP		14-1-29 IP		21-1-29 IP		28-1-29 IP		4-2-29 IP		11-2-29 IP		18-2-29 IP		25-2-29 IP		3-3-29 IP		10-3-29 IP		17-3-29 IP		24-3-29 IP		31-3-29 IP		7-4-29 IP		14-4-29 IP		21-4-29 IP		28-4-29 IP		5-5-29 IP		12-5-29 IP		19-5-29 IP		26-5-29 IP		2-6-29 IP		9-6-29 IP		16-6-29 IP		23-6-29 IP		30-6-29 IP		7-7-29 IP		14-7-29 IP		21-7-29 IP		28-7-29 IP		4-8-29 IP		11-8-29 IP		18-8-29 IP		25-8-29 IP		1-9-29 IP		8-9-29 IP		15-9-29 IP		22-9-29 IP		29-9-29 IP		6-10-29 IP		13-10-29 IP		20-10-29 IP		27-10-29 IP		3-11-29 IP		10-11-29 IP		17-11-29 IP		24-11-29 IP		1-12-29 IP		8-12-29 IP		15-12-29 IP		22-12-29 IP		29-12-29 IP		5-1-30 IP		12-1-30 IP		19-1-30 IP		26-1-30 IP		2-2-30 IP		9-2-30 IP		16-2-30 IP		23-2-30 IP		1-3-30 IP		8-3-30 IP		15-3-30 IP		22-3-30 IP		29-3-30 IP		5-4-30 IP		12-4-30 IP		19-4-30 IP		26-4-30 IP		3-5-30 IP		10-5-30 IP		17-5-30 IP		24-5-30 IP		31-5-30 IP		7-6-30 IP		14-6-30 IP		21-6-30 IP		28-6-30 IP		5-7-30 IP		12-7-30 IP		19-7-30 IP		26-7-30 IP		2-8-30 IP		9-8-30 IP		16-8-30 IP		23-8-30 IP		30-8-30 IP		6-9-30 IP		13-9-30 IP		20-9-30 IP		27-9-30 IP		4-10-30 IP		11-10-30 IP		18-10-30 IP		25-10-30 IP		1-11-30 IP		8-11-30 IP		15-11-30 IP		22-11-30 IP		29-11-30 IP		6-12-30 IP		13-12-30 IP		20-12-30 IP		27-12-30 IP		3-1-31 IP		10-1-31 IP		17-1-31 IP		24-1-31 IP		31-1-31 IP		7-2-31 IP		14-2-31 IP		21-2-31 IP		28-2-31 IP		5-3-31 IP		12-3-31 IP		19-3-31 IP		26-3-31 IP		2-4-31 IP		9-4-31 IP		16-4-31 IP		23-4-31 IP		30-4-31 IP		7-5-31 IP		14-5-31 IP		21-5-31 IP		28-5-31 IP		4-6-31 IP		11-6-31 IP		18-6-31 IP		25-6-31 IP		2-7-31 IP		9-7-31 IP		16-7-31 IP		23-7-31 IP		30-7-31 IP		6-8-31 IP		13-8-31 IP		20-8-31 IP		27-8-31 IP		3-9-31 IP		10-9-31 IP		17-9-31 IP		24-9-31 IP		1-10-31 IP		8-10-31 IP		15-10-31 IP		22-10-31 IP		29-10-31 IP		5-11-31 IP		12-11-31 IP		19-11-31 IP		26-11-31 IP		3-12-31 IP		10-12-31 IP		17-12-31 IP		24-12-31 IP		31-12-31 IP		7-1-32 IP		14-1-32 IP		21-1-32 IP		28-1-32 IP		4-2-32 IP		11-2-32 IP		18-2-32 IP		25-2-32 IP		3-3-32 IP		10-3-32 IP		17-3-32 IP		24-3-32 IP		31-3-32 IP		7-4-32 IP		14-4-32 IP		21-4-32 IP		28-4-32 IP		5-5-32 IP		12-5-32 IP		19-5-32 IP		26-5-32 IP		2-6-32 IP		9-6-32 IP		16-6-32 IP		23-6-32 IP		30-6-32 IP		7-7-32 IP		14-7-32 IP		21-7-32 IP		28-7-32 IP		4-8-32 IP		11-8-32 IP		18-8-32 IP		25-8-32 IP		1-9-32 IP	
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Measure 51a: DPF Retrofit  
 Cost of diesel: 2.33  
 Incremental Fuel Consumption: 2%  
 NOx Emission Reduction: 6%  
 PM Emission Reduction: 85%  
 HC Emission Reduction: 80%  
 HC to ROG Conversion: 1.26639

Agricultural Tractors	25-50 HP		50-75 HP		75-100 HP		100-175 HP		175-300 HP		300-600 HP	
	Baseline	DPF	Baseline	DPF	Baseline	DPF	Baseline	DPF	Baseline	DPF	Baseline	DPF
NOx Emission Std (g/bhp-hr)	7.2	7.20	8.8	8.80	8.8	8.80	9.5	9.50	9.5	9.50	9.5	9.50
PM Emission Std (g/bhp-hr)	0.55	0.08	0.55	0.08	0.55	0.08	0.42	0.06	0.42	0.06	0.41	0.06
HC Emission Std (g/bhp-hr)	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
Average Horsepower (hp)	38	38	63	63	88	88	138	138	238	238	450	450
Load Factor	0.59	0.59	0.59	0.59	0.59	0.59	0.59	0.59	0.59	0.59	0.59	0.59
Activity (hr/yr)	475	475	475	475	475	475	475	475	475	475	475	475
Fuel Usage (gal/yr)	1,036	1,036	1,726	1,761	2,416	2,465	3,420	3,488	5,906	6,025	11,191	11,415
Incremental Fuel Cost/yr	\$ 48	\$ 48	\$ 80	\$ 80	\$ 113	\$ 113	\$ 159	\$ 159	\$ 275	\$ 275	\$ 422	\$ 422
Incremental Capital Cost	\$1,421	\$1,421	\$2,368	\$2,368	\$3,316	\$3,316	\$5,211	\$5,211	\$9,000	\$9,000	\$17,053	\$17,053
Useful Life (years)	10	10	10	10	10	10	10	10	10	10	10	10
Annualized Capital Cost (\$/yr)	\$167	\$167	\$238	\$238	\$332	\$332	\$521	\$521	\$900	\$900	\$1,705	\$1,705
NOx Emission Factor (g/hr)	12	20	34	34	48	48	71	71	103	103	191	191
PM Emission Factor (g/hr)	0	0	0	0	0	0	0	0	0	0	0	0
HC Emission Factor (g/hr)	0.08	0.08	0.17	0.17	0.24	0.24	0.40	0.40	0.68	0.68	1.32	1.32
NOx (tons/year)	0.01	0.00	0.01	0.00	0.01	0.00	0.02	0.00	0.03	0.00	0.06	0.01
PM (tons/year)	-	-	-	-	-	-	-	-	-	-	-	-
HC (tons/year)	-	-	-	-	-	-	-	-	-	-	-	-
NOx Reduction (tons/year)	0.01	0.01	0.01	0.01	0.01	0.01	0.02	0.02	0.03	0.03	0.05	0.05
PM Reduction (tons/year)	-	-	-	-	-	-	-	-	-	-	-	-
HC Reduction (tons/year)	-	-	-	-	-	-	-	-	-	-	-	-
Cost-Effectiveness (\$/ton)	\$1,546	\$1,546	\$1,535	\$1,535	\$1,538	\$1,538	\$1,992	\$1,992	\$2,911	\$2,911	\$2,059	\$2,059
CE Including Fuel (\$/ton)	\$1,994	\$1,994	\$1,984	\$1,984	\$1,984	\$1,984	\$2,511	\$2,511	\$2,511	\$2,511	\$2,997	\$2,997
One-Year Cost-Effectiveness	-	-	-	-	-	-	-	-	-	-	-	-

Agricultural Tractors	25-50 HP		50-75 HP		75-100 HP		100-175 HP		175-300 HP		300-600 HP	
	Baseline	DPF	Baseline	DPF	Baseline	DPF	Baseline	DPF	Baseline	DPF	Baseline	DPF
NOx Emission Std (g/bhp-hr)	6.75	6.75	6.9	6.90	6.9	6.90	6.9	6.90	6.9	6.90	6.9	6.90
PM Emission Std (g/bhp-hr)	0.48	0.07	0.55	0.08	0.55	0.08	0.30	0.05	0.12	0.02	0.12	0.02
HC Emission Std (g/bhp-hr)	0.16	0.07	1.00	0.20	1.00	0.20	1.00	0.20	1.00	0.20	1.00	0.20
Average Horsepower (hp)	38	38	63	63	88	88	138	138	238	238	450	450
Load Factor	0.59	0.59	0.59	0.59	0.59	0.59	0.59	0.59	0.59	0.59	0.59	0.59
Activity (hr/yr)	475	475	475	475	475	475	475	475	475	475	475	475
Energy Consumption Factor (bhp-hr/gal)	17.2	17.2	17.2	17.2	17.2	17.2	19.1	19.1	19.1	19.1	19.1	19.1
Fuel Usage (gal/yr)	1,036	1,036	1,726	1,761	2,416	2,465	3,420	3,488	5,906	6,025	11,191	11,415
Fuel Cost (\$/yr)	\$ 48	\$ 48	\$ 80	\$ 80	\$ 113	\$ 113	\$ 159	\$ 159	\$ 275	\$ 275	\$ 422	\$ 422
Incremental Fuel Cost/yr	\$1,421	\$1,421	\$2,368	\$2,368	\$3,316	\$3,316	\$5,211	\$5,211	\$9,000	\$9,000	\$17,053	\$17,053
Useful Life (years)	10	10	10	10	10	10	10	10	10	10	10	10
Annualized Capital Cost (\$/yr)	\$167	\$167	\$238	\$238	\$332	\$332	\$521	\$521	\$900	\$900	\$1,705	\$1,705
NOx Emission Factor (g/hr)	11	20	20	20	28	28	42	42	61	61	113	113
PM Emission Factor (g/hr)	0.08	0.08	0.13	0.13	0.19	0.19	0.29	0.29	0.51	0.51	0.96	0.96
NOx (tons/year)	0.005	0.001	0.011	0.002	0.013	0.002	0.021	0.002	0.033	0.002	0.061	0.003
PM (tons/year)	0.004	0.001	0.019	0.004	0.027	0.005	0.042	0.008	0.073	0.015	0.139	0.028
NOx Reduction (tons/year)	0.001	0.001	0.011	0.011	0.013	0.013	0.021	0.021	0.033	0.033	0.061	0.061
PM Reduction (tons/year)	0.001	0.001	0.004	0.004	0.005	0.005	0.008	0.008	0.015	0.015	0.028	0.028
HC Reduction (tons/year)	-	-	-	-	-	-	-	-	-	-	-	-
Cost-Effectiveness (\$/ton)	\$1,688	\$1,688	\$1,353	\$1,353	\$1,383	\$1,383	\$2,327	\$2,327	\$4,710	\$4,710	\$4,710	\$4,710
CE Including Fuel (\$/ton)	\$2,177	\$2,177	\$1,784	\$1,784	\$1,784	\$1,784	\$2,933	\$2,933	\$2,933	\$2,933	\$5,939	\$5,939
One-Year Cost-Effectiveness	-	-	-	-	-	-	-	-	-	-	-	-

Agricultural Tractors	25-50 HP		50-75 HP		75-100 HP		100-175 HP		175-300 HP		300-600 HP	
	Baseline	DPF	Baseline	DPF	Baseline	DPF	Baseline	DPF	Baseline	DPF	Baseline	DPF
NOx Emission Std (g/bhp-hr)	5.32	5.32	5.32	5.32	5.32	5.32	4.655	4.66	4.655	4.66	4.56	4.56
PM Emission Std (g/bhp-hr)	0.28	0.04	0.19	0.03	0.19	0.03	0.13	0.02	0.09	0.01	0.09	0.01
HC Emission Std (g/bhp-hr)	0.28	0.06	0.28	0.06	0.28	0.06	0.25	0.05	0.25	0.05	0.24	0.05
Average Horsepower (hp)	38	38	63	63	88	88	138	138	238	238	450	450
Load Factor	0.59	0.59	0.59	0.59	0.59	0.59	0.59	0.59	0.59	0.59	0.59	0.59
Activity (hr/yr)	475	475	475	475	475	475	475	475	475	475	475	475
Energy Consumption Factor (bhp-hr/gal)	1.72	1.72	1.72	1.72	1.72	1.72	1.91	1.91	1.91	1.91	1.91	1.91
Fuel Usage (gal/yr)	1,036	1,056	1,726	1,761	2,416	2,465	3,420	3,488	5,906	6,025	11,191	11,415
Fuel Cost (\$/yr)	2,413	2,461	4,022	4,102	5,630	5,743	7,967	8,127	13,762	14,037	26,075	26,597
Incremental Fuel Cost/yr	\$ -43	\$ 1,421	\$ -	\$ 2,368	\$ -	\$ 80	\$ 33,316	\$ 159	\$ 275	\$ 275	\$ 17,853	\$ 522
Useful Life (Years)	10	10	10	10	10	10	10	10	10	10	10	10
Annualized Capital Cost (\$/yr)	\$167	\$167	\$196	\$273	\$275	\$389	\$611	\$611	\$652	\$1,055	\$1,999	\$1,999
NOx Emission Factor (g/hr)	6	1	7	1	10	1	10	2	12	2	73	4
PM Emission Factor (g/hr)	6	0.06	10	0.10	14	0.14	20	0.20	34	0.34	64	13
HC Emission Factor (g/hr)	0.06	0.06	0.10	0.10	0.14	0.14	0.20	0.20	0.34	0.34	0.63	0.63
NOx Reduction (tons/year)	0.00	0.00	0.00	0.00	0.00	0.00	0.01	0.01	0.01	0.01	0.01	0.01
PM Reduction (tons/year)	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.02	0.02	0.00	0.00
HC Reduction (tons/year)	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
Cost-Effectiveness (\$/ton)	\$2,851	\$4,054	\$2,851	\$4,054	\$2,851	\$4,054	\$2,851	\$2,851	\$2,851	\$2,851	\$2,851	\$2,851
CE Including Fuel (\$/ton)	\$3,677	\$5,228	\$3,677	\$5,228	\$3,677	\$5,228	\$3,677	\$3,677	\$3,677	\$3,677	\$3,677	\$3,677
One-Year Cost-Effectiveness	-	-	-	-	-	-	-	-	-	-	-	-

Agricultural Tractors	50-75 HP		75-100 HP		100-175 HP		175-300 HP		300-600 HP	
	Baseline	DPF	Baseline	DPF	Baseline	DPF	Baseline	DPF	Baseline	DPF
NOx Emission Std (g/bhp-hr)	3.325	3.325	3.325	3.325	2.85	2.85	2.85	2.85	2.85	2.85
PM Emission Std (g/bhp-hr)	0.19	0.03	0.19	0.03	0.11	0.02	0.09	0.01	0.09	0.01
HC Emission Std (g/bhp-hr)	0.18	0.04	0.18	0.04	0.15	0.03	0.15	0.03	0.15	0.03
Average Horsepower (hp)	63	63	88	88	138	138	238	238	450	450
Load Factor	0.59	0.59	0.59	0.59	0.59	0.59	0.59	0.59	0.59	0.59
Activity (hr/yr)	475	475	475	475	475	475	475	475	475	475
Energy Consumption Factor (bhp-hr/gal)	1.72	1.72	1.72	1.72	1.91	1.91	1.91	1.91	1.91	1.91
Fuel Usage (gal/yr)	1,726	1,761	2,416	2,465	3,420	3,488	5,906	6,025	11,191	11,415
Fuel Cost (\$/yr)	4,022	4,102	5,630	5,743	7,967	8,127	13,762	14,037	26,075	26,597
Incremental Fuel Cost/yr	\$ -	\$ 113	\$ -	\$ 159	\$ -	\$ 275	\$ -	\$ 275	\$ -	\$ 522
Useful Life (Years)	10	10	10	10	10	10	10	10	10	10
Annualized Capital Cost (\$/yr)	\$278	\$278	\$389	\$611	\$611	\$611	\$611	\$611	\$611	\$611
NOx Emission Factor (g/hr)	7	1	10	1	12	2	21	4	23	4
PM Emission Factor (g/hr)	6	0.06	10	0.10	14	0.14	20	0.20	34	0.34
HC Emission Factor (g/hr)	0.06	0.06	0.10	0.10	0.14	0.14	0.20	0.20	0.34	0.34
NOx Reduction (tons/year)	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
PM Reduction (tons/year)	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
HC Reduction (tons/year)	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
Cost-Effectiveness (\$/ton)	\$4,179	\$5,389	\$4,179	\$5,389	\$4,179	\$5,389	\$4,179	\$4,179	\$4,179	\$4,179
CE Including Fuel (\$/ton)	\$5,389	\$5,389	\$5,389	\$5,389	\$5,389	\$5,389	\$5,389	\$5,389	\$5,389	\$5,389
One-Year Cost-Effectiveness	-	-	-	-	-	-	-	-	-	-

M460/51- DPF Retrofits for Agricultural Equipment

Combiner	175-300 HP		100-175 HP		175-300 HP		100-175 HP		175-300 HP		100-175 HP		175-300 HP		100-175 HP	
	Tier 0 Baseline	DPF	Tier 0 Baseline	DPF	Tier 1 Baseline	DPF	Tier 1 Baseline	DPF	Tier 2 Baseline	DPF	Tier 2 Baseline	DPF	Tier 3 Baseline	DPF	Tier 3 Baseline	DPF
NOx Emission Std (g/bhp-hr)	9.3	9.30	9.3	9.30	6.9	6.90	6.9	6.90	4.65	4.66	4.65	4.66	2.85	2.85	2.85	2.85
PM Emission Std (g/bhp-hr)	0.42475	0.06	0.42475	0.06	0.12	0.02	0.12	0.02	0.088	0.01	0.088	0.01	0.088	0.01	0.112	0.02
HC Emission Std (g/bhp-hr)	0	0.00	0	0.00	1	0.20	1	0.20	0.245	0.05	0.245	0.05	0.15	0.03	0.15	0.03
Average Horsepower (hp)	238	238	138	138	238	238	138	138	238	238	138	138	238	238	138	138
Load Factor	0.59	0.59	0.59	0.59	0.59	0.59	0.59	0.59	0.59	0.59	0.59	0.59	0.59	0.59	0.59	0.59
Activity (hr/yr)	150	150	150	150	150	150	150	150	150	150	150	150	150	150	150	150
Energy Consumption Factor (bhp-hr/gal)	19.1	19.1	19.1	19.1	19.1	19.1	19.1	19.1	19.1	19.1	19.1	19.1	19.1	19.1	19.1	19.1
Fuel Usage (gal/yr)	1,865	1,902	1,860	1,101	1,865	1,902	1,860	1,101	1,865	1,902	1,860	1,101	1,865	1,902	1,860	1,101
Fuel Cost (\$/yr)	4,346	4,493	2,516	2,566	4,346	4,433	2,516	2,566	4,346	4,433	2,516	2,566	4,346	4,433	2,516	2,566
Incremental Fuel Cost/yr	\$	87	\$	50	\$	87	\$	50	\$	87	\$	50	\$	87	\$	50
Incremental Capital Cost		\$9,000		\$5,211		\$9,000		\$5,211		\$9,000		\$5,211		\$9,000		\$5,211
Useful Life (years)	10	10	10	10	10	10	10	10	10	10	10	10	10	10	10	10
Annualized Capital Cost (\$/yr)		\$1,055		\$611		\$1,055		\$611		\$1,055		\$611		\$1,055		\$611
NOx Emission Factor (g/hr)	1303	771	771	967	967	560	560	560	652	378	399	399	231	231	231	231
PM Emission Factor (g/hr)	60	34	34	5	17	3	25	4	12	2	12	2	9	9	9	9
HC Emission Factor (g/hr)	0	0	0	0	140	28	81	16	34	7	20	4	21	4	12	2
NOx (tons/year)	0.22	0.13	0.13	0.16	0.16	0.09	0.09	0.11	0.11	0.06	0.06	0.06	0.07	0.07	0.04	0.04
PM (tons/year)	0.010	0.006	0.006	0.003	0.003	0.001	0.001	0.002	0.002	0.002	0.002	0.002	0.002	0.002	0.002	0.002
HC (tons/year)	-	-	-	0.023	0.005	0.013	0.003	0.003	0.005	0.001	0.003	0.001	0.003	0.001	0.002	0.002
NOx Reduction (tons/year)		0.01		0.00		0.00		0.00		0.00		0.00		0.00		0.00
PM Reduction (tons/year)		0.01		0.00		0.01		0.00		0.00		0.00		0.00		0.00
Cost Effectiveness (\$/ton)		\$6,307		\$6,307		\$14,915		\$7,367		\$26,038		\$18,283		\$27,653		\$22,149
C/E including Fuel (\$/ton)		\$6,826		\$6,826		\$15,144		\$7,974		\$28,259		\$20,332		\$29,910		\$23,974
One-Year Cost-Effectiveness		-		-		-		-		-		-		-		-

Measure 51b: Lean NOx Catalyst + DPF  
 Cost of diesel: 2.33  
 Incremental Fuel Cost: 2%  
 NOx Emission Reduction: 25%  
 PM Emission Reduction: 85%  
 HC Emission Reduction: 73%  
 HC to ROG Conversion: 1.26639

Agricultural Tractors	25-50 HP		50-75 HP		75-100 HP		100-175 HP		175-300 HP		300-600 HP	
	Tier 0 Baseline	LNC+DPF										
NOx Emission Std (g/bhp-hr)	7.2	5.40	8.8	6.60	8.8	6.60	9.5	7.13	9.3	6.98	9.5	7.13
PM Emission Std (g/bhp-hr)	0.35	0.08	0.55	0.08	0.55	0.08	0.42	0.06	0.42	0.06	0.41	0.06
HC Emission Std (g/bhp-hr)	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
Average Horsepower (hp)	38	38	63	63	88	88	138	138	238	238	450	450
Load Factor	0.59	0.59	0.59	0.59	0.59	0.59	0.59	0.59	0.59	0.59	0.59	0.59
Activity (hr/yr)	475	475	475	475	475	475	475	475	475	475	475	475
Energy Consumption Factor (bhp-hr/gal)	1.036	1.056	1.726	1.761	2.416	2.465	3.420	3.488	5.906	6.025	11.191	11.415
Fuel Usage (gal/yr)	1,553	1,584	2,589	2,641	3,625	3,697	5,129	5,232	8,860	9,037	16,787	17,122
Fuel Cost (\$/yr)	\$ 31	\$ 31	\$ 52	\$ 52	\$ 72	\$ 72	\$ 103	\$ 103	\$ 177	\$ 177	\$ 336	\$ 336
Incremental Fuel Cost/yr	\$5,158	\$5,158	\$7,263	\$7,263	\$9,368	\$9,368	\$13,579	\$13,579	\$20,000	\$20,000	\$37,895	\$37,895
Useful Life (years)	10	10	10	10	10	10	10	10	10	10	10	10
Annualized Capital Cost (\$/yr)	\$605	\$605	\$851	\$851	\$1,098	\$1,098	\$1,592	\$1,592	\$2,345	\$2,345	\$4,442	\$4,442
NOx Emission Factor (g/hr)	159	119	325	243	454	341	771	578	1,303	977	2,522	1,892
PM Emission Factor (g/hr)	12	2	20	3	28	4	34	5	60	9	109	16
HC Emission Factor (g/hr)	0	0	0	0	0	0	0	0	0	0	0	0
NOx (tons/year)	0.08	0.06	0.17	0.13	0.24	0.18	0.40	0.30	0.68	0.51	1.32	0.90
PM (tons/year)	0.01	0.00	0.01	0.00	0.01	0.00	0.02	0.00	0.03	0.00	0.06	0.01
HC (tons/year)	-	-	-	-	-	-	-	-	-	-	-	-
NOx Reduction (tons/year)	0.02	0.02	0.04	0.04	0.06	0.06	0.10	0.10	0.17	0.17	0.33	0.33
PM Reduction (tons/year)	0.01	0.01	0.01	0.01	0.01	0.01	0.02	0.02	0.03	0.03	0.05	0.05
HC Reduction (tons/year)	-	-	-	-	-	-	-	-	-	-	-	-
Cost-Effectiveness (\$/ton)	\$4,703	\$3,813	\$4,703	\$3,813	\$3,518	\$3,518	\$3,906	\$3,906	\$3,348	\$3,348	\$3,415	\$3,415
CE Including Fuel (\$/ton)	\$4,944	\$4,944	\$4,944	\$4,944	\$4,517	\$4,517	\$4,517	\$4,517	\$3,601	\$3,601	\$3,673	\$3,673
One-Year Cost-Effectiveness	\$247,358	\$170,994	\$170,994	\$170,994	\$157,541	\$157,541	\$134,604	\$134,604	\$117,247	\$117,247	\$114,778	\$114,778

Agricultural Tractors	25-50 HP		50-75 HP		75-100 HP		100-175 HP		175-300 HP		300-600 HP	
	Tier 0 Baseline	LNC+DPF										
NOx Emission Std (g/bhp-hr)	6.745	5.06	6.9	5.18	6.9	5.18	6.9	5.18	6.9	5.18	6.9	5.18
PM Emission Std (g/bhp-hr)	0.48	0.07	0.55	0.08	0.55	0.08	0.30	0.05	0.12	0.02	0.12	0.02
HC Emission Std (g/bhp-hr)	0.36	0.10	1.00	0.27	1.00	0.27	1.00	0.27	1.00	0.27	1.00	0.27
Average Horsepower (hp)	38	38	63	63	88	88	138	138	238	238	450	450
Load Factor	0.59	0.59	0.59	0.59	0.59	0.59	0.59	0.59	0.59	0.59	0.59	0.59
Activity (hr/yr)	475	475	475	475	475	475	475	475	475	475	475	475
Energy Consumption Factor (bhp-hr/gal)	1.036	1.056	1.726	1.761	2.416	2.465	3.420	3.488	5.906	6.025	11.191	11.415
Fuel Usage (gal/yr)	1,553	1,584	2,589	2,641	3,625	3,697	5,129	5,232	8,860	9,037	16,787	17,122
Fuel Cost (\$/yr)	\$ 31	\$ 31	\$ 52	\$ 52	\$ 72	\$ 72	\$ 103	\$ 103	\$ 177	\$ 177	\$ 336	\$ 336
Incremental Fuel Cost/yr	\$5,158	\$5,158	\$7,263	\$7,263	\$9,368	\$9,368	\$13,579	\$13,579	\$20,000	\$20,000	\$37,895	\$37,895
Useful Life (years)	10	10	10	10	10	10	10	10	10	10	10	10
Annualized Capital Cost (\$/yr)	\$605	\$605	\$851	\$851	\$1,098	\$1,098	\$1,592	\$1,592	\$2,345	\$2,345	\$4,442	\$4,442
NOx Emission Factor (g/hr)	149	112	254	191	356	267	560	420	967	725	1,832	1,374
PM Emission Factor (g/hr)	11	2	20	3	28	4	25	4	47	17	32	5
HC Emission Factor (g/hr)	8	2	37	10	52	14	81	22	140	38	266	72
NOx (tons/year)	0.08	0.06	0.13	0.10	0.19	0.14	0.29	0.22	0.51	0.38	0.96	0.72
PM (tons/year)	0.006	0.001	0.011	0.002	0.015	0.002	0.013	0.002	0.009	0.001	0.017	0.003
HC (tons/year)	0.004	0.001	0.019	0.005	0.027	0.007	0.042	0.011	0.073	0.020	0.139	0.038
NOx Reduction (tons/year)	0.02	0.02	0.03	0.03	0.05	0.05	0.07	0.07	0.13	0.13	0.24	0.24
PM Reduction (tons/year)	0.00	0.00	0.01	0.01	0.01	0.01	0.01	0.01	0.01	0.01	0.01	0.01
HC Reduction (tons/year)	0.00	0.00	0.01	0.01	0.02	0.02	0.03	0.03	0.05	0.05	0.08	0.10
Cost-Effectiveness (\$/ton)	\$5,130	\$3,865	\$4,703	\$3,865	\$3,518	\$3,518	\$3,906	\$3,906	\$3,348	\$3,348	\$3,415	\$3,415
CE Including Fuel (\$/ton)	\$5,394	\$5,394	\$5,394	\$5,394	\$5,394	\$5,394	\$5,394	\$5,394	\$5,394	\$5,394	\$5,394	\$5,394
One-Year Cost-Effectiveness	\$264,044	\$218,079	\$218,079	\$218,079	\$200,922	\$200,922	\$185,324	\$185,324	\$158,028	\$158,028	\$158,028	\$158,028

DRAFT  
Measure 46/51: LNC+DPF Retrofit for Agricultural Equipment

Agricultural Tractors	25-50 HP		50-75 HP		75-100 HP		100-175 HP		175-300 HP		300-600 HP	
	Tier 2 Baseline	LNC+DPF										
NOx Emission Std (g/bhp-hr)	5.32	3.99	5.32	3.99	4.653	3.49	4.653	3.49	4.653	3.49	4.653	3.49
PM Emission Std (g/bhp-hr)	0.28	0.04	0.19	0.03	0.13	0.02	0.09	0.01	0.09	0.01	0.09	0.01
HC Emission Std (g/bhp-hr)	0.28	0.08	0.28	0.08	0.25	0.07	0.25	0.07	0.25	0.07	0.25	0.07
Average Horsepower (hp)	38	38	63	63	88	138	138	238	238	238	450	450
Load Factor	0.59	0.59	0.59	0.59	0.59	0.59	0.59	0.59	0.59	0.59	0.59	0.59
Activity (hr/yr)	475	475	475	475	475	475	475	475	475	475	475	475
Energy Consumption Factor (bhp-hr/gal)	17.2	17.2	17.2	17.2	17.2	17.2	17.2	17.2	17.2	17.2	17.2	17.2
Fuel Usage (gal/yr)	1,036	1,036	1,726	1,761	2,416	2,465	3,420	3,488	5,906	6,025	11,191	11,415
Fuel Cost (\$/yr)	1,553	1,584	2,589	2,641	3,625	3,697	5,129	5,232	8,860	9,037	16,787	17,122
Incremental Fuel Cost/yr	\$	31	\$	52	\$	72	\$	103	\$	177	\$	336
Incremental Capital Cost	\$5,158	\$7,263	\$9,568	\$13,579	\$13,579	\$20,000	\$20,000	\$20,000	\$20,000	\$20,000	\$20,000	\$20,000
Useful Life (years)	10	10	10	10	10	10	10	10	10	10	10	10
Annualized Capital Cost (\$/yr)	\$605	\$851	\$1,098	\$1,592	\$1,592	\$2,345	\$2,345	\$2,345	\$2,345	\$2,345	\$2,345	\$2,345
NOx Emission Factor (g/hr)	118	38	196	147	275	206	378	283	652	489	1,211	908
PM Emission Factor (g/hr)	6	2	7	1	10	4	12	2	23	9	64	17
HC Emission Factor (g/hr)	6	2	10	3	14	4	20	5	34	9	64	17
NOx (tons/year)	0.06	0.05	0.10	0.08	0.14	0.11	0.20	0.15	0.34	0.26	0.63	0.48
PM (tons/year)	0.00	0.00	0.00	0.00	0.01	0.00	0.01	0.00	0.01	0.00	0.01	0.00
HC (tons/year)	0.00	0.00	0.01	0.00	0.01	0.00	0.01	0.00	0.02	0.00	0.03	0.01
NOx Reduction (tons/year)	0.02	0.00	0.00	0.00	0.04	0.00	0.05	0.00	0.09	0.00	0.16	0.01
PM Reduction (tons/year)	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.01	0.00	0.01	0.00
HC Reduction (tons/year)	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.01	0.01	0.01	0.02	0.00
Cost-Effectiveness (\$/ton)	\$8,221	\$9,088	\$8,373	\$8,925	\$8,925	\$11,866	\$11,866	\$11,866	\$11,866	\$11,866	\$11,866	\$11,866
CE including Fuel (\$/ton)	\$8,644	\$9,640	\$8,925	\$9,640	\$9,640	\$12,424	\$12,424	\$12,424	\$12,424	\$12,424	\$12,424	\$12,424
One-Year Cost-Effectiveness	\$334,770	\$326,847	\$260,394	\$260,394	\$260,394	\$260,394	\$260,394	\$260,394	\$260,394	\$260,394	\$260,394	\$260,394

Agricultural Tractors	50-75 HP		75-100 HP		100-175 HP		175-300 HP		300-600 HP	
	Tier 3 Baseline	LNC+DPF								
NOx Emission Std (g/bhp-hr)	3.323	2.49	3.323	2.49	2.83	2.14	2.83	2.14	2.83	2.14
PM Emission Std (g/bhp-hr)	0.19	0.03	0.19	0.03	0.11	0.02	0.09	0.01	0.09	0.01
HC Emission Std (g/bhp-hr)	0.18	0.05	0.18	0.05	0.15	0.04	0.15	0.04	0.15	0.04
Average Horsepower (hp)	63	63	88	88	138	138	238	238	450	450
Load Factor	0.59	0.59	0.59	0.59	0.59	0.59	0.59	0.59	0.59	0.59
Activity (hr/yr)	475	475	475	475	475	475	475	475	475	475
Energy Consumption Factor (bhp-hr/gal)	17.2	17.2	17.2	17.2	17.2	17.2	17.2	17.2	17.2	17.2
Fuel Usage (gal/yr)	1,726	1,761	2,416	2,465	3,420	3,488	5,906	6,025	11,191	11,415
Fuel Cost (\$/yr)	2,589	2,641	3,625	3,697	5,129	5,232	8,860	9,037	16,787	17,122
Incremental Fuel Cost/yr	\$	52	\$	72	\$	103	\$	177	\$	336
Incremental Capital Cost	\$7,263	\$9,568	\$13,579	\$20,000	\$13,579	\$20,000	\$20,000	\$20,000	\$20,000	\$20,000
Useful Life (years)	10	10	10	10	10	10	10	10	10	10
Annualized Capital Cost (\$/yr)	\$851	\$1,098	\$1,592	\$2,345	\$1,592	\$2,345	\$2,345	\$2,345	\$2,345	\$2,345
NOx Emission Factor (g/hr)	123	92	172	129	231	173	399	300	757	568
PM Emission Factor (g/hr)	7	1	10	3	12	3	21	6	40	11
HC Emission Factor (g/hr)	6	2	9	2	12	3	21	6	40	11
NOx (tons/year)	0.05	0.03	0.07	0.05	0.12	0.09	0.21	0.16	0.40	0.30
PM (tons/year)	0.00	0.00	0.01	0.00	0.00	0.00	0.01	0.00	0.01	0.00
HC (tons/year)	0.00	0.00	0.00	0.00	0.01	0.00	0.01	0.00	0.02	0.01
NOx Reduction (tons/year)	0.02	0.00	0.02	0.00	0.03	0.00	0.05	0.00	0.10	0.01
PM Reduction (tons/year)	0.00	0.00	0.00	0.00	0.00	0.00	0.01	0.01	0.01	0.01
HC Reduction (tons/year)	0.00	0.00	0.00	0.00	0.00	0.00	0.01	0.01	0.01	0.01
Cost-Effectiveness (\$/ton)	\$10,359	\$9,544	\$13,602	\$13,602	\$13,602	\$13,602	\$13,602	\$13,602	\$13,602	\$13,602
CE including Fuel (\$/ton)	\$10,989	\$10,174	\$14,479	\$14,479	\$14,479	\$14,479	\$14,479	\$14,479	\$14,479	\$14,479
One-Year Cost-Effectiveness	\$452,555	\$416,930	\$448,679	\$448,679	\$448,679	\$448,679	\$448,679	\$448,679	\$448,679	\$448,679

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Measure 46/51 LNC+DPF Retrofit for Agricultural Equipment

Comblines	175-300 HP		100-175 HP		175-300 HP		100-175 HP		175-300 HP		100-175 HP	
	Tier 0	LNC+DPF	Tier 0	LNC+DPF	Tier 1	LNC+DPF	Tier 2	LNC+DPF	Tier 3	LNC+DPF	Tier 3	LNC+DPF
NOx Emission Std (g/bhp-hr)	9.3	6.98	9.5	7.13	6.9	5.18	4.655	3.49	2.85	2.14	2.85	2.14
PM Emission Std (g/bhp-hr)	0.42475	0.06	0.42475	0.06	0.12	0.02	0.088	0.01	0.088	0.01	0.112	0.02
HC Emission Std (g/bhp-hr)	0	0.00	0	0.00	0.27	0.27	0.245	0.07	0.15	0.04	0.15	0.04
Average Horsepower (hp)	238	238	138	138	238	238	238	238	238	238	138	138
Load Factor	0.59	0.59	0.59	0.59	0.59	0.59	0.59	0.59	0.59	0.59	0.59	0.59
Energy Consumption Factor (bhp-hr/gal)	150	150	150	150	150	150	150	150	150	150	150	150
Fuel Usage (gal/yr)	19.1	19.1	19.1	19.1	19.1	19.1	19.1	19.1	19.1	19.1	19.1	19.1
Fuel Cost (\$/yr)	1,865	1,902	1,080	1,101	1,865	1,902	1,865	1,902	1,865	1,902	1,080	1,101
Incremental Fuel Cost/yr	\$ 56	\$ 56	\$ 56	\$ 52	\$ 56	\$ 56	\$ 56	\$ 56	\$ 56	\$ 56	\$ 56	\$ 52
Incremental Capital Cost	\$20,000	\$20,000	\$20,000	\$11,579	\$20,000	\$20,000	\$20,000	\$20,000	\$20,000	\$20,000	\$20,000	\$11,579
Useful Life (years)	10	10	10	10	10	10	10	10	10	10	10	10
Annualized Capital Cost (\$/yr)	\$2,345	\$2,345	\$2,345	\$1,357	\$2,345	\$2,345	\$2,345	\$2,345	\$2,345	\$2,345	\$2,345	\$1,357
NOx Emission Factor (g/hr)	1303	977	711	578	967	725	652	489	378	283	399	300
PM Emission Factor (g/hr)	60	9	34	5	17	3	4	2	2	2	12	9
NOx (tons/year)	0.22	0.16	0.13	0.10	0.140	0.12	0.11	0.08	0.06	0.05	0.07	0.05
PM (tons/year)	0.010	0.001	0.005	0.001	0.003	0.000	0.002	0.000	0.002	0.000	0.002	0.000
HC (tons/year)	-	-	-	-	0.023	0.006	0.006	0.002	0.003	0.001	0.003	0.001
NOx Reduction (tons/year)	-	0.05	-	0.03	-	0.04	-	0.03	-	0.02	-	0.01
PM Reduction (tons/year)	-	0.01	-	0.00	-	0.00	-	0.00	-	0.00	-	0.00
HC Reduction (tons/year)	-	-	-	-	-	0.02	-	0.01	-	-	-	-
Cost-Effectiveness (\$/ton)	\$10,601	\$10,601	\$10,546	\$10,546	\$21,580	\$21,580	\$12,945	\$12,945	\$35,062	\$35,062	\$28,376	\$35,730
CE Including Fuel (\$/ton)	\$10,854	\$10,854	\$10,798	\$10,798	\$22,095	\$22,095	\$13,254	\$13,254	\$35,899	\$35,899	\$29,054	\$37,606
One-Year Cost-Effectiveness	\$371,281	\$371,281	\$363,465	\$363,465	\$300,423	\$300,423	\$741,765	\$741,765	\$1,211,550	\$1,211,550	\$1,211,550	\$1,211,550

DRAFT  
Measure 46/51: EGR+DPF Retrofit for Agricultural Equipment

Measure 51c: EGR+DPF Retrofit  
 Cost of diesel: 2.33  
 Incremental Fuel Cost 3%  
 NOx Emission Reduction 40%  
 PM Emission Reduction 85%  
 HC Emission Reduction 73%  
 HC to ROG Conversion 1.26639

Agricultural Tractors	25-50 HP		50-75 HP		75-100 HP		100-175 HP		175-300 HP		300-600 HP	
	Baseline	Tier 0	Baseline	Tier 0	Baseline	Tier 0	Baseline	Tier 0	Baseline	Tier 0	Baseline	Tier 0
NOx Emission Std (g/bhp-hr)	7.2	4.32	8.8	5.28	8.8	5.28	9.5	5.70	9.3	5.58	9.5	5.70
PM Emission Std (g/bhp-hr)	0.53	0.08	0.53	0.08	0.08	0.00	0.00	0.00	0.00	0.00	0.00	0.00
HC Emission Std (g/bhp-hr)	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
Average Horsepower (hp)	38	38	63	63	88	88	138	138	238	238	450	450
Load Factor	0.59	0.59	0.59	0.59	0.59	0.59	0.59	0.59	0.59	0.59	0.59	0.59
Activity (hr/yr)	475	475	475	475	475	475	475	475	475	475	475	475
Energy Consumption Factor (bhp-hr/gal)	17.2	17.2	17.2	17.2	17.2	17.2	19.1	19.1	19.1	19.1	19.1	19.1
Fuel Usage (gal/yr)	1,036	1,067	1,726	1,778	2,416	2,489	3,420	3,522	5,906	6,084	11,191	11,527
Fuel Cost (\$/yr)	2,413	2,485	4,022	4,142	5,630	5,799	7,967	8,206	13,762	14,175	26,075	26,858
Incremental Fuel Cost/yr	\$	72	\$	121	\$	169	\$	239	\$	413	\$	782
Incremental Capital Cost	\$3,632		\$6,053		\$8,474		\$13,316		\$23,000		\$43,579	
Useful Life (years)	10	10	10	10	10	10	10	10	10	10	10	10
Annualized Capital Cost (\$/yr)	\$426		\$710		\$993		\$1,561		\$2,696		\$5,109	
NOx Emission Factor (g/yr)	159	96	325	195	454	273	771	462	1303	782	2522	1513
PM Emission Factor (g/yr)	12	2	20	3	28	4	34	5	60	9	109	16
HC Emission Factor (g/yr)	0	0	0	0	0	0	0	0	0	0	0	0
NOx (tons/year)	0.08	0.05	0.17	0.10	0.24	0.14	0.40	0.24	0.68	0.41	1.32	0.79
PM (tons/year)	0.01	0.00	0.01	0.00	0.01	0.00	0.02	0.00	0.03	0.00	0.06	0.01
HC (tons/year)	-	-	-	-	-	-	-	-	-	-	-	-
NOx Reduction (tons/year)	-	-	-	-	-	-	-	-	-	-	-	-
PM Reduction (tons/year)	-	-	-	-	-	-	-	-	-	-	-	-
HC Reduction (tons/year)	-	-	-	-	-	-	-	-	-	-	-	-
Cost-Effectiveness (\$/ton)	\$3,018		\$2,852		\$2,856		\$3,335		\$3,559		\$3,406	
CE Including Fuel (\$/ton)	\$3,531		\$3,337		\$3,141		\$3,845		\$3,873		\$3,930	
One-Year Cost-Effectiveness	\$108,830		\$89,059		\$89,059		\$82,497		\$84,271		\$82,497	

Agricultural Tractors	25-50 HP		50-75 HP		75-100 HP		100-175 HP		175-300 HP		300-600 HP	
	Baseline	Tier 1	Baseline	Tier 1	Baseline	Tier 1	Baseline	Tier 1	Baseline	Tier 1	Baseline	Tier 1
NOx Emission Std (g/bhp-hr)	6.745	4.05	6.9	4.14	6.9	4.14	6.9	4.14	6.9	4.14	6.9	4.14
PM Emission Std (g/bhp-hr)	0.48	0.07	0.55	0.08	0.30	0.05	0.12	0.02	0.12	0.02	0.12	0.02
HC Emission Std (g/bhp-hr)	0.36	0.10	1.00	0.27	1.00	0.27	1.00	0.27	1.00	0.27	1.00	0.27
Average Horsepower (hp)	38	38	63	63	88	88	138	138	238	238	450	450
Load Factor	0.59	0.59	0.59	0.59	0.59	0.59	0.59	0.59	0.59	0.59	0.59	0.59
Activity (hr/yr)	475	475	475	475	475	475	475	475	475	475	475	475
Energy Consumption Factor (bhp-hr/gal)	17.2	17.2	17.2	17.2	17.2	17.2	19.1	19.1	19.1	19.1	19.1	19.1
Fuel Usage (gal/yr)	1,036	1,067	1,726	1,778	2,416	2,489	3,420	3,522	5,906	6,084	11,191	11,527
Fuel Cost (\$/yr)	2,413	2,485	4,022	4,142	5,630	5,799	7,967	8,206	13,762	14,175	26,075	26,858
Incremental Fuel Cost/yr	\$	72	\$	121	\$	169	\$	239	\$	413	\$	782
Incremental Capital Cost	\$3,632		\$6,053		\$8,474		\$13,316		\$23,000		\$43,579	
Useful Life (years)	10	10	10	10	10	10	10	10	10	10	10	10
Annualized Capital Cost (\$/yr)	\$426		\$710		\$993		\$1,561		\$2,696		\$5,109	
NOx Emission Factor (g/yr)	149	90	254	153	356	214	560	336	967	580	1832	1099
PM Emission Factor (g/yr)	11	2	20	3	28	4	25	4	17	3	32	5
HC Emission Factor (g/yr)	8	2	37	10	52	14	22	140	38	266	72	72
NOx (tons/year)	0.08	0.05	0.13	0.08	0.19	0.11	0.29	0.18	0.51	0.30	0.96	0.58
PM (tons/year)	0.006	0.001	0.011	0.002	0.015	0.002	0.002	0.002	0.009	0.001	0.017	0.003
HC (tons/year)	0.004	0.001	0.019	0.005	0.027	0.007	0.042	0.011	0.073	0.020	0.139	0.033
NOx Reduction (tons/year)	-	-	-	-	-	-	-	-	-	-	-	-
PM Reduction (tons/year)	-	-	-	-	-	-	-	-	-	-	-	-
HC Reduction (tons/year)	-	-	-	-	-	-	-	-	-	-	-	-
Cost-Effectiveness (\$/ton)	\$3,285		\$2,812		\$2,812		\$4,151		\$6,420		\$6,420	
CE Including Fuel (\$/ton)	\$3,844		\$3,290		\$3,290		\$4,787		\$7,403		\$7,403	
One-Year Cost-Effectiveness	\$116,193		\$113,583		\$113,583		\$113,583		\$113,583		\$113,583	

DRAFT  
Measure 46/51: EGR+DPF Retrofit for Agricultural Equipment

Agricultural Tractors	25-50 HP		50-75 HP		75-100 HP		100-175 HP		175-300 HP		300-600 HP	
	Tier 2 Baseline	EGR+DPF										
NOx Emission Std (g/bhp-hr)	5.32	3.19	5.32	3.19	5.32	3.19	5.32	3.19	5.32	3.19	5.32	3.19
PM Emission Std (g/bhp-hr)	0.28	0.04	0.19	0.03	0.19	0.03	0.19	0.03	0.19	0.03	0.19	0.03
HC Emission Std (g/bhp-hr)	0.28	0.08	0.28	0.08	0.28	0.08	0.28	0.08	0.28	0.08	0.28	0.08
Average Horsepower (hp)	38	38	63	63	88	88	138	138	238	238	450	450
Lead Factor	0.59	0.59	0.59	0.59	0.59	0.59	0.59	0.59	0.59	0.59	0.59	0.59
Activity (hr/yr)	475	475	475	475	475	475	475	475	475	475	475	475
Energy Consumption Factor (bhp-hr/gal)	17.2	17.2	17.2	17.2	17.2	17.2	17.2	17.2	17.2	17.2	17.2	17.2
Fuel Usage (gal/yr)	1,036	1,067	1,726	2,416	2,416	2,489	3,420	3,322	5,906	6,084	11,191	11,327
Fuel Cost (\$/yr)	2,413	2,485	4,022	4,162	5,630	5,789	7,967	8,206	13,762	14,175	26,075	26,658
Incremental Fuel Cost/yr	\$ 72	\$ 121	\$ 121	\$ 169	\$ 169	\$ 239	\$ 239	\$ 413	\$ 413	\$ 413	\$ 782	\$ 782
Incremental Capital Cost	\$3,632	\$6,053	\$6,053	\$8,474	\$8,474	\$13,316	\$13,316	\$23,000	\$23,000	\$23,000	\$43,579	\$43,579
Useful Life (years)	10	10	10	10	10	10	10	10	10	10	10	10
Annualized Capital Cost (\$/yr)	\$426	\$710	\$710	\$993	\$993	\$1,561	\$1,561	\$2,696	\$2,696	\$2,696	\$5,109	\$5,109
NOx Emission Factor (g/hr)	118	71	196	118	275	165	378	227	652	391	1211	726
PM Emission Factor (g/hr)	6	1	7	1	10	1	16	2	12	2	23	4
HC Emission Factor (g/hr)	6	2	10	3	14	4	20	5	34	9	64	17
NOx (tons/year)	0.06	0.04	0.10	0.06	0.14	0.09	0.20	0.12	0.34	0.20	0.63	0.38
PM (tons/year)	0.00	0.00	0.00	0.00	0.01	0.00	0.01	0.00	0.01	0.00	0.03	0.01
HC (tons/year)	0.00	0.00	0.01	0.00	0.01	0.00	0.01	0.00	0.02	0.00	0.03	0.01
NOx Reduction (tons/year)		0.02	0.04	0.00	0.00	0.06	0.08	0.08	0.00	0.01	0.14	0.25
PM Reduction (tons/year)		0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.01	0.01	0.01
HC Reduction (tons/year)		0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.01	0.01	0.02
Cost-Effectiveness (\$/ton)	\$5,142	\$6,504	\$6,504	\$6,504	\$7,609	\$9,937	\$9,937	\$10,253	\$10,253	\$11,822	\$10,376	\$11,965
CE Including Fuel (\$/ton)	\$6,017	\$7,609	\$7,609	\$9,937	\$9,937	\$11,822	\$11,822	\$13,316	\$13,316	\$15,013	\$13,316	\$15,013
One-Year Cost-Effectiveness	\$147,316	\$147,316	\$147,316	\$147,316	\$147,316	\$147,316	\$147,316	\$168,361	\$168,361	\$168,361	\$171,869	\$171,869

Agricultural Tractors	50-75 HP		75-100 HP		100-175 HP		175-300 HP		300-600 HP	
	Tier 3 Baseline	EGR+DPF								
NOx Emission Std (g/bhp-hr)	3.325	2.00	3.325	2.00	2.85	1.71	2.85	1.71	2.85	1.71
PM Emission Std (g/bhp-hr)	0.19	0.03	0.19	0.03	0.11	0.02	0.09	0.01	0.09	0.01
HC Emission Std (g/bhp-hr)	0.18	0.05	0.18	0.05	0.15	0.04	0.15	0.04	0.15	0.04
Average Horsepower (hp)	63	63	88	88	138	138	238	238	450	450
Lead Factor	0.59	0.59	0.59	0.59	0.59	0.59	0.59	0.59	0.59	0.59
Activity (hr/yr)	475	475	475	475	475	475	475	475	475	475
Energy Consumption Factor (bhp-hr/gal)	17.2	17.2	17.2	17.2	19.1	19.1	19.1	19.1	19.1	19.1
Fuel Usage (gal/yr)	1,726	1,778	2,416	2,489	3,420	3,522	5,906	6,084	11,191	11,527
Fuel Cost (\$/yr)	4,022	4,142	5,630	5,799	7,967	8,206	13,762	14,175	26,075	26,858
Incremental Fuel Cost/yr	\$ 121	\$ 169	\$ 169	\$ 239	\$ 239	\$ 413	\$ 413	\$ 782	\$ 782	\$ 782
Incremental Capital Cost	\$6,053	\$8,474	\$8,474	\$13,316	\$13,316	\$23,000	\$23,000	\$43,579	\$43,579	\$43,579
Useful Life (years)	10	10	10	10	10	10	10	10	10	10
Annualized Capital Cost (\$/yr)	\$710	\$993	\$993	\$1,561	\$1,561	\$2,696	\$2,696	\$5,109	\$5,109	\$5,109
NOx Emission Factor (g/hr)	123	74	172	103	231	139	399	240	757	456
PM Emission Factor (g/hr)	7	1	10	1	9	1	12	2	23	4
HC Emission Factor (g/hr)	6	2	9	2	12	3	21	6	40	11
NOx (tons/year)	0.06	0.04	0.09	0.05	0.12	0.07	0.21	0.13	0.40	0.24
PM (tons/year)	0.00	0.00	0.01	0.00	0.00	0.00	0.01	0.00	0.01	0.00
HC (tons/year)	0.00	0.00	0.00	0.00	0.01	0.00	0.01	0.00	0.02	0.01
NOx Reduction (tons/year)		0.03	0.04	0.04	0.05	0.05	0.08	0.08	0.16	0.16
PM Reduction (tons/year)		0.00	0.00	0.00	0.00	0.00	0.00	0.01	0.01	0.01
HC Reduction (tons/year)		0.00	0.00	0.00	0.00	0.00	0.01	0.01	0.02	0.02
Cost-Effectiveness (\$/ton)	\$7,728	\$9,041	\$9,041	\$11,547	\$11,547	\$13,245	\$13,245	\$15,245	\$13,245	\$15,245
CE Including Fuel (\$/ton)	\$9,041	\$11,547	\$11,547	\$13,316	\$13,316	\$15,273	\$15,273	\$17,490	\$15,273	\$17,490
One-Year Cost-Effectiveness	\$235,706	\$235,706	\$235,706	\$235,706	\$235,706	\$274,990	\$274,990	\$274,990	\$274,990	\$274,990

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Measure 46/51: EGR+DPF Retrofit for Agricultural Equipment

Combinets	175-300 HP		100-175 HP													
	Tier 0 Baseline	EGR+DPF	Tier 0 Baseline	EGR+DPF	Tier 1 Baseline	EGR+DPF	Tier 2 Baseline	EGR+DPF	Tier 1 Baseline	EGR+DPF	Tier 2 Baseline	EGR+DPF	Tier 3 Baseline	EGR+DPF	Tier 3 Baseline	EGR+DPF
NOx Emission Std (g/bhp-hr)	9.3	5.58	9.3	5.70	6.9	4.14	4.655	2.79	6.9	4.14	4.655	2.79	2.85	1.71	2.85	1.71
PM Emission Std (g/bhp-hr)	0.42475	0.06	0.42475	0.06	0.304	0.05	0.088	0.01	0.304	0.05	0.088	0.01	0.088	0.01	0.112	0.02
HC Emission Std (g/bhp-hr)	0	0.00	0	0.00	1	0.27	0.245	0.07	1	0.27	0.245	0.07	0.15	0.04	0.15	0.04
Average Horsepower (hp)	238	238	138	138	138	138	238	238	138	138	238	238	238	238	138	138
Load Factor	0.59	0.59	0.59	0.59	0.59	0.59	0.59	0.59	0.59	0.59	0.59	0.59	0.59	0.59	0.59	0.59
Activity (hr/yr)	150	150	150	150	150	150	150	150	150	150	150	150	150	150	150	150
Energy Consumption Factor (bhp-hr/gal)	19.1	19.1	19.1	19.1	19.1	19.1	19.1	19.1	19.1	19.1	19.1	19.1	19.1	19.1	19.1	19.1
Fuel Usage (gal/yr)	1,865	1,921	1,080	1,112	1,080	1,112	1,865	1,921	1,080	1,112	1,865	1,921	1,865	1,921	1,080	1,112
Fuel Cost (\$/yr)	4,346	4,476	2,516	2,592	2,516	2,592	4,346	4,476	2,516	2,592	4,346	4,476	4,346	4,476	2,516	2,592
Incremental Fuel Cost/yr	\$ 130		\$ 75		\$ 130		\$ 75		\$ 130		\$ 75		\$ 130		\$ 75	
Incremental Capital Cost	\$223,000		\$13,316		\$223,000		\$13,316		\$223,000		\$13,316		\$223,000		\$13,316	
Useful Life (years)	10	10	10	10	10	10	10	10	10	10	10	10	10	10	10	10
Annualized Capital Cost (\$/yr)	\$2,696		\$1,561		\$2,696		\$1,561		\$2,696		\$1,561		\$2,696		\$1,561	
NOx Emission Factor (g/hr)	1,303	782	771	463	560	336	652	391	560	336	652	391	399	240	231	159
PM Emission Factor (g/hr)	60	9	34	5	3	25	4	12	3	25	4	12	2	2	9	1
HC Emission Factor (g/hr)	0	0	0	0	140	38	81	22	140	38	81	22	21	6	12	3
NOx (tons/year)	0.22	0.13	0.13	0.08	0.09	0.06	0.11	0.06	0.09	0.06	0.11	0.06	0.07	0.04	0.04	0.02
PM (tons/year)	0.010	0.001	0.006	0.001	0.004	0.001	0.002	0.000	0.004	0.001	0.002	0.000	0.002	0.000	0.002	0.000
HC (tons/year)	-	-	-	-	0.023	0.006	0.013	0.004	0.006	0.002	0.006	0.002	0.003	0.001	0.002	0.001
NOx Reduction (tons/year)	-	0.09	-	0.05	-	0.06	-	0.04	-	0.06	-	0.04	-	0.03	-	0.02
PM Reduction (tons/year)	-	0.01	-	0.00	-	0.00	-	0.00	-	0.00	-	0.00	-	0.00	-	0.00
HC Reduction (tons/year)	-	-	-	-	-	0.02	-	0.01	-	0.02	-	0.01	-	0.00	-	0.00
Cost-Effectiveness (\$/ton)	\$10,637		\$10,637		\$20,330		\$13,146		\$20,330		\$13,146		\$32,467		\$41,942	
CE Including Fuel (\$/ton)	\$11,151		\$11,070		\$21,313		\$13,782		\$21,313		\$13,782		\$34,036		\$43,970	
One-Year Cost-Effectiveness	\$266,859		\$261,740		\$359,679		\$359,679		\$359,679		\$359,679		\$533,144		\$570,801	

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Measure 46/51: SCR Retrofit for Agricultural Equipment

Measure 51d: SCR Retrofit  
 Cost of diesel: 2.33  
 Urea Cost (equivalent to % fuel) 2%  
 NOx Emission Reduction 80%  
 PM Emission Reduction 0%  
 HC Emission Reduction 0%  
 HC to ROG Conversion 1.26639

Agricultural Tractors	25-50 HP		50-75 HP		75-100 HP		100-175 HP		175-300 HP		300-600 HP	
	Tier 0 Baseline	SCR	Tier 0 Baseline	SCR								
NOx Emission Std (g/bhp-hr)	7.2	1.44	8.8	1.76	8.8	1.76	9.5	1.90	9.3	1.86	9.5	1.90
PM Emission Std (g/bhp-hr)	0.55	0.55	0.55	0.55	0.55	0.55	0.42	0.42	0.42	0.42	0.41	0.41
HC Emission Std (g/bhp-hr)	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
Average Horsepower (hp)	38	38	63	63	88	88	138	238	238	238	450	450
Load Factor	0.59	0.59	0.59	0.59	0.59	0.59	0.59	0.59	0.59	0.59	0.59	0.59
Activity (hr/yr)	475	475	475	475	475	475	475	475	475	475	475	475
Energy Consumption Factor (bhp-hr/gal)	1.036	1.056	1.726	1.761	2.416	2.465	3.420	3.488	5.906	6.025	11.191	11.415
Fuel Usage (gal/yr)	2.413	2.461	4.022	4.102	5.630	5.743	7.967	8.127	13.762	14.037	26.075	26.597
Urea Fuel Cost (\$/yr)	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$
Incremental Urea Fuel Cost/yr	\$4,184		\$6,974		\$9,763		\$15,342		\$26,500		\$50,211	
Incremental Capital Cost	10	10	10	10	10	10	10	10	10	10	10	10
Useful Life (years)	5	5	5	5	5	5	5	5	5	5	5	5
Annualized Capital Cost (\$/yr)	\$491		\$818		\$1,145		\$1,799		\$3,107		\$5,886	
NOx Emission Factor (g/hr)	159	32	325	65	454	91	771	154	1303	261	2522	504
PM Emission Factor (g/hr)	12	12	20	20	28	28	34	34	60	60	109	109
HC Emission Factor (g/hr)	0	0	0	0	0	0	0	0	0	0	0	0
NOx (tons/year)	0.08	0.02	0.17	0.03	0.24	0.05	0.40	0.08	0.68	0.14	1.32	0.26
PM (tons/year)	0.01	0.01	0.01	0.01	0.01	0.01	0.02	0.02	0.03	0.03	0.06	0.06
HC (tons/year)	-	-	-	-	-	-	-	-	-	-	-	-
NOx Reduction (tons/year)	0.07	-	0.14	-	0.19	-	0.32	-	0.55	-	1.06	-
PM Reduction (tons/year)	-	-	-	-	-	-	-	-	-	-	-	-
HC Reduction (tons/year)	-	-	-	-	-	-	-	-	-	-	-	-
Cost-Effectiveness (\$/ton)	\$7,351		\$6,015		\$6,015		\$5,571		\$5,691		\$5,571	
CE Including Fuel (\$/ton)	\$8,074		\$6,606		\$6,606		\$6,065		\$6,195		\$6,065	
One-Year Cost-Effectiveness	\$62,707		\$51,306		\$51,306		\$47,523		\$48,547		\$47,523	

Agricultural Tractors	25-50 HP		50-75 HP		75-100 HP		100-175 HP		175-300 HP		300-600 HP	
	Tier 1 Baseline	SCR	Tier 1 Baseline	SCR								
NOx Emission Std (g/bhp-hr)	6.745	1.35	6.9	1.38	6.9	1.38	6.9	1.38	6.9	1.38	6.9	1.38
PM Emission Std (g/bhp-hr)	0.48	0.48	0.55	0.55	0.55	0.55	0.30	0.30	0.12	0.12	0.12	0.12
HC Emission Std (g/bhp-hr)	0.36	0.36	1.00	1.00	1.00	1.00	1.00	1.00	1.00	1.00	1.00	1.00
Average Horsepower (hp)	38	38	63	63	88	88	138	238	238	238	450	450
Load Factor	0.59	0.59	0.59	0.59	0.59	0.59	0.59	0.59	0.59	0.59	0.59	0.59
Activity (hr/yr)	475	475	475	475	475	475	475	475	475	475	475	475
Energy Consumption Factor (bhp-hr/gal)	1.036	1.056	1.726	1.761	2.416	2.465	3.420	3.488	5.906	6.025	11.191	11.415
Fuel Usage (gal/yr)	2.413	2.461	4.022	4.102	5.630	5.743	7.967	8.127	13.762	14.037	26.075	26.597
Urea Fuel Cost (\$/yr)	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$
Incremental Urea Fuel Cost/yr	\$4,184		\$6,974		\$9,763		\$15,342		\$26,500		\$50,211	
Incremental Capital Cost	10	10	10	10	10	10	10	10	10	10	10	10
Useful Life (years)	5	5	5	5	5	5	5	5	5	5	5	5
Annualized Capital Cost (\$/yr)	\$491		\$818		\$1,145		\$1,799		\$3,107		\$5,886	
NOx Emission Factor (g/hr)	149	30	254	51	356	71	560	112	967	193	1832	366
PM Emission Factor (g/hr)	11	11	20	20	28	28	25	25	17	17	32	32
HC Emission Factor (g/hr)	8	8	37	37	52	52	81	81	140	140	266	266
NOx (tons/year)	0.08	0.02	0.13	0.03	0.19	0.04	0.29	0.06	0.51	0.10	0.96	0.19
PM (tons/year)	0.006	0.006	0.011	0.011	0.015	0.015	0.013	0.009	0.009	0.017	0.017	0.017
HC (tons/year)	0.004	0.004	0.019	0.019	0.027	0.027	0.042	0.042	0.073	0.073	0.139	0.139
NOx Reduction (tons/year)	0.06	-	0.11	-	0.15	-	0.23	-	0.40	-	0.77	-
PM Reduction (tons/year)	-	-	-	-	-	-	-	-	-	-	-	-
HC Reduction (tons/year)	-	-	-	-	-	-	-	-	-	-	-	-
Cost-Effectiveness (\$/ton)	\$7,847		\$7,671		\$7,671		\$7,671		\$7,671		\$7,671	
CE Including Fuel (\$/ton)	\$8,619		\$8,426		\$8,426		\$8,426		\$8,426		\$8,426	
One-Year Cost-Effectiveness	\$66,937		\$65,434		\$65,434		\$65,434		\$65,434		\$65,434	

DRAFT  
Measure 46/51: SCR Retrofit for Agricultural Equipment

Agricultural Tractors	25-50 HP		50-75 HP		75-100 HP		100-175 HP		175-300 HP		300-600 HP	
	Baseline	SCR	Baseline	SCR	Baseline	SCR	Baseline	SCR	Baseline	SCR	Baseline	SCR
NOx Emission Std (g/bhp-hr)	5.32	1.06	5.32	1.06	5.32	1.06	4.655	0.93	4.655	0.93	4.56	0.91
PM Emission Std (g/bhp-hr)	0.28	0.28	0.19	0.19	0.19	0.19	0.13	0.13	0.09	0.09	0.09	0.09
HC Emission Std (g/bhp-hr)	0.28	0.28	0.28	0.28	0.28	0.28	0.28	0.25	0.25	0.25	0.24	0.24
Average Horsepower (hp)	38	38	63	63	88	88	138	138	238	238	450	450
Load Factor	0.59	0.59	0.59	0.59	0.59	0.59	0.59	0.59	0.59	0.59	0.59	0.59
Activity (hr/yr)	475	475	475	475	475	475	475	475	475	475	475	475
Energy Consumption Factor (bhp-hr/gal)	17.2	17.2	17.2	17.2	17.2	17.2	19.1	19.1	19.1	19.1	19.1	19.1
Fuel Usage (gal/yr)	1,036	1,036	1,726	1,761	2,416	2,465	3,488	5,906	6,025	11,191	11,415	11,415
Urea Fuel Cost (\$/yr)	2,413	2,461	4,022	4,102	5,630	5,743	7,967	13,762	14,037	26,075	26,597	26,597
Incremental Urea Fuel Cost/yr	\$	\$ 48	\$	\$ 80	\$	\$ 113	\$	\$ 159	\$	\$ 275	\$	\$ 522
Incremental Capital Cost	\$4,184	\$6,974	\$4,184	\$6,974	\$4,184	\$6,974	\$15,342	\$15,342	\$26,500	\$26,500	\$50,211	\$50,211
Useful Life (years)	10	10	10	10	10	10	10	10	10	10	10	10
Annualized Capital Cost (\$/yr)	\$691	\$1,145	\$691	\$1,145	\$691	\$1,145	\$1,799	\$1,799	\$3,107	\$3,107	\$5,886	\$5,886
NOx Emission Factor (g/hr)	118	24	196	39	275	53	378	76	652	130	121	242
PM Emission Factor (g/hr)	6	6	7	7	10	10	14	14	20	20	34	34
HC Emission Factor (g/hr)	6	6	10	10	14	14	20	20	34	34	64	64
PM (tons/year)	0.06	0.01	0.10	0.03	0.14	0.03	0.20	0.04	0.34	0.07	0.63	0.13
NOx (tons/year)	0.00	0.00	0.00	0.00	0.01	0.01	0.01	0.01	0.01	0.01	0.01	0.01
HC (tons/year)	0.00	0.00	0.01	0.01	0.01	0.01	0.01	0.01	0.01	0.02	0.02	0.03
PM Reduction (tons/year)	-	-	-	-	-	-	-	-	-	-	-	-
NOx Reduction (tons/year)	-	-	-	-	-	-	-	-	-	-	-	-
HC Reduction (tons/year)	-	-	-	-	-	-	-	-	-	-	-	-
Cost-Effectiveness (\$/ton)	\$9,949	\$9,949	\$9,949	\$9,949	\$9,949	\$9,949	\$11,370	\$11,370	\$11,370	\$11,370	\$11,607	\$11,607
CE Including Fuel (\$/ton)	\$10,928	\$10,928	\$10,928	\$10,928	\$10,928	\$10,928	\$12,378	\$12,378	\$12,378	\$12,378	\$12,636	\$12,636
One-Year Cost-Effectiveness	\$84,867	\$84,867	\$84,867	\$84,867	\$84,867	\$84,867	\$96,991	\$96,991	\$96,991	\$96,991	\$99,011	\$99,011

Agricultural Tractors	50-75 HP		75-100 HP		100-175 HP		175-300 HP		300-600 HP	
	Baseline	SCR	Baseline	SCR	Baseline	SCR	Baseline	SCR	Baseline	SCR
NOx Emission Std (g/bhp-hr)	3.325	0.67	3.325	0.67	2.85	0.57	2.85	0.57	2.85	0.57
PM Emission Std (g/bhp-hr)	0.19	0.19	0.19	0.19	0.11	0.11	0.09	0.09	0.09	0.09
HC Emission Std (g/bhp-hr)	0.18	0.18	0.18	0.18	0.15	0.15	0.15	0.15	0.15	0.15
Average Horsepower (hp)	63	63	88	88	138	138	238	238	450	450
Load Factor	0.59	0.59	0.59	0.59	0.59	0.59	0.59	0.59	0.59	0.59
Activity (hr/yr)	475	475	475	475	475	475	475	475	475	475
Energy Consumption Factor (bhp-hr/gal)	17.2	17.2	17.2	17.2	19.1	19.1	19.1	19.1	19.1	19.1
Fuel Usage (gal/yr)	1,726	1,761	2,416	2,465	3,428	3,488	5,906	6,025	11,191	11,415
Urea Fuel Cost (\$/yr)	4,022	4,102	5,630	5,743	7,967	8,127	13,762	14,037	26,075	26,597
Incremental Urea Fuel Cost/yr	\$	\$ 80	\$	\$ 113	\$	\$ 159	\$	\$ 275	\$	\$ 522
Incremental Capital Cost	\$6,974	\$6,974	\$6,974	\$6,974	\$15,342	\$15,342	\$26,500	\$26,500	\$50,211	\$50,211
Useful Life (years)	10	10	10	10	10	10	10	10	10	10
Annualized Capital Cost (\$/yr)	\$818	\$1,145	\$818	\$1,145	\$1,799	\$1,799	\$3,107	\$3,107	\$5,886	\$5,886
NOx Emission Factor (g/hr)	123	25	172	34	231	46	399	80	757	151
PM Emission Factor (g/hr)	7	7	10	10	9	9	12	12	23	23
HC Emission Factor (g/hr)	6	6	9	9	12	12	21	21	40	40
NOx (tons/year)	0.06	0.01	0.09	0.02	0.12	0.02	0.21	0.04	0.40	0.08
PM (tons/year)	0.00	0.00	0.01	0.01	0.00	0.00	0.01	0.01	0.01	0.01
HC (tons/year)	0.00	0.00	0.00	0.00	0.01	0.01	0.01	0.01	0.02	0.02
PM Reduction (tons/year)	-	-	-	-	-	-	-	-	-	-
NOx Reduction (tons/year)	-	-	-	-	-	-	-	-	-	-
HC Reduction (tons/year)	-	-	-	-	-	-	-	-	-	-
Cost-Effectiveness (\$/ton)	\$15,918	\$15,918	\$15,918	\$15,918	\$18,571	\$18,571	\$18,571	\$18,571	\$20,217	\$20,217
CE Including Fuel (\$/ton)	\$17,484	\$17,484	\$17,484	\$17,484	\$20,217	\$20,217	\$20,217	\$20,217	\$20,217	\$20,217
One-Year Cost-Effectiveness	\$135,787	\$135,787	\$135,787	\$135,787	\$158,418	\$158,418	\$158,418	\$158,418	\$158,418	\$158,418

DRAFT Measure 46/51: SCR Retrofit for Agricultural Equipment

Combiner	175-300 HP		100-175 HP													
	Tier 0 Baseline	SCR	Tier 0 Baseline	SCR	Tier 1 Baseline	SCR	Tier 1 Baseline	SCR	Tier 2 Baseline	SCR	Tier 2 Baseline	SCR	Tier 3 Baseline	SCR	Tier 3 Baseline	SCR
NOx Emission Std (g/bhp-hr)	9.3	1.86	9.3	1.90	6.9	1.38	6.9	1.38	4.655	0.93	4.655	0.93	2.85	0.57	2.85	0.57
PM Emission Std (g/bhp-hr)	0.42475	0.42	0.42475	0.42	0.12	0.304	0.12	0.304	0.088	0.09	0.088	0.13	0.088	0.09	0.088	0.11
HC Emission Std (g/bhp-hr)	0	0.00	0	0.00	1	1.00	1	1.00	0.245	0.25	0.245	0.25	0.15	0.15	0.15	0.15
Average Horsepower (hp)	238	238	138	138	138	138	138	138	238	238	238	138	238	238	138	138
Load Factor	0.59	0.59	0.59	0.59	0.59	0.59	0.59	0.59	0.59	0.59	0.59	0.59	0.59	0.59	0.59	0.59
Activity (hr/yr)	150	150	150	150	150	150	150	150	150	150	150	150	150	150	150	150
Energy Consumption Factor (bhp-hr/gal)	19.1	19.1	19.1	19.1	19.1	19.1	19.1	19.1	19.1	19.1	19.1	19.1	19.1	19.1	19.1	19.1
Fuel Usage (gal/yr)	1,865	1,902	1,080	1,101	1,080	1,080	1,101	1,080	1,865	1,902	1,080	1,101	1,865	1,902	1,080	1,101
Urea Fuel Cost (\$/hr)	4,346	4,433	2,516	2,566	4,346	4,433	2,516	2,566	4,346	4,433	2,516	2,566	4,346	4,433	2,516	2,566
Incremental Urea Fuel Cost/yr	\$ 87	\$ 87	\$ 50	\$ 50	\$ 50	\$ 50	\$ 50	\$ 50	\$ 87	\$ 87	\$ 50	\$ 50	\$ 87	\$ 87	\$ 50	\$ 50
Incremental Capital Cost	\$26,500	\$26,500	\$15,342	\$15,342	\$15,342	\$15,342	\$15,342	\$15,342	\$26,500	\$26,500	\$15,342	\$15,342	\$26,500	\$26,500	\$15,342	\$15,342
Useful Life (years)	10	10	10	10	10	10	10	10	10	10	10	10	10	10	10	10
Annualized Capital Cost (\$/yr)	\$3,107	\$3,107	\$1,799	\$1,799	\$1,799	\$1,799	\$1,799	\$1,799	\$3,107	\$3,107	\$1,799	\$1,799	\$3,107	\$3,107	\$1,799	\$1,799
NOx Emission Factor (g/hr)	1303	261	771	154	967	191	560	112	652	130	378	76	399	80	231	46
PM Emission Factor (g/hr)	60	60	34	34	17	17	25	25	12	12	10	10	12	12	9	9
HC Emission Factor (g/hr)	0	0	0	0	140	140	81	81	34	34	20	20	21	21	12	12
NOx (tons/year)	0.22	0.04	0.13	0.03	0.16	0.03	0.09	0.02	0.11	0.02	0.06	0.01	0.07	0.01	0.04	0.01
PM (tons/year)	0.010	0.010	0.006	0.006	0.003	0.003	0.004	0.004	0.002	0.002	0.002	0.002	0.002	0.002	0.002	0.002
HC (tons/year)	-	-	-	-	0.023	0.023	0.013	0.013	0.006	0.006	0.003	0.003	0.003	0.003	0.002	0.002
NOx Reduction (tons/year)	-	0.17	-	0.10	-	0.13	-	0.07	-	0.09	-	0.05	-	0.05	-	0.03
PM Reduction (tons/year)	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
HC Reduction (tons/year)	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
Cost-Effectiveness (\$/ton)	\$18,022	\$18,022	\$17,643	\$17,643	\$24,291	\$24,291	\$24,291	\$24,291	\$36,006	\$36,006	\$36,006	\$36,006	\$36,006	\$36,006	\$36,006	\$36,006
CE Including Fuel (\$/ton)	\$18,527	\$18,527	\$18,136	\$18,136	\$24,971	\$24,971	\$24,971	\$24,971	\$37,013	\$37,013	\$37,013	\$37,013	\$37,013	\$37,013	\$37,013	\$37,013
One-Year Cost-Effectiveness	\$153,734	\$153,734	\$150,497	\$150,497	\$207,206	\$207,206	\$207,206	\$207,206	\$307,137	\$307,137	\$307,137	\$307,137	\$307,137	\$307,137	\$307,137	\$307,137

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Measure 46/51: DOC Retrofit for Agricultural Equipment

Measure 51e: DOC Retrofit  
 Cost of diesel: 2.87  
 Incremental Fuel Consumption: 2%  
 NOx Emission Reduction: 0%  
 PM Emission Reduction: 40%  
 HC Emission Reduction: 58%  
 HC to ROG Conversion: 1.26639

Agricultural Tractors	25-50 HP		50-75 HP		75-100 HP		100-175 HP		175-300 HP		300-600 HP	
	DOC	Baseline	DOC	Baseline	DOC	Baseline	DOC	Baseline	DOC	Baseline	DOC	Baseline
NOx Emission Std (g/bhp-hr)	7.2	8.8	8.8	8.8	8.8	8.8	9.3	9.3	9.3	9.3	9.3	9.5
PM Emission Std (g/bhp-hr)	0.55	0.33	0.33	0.33	0.33	0.33	0.42	0.42	0.42	0.42	0.41	0.25
HC Emission Std (g/bhp-hr)	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
Average Horsepower (hp)	38	63	63	88	88	138	138	238	238	238	450	450
Load Factor	0.59	0.59	0.59	0.59	0.59	0.59	0.59	0.59	0.59	0.59	0.59	0.59
Activity (hr/yr)	475	475	475	475	475	475	475	475	475	475	475	475
Energy Consumption Factor (bhp-hr/gal)	1.036	1.056	1.726	1.761	2.416	2.465	3.420	3.488	5.906	6.025	11.191	11.415
Fuel Usage (gal/yr)	2,972	3,032	4,954	5,053	6,935	7,074	9,814	10,010	16,951	17,290	32,118	32,761
Fuel Cost (\$/yr)	\$ 59	\$ 99	\$ 99	\$ 139	\$ 139	\$ 196	\$ 196	\$ 339	\$ 339	\$ 339	\$ 642	\$ 642
Incremental Fuel Cost/yr												
Incremental Capital Cost		\$316		\$526		\$737		\$1,158		\$2,000		\$3,789
Useful Life (Years)	10	10	10	10	10	10	10	10	10	10	10	10
Annualized Capital Cost (\$/yr)		\$37		\$62		\$86		\$136		\$234		\$444
NOx Emission Factor (g/hr)	159	159	325	454	454	771	771	1303	1303	2522	2522	2522
PM Emission Factor (g/hr)	12	7	20	28	28	34	34	60	60	109	109	65
HC Emission Factor (g/hr)	0	0	0	0	0	0	0	0	0	0	0	0
NOx (tons/year)	0.08	0.08	0.17	0.24	0.24	0.40	0.40	0.68	0.68	1.32	1.32	1.32
PM (tons/year)	0.01	0.00	0.01	0.01	0.01	0.02	0.02	0.03	0.03	0.06	0.06	0.03
HC (tons/year)	-	-	-	-	-	-	-	-	-	-	-	-
NOx Reduction (tons/year)	-	-	-	-	-	-	-	-	-	-	-	-
PM Reduction (tons/year)	-	-	-	-	-	-	-	-	-	-	-	-
HC Reduction (tons/year)	-	-	-	-	-	-	-	-	-	-	-	-
Cost-Effectiveness (\$/ton)	\$730		\$725		\$726		\$940		\$940		\$973	
CF Including Fuel (\$/ton)	\$1,903		\$1,889		\$1,893		\$2,300		\$2,300		\$2,379	
One-Year Cost-Effectiveness												

Agricultural Tractors	25-50 HP		50-75 HP		75-100 HP		100-175 HP		175-300 HP		300-600 HP	
	DOC	Baseline	DOC	Baseline	DOC	Baseline	DOC	Baseline	DOC	Baseline	DOC	Baseline
NOx Emission Std (g/bhp-hr)	6.745	6.75	6.90	6.90	6.90	6.90	6.90	6.90	6.90	6.90	6.90	6.90
PM Emission Std (g/bhp-hr)	0.48	0.29	0.33	0.33	0.33	0.30	0.42	0.42	0.42	0.42	0.42	0.42
HC Emission Std (g/bhp-hr)	0.36	0.15	1.00	1.00	1.00	1.00	1.00	1.00	1.00	1.00	1.00	1.00
Average Horsepower (hp)	38	63	63	88	88	138	138	238	238	238	450	450
Load Factor	0.59	0.59	0.59	0.59	0.59	0.59	0.59	0.59	0.59	0.59	0.59	0.59
Activity (hr/yr)	475	475	475	475	475	475	475	475	475	475	475	475
Energy Consumption Factor (bhp-hr/gal)	1.036	1.056	1.726	1.761	2.416	2.465	3.420	3.488	5.906	6.025	11.191	11.415
Fuel Usage (gal/yr)	2,972	3,032	4,954	5,053	6,935	7,074	9,814	10,010	16,951	17,290	32,118	32,761
Fuel Cost (\$/yr)	\$ 59	\$ 99	\$ 99	\$ 139	\$ 139	\$ 196	\$ 196	\$ 339	\$ 339	\$ 339	\$ 642	\$ 642
Incremental Fuel Cost/yr												
Incremental Capital Cost		\$316		\$526		\$737		\$1,158		\$2,000		\$3,789
Useful Life (Years)	10	10	10	10	10	10	10	10	10	10	10	10
Annualized Capital Cost (\$/yr)		\$37		\$62		\$86		\$136		\$234		\$444
NOx Emission Factor (g/hr)	149	149	254	356	356	560	560	967	967	1832	1832	1832
PM Emission Factor (g/hr)	11	6	20	28	28	34	34	60	60	109	109	65
HC Emission Factor (g/hr)	8	3	37	52	52	81	81	140	140	266	266	112
NOx (tons/year)	0.08	0.08	0.13	0.19	0.19	0.29	0.29	0.51	0.51	0.96	0.96	0.96
PM (tons/year)	0.006	0.003	0.011	0.015	0.015	0.027	0.027	0.042	0.042	0.073	0.073	0.058
HC (tons/year)	0.004	0.002	0.019	0.027	0.027	0.042	0.042	0.073	0.073	0.139	0.139	0.058
NOx Reduction (tons/year)	-	-	-	-	-	-	-	-	-	-	-	-
PM Reduction (tons/year)	-	-	-	-	-	-	-	-	-	-	-	-
HC Reduction (tons/year)	-	-	-	-	-	-	-	-	-	-	-	-
Cost-Effectiveness (\$/ton)	\$779		\$620		\$620		\$1,009		\$1,009		\$1,886	
CF Including Fuel (\$/ton)	\$2,031		\$1,617		\$1,617		\$2,469		\$2,469		\$4,613	
One-Year Cost-Effectiveness												

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Measure 46/51: DOC Retrofit for Agricultural Equipment

Agricultural Tractors	25-50 HP		50-75 HP		75-100HP		100-175 HP		175-300 HP		300-600 HP	
	Tier 2 Baseline	DOC										
NOx Emission Std (g/bhp-hr)	5.32	5.32	5.32	5.32	5.32	5.32	4.655	4.66	4.655	4.66	4.56	4.56
PM Emission Std (g/bhp-hr)	0.28	0.17	0.19	0.12	0.19	0.12	0.13	0.08	0.09	0.05	0.09	0.05
HC Emission Std (g/bhp-hr)	0.28	0.12	0.28	0.12	0.28	0.12	0.25	0.10	0.25	0.10	0.24	0.10
Average Horsepower (hp)	38	38	63	63	88	88	138	138	238	238	450	450
Load Factor	0.59	0.59	0.59	0.59	0.59	0.59	0.59	0.59	0.59	0.59	0.59	0.59
Activity (hr/yr)	475	475	475	475	475	475	475	475	475	475	475	475
Energy Consumption Factor (bhp-hr/gal)	17.2	17.2	17.2	17.2	17.2	17.2	19.1	19.1	19.1	19.1	19.1	19.1
Fuel Usage (gal/yr)	1,036	1,056	1,226	1,761	2,416	2,465	3,420	3,488	5,906	6,625	11,191	11,415
Fuel Cost (\$/yr)	2,972	3,032	4,954	5,053	6,953	7,074	9,814	10,010	16,951	17,290	32,118	32,761
Incremental Fuel Cost/yr	\$	59	\$	99	\$	139	\$	196	\$	339	\$	642
Incremental Capital Cost	\$316	\$526	\$	\$737	\$	\$1,158	\$	\$2,000	\$	\$3,789	\$	\$5,789
Useful Life (years)	10	10	10	10	10	10	10	10	10	10	10	10
Annualized Capital Cost (\$/yr)	\$37	\$62	\$	\$86	\$	\$136	\$	\$234	\$	\$444	\$	\$844
NOx Emission Factor (g/hr)	118	118	196	196	275	275	378	378	652	652	1211	1211
PM Emission Factor (g/hr)	6	4	10	7	10	6	10	6	12	12	23	23
HC Emission Factor (g/hr)	6	3	10	4	14	6	20	8	34	34	64	64
NOx (tons/year)	0.06	0.06	0.10	0.14	0.14	0.14	0.20	0.34	0.34	0.63	0.63	0.63
PM (tons/year)	0.00	0.00	0.00	0.01	0.01	0.01	0.01	0.01	0.01	0.01	0.01	0.01
HC (tons/year)	0.00	0.00	0.01	0.00	0.01	0.00	0.01	0.00	0.02	0.01	0.03	0.01
NOx Reduction (tons/year)	-	-	-	-	-	-	-	-	-	-	-	-
PM Reduction (tons/year)	-	-	-	-	-	-	-	-	-	-	-	-
HC Reduction (tons/year)	-	-	-	-	-	-	-	-	-	-	-	-
Cost-Effectiveness (\$/ton)	\$1,307	\$1,835	\$1,835	\$2,654	\$2,654	\$2,654	\$2,654	\$2,654	\$2,654	\$2,654	\$3,630	\$3,630
CE Including Fuel (\$/ton)	\$3,405	\$4,781	\$4,781	\$6,492	\$6,492	\$6,492	\$6,492	\$6,492	\$6,492	\$6,492	\$8,843	\$8,843
One-Year Cost-Effectiveness												

Agricultural Tractors	50-75 HP		75-100 HP		100-175 HP		175-300 HP		300-600 HP	
	Tier 3 Baseline	DOC								
NOx Emission Std (g/bhp-hr)	3.325	3.33	3.325	3.33	2.85	2.85	2.85	2.85	2.85	2.85
PM Emission Std (g/bhp-hr)	0.19	0.12	0.19	0.12	0.11	0.07	0.09	0.05	0.09	0.05
HC Emission Std (g/bhp-hr)	0.18	0.07	0.18	0.07	0.15	0.06	0.15	0.06	0.15	0.06
Average Horsepower (hp)	63	63	88	88	138	138	238	238	450	450
Load Factor	0.59	0.59	0.59	0.59	0.59	0.59	0.59	0.59	0.59	0.59
Activity (hr/yr)	475	475	475	475	475	475	475	475	475	475
Energy Consumption Factor (bhp-hr/gal)	17.2	17.2	17.2	17.2	19.1	19.1	19.1	19.1	19.1	19.1
Fuel Usage (gal/yr)	1,726	1,761	2,416	2,465	3,420	3,488	5,906	6,025	11,191	11,415
Fuel Cost (\$/yr)	4,954	5,053	6,935	7,074	9,814	10,010	16,951	17,290	32,118	32,761
Incremental Fuel Cost/yr	\$	99	\$	139	\$	196	\$	339	\$	642
Incremental Capital Cost	\$526	\$737	\$	\$1,158	\$	\$2,000	\$	\$3,789	\$	\$5,789
Useful Life (years)	10	10	10	10	10	10	10	10	10	10
Annualized Capital Cost (\$/yr)	\$62	\$86	\$	\$136	\$	\$234	\$	\$444	\$	\$844
NOx Emission Factor (g/hr)	123	123	172	172	231	231	399	399	757	757
PM Emission Factor (g/hr)	7	4	10	6	9	5	12	7	23	14
HC Emission Factor (g/hr)	6	3	9	4	12	5	21	9	40	17
NOx (tons/year)	0.06	0.06	0.09	0.09	0.12	0.12	0.21	0.21	0.40	0.40
PM (tons/year)	0.00	0.00	0.01	0.00	0.00	0.00	0.01	0.00	0.01	0.01
HC (tons/year)	0.00	0.00	0.00	0.00	0.01	0.00	0.01	0.00	0.02	0.01
NOx Reduction (tons/year)	-	-	-	-	-	-	-	-	-	-
PM Reduction (tons/year)	-	-	-	-	-	-	-	-	-	-
HC Reduction (tons/year)	-	-	-	-	-	-	-	-	-	-
Cost-Effectiveness (\$/ton)	\$1,920	\$1,920	\$2,654	\$3,176	\$3,176	\$3,176	\$3,176	\$3,176	\$3,925	\$3,925
CE Including Fuel (\$/ton)	\$5,003	\$5,003	\$6,492	\$7,769	\$7,769	\$7,769	\$7,769	\$7,769	\$9,601	\$9,601
One-Year Cost-Effectiveness										

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Composites	175-300 HP		100-175 HP													
	Tier 0 Baseline	DOC	Tier 0 Baseline	DOC	Tier 1 Baseline	DOC	Tier 2 Baseline	DOC	Tier 1 Baseline	DOC	Tier 2 Baseline	DOC	Tier 3 Baseline	DOC	Tier 3 Baseline	DOC
NOx Emission Std (g/bhp-hr)	9.3	9.30	9.3	9.50	6.9	6.90	4.653	4.65	6.9	6.90	4.653	4.65	2.85	2.85	2.85	2.85
PM Emission Std (g/bhp-hr)	0.42475	0.25	0.42475	0.25	0.07	0.304	0.088	0.05	0.07	0.304	0.088	0.05	0.088	0.05	0.112	0.07
HC Emission Std (g/bhp-hr)	0	0.00	0	0.00	0	0.42	0.245	0.10	0	0.42	0.245	0.10	0.15	0.06	0.15	0.06
Average Horsepower (hp)	238	238	238	238	238	238	238	238	238	238	238	238	238	238	238	238
Load Factor	0.59	0.59	0.59	0.59	0.59	0.59	0.59	0.59	0.59	0.59	0.59	0.59	0.59	0.59	0.59	0.59
Activity (hr/yr)	150	150	150	150	150	150	150	150	150	150	150	150	150	150	150	150
Energy Consumption Factor (bhp-hr/gal)	19.1	19.1	19.1	19.1	19.1	19.1	19.1	19.1	19.1	19.1	19.1	19.1	19.1	19.1	19.1	19.1
Fuel Usage (gal/yr)	1,865	1,902	1,080	1,101	1,865	1,902	1,080	1,101	1,865	1,902	1,080	1,101	1,865	1,902	1,080	1,101
Fuel Cost (\$/yr)	5,353	5,469	3,099	3,161	5,353	5,469	3,099	3,161	5,353	5,469	3,099	3,161	5,353	5,469	3,099	3,161
Incremental Fuel Cost/yr	\$ 107		\$ 62		\$ 107		\$ 62		\$ 107		\$ 62		\$ 107		\$ 62	
Incremental Capital Cost	\$2,000		\$1,158		\$2,000		\$1,158		\$2,000		\$1,158		\$2,000		\$1,158	
Useful Life (years)	10	10	10	10	10	10	10	10	10	10	10	10	10	10	10	10
Annualized Capital Cost (\$/yr)	\$234		\$136		\$234		\$136		\$234		\$136		\$234		\$136	
NOx Emission Factor (g/hr)	1,303	1,303	771	771	967	967	652	652	967	967	652	652	399	399	231	231
PM Emission Factor (g/hr)	60	36	34	21	17	10	15	12	17	10	15	12	6	7	9	5
HC Emission Factor (g/hr)	0	0	0	0	0	59	81	34	0	59	81	34	21	21	12	5
NOx (tons/year)	0.22	0.22	0.13	0.13	0.16	0.16	0.09	0.09	0.16	0.16	0.09	0.09	0.06	0.07	0.04	0.04
PM (tons/year)	0.010	0.006	0.006	0.003	0.003	0.002	0.004	0.002	0.003	0.002	0.001	0.001	0.002	0.001	0.002	0.001
HC (tons/year)	-	-	-	-	0.023	0.010	0.013	0.005	0.023	0.010	0.013	0.005	0.003	0.001	0.002	0.001
NOx Reduction (tons/year)	-	0.004	-	0.002	-	0.001	-	0.001	-	0.001	-	0.001	-	0.001	-	0.001
PM Reduction (tons/year)	-	-	-	0.01	-	0.01	-	0.00	-	0.00	-	0.00	-	0.00	-	0.00
HC Reduction (tons/year)	-	-	-	\$2,978	-	\$2,972	-	\$3,196	-	\$2,972	-	\$3,196	-	\$2,972	-	\$3,196
Cost-Effectiveness (\$/ton)	-	\$4,338	-	\$4,338	-	\$8,699	-	\$4,655	-	\$8,699	-	\$4,655	-	\$8,699	-	\$4,655
CE Including Fuel (\$/ton)	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
One-Year Cost-Effectiveness	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-

Measure 51f: Flow Through Filter (FTF) Retrofit  
 Cost of diesel: 2.33  
 Incremental Fuel Consumption: 2%  
 NOx Emission Reduction: 0%  
 PM Emission Reduction: 50%  
 HC Emission Reduction: 80%  
 HC to ROG Conversion: 1.26639

Agricultural Tractors	25-50 HP		50-75 HP		75-100 HP		100-175 HP		175-300 HP		300-600 HP	
	Tier 0 Baseline	FTF										
NOx Emission Std (g/bhp-hr)	7.2	7.20	8.8	8.80	8.8	8.80	9.5	9.50	9.3	9.30	9.5	9.50
PM Emission Std (g/bhp-hr)	0.55	0.27	0.55	0.28	0.55	0.28	0.42	0.21	0.42	0.21	0.41	0.21
HC Emission Std (g/bhp-hr)	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
Average Horsepower (hp)	38	38	63	63	88	88	138	138	238	238	450	450
Load Factor	0.59	0.59	0.59	0.59	0.59	0.59	0.59	0.59	0.59	0.59	0.59	0.59
Activity (hr/yr)	475	475	475	475	475	475	475	475	475	475	475	475
Energy Consumption Factor (bhp-hr/gal)	1.036	1.056	1.726	1.761	2.416	2.465	3.420	3.488	5.906	6.025	11.191	11.415
Fuel Usage (gal/yr)	2.413	2.461	4.022	4.102	5.630	5.743	7.967	8.127	13.762	14.037	26.075	26.597
Fuel Cost (\$/yr)	\$ 48	\$ 48	\$ 80	\$ 80	\$ 113	\$ 113	\$ 159	\$ 159	\$ 275	\$ 275	\$ 522	\$ 522
Incremental Fuel Cost/yr		\$1,421		\$2,368		\$3,316		\$5,211		\$9,000		\$17,053
Incremental Capital Cost	10	10	10	10	10	10	10	10	10	10	10	10
Useful Life (years)		\$167		\$278		\$389		\$611		\$1,055		\$1,999
Annualized Capital Cost (\$/yr)	159	159	325	325	454	454	771	771	1303	1303	2522	2522
NOx Emission Factor (g/hr)	12	6	20	10	28	14	34	17	60	30	109	55
PM Emission Factor (g/hr)	0	0	0	0	0	0	0	0	0	0	0	0
HC Emission Factor (g/hr)	0.08	0.08	0.17	0.17	0.24	0.24	0.40	0.40	0.68	0.68	1.32	1.32
NOx (tons/year)	0.01	0.00	0.01	0.01	0.01	0.01	0.02	0.01	0.03	0.02	0.06	0.03
PM (tons/year)												
HC (tons/year)												
NOx Reduction (tons/year)		0.00		0.01		0.01		0.01		0.02		0.03
HC Reduction (tons/year)												
PM Reduction (tons/year)												
Cost-Effectiveness (\$/ton)		\$2,629		\$2,610		\$2,615		\$3,386		\$3,386		\$3,301
CE Including Fuel (\$/ton)		\$3,391		\$3,366		\$3,372		\$4,269		\$4,269		\$4,414
One-Year Cost-Effectiveness												

Agricultural Tractors	25-50 HP		50-75 HP		75-100 HP		100-175 HP		175-300 HP		300-600 HP	
	Tier 1 Baseline	FTF										
NOx Emission Std (g/bhp-hr)	6.745	6.75	6.9	6.90	6.9	6.90	6.9	6.90	6.9	6.90	6.9	6.90
PM Emission Std (g/bhp-hr)	0.48	0.24	0.55	0.28	0.55	0.28	0.30	0.15	0.12	0.06	0.12	0.06
HC Emission Std (g/bhp-hr)	0.36	0.07	1.00	0.20	1.00	0.20	1.00	0.20	1.00	0.20	1.00	0.20
Average Horsepower (hp)	38	38	63	63	88	88	138	138	238	238	450	450
Load Factor	0.59	0.59	0.59	0.59	0.59	0.59	0.59	0.59	0.59	0.59	0.59	0.59
Activity (hr/yr)	475	475	475	475	475	475	475	475	475	475	475	475
Energy Consumption Factor (bhp-hr/gal)	1.036	1.056	1.726	1.761	2.416	2.465	3.420	3.488	5.906	6.025	11.191	11.415
Fuel Usage (gal/yr)	2.413	2.461	4.022	4.102	5.630	5.743	7.967	8.127	13.762	14.037	26.075	26.597
Fuel Cost (\$/yr)	\$ 48	\$ 48	\$ 80	\$ 80	\$ 113	\$ 113	\$ 159	\$ 159	\$ 275	\$ 275	\$ 522	\$ 522
Incremental Fuel Cost/yr		\$1,421		\$2,368		\$3,316		\$5,211		\$9,000		\$17,053
Incremental Capital Cost	10	10	10	10	10	10	10	10	10	10	10	10
Useful Life (years)		\$167		\$278		\$389		\$611		\$1,055		\$1,999
Annualized Capital Cost (\$/yr)	149	149	254	254	356	356	560	560	967	967	1832	1832
NOx Emission Factor (g/hr)	11	5	20	10	28	14	25	12	17	8	32	16
PM Emission Factor (g/hr)	8	2	37	7	52	10	81	16	140	28	266	53
HC Emission Factor (g/hr)	0.08	0.08	0.13	0.13	0.19	0.19	0.29	0.29	0.51	0.51	0.96	0.96
NOx (tons/year)	0.006	0.003	0.011	0.005	0.015	0.007	0.013	0.006	0.009	0.004	0.017	0.008
PM (tons/year)	0.004	0.001	0.019	0.004	0.027	0.005	0.042	0.008	0.073	0.015	0.139	0.028
HC (tons/year)												
NOx Reduction (tons/year)		0.68		0.01		0.01		0.01		0.02		0.01
HC Reduction (tons/year)		0.68		0.02		0.03		0.03		0.06		0.11
PM Reduction (tons/year)		\$2,787		\$2,201		\$2,201		\$3,348		\$6,498		\$6,498
Cost-Effectiveness (\$/ton)		\$3,595		\$2,839		\$2,839		\$4,474		\$8,193		\$6,498
CE Including Fuel (\$/ton)												
One-Year Cost-Effectiveness												

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Measure 46/51: FTF Retrofit for Agricultural Equipment

Agricultural Tractors	25-50 HP		50-75 HP		75-100 HP		100-175 HP		175-300 HP		300-600 HP	
	Tier 2	FTF	Tier 2	FTF	Tier 2	FTF	Tier 2	FTF	Tier 2	FTF	Tier 2	FTF
	Baseline		Baseline		Baseline		Baseline		Baseline		Baseline	
NOx Emission Std (g/bhp-hr)	5.32	5.32	5.32	5.32	5.32	5.32	4.65	4.65	4.65	4.65	4.65	4.56
PM Emission Std (g/bhp-hr)	0.28	0.14	0.19	0.19	0.19	0.10	0.13	0.09	0.09	0.09	0.09	0.04
HC Emission Std (g/bhp-hr)	0.28	0.06	0.28	0.06	0.28	0.06	0.25	0.05	0.05	0.05	0.24	0.05
Average Horsepower (hp)	38	38	63	88	88	88	138	138	238	238	450	450
Load Factor	0.59	0.59	0.59	0.59	0.59	0.59	0.59	0.59	0.59	0.59	0.59	0.59
Activity (hr/yr)	475	475	475	475	475	475	475	475	475	475	475	475
Energy Consumption Factor (bhp-hr/gal)	17.2	17.2	17.2	17.2	17.2	17.2	19.1	19.1	19.1	19.1	19.1	19.1
Fuel Usage (gal/yr)	1,036	1,036	1,726	1,761	2,416	2,465	3,420	3,488	5,906	6,025	11,191	11,415
Fuel Cost (\$/yr)	2,413	2,461	4,022	4,102	5,630	5,743	7,967	8,127	13,762	14,037	26,075	26,597
Incremental Fuel Cost/yr	\$	48	\$	80	\$	113	\$	159	\$	275	\$	522
Incremental Capital Cost	\$	\$1,421	\$	\$2,368	\$	\$3,316	\$	\$5,211	\$	\$9,000	\$	\$17,053
Useful Life (years)	10	10	10	10	10	10	10	10	10	10	10	10
Annualized Capital Cost (\$/yr)	\$167	\$167	\$278	\$278	\$389	\$389	\$611	\$611	\$946	\$946	\$1,499	\$1,999
NOx Emission Factor (g/hr)	118	118	196	196	275	275	378	378	652	652	1,211	1,211
PM Emission Factor (g/hr)	6	3	7	4	10	5	12	6	23	12	64	13
HC Emission Factor (g/hr)	6	1	10	2	14	3	20	4	34	7	64	13
NOx (tons/year)	0.06	0.06	0.10	0.14	0.14	0.20	0.34	0.34	0.63	0.63	1.21	1.21
PM (tons/year)	0.00	0.00	0.00	0.00	0.01	0.01	0.01	0.01	0.01	0.01	0.01	0.01
HC (tons/year)	0.00	0.00	0.01	0.00	0.01	0.00	0.01	0.00	0.02	0.00	0.03	0.01
NOx Reduction (tons/year)	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.01
PM Reduction (tons/year)	0.00	0.00	0.00	0.00	0.00	0.01	0.01	0.01	0.01	0.01	0.01	0.03
HC Reduction (tons/year)	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
Cost-Effectiveness (\$/ton)	\$4,663	\$4,663	\$6,526	\$6,526	\$6,526	\$6,526	\$9,416	\$9,416	\$12,746	\$12,746	\$12,864	\$12,864
CE Including Fuel (\$/ton)	\$6,014	\$6,014	\$8,416	\$8,416	\$8,416	\$8,416	\$11,865	\$11,865	\$16,072	\$16,072	\$16,144	\$16,144
One-Year Cost-Effectiveness												

Agricultural Tractors	50-75 HP		75-100 HP		100-175 HP		175-300 HP		300-600 HP	
	Tier 3	FTF	Tier 3	FTF	Tier 3	FTF	Tier 3	FTF	Tier 3	FTF
	Baseline		Baseline		Baseline		Baseline		Baseline	
NOx Emission Std (g/bhp-hr)	3.325	3.33	3.325	3.33	2.85	2.85	2.85	2.85	2.85	2.85
PM Emission Std (g/bhp-hr)	0.19	0.10	0.19	0.10	0.11	0.06	0.09	0.04	0.09	0.04
HC Emission Std (g/bhp-hr)	0.18	0.04	0.18	0.04	0.15	0.03	0.15	0.03	0.15	0.03
Average Horsepower (hp)	63	63	88	88	138	138	238	238	450	450
Load Factor	0.59	0.59	0.59	0.59	0.59	0.59	0.59	0.59	0.59	0.59
Activity (hr/yr)	475	475	475	475	475	475	475	475	475	475
Energy Consumption Factor (bhp-hr/gal)	17.2	17.2	17.2	17.2	19.1	19.1	19.1	19.1	19.1	19.1
Fuel Usage (gal/yr)	1,726	1,761	2,416	2,465	3,420	3,488	5,906	6,025	11,191	11,415
Fuel Cost (\$/yr)	4,022	4,102	5,630	5,743	7,967	8,127	13,762	14,037	26,075	26,597
Incremental Fuel Cost/yr	\$	80	\$	113	\$	159	\$	275	\$	522
Incremental Capital Cost	\$	\$2,368	\$	\$3,316	\$	\$5,211	\$	\$9,000	\$	\$17,053
Useful Life (years)	10	10	10	10	10	10	10	10	10	10
Annualized Capital Cost (\$/yr)	\$278	\$278	\$389	\$389	\$611	\$611	\$946	\$946	\$1,499	\$1,999
NOx Emission Factor (g/hr)	123	123	172	172	231	231	399	399	757	757
PM Emission Factor (g/hr)	7	4	10	5	12	6	23	12	6	40
HC Emission Factor (g/hr)	6	1	9	2	12	2	21	4	21	4
NOx (tons/year)	0.06	0.06	0.09	0.12	0.12	0.21	0.21	0.21	0.40	0.40
PM (tons/year)	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.01	0.01
HC (tons/year)	0.00	0.00	0.00	0.01	0.00	0.01	0.00	0.01	0.02	0.00
NOx Reduction (tons/year)	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.01
PM Reduction (tons/year)	0.00	0.00	0.00	0.00	0.00	0.01	0.01	0.01	0.01	0.03
HC Reduction (tons/year)	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
Cost-Effectiveness (\$/ton)	\$6,857	\$6,857	\$8,837	\$8,837	\$11,306	\$11,306	\$15,935	\$15,935	\$21,935	\$21,935
CE Including Fuel (\$/ton)	\$8,843	\$8,843	\$11,306	\$11,306	\$14,255	\$14,255	\$19,770	\$19,770	\$27,570	\$27,570
One-Year Cost-Effectiveness										

DRAFT  
Measure 46/51: FTF Retrofit for Agricultural Equipment

Combitates	175-300 HP		100-175 HP													
	Tier 0 Baseline	FTF	Tier 0 Baseline	FTF	Tier 1 Baseline	FTF	Tier 2 Baseline	FTF	Tier 1 Baseline	FTF	Tier 2 Baseline	FTF	Tier 3 Baseline	FTF	Tier 3 Baseline	FTF
NOx Emission Std (g/bhp-hr)	9.3	9.30	9.3	9.30	6.9	6.90	4.655	4.66	4.655	4.66	4.655	4.66	2.85	2.85	2.85	2.85
PM Emission Std (g/bhp-hr)	0.42475	0.21	0.42475	0.21	0.15	0.15	0.088	0.04	0.128	0.06	0.088	0.06	0.088	0.04	0.112	0.06
HC Emission Std (g/bhp-hr)	0	0.009	0	0.009	0.20	0.20	0.245	0.05	0.245	0.05	0.245	0.05	0.15	0.03	0.15	0.03
Average Horsepower (hp)	238	238	238	238	138	138	238	138	238	138	238	138	238	138	238	138
Load Factor	0.59	0.59	0.59	0.59	0.59	0.59	0.59	0.59	0.59	0.59	0.59	0.59	0.59	0.59	0.59	0.59
Activity (hr/yr)	150	150	150	150	150	150	150	150	150	150	150	150	150	150	150	150
Energy Consumption Factor (bhp-hr/gal)	19.1	19.1	19.1	19.1	19.1	19.1	19.1	19.1	19.1	19.1	19.1	19.1	19.1	19.1	19.1	19.1
Fuel Usage (gal/yr)	1,865	1,902	1,865	1,902	1,080	1,101	1,865	1,902	1,080	1,101	1,865	1,902	1,080	1,101	1,865	1,902
Fuel Cost (\$/yr)	4,346	4,433	4,346	4,433	2,516	2,566	4,346	4,433	2,516	2,566	4,346	4,433	2,516	2,566	4,346	4,433
Incremental Fuel Cost/yr	\$ 87	\$ 87	\$ 87	\$ 87	\$ 50	\$ 50	\$ 87	\$ 87	\$ 50	\$ 50	\$ 87	\$ 87	\$ 50	\$ 50	\$ 87	\$ 87
Incremental Capital Cost	\$9,000	\$9,000	\$9,000	\$9,000	\$5,211	\$5,211	\$9,000	\$9,000	\$5,211	\$5,211	\$9,000	\$9,000	\$5,211	\$5,211	\$9,000	\$9,000
Useful Life (years)	10	10	10	10	10	10	10	10	10	10	10	10	10	10	10	10
Annualized Capital Cost (\$/yr)	\$1,055	\$1,055	\$1,055	\$1,055	\$611	\$611	\$1,055	\$1,055	\$611	\$611	\$1,055	\$1,055	\$611	\$611	\$1,055	\$1,055
NOx Emission Factor (g/hr)	1303	1303	1303	1303	771	771	967	967	771	771	967	967	399	399	231	231
PM Emission Factor (g/hr)	60	60	60	60	34	34	17	17	34	34	17	17	12	12	6	6
HC Emission Factor (g/hr)	0	0	0	0	0	0	28	28	0	0	28	28	4	4	2	2
NOx (tons/year)	0.22	0.22	0.22	0.13	0.13	0.13	0.16	0.16	0.09	0.09	0.11	0.11	0.07	0.07	0.04	0.04
PM (tons/year)	0.010	0.005	0.005	0.005	0.003	0.001	0.003	0.002	0.001	0.001	0.002	0.001	0.002	0.001	0.002	0.001
HC (tons/year)	-	-	-	-	0.023	0.005	0.013	0.003	0.006	0.001	0.003	0.001	0.003	0.001	0.002	0.000
NOx Reduction (tons/year)	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
PM Reduction (tons/year)	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
HC Reduction (tons/year)	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
Cost-Effectiveness (\$/ton)	\$10,721	\$10,721	\$10,721	\$10,721	\$11,235	\$11,235	\$40,363	\$40,363	\$29,799	\$29,799	\$40,363	\$40,363	\$44,128	\$44,128	\$35,802	\$35,802
CF Including Fuel (\$/ton)	\$11,604	\$11,604	\$11,604	\$11,604	\$12,161	\$12,161	\$43,689	\$43,689	\$32,253	\$32,253	\$43,689	\$43,689	\$47,763	\$47,763	\$38,751	\$38,751
One-Year Cost-Effectiveness	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-

DRAFT  
Measure 46/51: DOC+SCR Retrofit for Agricultural Equipment

Measure 51g: DOC+SCR Retrofit  
 Cost of diesel: 2.33  
 Urea Cost (equivalent to % fuel) 2%  
 NOx Emission Reduction 80%  
 PM Emission Reduction 25%  
 HC Emission Reduction 58%  
 HC to ROG Conversion 1.26639

Agricultural Tractors	25-50 HP		50-75 HP		75-100 HP		100-175 HP		175-300 HP		300-600 HP	
	Tier 0	DOC+SCR	Tier 0	DOC+SCR	Tier 0	DOC+SCR	Tier 0	DOC+SCR	Tier 0	DOC+SCR	Tier 0	DOC+SCR
NOx Emission Std (g/bhp-hr)	7.2	1.44	8.8	1.76	8.8	1.76	9.5	1.90	9.3	1.86	9.5	1.90
PM Emission Std (g/bhp-hr)	0.55	0.41	0.55	0.41	0.55	0.41	0.00	0.00	0.32	0.42	0.32	0.41
HC Emission Std (g/bhp-hr)	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
Average Horsepower (hp)	38	38	63	63	88	88	138	138	238	238	450	450
Load Factor	0.59	0.59	0.59	0.59	0.59	0.59	0.59	0.59	0.59	0.59	0.59	0.59
Activity (hr/yr)	475	475	475	475	475	475	475	475	475	475	475	475
Energy Consumption Factor (bhp-hr/gal)	17.2	17.2	17.2	17.2	17.2	17.2	19.1	19.1	19.1	19.1	19.1	19.1
Fuel Usage (gal/yr)	1,036	1,056	1,726	1,761	2,416	2,465	3,420	3,488	5,906	6,025	11,191	11,415
Urea Fuel Cost (\$/yr)	2,413	2,461	4,022	4,102	5,630	5,743	7,967	8,127	13,762	14,037	26,075	26,597
Incremental Urea Fuel Cost/yr	\$ 48	\$ 80	\$ 80	\$ 113	\$ 113	\$ 143	\$ 159	\$ 159	\$ 275	\$ 275	\$ 522	\$ 522
Incremental Capital Cost	\$4,342	\$7,237	\$4,342	\$10,132	\$4,342	\$10,132	\$15,921	\$15,921	\$27,500	\$27,500	\$52,105	\$52,105
Useful Life (years)	10	10	10	10	10	10	10	10	10	10	10	10
Annualized Capital Cost (\$/yr)	\$509	\$848	\$509	\$1,188	\$509	\$1,188	\$1,866	\$1,866	\$3,224	\$3,224	\$6,108	\$6,108
NOx Emission Factor (g/hr)	159	32	323	65	454	91	771	154	1303	261	2522	504
PM Emission Factor (g/hr)	12	9	20	15	28	21	34	26	60	45	109	82
HC Emission Factor (g/hr)	0	0	0	0	0	0	0	0	0	0	0	0
NOx (tons/year)	0.08	0.02	0.17	0.05	0.24	0.05	0.40	0.08	0.68	0.14	1.32	0.26
PM (tons/year)	0.01	0.00	0.01	0.01	0.01	0.01	0.02	0.01	0.03	0.02	0.06	0.04
HC (tons/year)	-	-	-	-	-	-	-	-	-	-	-	-
NOx Reduction (tons/year)	0.07	0.14	0.07	0.19	0.19	0.32	0.32	0.55	0.55	1.06	1.06	0.01
PM Reduction (tons/year)	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.01	0.01	0.01	0.01	0.01
HC Reduction (tons/year)	-	-	-	-	-	-	-	-	-	-	-	-
Cost-Effectiveness (\$/ton)	\$5,173	\$4,486	\$5,173	\$4,486	\$5,173	\$4,486	\$4,911	\$4,911	\$4,987	\$4,987	\$4,552	\$4,552
CE including Fuel (\$/ton)	\$5,663	\$4,911	\$5,663	\$4,911	\$5,663	\$4,911	\$5,342	\$5,342	\$5,498	\$5,498	\$4,940	\$4,940
One-Year Cost-Effectiveness	\$69,463	\$67,903	\$69,463	\$67,903	\$69,463	\$67,903	\$67,903	\$67,903	\$67,903	\$67,903	\$67,903	\$67,903

Agricultural Tractors	25-50 HP		50-75 HP		75-100 HP		100-175 HP		175-300 HP		300-600 HP	
	Tier 1	DOC+SCR	Tier 1	DOC+SCR	Tier 1	DOC+SCR	Tier 1	DOC+SCR	Tier 1	DOC+SCR	Tier 1	DOC+SCR
NOx Emission Std (g/bhp-hr)	6.745	1.35	6.59	1.38	6.59	1.38	6.9	1.38	6.9	1.38	6.9	1.38
PM Emission Std (g/bhp-hr)	0.48	0.36	0.55	0.41	0.55	0.41	0.30	0.23	0.12	0.09	0.12	0.09
HC Emission Std (g/bhp-hr)	0.36	0.15	1.00	0.43	1.00	0.43	1.00	0.43	1.00	0.43	1.00	0.43
Average Horsepower (hp)	38	38	63	63	88	88	138	138	238	238	450	450
Load Factor	0.59	0.59	0.59	0.59	0.59	0.59	0.59	0.59	0.59	0.59	0.59	0.59
Activity (hr/yr)	475	475	475	475	475	475	475	475	475	475	475	475
Energy Consumption Factor (bhp-hr/gal)	17.2	17.2	17.2	17.2	17.2	17.2	19.1	19.1	19.1	19.1	19.1	19.1
Fuel Usage (gal/yr)	1,036	1,056	1,726	1,761	2,416	2,465	3,420	3,488	5,906	6,025	11,191	11,415
Urea Fuel Cost (\$/yr)	2,413	2,461	4,022	4,102	5,630	5,743	7,967	8,127	13,762	14,037	26,075	26,597
Incremental Urea Fuel Cost/yr	\$ 48	\$ 80	\$ 80	\$ 113	\$ 113	\$ 143	\$ 159	\$ 159	\$ 275	\$ 275	\$ 522	\$ 522
Incremental Capital Cost	\$4,342	\$7,237	\$4,342	\$10,132	\$4,342	\$10,132	\$15,921	\$15,921	\$27,500	\$27,500	\$52,105	\$52,105
Useful Life (years)	10	10	10	10	10	10	10	10	10	10	10	10
Annualized Capital Cost (\$/yr)	\$509	\$848	\$509	\$1,188	\$509	\$1,188	\$1,866	\$1,866	\$3,224	\$3,224	\$6,108	\$6,108
NOx Emission Factor (g/hr)	149	30	256	51	356	71	566	112	967	193	1832	366
PM Emission Factor (g/hr)	11	8	20	15	28	21	25	17	13	13	24	17
HC Emission Factor (g/hr)	8	3	37	16	52	22	81	34	140	60	266	113
NOx (tons/year)	0.08	0.02	0.13	0.03	0.19	0.04	0.29	0.06	0.51	0.10	0.96	0.19
PM (tons/year)	0.006	0.004	0.011	0.008	0.015	0.011	0.013	0.010	0.009	0.007	0.017	0.013
HC (tons/year)	0.004	0.002	0.019	0.008	0.027	0.011	0.042	0.018	0.073	0.031	0.139	0.059
NOx Reduction (tons/year)	0.06	0.11	0.11	0.15	0.15	0.23	0.23	0.40	0.40	0.77	0.77	0.01
PM Reduction (tons/year)	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
HC Reduction (tons/year)	0.00	0.01	0.00	0.02	0.02	0.02	0.02	0.02	0.04	0.04	0.04	0.08
Cost-Effectiveness (\$/ton)	\$5,455	\$4,878	\$5,455	\$4,878	\$5,455	\$4,878	\$5,657	\$5,657	\$6,416	\$6,416	\$6,416	\$6,416
CE including Fuel (\$/ton)	\$5,973	\$5,340	\$5,973	\$5,340	\$5,973	\$5,340	\$5,340	\$5,340	\$6,964	\$6,964	\$6,964	\$6,964
One-Year Cost-Effectiveness	\$69,463	\$67,903	\$69,463	\$67,903	\$69,463	\$67,903	\$67,903	\$67,903	\$67,903	\$67,903	\$67,903	\$67,903

DRAFT  
Measure 48/61: DOC+SCR Retrofit for Agricultural Equipment

Agricultural Tractors	25-50 HP		50-75 HP		75-100 HP		100-175 HP		175-300 HP		300-600 HP	
	Tier 2 Baseline	DOC+SCR	Tier 2 Baseline	DOC+SCR	Tier 2 Baseline	DOC+SCR						
NOx Emission Std (g/bhp-hr)	3.32	1.06	5.32	1.06	5.32	1.06	4.655	0.93	4.655	0.93	4.56	0.91
PM Emission Std (g/bhp-hr)	0.28	0.21	0.19	0.14	0.19	0.14	0.13	0.10	0.09	0.07	0.09	0.07
HC Emission Std (g/bhp-hr)	0.28	0.12	0.28	0.12	0.28	0.12	0.25	0.10	0.25	0.10	0.24	0.10
Average Horsepower (hp)	38	38	63	63	88	88	138	138	238	238	450	450
Load Factor	0.59	0.59	0.59	0.59	0.59	0.59	0.59	0.59	0.59	0.59	0.59	0.59
Activity (hr/yr)	475	475	475	475	475	475	475	475	475	475	475	475
Energy Consumption Factor (bhp-hr/gal)	17.2	17.2	17.2	17.2	17.2	17.2	19.1	19.1	19.1	19.1	19.1	19.1
Fuel Usage (gal/yr)	1,036	1,036	1,726	1,726	2,416	2,416	3,420	3,488	5,906	6,025	11,191	11,415
Urea Fuel Cost (\$/yr)	2,415	2,461	4,022	4,102	5,630	5,743	7,967	8,127	13,762	14,037	26,075	26,597
Incremental Urea Fuel Cost/yr	\$	48	\$	80	\$	113	\$	159	\$	273	\$	522
Incremental Capital Cost	\$4,342	\$7,237	\$10,132	\$10,132	\$10,132	\$10,132	\$15,921	\$15,921	\$27,500	\$27,500	\$52,105	\$52,105
Useful Life (years)	10	10	10	10	10	10	10	10	10	10	10	10
Annualized Capital Cost (\$/yr)	\$509	\$848	\$1,188	\$1,188	\$1,866	\$1,866	\$3,224	\$3,224	\$5,602	\$5,602	\$10,308	\$10,308
NOx Emission Factor (g/hr)	118	24	196	39	275	55	378	76	652	130	1211	242
PM Emission Factor (g/hr)	6	3	10	4	14	6	20	8	12	9	23	18
HC Emission Factor (g/hr)	6	3	10	4	14	6	20	8	12	9	23	18
NOx (tons/year)	0.06	0.01	0.10	0.02	0.14	0.03	0.20	0.04	0.34	0.07	0.63	0.13
PM (tons/year)	0.00	0.00	0.00	0.00	0.01	0.00	0.01	0.00	0.01	0.00	0.01	0.01
HC (tons/year)	0.00	0.00	0.01	0.00	0.01	0.00	0.01	0.00	0.02	0.01	0.03	0.01
NOx Reduction (tons/year)	0.00	0.05	0.08	0.08	0.08	0.08	0.08	0.08	0.08	0.08	0.08	0.08
PM Reduction (tons/year)	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.01	0.01	0.01	0.01	0.01
HC Reduction (tons/year)	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
Cost-Effectiveness (\$/ton)	\$7,499	\$8,107	\$8,107	\$8,107	\$9,673	\$9,673	\$10,499	\$10,499	\$10,983	\$10,983	\$11,183	\$11,183
CE Including Fuel (\$/ton)	\$8,209	\$8,876	\$8,876	\$8,876	\$10,499	\$10,499	\$10,983	\$10,983	\$11,183	\$11,183	\$11,183	\$11,183
One-Year Cost-Effectiveness	\$88,069	\$88,069	\$88,069	\$88,069	\$88,069	\$88,069	\$100,651	\$100,651	\$100,651	\$100,651	\$102,748	\$102,748

Agricultural Tractors	50-75 HP		75-100 HP		100-175 HP		175-300 HP		300-600 HP	
	Tier 3 Baseline	DOC+SCR								
NOx Emission Std (g/bhp-hr)	3.323	0.67	3.323	0.67	2.85	0.57	2.85	0.57	2.85	0.57
PM Emission Std (g/bhp-hr)	0.19	0.14	0.19	0.14	0.11	0.08	0.09	0.07	0.09	0.07
HC Emission Std (g/bhp-hr)	0.18	0.07	0.18	0.07	0.15	0.06	0.15	0.06	0.15	0.06
Average Horsepower (hp)	63	63	88	88	138	138	238	238	450	450
Load Factor	0.59	0.59	0.59	0.59	0.59	0.59	0.59	0.59	0.59	0.59
Activity (hr/yr)	475	475	475	475	475	475	475	475	475	475
Energy Consumption Factor (bhp-hr/gal)	17.2	17.2	17.2	17.2	19.1	19.1	19.1	19.1	19.1	19.1
Fuel Usage (gal/yr)	1,726	1,761	2,416	2,465	3,420	3,488	5,906	6,025	11,191	11,415
Urea Fuel Cost (\$/yr)	4,022	4,102	5,630	5,743	7,967	8,127	13,762	14,037	26,075	26,597
Incremental Urea Fuel Cost/yr	\$	80	\$	113	\$	159	\$	273	\$	522
Incremental Capital Cost	\$7,237	\$10,132	\$10,132	\$10,132	\$15,921	\$15,921	\$27,500	\$27,500	\$52,105	\$52,105
Useful Life (years)	10	10	10	10	10	10	10	10	10	10
Annualized Capital Cost (\$/yr)	\$848	\$1,188	\$1,188	\$1,188	\$3,224	\$3,224	\$5,602	\$5,602	\$10,308	\$10,308
NOx Emission Factor (g/hr)	123	25	172	34	231	46	399	80	757	151
PM Emission Factor (g/hr)	7	5	10	7	7	5	23	9	23	18
HC Emission Factor (g/hr)	6	3	9	4	12	5	21	9	40	17
NOx (tons/year)	0.06	0.01	0.09	0.02	0.12	0.02	0.21	0.04	0.40	0.08
PM (tons/year)	0.00	0.00	0.01	0.00	0.00	0.00	0.01	0.00	0.01	0.01
HC (tons/year)	0.00	0.00	0.00	0.00	0.01	0.00	0.01	0.00	0.02	0.01
NOx Reduction (tons/year)	0.00	0.05	0.07	0.07	0.10	0.10	0.17	0.17	0.32	0.01
PM Reduction (tons/year)	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
HC Reduction (tons/year)	0.00	0.00	0.00	0.00	0.00	0.00	0.01	0.01	0.01	0.01
Cost-Effectiveness (\$/ton)	\$11,726	\$11,726	\$11,726	\$11,726	\$14,859	\$14,859	\$15,531	\$15,531	\$16,857	\$16,857
CE Including Fuel (\$/ton)	\$12,837	\$12,837	\$12,837	\$12,837	\$16,171	\$16,171	\$16,857	\$16,857	\$16,857	\$16,857
One-Year Cost-Effectiveness	\$140,911	\$140,911	\$140,911	\$140,911	\$164,396	\$164,396	\$164,396	\$164,396	\$164,396	\$164,396

DRAFT  
Measure 46/61: DOC+SCR Retrofit for Agricultural Equipment

Combiner	175-300 HP		100-175 HP													
	Tier 0 Baseline	DOC+SCR	Tier 0 Baseline	DOC+SCR	Tier 1 Baseline	DOC+SCR	Tier 2 Baseline	DOC+SCR	Tier 1 Baseline	DOC+SCR	Tier 2 Baseline	DOC+SCR	Tier 3 Baseline	DOC+SCR	Tier 3 Baseline	DOC+SCR
NOx Emission Std (g/bhp-hr)	9.3	1.86	9.3	1.90	6.9	1.38	4.655	0.93	4.655	0.93	4.655	0.93	2.85	0.57	2.85	0.57
PM Emission Std (g/bhp-hr)	0.42475	0.22	0.42475	0.32	0.12	0.09	0.088	0.07	0.088	0.07	0.088	0.07	0.088	0.07	0.088	0.07
HC Emission Std (g/bhp-hr)	0	0.60	0	0.00	1	0.43	0.245	0.10	0.245	0.10	0.245	0.10	0.15	0.06	0.15	0.06
Average Horsepower (hp)	238	238	238	138	238	138	238	238	238	238	238	238	238	238	238	238
Load Factor	0.59	0.59	0.59	0.59	0.59	0.59	0.59	0.59	0.59	0.59	0.59	0.59	0.59	0.59	0.59	0.59
Activity (hr/yr)	150	150	150	150	150	150	150	150	150	150	150	150	150	150	150	150
Energy Consumption Factor (bhp-hr/gal)	19.1	19.1	19.1	19.1	19.1	19.1	19.1	19.1	19.1	19.1	19.1	19.1	19.1	19.1	19.1	19.1
Fuel Usage (gal/yr)	1,865	1,902	1,080	1,101	1,865	1,902	1,865	1,902	1,080	1,101	1,865	1,902	1,865	1,902	1,080	1,101
Urea Fuel Cost (\$/yr)	4,346	4,433	2,516	2,566	4,346	4,433	4,346	4,433	2,516	2,566	4,346	4,433	4,346	4,433	2,516	2,566
Incremental Urea Fuel Cost/yr	\$ 87	\$ 87	\$ 50	\$ 50	\$ 87	\$ 87	\$ 87	\$ 87	\$ 50	\$ 50	\$ 87	\$ 87	\$ 87	\$ 87	\$ 50	\$ 50
Incremental Capital Cost	\$27,500	\$27,500	\$15,921	\$15,921	\$27,500	\$27,500	\$27,500	\$27,500	\$15,921	\$15,921	\$27,500	\$27,500	\$27,500	\$27,500	\$15,921	\$15,921
Useful Life (years)	10	10	10	10	10	10	10	10	10	10	10	10	10	10	10	10
Annualized Capital Cost (\$/yr)	\$3,224	\$3,224	\$1,866	\$1,866	\$3,224	\$3,224	\$3,224	\$3,224	\$1,866	\$1,866	\$3,224	\$3,224	\$3,224	\$3,224	\$1,866	\$1,866
NOx Emission Factor (g/hr)	1,303	261	771	154	967	193	652	150	378	76	399	80	231	46	7	46
PM Emission Factor (g/hr)	60	43	34	26	17	13	12	9	10	8	12	9	9	7	9	7
HC Emission Factor (g/hr)	0	0	0	0	140	60	34	15	20	8	21	9	12	5	12	5
NOx (tons/year)	0.22	0.04	0.13	0.03	0.16	0.03	0.11	0.02	0.06	0.01	0.07	0.01	0.04	0.01	0.04	0.01
PM (tons/year)	0.010	0.007	0.006	0.004	0.003	0.002	0.002	0.002	0.002	0.001	0.002	0.001	0.002	0.002	0.001	0.001
HC (tons/year)	-	-	-	-	0.023	0.010	0.006	0.002	0.003	0.001	0.003	0.001	0.002	0.001	0.002	0.001
NOx Reduction (tons/year)	0.17	0.17	0.10	0.10	0.13	0.13	0.07	0.09	0.09	0.09	0.05	0.05	0.05	0.05	0.03	0.03
PM Reduction (tons/year)	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
HC Reduction (tons/year)	-	-	-	-	0.01	0.01	0.01	0.01	0.01	0.01	0.00	0.00	0.00	0.00	0.00	0.00
Cost-Effectiveness (\$/ton)	\$14,549	\$14,310	\$17,912	\$17,912	\$28,319	\$28,319	\$22,043	\$22,043	\$30,633	\$30,633	\$49,182	\$49,182	\$47,180	\$47,180	\$48,452	\$48,452
CE Including Fuel (\$/ton)	\$14,942	\$14,696	\$18,395	\$18,395	\$28,866	\$28,866	\$22,807	\$22,807	\$31,458	\$31,458	\$50,507	\$50,507	\$48,452	\$48,452	\$48,452	\$48,452
One-Year Cost-Effectiveness	\$159,535	\$155,176	\$215,025	\$215,025	\$215,025	\$215,025	\$318,727	\$318,727	\$318,727	\$318,727	\$520,588	\$520,588	\$520,588	\$520,588	\$520,588	\$520,588

Measure 51h: SCR+DPF Retrofit  
 Cost of diesel: 2.33  
 Urea Cost (equivalent to % fuel) 2%  
 NOx Emission Reduction 80%  
 PM Emission Reduction 85%  
 HC Emission Reduction 73%  
 HC to ROG Conversion 1.26639

Agricultural Tractors	25-50 HP		50-75 HP		75-100 HP		100-175 HP		175-300 HP		300-600 HP	
	Tier 0 Baseline	SCR+DPF										
NOx Emission Std (g/bhp-hr)	7.2	1.44	3.8	1.76	3.8	1.76	9.5	1.90	9.3	1.86	9.5	1.90
PM Emission Std (g/bhp-hr)	0.55	0.08	0.55	0.08	0.55	0.08	0.42	0.06	0.42	0.06	0.41	0.06
HC Emission Std (g/bhp-hr)	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
Average Horsepower (hp)	38	38	63	63	88	88	138	138	238	238	450	450
Load Factor	0.59	0.59	0.59	0.59	0.59	0.59	0.59	0.59	0.59	0.59	0.59	0.59
Activity (hr/yr)	475	475	475	475	475	475	475	475	475	475	475	475
Energy Consumption Factor (bhp-hr/gal)	17.2	17.2	17.2	17.2	17.2	17.2	19.1	19.1	19.1	19.1	19.1	19.1
Fuel Usage (gal/yr)	1,036	1,056	1,726	1,761	2,416	2,465	3,420	3,488	5,906	6,025	11,191	11,415
Urea Fuel Cost (\$/yr)	2,413	2,461	4,022	4,102	5,630	5,743	7,967	8,127	13,762	14,037	26,075	26,597
Incremental Urea Fuel Cost/yr	\$ 48	\$ 80	\$ 80	\$ 113	\$ 113	\$ 159	\$ 159	\$ 275	\$ 275	\$ 275	\$ 522	\$ 522
Incremental Capital Cost	\$4,737	\$7,895	\$7,895	\$11,053	\$11,053	\$17,568	\$17,568	\$30,000	\$30,000	\$30,000	\$56,842	\$56,842
Useful Life (years)	10	10	10	10	10	10	10	10	10	10	10	10
Annualized Capital Cost (\$/yr)	\$555	\$926	\$926	\$1,296	\$1,296	\$2,036	\$2,036	\$3,517	\$3,517	\$3,517	\$6,664	\$6,664
NOx Emission Factor (g/hr)	1.59	32	325	63	454	91	771	154	1303	261	2522	504
PM Emission Factor (g/hr)	1.2	2	20	3	28	4	34	5	60	9	109	16
HC Emission Factor (g/hr)	0	0	0	0	0	0	0	0	0	0	0	0
NOx (tons/year)	0.08	0.02	0.17	0.03	0.24	0.05	0.40	0.08	0.68	0.14	1.32	0.26
PM (tons/year)	0.01	0.00	0.01	0.00	0.01	0.00	0.02	0.00	0.03	0.00	0.06	0.01
HC (tons/year)	-	-	-	-	-	-	-	-	-	-	-	-
NOx Reduction (tons/year)	0.01	0.07	0.14	0.14	0.19	0.19	0.32	0.32	0.55	0.55	1.06	1.06
PM Reduction (tons/year)	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
HC Reduction (tons/year)	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
Cost-Effectiveness (\$/ton)	\$3,183	\$2,922	\$3,234	\$3,234	\$3,234	\$3,234	\$3,234	\$3,234	\$3,234	\$3,234	\$3,234	\$3,234
CE Including Fuel (\$/ton)	\$3,460	\$3,176	\$3,179	\$3,179	\$3,179	\$3,179	\$3,179	\$3,179	\$3,179	\$3,179	\$3,179	\$3,179
One-Year Cost-Effectiveness	\$70,959	\$58,082	\$58,082	\$58,082	\$58,082	\$58,082	\$58,082	\$58,082	\$58,082	\$58,082	\$58,082	\$58,082

Agricultural Tractors	25-50 HP		50-75 HP		75-100 HP		100-175 HP		175-300 HP		300-600 HP	
	Tier 1 Baseline	SCR+DPF										
NOx Emission Std (g/bhp-hr)	6.745	1.35	6.9	1.38	6.9	1.38	6.9	1.38	6.9	1.38	6.9	1.38
PM Emission Std (g/bhp-hr)	0.48	0.07	0.55	0.08	0.55	0.08	0.30	0.05	0.12	0.02	0.12	0.02
HC Emission Std (g/bhp-hr)	0.36	0.10	1.00	0.28	1.00	0.28	1.00	0.28	1.00	0.28	1.00	0.28
Average Horsepower (hp)	38	38	63	63	88	88	138	138	238	238	450	450
Load Factor	0.59	0.59	0.59	0.59	0.59	0.59	0.59	0.59	0.59	0.59	0.59	0.59
Activity (hr/yr)	475	475	475	475	475	475	475	475	475	475	475	475
Energy Consumption Factor (bhp-hr/gal)	17.2	17.2	17.2	17.2	17.2	17.2	19.1	19.1	19.1	19.1	19.1	19.1
Fuel Usage (gal/yr)	1,036	1,056	1,726	1,761	2,416	2,465	3,420	3,488	5,906	6,025	11,191	11,415
Urea Fuel Cost (\$/yr)	2,413	2,461	4,022	4,102	5,630	5,743	7,967	8,127	13,762	14,037	26,075	26,597
Incremental Urea Fuel Cost/yr	\$ 48	\$ 80	\$ 80	\$ 113	\$ 113	\$ 159	\$ 159	\$ 275	\$ 275	\$ 275	\$ 522	\$ 522
Incremental Capital Cost	\$4,737	\$7,895	\$7,895	\$11,053	\$11,053	\$17,568	\$17,568	\$30,000	\$30,000	\$30,000	\$56,842	\$56,842
Useful Life (years)	10	10	10	10	10	10	10	10	10	10	10	10
Annualized Capital Cost (\$/yr)	\$555	\$926	\$926	\$1,296	\$1,296	\$2,036	\$2,036	\$3,517	\$3,517	\$3,517	\$6,664	\$6,664
NOx Emission Factor (g/hr)	1.49	30	254	51	356	71	560	112	967	193	1832	366
PM Emission Factor (g/hr)	1.1	2	20	3	28	4	25	4	17	3	32	5
HC Emission Factor (g/hr)	8	2	37	10	52	14	81	22	140	39	266	73
NOx (tons/year)	0.08	0.02	0.13	0.03	0.19	0.04	0.29	0.06	0.51	0.10	0.96	0.19
PM (tons/year)	0.006	0.001	0.011	0.002	0.015	0.002	0.013	0.002	0.009	0.001	0.017	0.003
HC (tons/year)	0.004	0.001	0.019	0.005	0.027	0.007	0.042	0.012	0.073	0.020	0.139	0.038
NOx Reduction (tons/year)	0.06	0.06	0.11	0.15	0.15	0.23	0.23	0.40	0.40	0.40	0.77	0.77
PM Reduction (tons/year)	0.00	0.00	0.01	0.01	0.01	0.01	0.01	0.01	0.01	0.01	0.01	0.01
HC Reduction (tons/year)	0.00	0.00	0.01	0.01	0.01	0.01	0.01	0.01	0.01	0.01	0.01	0.01
Cost-Effectiveness (\$/ton)	\$3,453	\$3,030	\$3,030	\$3,030	\$3,030	\$3,030	\$3,030	\$3,030	\$3,030	\$3,030	\$3,030	\$3,030
CE Including Fuel (\$/ton)	\$3,753	\$3,293	\$3,293	\$3,293	\$3,293	\$3,293	\$3,293	\$3,293	\$3,293	\$3,293	\$3,293	\$3,293
One-Year Cost-Effectiveness	\$75,778	\$74,076	\$74,076	\$74,076	\$74,076	\$74,076	\$74,076	\$74,076	\$74,076	\$74,076	\$74,076	\$74,076

DRAFT  
Measure 46/51: SCR+DPF Retrofit for Agricultural Equipment

Agricultural Tractors	25-50 HP		50-75 HP		75-100 HP		100-175 HP		175-300 HP		300-600 HP	
	Tier 2 Baseline	SCR+DPF	Tier 2 Baseline	SCR+DPF	Tier 2 Baseline	SCR+DPF	Tier 2 Baseline	SCR+DPF	Tier 2 Baseline	SCR+DPF	Tier 2 Baseline	SCR+DPF
NOx Emission Std (g/bhp-hr)	5.32	1.06	5.32	1.06	5.32	1.06	4.655	0.93	4.655	0.93	4.56	0.91
PM Emission Std (g/bhp-hr)	0.28	0.04	0.19	0.03	0.19	0.03	0.13	0.02	0.09	0.01	0.09	0.01
HC Emission Std (g/bhp-hr)	0.28	0.08	0.28	0.08	0.28	0.08	0.25	0.07	0.25	0.07	0.24	0.07
Average Horsepower (hp)	38	63	63	88	88	138	138	238	238	238	450	450
Load Factor	0.59	0.59	0.59	0.59	0.59	0.59	0.59	0.59	0.59	0.59	0.59	0.59
Activity (hr/yr)	475	475	475	475	475	475	475	475	475	475	475	475
Energy Consumption Factor (bhp-hr/gal)	17.2	17.2	17.2	17.2	17.2	17.2	19.1	19.1	19.1	19.1	19.1	19.1
Fuel Usage (gal/yr)	1,036	1,056	1,726	1,761	2,416	2,465	3,420	3,488	5,906	6,025	11,191	11,415
Urea Fuel Cost (\$/yr)	2,413	2,461	4,022	4,102	5,630	5,743	7,967	8,127	13,762	14,037	26,075	26,597
Incremental Urea Fuel Cost/yr	\$ 48	\$ 80	\$ 80	\$ 113	\$ 113	\$ 159	\$ 159	\$ 275	\$ 275	\$ 275	\$ 522	\$ 522
Incremental Capital Cost	\$4,737	\$7,895	\$7,895	\$11,053	\$11,053	\$17,368	\$17,368	\$30,000	\$30,000	\$30,000	\$56,842	\$56,842
Useful Life (years)	10	10	10	10	10	10	10	10	10	10	10	10
Annualized Capital Cost (\$/yr)	\$555	\$926	\$926	\$1,296	\$1,296	\$3,517	\$3,517	\$6,664	\$6,664	\$6,664	\$12,248	\$12,248
NOx Emission Factor (g/hr)	118	24	196	39	275	55	378	76	632	130	1211	282
PM Emission Factor (g/hr)	6	1	7	1	10	1	10	2	12	2	23	4
HC Emission Factor (g/hr)	6	2	10	3	14	4	20	5	34	9	64	18
NOx (tons/year)	0.06	0.01	0.10	0.02	0.14	0.03	0.20	0.04	0.34	0.07	0.63	0.13
PM (tons/year)	0.00	0.00	0.00	0.00	0.01	0.00	0.01	0.00	0.01	0.00	0.01	0.00
HC (tons/year)	0.00	0.00	0.01	0.00	0.01	0.00	0.01	0.00	0.02	0.00	0.03	0.01
NOx Reduction (tons/year)	0.00	0.05	0.08	0.08	0.12	0.12	0.16	0.16	0.27	0.27	0.51	0.51
PM Reduction (tons/year)	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.01	0.01	0.01
HC Reduction (tons/year)	0.00	0.00	0.00	0.00	0.01	0.01	0.01	0.01	0.01	0.01	0.02	0.02
Cost-Effectiveness (\$/ton)	\$5,169	\$6,164	\$6,164	\$6,164	\$6,164	\$6,164	\$7,826	\$8,804	\$8,804	\$9,493	\$8,936	\$9,635
CE Including Fuel (\$/ton)	\$5,619	\$6,699	\$6,699	\$6,699	\$6,699	\$8,439	\$8,439	\$9,493	\$9,493	\$9,493	\$9,635	\$9,635
One-Year Cost-Effectiveness	\$96,076	\$96,076	\$96,076	\$96,076	\$96,076	\$109,801	\$109,801	\$109,801	\$109,801	\$109,801	\$112,088	\$112,088

Agricultural Tractors	50-75 HP		75-100 HP		100-175 HP		175-300 HP		300-600 HP	
	Tier 3 Baseline	SCR+DPF								
NOx Emission Std (g/bhp-hr)	3.325	0.67	3.325	0.67	2.85	0.57	2.85	0.57	2.85	0.57
PM Emission Std (g/bhp-hr)	0.19	0.03	0.19	0.03	0.11	0.02	0.09	0.01	0.09	0.01
HC Emission Std (g/bhp-hr)	0.18	0.05	0.18	0.05	0.15	0.04	0.15	0.04	0.15	0.04
Average Horsepower (hp)	63	88	88	138	138	238	238	450	450	450
Load Factor	0.59	0.59	0.59	0.59	0.59	0.59	0.59	0.59	0.59	0.59
Activity (hr/yr)	475	475	475	475	475	475	475	475	475	475
Energy Consumption Factor (bhp-hr/gal)	17.2	17.2	17.2	17.2	19.1	19.1	19.1	19.1	19.1	19.1
Fuel Usage (gal/yr)	1,726	1,761	2,416	2,465	3,420	3,488	5,906	6,025	11,191	11,415
Urea Fuel Cost (\$/yr)	4,022	4,102	5,630	5,743	7,967	8,127	13,762	14,037	26,075	26,597
Incremental Urea Fuel Cost/yr	\$ 80	\$ 113	\$ 113	\$ 159	\$ 159	\$ 275	\$ 275	\$ 522	\$ 522	\$ 522
Incremental Capital Cost	\$7,895	\$11,053	\$11,053	\$17,368	\$17,368	\$30,000	\$30,000	\$56,842	\$56,842	\$56,842
Useful Life (years)	10	10	10	10	10	10	10	10	10	10
Annualized Capital Cost (\$/yr)	\$926	\$1,296	\$1,296	\$3,517	\$3,517	\$6,664	\$6,664	\$12,248	\$12,248	\$12,248
NOx Emission Factor (g/hr)	123	25	172	34	231	46	399	80	757	151
PM Emission Factor (g/hr)	7	1	10	1	12	3	21	6	40	11
HC Emission Factor (g/hr)	6	2	9	2	12	3	21	6	40	11
NOx (tons/year)	0.06	0.01	0.09	0.02	0.12	0.02	0.21	0.04	0.40	0.08
PM (tons/year)	0.00	0.00	0.00	0.00	0.00	0.00	0.01	0.00	0.01	0.00
HC (tons/year)	0.00	0.00	0.00	0.00	0.01	0.00	0.01	0.00	0.02	0.01
NOx Reduction (tons/year)	0.00	0.05	0.07	0.07	0.10	0.10	0.17	0.17	0.32	0.32
PM Reduction (tons/year)	0.00	0.00	0.00	0.00	0.00	0.00	0.01	0.01	0.01	0.01
HC Reduction (tons/year)	0.00	0.00	0.00	0.00	0.00	0.00	0.01	0.01	0.01	0.01
Cost-Effectiveness (\$/ton)	\$7,878	\$8,563	\$8,563	\$8,563	\$11,092	\$11,092	\$12,248	\$12,248	\$12,248	\$12,248
CE Including Fuel (\$/ton)	\$8,563	\$9,603	\$9,603	\$9,603	\$11,960	\$11,960	\$13,207	\$13,207	\$13,207	\$13,207
One-Year Cost-Effectiveness	\$153,721	\$153,721	\$153,721	\$179,341	\$179,341	\$179,341	\$179,341	\$179,341	\$179,341	\$179,341

DRAFT  
Measure 46/51: SCR+DPF Retrofit for Agricultural Equipment

Combities	175-300 HP		100-175 HP													
	Tier 0	SCR+DPF	Tier 0	SCR+DPF	Tier 1	SCR+DPF	Tier 1	SCR+DPF	Tier 2	SCR+DPF	Tier 2	SCR+DPF	Tier 3	SCR+DPF	Tier 3	SCR+DPF
NOx Emission Std (g/bhp-hr)	9.3	1.86	9.5	1.90	6.9	1.38	6.9	1.38	4.655	0.93	4.655	0.93	2.85	0.57	2.85	0.57
PM Emission Std (g/bhp-hr)	0.42475	0.06	0.42475	0.06	0.12	0.02	0.12	0.02	0.088	0.01	0.088	0.01	0.088	0.01	0.088	0.01
HC Emission Std (g/bhp-hr)	0	0.00	0	0.00	1	0.28	1	0.28	0.245	0.07	0.245	0.07	0.15	0.04	0.15	0.04
Average Horsepower (hp)	238	238	138	138	238	238	238	238	238	238	238	238	238	238	238	238
Load Factor	0.59	0.59	0.59	0.59	0.59	0.59	0.59	0.59	0.59	0.59	0.59	0.59	0.59	0.59	0.59	0.59
Activity (hr/yr)	150	150	150	150	150	150	150	150	150	150	150	150	150	150	150	150
Energy Consumption Factor (bhp-hr/gal)	19.1	19.1	19.1	19.1	19.1	19.1	19.1	19.1	19.1	19.1	19.1	19.1	19.1	19.1	19.1	19.1
Fuel Usage (gal/yr)	1,865	1,902	1,080	1,101	1,865	1,902	1,080	1,101	1,865	1,902	1,080	1,101	1,865	1,902	1,080	1,101
Urea Fuel Cost (\$/yr)	4,346	4,433	2,516	2,566	4,346	4,433	2,516	2,566	4,346	4,433	2,516	2,566	4,346	4,433	2,516	2,566
Incremental Urea Fuel Cost/yr	\$ 87	\$ 87	\$ 50	\$ 50	\$ 87	\$ 87	\$ 50	\$ 50	\$ 87	\$ 87	\$ 50	\$ 50	\$ 87	\$ 87	\$ 50	\$ 50
Incremental Capital Cost	\$30,000	\$30,000	\$17,368	\$17,368	\$30,000	\$17,368	\$17,368	\$17,368	\$30,000	\$17,368	\$17,368	\$17,368	\$30,000	\$17,368	\$17,368	\$17,368
Useful Life (years)	10	10	10	10	10	10	10	10	10	10	10	10	10	10	10	10
Annualized Capital Cost (\$/yr)	\$3,517	\$2,036	\$3,517	\$2,036	\$3,517	\$2,036	\$2,036	\$2,036	\$3,517	\$2,036	\$2,036	\$2,036	\$3,517	\$2,036	\$2,036	\$2,036
NOx Emission Factor (g/hr)	1,903	261	771	154	967	193	560	112	652	130	378	76	399	80	231	46
PM Emission Factor (g/hr)	60	9	34	5	17	3	25	4	12	2	10	2	12	2	9	1
HC Emission Factor (g/hr)	0	0	0	0	140	39	81	22	34	9	20	5	21	6	12	3
NOx (tons/year)	0.22	0.04	0.13	0.03	0.16	0.03	0.09	0.02	0.11	0.02	0.06	0.01	0.07	0.01	0.04	0.01
PM (tons/year)	0.010	0.001	0.006	0.001	0.003	0.000	0.004	0.001	0.002	0.000	0.002	0.000	0.002	0.000	0.002	0.000
HC (tons/year)	-	-	-	-	0.023	0.006	0.013	0.004	0.006	0.002	0.003	0.001	0.003	0.001	0.002	0.001
NOx Reduction (tons/year)	0.17	0.01	0.10	0.00	0.13	0.01	0.07	0.02	0.09	0.01	0.05	0.01	0.05	0.01	0.02	0.01
PM Reduction (tons/year)	0.01	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
HC Reduction (tons/year)	-	-	-	-	0.02	0.01	0.01	0.01	0.02	0.00	0.01	0.00	0.02	0.00	0.01	0.00
Cost-Effectiveness (\$/ton)	\$10,354	\$10,242	\$17,904	\$13,079	\$17,904	\$13,079	\$13,079	\$13,079	\$27,878	\$24,783	\$24,783	\$24,783	\$38,785	\$35,124	\$35,124	\$35,124
CE Including Fuel (\$/ton)	\$10,610	\$10,495	\$18,347	\$13,402	\$18,347	\$13,402	\$13,402	\$13,402	\$28,567	\$25,996	\$25,996	\$25,996	\$39,744	\$35,992	\$35,992	\$35,992
One-Year Cost-Effectiveness	\$174,038	\$170,374	\$234,573	\$224,573	\$234,573	\$224,573	\$224,573	\$224,573	\$347,702	\$347,702	\$347,702	\$347,702	\$567,914	\$567,914	\$567,914	\$567,914

## **APPENDIX B**

### **State by State Emissions Inventories, Vehicle Population Estimates, and Emissions Reduction Scenarios**

## 2009 On-Road Emissions Inventories

LADCO States						
Vehicle Class	VOC	TOG	CO	NOx	PM10	PM25
LDGV	654	631	8,573	594	17.5	9.4
LDGT1	335	453	6,334	477	12.2	6.8
LDGT2	161	208	2,610	193	4.2	2.4
HDGV	57	23	198	53	1.2	0.9
Motorcycle	10	5	53	4	0.2	0.1
LDDV	1	1	1	1	0.4	0.1
LDDT	2	2	2	3	0.4	0.2
Class 2b diesel	4	3	8	39	1.1	0.9
Class 3, 4, 5 diesel	3	3	8	39	0.9	0.7
Class 6, 7 diesel	15	13	28	165	3.6	3.1
Class 8 diesel	64	51	147	1,004	15.9	13.6
Buses	4	2	5	26	0.9	0.8
All State Total	1,310	1,396	17,968	2,598	58.4	38.9
IL						
Vehicle Class	VOC	TOG	CO	NOx	PM10	PM25
LDGV	132.8	142.4	1614.9	135.3	3.5	1.8
LDGT1	86.4	98.8	1162.7	104.9	2.4	1.3
LDGT2	43.5	48.0	494.8	43.9	0.9	0.4
HDGV	13.1	5.2	36.8	13.8	0.3	0.2
Motorcycle	2.8	1.6	12.6	1.1	0.1	0.0
LDDV	0.2	0.2	0.3	0.3	0.3	0.0
LDDT	0.4	0.5	0.4	0.6	0.2	0.0
Class 2b diesel	0.7	0.7	1.6	8.6	0.3	0.2
Class 3, 4, 5 diesel	0.6	0.7	1.6	8.7	0.2	0.2
Class 6, 7 diesel	2.8	3.0	5.5	37.1	0.8	0.7
Class 8 diesel	12.7	12.6	32.5	218.5	3.7	3.0
Buses	1.4	0.4	1.1	6.2	0.2	0.2
IL Total	297.6	314.2	3364.9	579.0	12.9	7.9
IN						
Vehicle Class	VOC	TOG	CO	NOx	PM10	PM25
LDGV	113.1	99.4	1413.2	94.9	3.0	1.6
LDGT1	52.3	79.7	1119.5	78.5	2.1	1.2
LDGT2	28.1	41.2	504.4	33.0	0.7	0.4
HDGV	9.3	4.1	36.9	8.3	0.2	0.1
Motorcycle	1.0	0.9	10.4	0.7	0.0	0.0
LDDV	0.1	0.1	0.3	0.2	0.0	0.0
LDDT	0.3	0.4	0.4	0.6	0.0	0.0
Class 2b diesel	0.5	0.6	1.7	6.4	0.2	0.2
Class 3, 4, 5 diesel	0.5	0.5	1.7	6.3	0.2	0.1
Class 6, 7 diesel	2.3	2.2	5.6	26.8	0.6	0.6
Class 8 diesel	8.7	7.8	27.2	165.5	2.9	2.5
Buses	0.4	0.3	1.0	4.1	0.2	0.2
IN Total	216.6	237.2	3122.4	425.5	10.1	7.0
MI						
Vehicle Class	VOC	TOG	CO	NOx	PM10	PM25
LDGV	132.1	147.3	2231.7	145.6	4.2	2.3
LDGT1	89.1	108.6	1654.3	115.6	2.9	1.7

LDGT2	44.1	51.4	657.1	47.0	1.0	0.6
HDGV	13.5	4.2	43.2	12.6	0.2	0.2
Motorcycle	3.6	0.7	7.7	0.4	0.0	0.0
LDDV	0.2	0.1	0.3	0.3	0.0	0.0
LDDT	0.5	0.4	0.2	0.8	0.0	0.0
Class 2b diesel	1.6	0.9	1.3	10.7	0.2	0.2
Class 3, 4, 5 diesel	1.3	0.9	1.4	10.8	0.1	0.1
Class 6, 7 diesel	6.0	3.8	4.6	46.3	0.6	0.5
Class 8 diesel	26.3	15.9	27.1	283.6	2.7	2.4
Buses	1.2	0.5	0.9	7.1	0.2	0.1
MI Total	319.6	334.8	4629.8	680.8	12.2	8.1
<b>OH</b>						
<b>Vehicle Class</b>	<b>VOC</b>	<b>TOG</b>	<b>CO</b>	<b>NOx</b>	<b>PM10</b>	<b>PM25</b>
LDGV	197.7	171.5	2135.2	148.9	4.5	2.4
LDGT1	77.9	120.2	1600.8	122.5	3.1	1.8
LDGT2	33.3	49.9	656.6	48.0	1.1	0.6
HDGV	14.0	6.4	51.4	12.2	0.3	0.2
Motorcycle	1.5	1.5	14.9	1.0	0.0	0.0
LDDV	0.2	0.2	0.4	0.3	0.0	0.0
LDDT	0.3	0.5	0.6	0.7	0.1	0.0
Class 2b diesel	0.6	0.7	2.5	8.4	0.3	0.3
Class 3, 4, 5 diesel	0.7	0.7	2.5	8.3	0.2	0.2
Class 6, 7 diesel	3.0	3.0	8.2	35.2	1.0	0.9
Class 8 diesel	11.4	10.5	40.0	218.6	4.3	3.8
Buses	0.5	0.4	1.5	5.4	0.2	0.2
OH Total	341.2	365.3	4514.7	609.4	15.1	10.4
<b>WI</b>						
<b>Vehicle Class</b>	<b>VOC</b>	<b>TOG</b>	<b>CO</b>	<b>NOx</b>	<b>PM10</b>	<b>PM25</b>
LDGV	78.7	70.4	1177.7	69.6	2.4	1.3
LDGT1	29.5	46.0	796.2	55.4	1.7	0.9
LDGT2	11.8	17.9	297.4	21.6	0.6	0.3
HDGV	6.8	3.1	29.6	6.5	0.2	0.1
Motorcycle	0.7	0.6	7.1	0.4	0.0	0.0
LDDV	0.1	0.1	0.2	0.2	0.0	0.0
LDDT	0.1	0.2	0.3	0.4	0.0	0.0
Class 2b diesel	0.3	0.3	1.3	4.6	0.2	0.1
Class 3, 4, 5 diesel	0.3	0.3	1.2	4.6	0.1	0.1
Class 6, 7 diesel	1.3	1.2	4.1	19.2	0.5	0.4
Class 8 diesel	5.2	4.3	20.3	117.6	2.2	1.9
Buses	0.2	0.2	0.8	3.0	0.1	0.1
WI Total	135.0	144.5	2336.1	303.0	8.0	5.5

## 2012 On-Road Emissions Inventories

LADCO States						
Vehicle Class	VOC	TOG	CO	NOx	PM10	PM25
LDGV	568.5	518	7,621	485	17.4	9.3
LDGT1	291.0	371	5,354	389	12.1	6.8
LDGT2	139.5	171	2,187	158	4.1	2.3
HDGV	49.1	19	170	43	0.9	0.6
Motorcycle	8.4	4	53	3	0.2	0.1
LDDV	0.8	1	1	1	0.2	0.1
LDDT	1.6	2	2	2	0.2	0.1
Class 2b diesel	3.6	3	5	31	0.7	0.6
Class 3, 4, 5 diesel	3.3	3	5	31	0.6	0.5
Class 6, 7 diesel	14.9	12	18	130	2.3	2.0
Class 8 diesel	62.3	47	95	793	10.8	9.2
Buses	3.8	2	4	20	0.6	0.5
All State Total	1,146.8	1,152	15,513	2,086	50.2	32.2
IL						
Vehicle Class	VOC	TOG	CO	NOx	PM10	PM25
LDGV	115.5	117.2	1445.6	105.9	3.5	1.7
LDGT1	75.2	81.1	994.4	82.1	2.4	1.3
LDGT2	37.9	39.4	419.3	34.3	0.8	0.4
HDGV	11.4	4.2	31.6	10.8	0.2	0.1
Motorcycle	2.5	1.3	12.6	0.9	0.1	0.0
LDDV	0.2	0.2	0.1	0.2	0.2	0.0
LDDT	0.4	0.4	0.3	0.5	0.1	0.0
Class 2b diesel	0.7	0.7	1.0	7.4	0.2	0.1
Class 3, 4, 5 diesel	0.6	0.7	1.1	7.5	0.1	0.1
Class 6, 7 diesel	2.8	2.8	3.4	31.8	0.5	0.4
Class 8 diesel	12.6	11.7	20.9	187.6	2.5	2.0
Buses	1.4	0.4	0.8	5.3	0.1	0.1
IL Total	261.1	260.1	2931.1	474.3	10.9	6.5
IN						
Vehicle Class	VOC	TOG	CO	NOx	PM10	PM25
LDGV	97.1	80.6	1254.8	74.6	3.0	1.6
LDGT1	44.9	64.5	945.3	61.7	2.0	1.2
LDGT2	24.1	33.4	422.8	25.9	0.7	0.4
HDGV	7.9	3.3	31.7	6.5	0.2	0.1
Motorcycle	0.8	0.8	10.4	0.5	0.0	0.0
LDDV	0.1	0.1	0.1	0.2	0.0	0.0
LDDT	0.2	0.3	0.4	0.4	0.0	0.0
Class 2b diesel	0.4	0.5	1.0	4.4	0.1	0.1
Class 3, 4, 5 diesel	0.5	0.4	1.1	4.3	0.1	0.1
Class 6, 7 diesel	2.1	1.9	3.5	18.3	0.4	0.4
Class 8 diesel	7.8	6.6	17.5	113.3	2.0	1.7
Buses	0.4	0.2	0.7	2.8	0.1	0.1
IN Total	186.5	192.6	2689.2	313.0	8.7	5.7
MI						
Vehicle Class	VOC	TOG	CO	NOx	PM10	PM25
LDGV	114.0	120.2	1986.2	114.8	4.1	2.3
LDGT1	76.9	88.4	1393.7	91.2	2.9	1.7

LDGT2	38.1	41.8	547.8	37.1	1.0	0.6
HDGV	11.6	3.4	36.9	10.0	0.2	0.1
Motorcycle	3.1	0.6	7.7	0.3	0.0	0.0
LDDV	0.2	0.1	0.1	0.3	0.0	0.0
LDDT	0.5	0.4	0.2	0.7	0.0	0.0
Class 2b diesel	1.6	0.8	0.8	9.2	0.1	0.1
Class 3, 4, 5 diesel	1.3	0.8	0.9	9.2	0.1	0.1
Class 6, 7 diesel	5.8	3.5	2.9	39.6	0.4	0.4
Class 8 diesel	25.5	14.6	17.4	242.9	1.8	1.6
Buses	1.2	0.5	0.6	6.1	0.1	0.1
MI Total	279.7	275.1	3995.1	561.4	10.8	6.9

**OH**

Vehicle Class	VOC	TOG	CO	NOx	PM10	PM25
LDGV	175.1	143.7	1884.1	135.0	4.4	2.4
LDGT1	69.0	100.4	1340.4	111.1	3.1	1.8
LDGT2	29.5	41.6	547.4	43.5	1.1	0.6
HDGV	12.4	5.2	44.2	11.1	0.2	0.2
Motorcycle	1.3	1.2	14.9	0.9	0.0	0.0
LDDV	0.2	0.2	0.2	0.2	0.0	0.0
LDDT	0.3	0.4	0.5	0.5	0.0	0.0
Class 2b diesel	0.7	0.7	1.5	6.4	0.2	0.2
Class 3, 4, 5 diesel	0.7	0.7	1.6	6.3	0.2	0.1
Class 6, 7 diesel	3.0	2.8	5.1	26.7	0.6	0.6
Class 8 diesel	11.5	10.0	25.7	166.2	2.9	2.5
Buses	0.5	0.4	1.1	4.1	0.2	0.2
OH Total	304.1	307.3	3866.7	512.0	13.0	8.6

**WI**

Vehicle Class	VOC	TOG	CO	NOx	PM10	PM25
LDGV	66.9	56.8	1049.9	54.5	2.4	1.3
LDGT1	25.1	37.0	680.6	43.4	1.7	0.9
LDGT2	10.0	14.4	249.8	16.9	0.6	0.3
HDGV	5.8	2.4	25.2	5.1	0.1	0.1
Motorcycle	0.6	0.5	7.1	0.3	0.0	0.0
LDDV	0.1	0.1	0.1	0.1	0.0	0.0
LDDT	0.1	0.2	0.3	0.3	0.0	0.0
Class 2b diesel	0.3	0.3	0.8	3.3	0.1	0.1
Class 3, 4, 5 diesel	0.3	0.2	0.8	3.2	0.1	0.1
Class 6, 7 diesel	1.2	1.0	2.6	13.6	0.3	0.3
Class 8 diesel	4.8	3.8	13.0	83.0	1.5	1.3
Buses	0.2	0.1	0.5	2.1	0.1	0.1
WI Total	115.4	116.7	2030.7	225.8	6.9	4.5

## 2009 Vehicle Population Estimates – Illinois

2002 Vehicle VMT by Vehicle Types:		ILLINOIS	
	Illinois		
HDDV	763984534		
HDDV	1233736908		
LDDT	181548844		
LDDV	81468518		
LDGT1	34389192410		
LDGT2	11340244882		
LDGV	49889207528		
MC	328765001		
Total	105023681723		

Growth Rates: 2%

2008 Vehicle VMT by Vehicle Types:		ILLINOIS	
	Illinois		
HDDV	8776607617	100%	
HDDV	1417178201	100%	
LDDT	208542556	100%	
LDDV	93604680	100%	
LDGT1	39467911873	100%	
LDGT2	13026376879	100%	
LDGV	57272557088	100%	
MC	377648793	100%	
Total	120639427686	100%	

2008 Vehicle VMT Estimates By Vehicle Types and Model Years															
Age	MC	LDGV	LDGT1	LDGT2	HDDV	LDDV	LDDT	HDDV2b	HDDV3	HDDV4-5	HDDV6-7	HDDV8a	HDDV8b	HDD8S	HDD8T
2009	73233518	4304268360	3576384690	1353121075	111362716	7034810	22938030	84667402	30641317	28947199	128480473	97976315	319583610	3935732	4030730
2008	79867169	5450606322	4491403774	1566611234	190638010	8908324	27534442	141083459	49382000	54030332	232665198	174612734	579381676	7871464	7804465
2007	59733670	5181808039	4201209645	1359249445	162378263	8469004	24851654	117397503	39741774	47333564	197497695	145652731	491288087	7358107	7556704
2006	44627259	4919104894	3902313771	1177480901	139416505	8035850	21954881	97753204	32003009	41484014	167390018	121321846	415562766	6886141	7314775
2005	33130354	4663147365	3588491800	1022280309	119300727	7621320	19393426	81421171	25781054	36331762	141952884	101151772	353298322	6418954	7081699
2004	24040534	4401485763	3260626385	887202019	101910934	7193667	16860072	67688319	20733152	31798007	120246844	84236843	299295012	5999852	6855939
2003	17381782	4130442454	2920557868	769754024	87246366	6750681	14649810	58412594	16709464	27854191	101942920	70212676	253766696	5604140	6637319
2002	12485160	385252026	2878158046	667048675	74549663	6296538	12496190	48920469	13440469	24380443	86367461	58482485	215019776	5729816	6425859
2001	8798427	3548797603	2230434692	578656239	63734334	5800589	10471021	39043973	10818901	21381669	73286205	48797574	182502266	4887680	5220780
2000	6128252	3728407503	1890364611	502896907	54584231	5278055	8618171	32547788	8721056	18706668	62067767	40621772	164548394	4586732	8012696
1999	4101045	2878968106	1654846471	436897457	46680927	4783303	8942093	27080757	7018090	16409009	52689142	33903936	131212184	4287275	5801988
1998	14100436	2608993286	1265296845	377847631	39935386	4100630	5476713	22561076	5854872	14385783	44544710	28243273	111180219	3989206	5883339
1997	0	202670931	994198999	327840842	34169687	3312335	4210840	18786894	4554171	12588061	37860438	23548620	94306269	3718139	5295200
1996	0	1577035183	758333086	284354706	29149606	2495875	1882733	2288965	13012838	2950516	9647848	27181514	16341332	67719721	3251257
1995	0	1151740698	559446368	247196145	24978875	1882733	2288965	13012838	2950516	9647848	27181514	16341332	67719721	3251257	4262895
1994	0	868838347	398838972	214512507	213769336	1416737	1616327	10841046	2377225	8443205	29023129	13608813	57390331	3037398	3278742
1993	0	654233529	274177904	185912710	16265222	1089261	1097181	9024971	1913693	7407314	19549255	11339347	48711648	2834155	1989821
1992	0	494788478	180894546	160919201	15839451	808626	719540	7514011	154210	6495521	16591236	9477547	41344256	2852341	918581
1991	0	372360341	129590185	139741800	13939452	808608	515253	6263518	1242337	589304	14073811	7904922	38079182	2470627	726487
1990	0	279473990	111397054	121933402	11426901	456765	442437	5301075	989027	490468	1193884	6892781	29758013	2918108	674677
1989	0	213559664	96241282	10528836	9777156	347481	303946	4332270	603777	4353477	10280374	5472180	25126303	2180375	453200
1988	0	169955271	62786214	91411681	8344689	261428	330253	359666	648910	2817026	8654842	4565625	12126228	2021341	398039
1987	0	120054693	70386554	78428823	7162173	196214	287815	3006624	521922	3360242	7265786	3812833	18117542	1862307	283227
1986	0	91238433	62481984	68858892	6087183	149216	248542	2499562	417933	2927315	6144412	3188951	15321146	1764662	230575
1985 & Earlier	0	248956087	27983317	302390176	25068470	401984	1156346	986959	1818893	13116724	26844445	13512939	8848524	6374125	687190
Total	377648793	57272557088	39467911873	13026376879	1417178201	99804680	208542556	924232014	269885964	456749161	1650326271	1144078837	4108118366	106949236	101268749

2008 Vehicle Population Estimates By Vehicle Types and Model Years															
Age	MC	LDGV	LDGT1	LDGT2	HDDV2b-3	LDDV	LDDT	HDDV2b	HDDV3	HDDV4-5	HDDV6-7	HDDV8a	HDDV8b	HDD8S	HDD8T
2009	15302	288685	183391	83434	5675	472	848	3130	936	944	3158	1116	2673	396	89
2008	17852	384560	244310	78813	10152	628	1129	5682	1704	1888	6316	2231	5148	792	178
2007	14345	384560	242732	73473	9232	629	1122	5167	1549	1766	5908	2087	4614	740	178
2006	11882	384005	239891	68347	8401	628	1109	4702	1410	1652	5526	1852	4303	691	178
2005	9361	382916	235157	63755	7647	628	1087	4280	1293	1545	5170	1827	4213	646	178
2004	7438	380192	228212	59376	6949	628	1055	3889	1166	1444	4832	1707	3937	604	178
2003	5951	375290	218743	55318	6328	619	1011	3542	1052	1351	4520	1597	3893	564	178
2002	4762	368209	207064	51474	5752	602	957	3219	965	1263	4225	1493	3442	526	178
2001	3825	358771	192544	47950	5231	583	890	2928	878	1182	3956	1396	3223	492	178
2000	3082	341519	175815	44746	4766	558	819	2667	800	1105	3696	1306	3011	459	178
1999	2444	320277	157192	41649	4333	523	727	2425	727	1036	3461	1223	2620	429	178
1998	10307	293587	137622	38766	3945	480	636	2208	662	967	3236	1143	2637	401	178
1997	0	249467	117421	36086	3691	408	549	2010	609	905	3028	1070	2467	374	173
1996	0	197722	97535	33640	3258	329	451	1824	547	845	2828	989	2304	390	165
1995	0	156870	78596	31397	2970	256	363	1662	498	791	2646	935	2156	327	149
1994	0	124189	61561	29261	2704	203	284	1513	454	739	2472	874	2016	306	118
1993	0	98589	46400	27232	2460	161	214	1377	413	692	2316	818	1887	286	74
1992	0	78435	33774	25310	2239	128	156	1253	376	848	2189	766	1767	267	35
1991	0	62084	26830	23801	2038	101	124	1141	342	607	2030	717	1654	249	29
1990	0	49022	25567	21899	1851	80	118	1036	311	566	1900	671	1548	232	24
1989	0	39218	24620	20504	1685	64	114	943	293	529	1770	625	1442	217	19
1988	0	31047	23673	19116	1529	51	109	856	257	495	1657	585	1350	203	16
1987	0	24511	22727	17834	1396	40	105	762	234	464	1553	549	1266	189	13
1986	0	19609	21780	16553	1263	32	101	707	212	433	1449	512	1180	178	11
1985 & Earlier	0	65566	113317	78279	5530	91	524	3095	928	2072	6931	2449	5848	843	33
Total	106261	5446880	3158466	1067921	110826	8902	14589	62028	16800	25930	66751	30649	70686	10761	2907



## 2009 Vehicle Population Estimates -- Indiana

2002 Vehicle VMT by Vehicle Types:		INDIANA													
	Indiana														
HDDV	5275576664														
HDBGV	851955916														
LDOT	125369188														
LDV	58271730														
LDGT1	23726671073														
LDGT2	7830983918														
LDGV	34430175275														
IMC	227026899														
Total	72524029888														
Growth Rates:		2% per year													
2009 Vehicle VMT by Vehicle Types		INDIANA													
	Indiana														
HDDV	6059978154	6059978154	100%												
HDBGV	978629551	978629551	100%												
LDOT	144006841	144006841	100%												
LDV	64638530	64638530	100%												
LDGT1	27254487003	27254487003	100%												
LDGT2	8995338301	8995338301	100%												
LDGV	39549448873	39549448873	100%												
IMC	260784613	260784613	100%												
Total	83357313867	83357313867	100%												
2009 Vehicle VMT Estimates By Vehicle Types and Model Years		INDIANA													
Age	MC	LDGV	LDGT1	LDGT2	HDBGV	LDV	LDOT	HDDV2b	HDDV3	HDDV4.5	HDDV6.7	HDDV8a	HDDV8b	HDDBS	HDDBT
2009	50571258	2972317652	2468974692	934395031	78901299	4957874	15838429	58466904	21159300	19920376	88708121	67657347	220687824	2717812	2783418
2008	55165924	3763906592	3101529824	1081126682	131844894	6151625	19013853	97428498	34100641	37310637	160804993	120578472	400090849	5435623	5389317
2007	41248966	3676287098	2901138854	938526965	112544311	5848254	17023160	81088909	27443602	32888097	136340202	100510890	339257988	9081126	5217576
2006	30817264	3396878040	2694734910	813106994	96273787	5551764	15160999	67503278	22999613	26652891	116550840	83778596	267658579	4741400	5061203
2005	228767229	3220127015	247802642	705349829	82392876	5262887	13392091	56225225	17803055	25888839	98025278	69950152	243968477	4431215	4892593
2004	16801142	3039437123	2251827524	612655566	70374390	4967572	11711744	46748938	14317236	21958049	83035387	58169592	208677567	143166	4734355
2003	12002954	285228713	201685405	531552090	60247816	4661669	10116397	3895294	11536887	19234855	70395478	48485222	175230082	3999928	4583987
2002	8621602	2690385848	1760340482	480629118	51480326	4348061	8629219	32400935	9291302	16837937	59640669	40394862	148481473	3611440	4437228
2001	6075736	2450615215	1540222181	399589900	44011616	4005218	7230743	26361737	7489589	14765069	50615928	33697066	126026573	3375109	4295747
2000	4252543	2230060843	1305387473	347275827	37893031	3644760	5949880	22475810	6022308	12817980	42860771	29051283	106722875	3153548	4162058
1999	2831988	1888064243	1093601577	301008110	32221609	3249237	4793848	18700652	4846328	11391208	36304361	23412395	90908309	2946788	4083551
1998	9737028	1732580257	873747565	260921851	27577301	2831681	3781933	16579505	3904960	9920262	30629315	19303335	76762162	2754739	3653462
1997	0	1399513527	686542588	226251723	23996808	2287326	2907856	12974495	3144873	8692690	28144448	16261452	55123004	2570105	3558811
1996	0	1054470338	523665384	196360589	201286111	1723397	2178828	10773372	2525529	7803767	21386328	13385222	55148833	2400241	3379801
1995	0	795332218	396324562	170873178	17247040	1299869	1930640	8959598	2037473	6862000	18770140	11284474	48763717	2245149	2843527
1994	0	598593473	278105996	148131294	14761306	978925	11154611	7486263	1841888	5630436	15889575	9386019	35610051	2637442	2264129
1993	0	451779645	16932999	125361647	12628223	738976	757658	6232176	1321635	5115105	13498688	7844174	33837730	1957120	1371305
1992	0	341861375	124916364	111122430	10799792	558402	496946	5188785	1064280	4488469	11457024	6544701	28550152	1831566	632446
1991	0	257148494	89653972	94984418	9248221	420273	355807	4235260	857893	3931814	9718583	5458728	24223840	1708018	600863
1990	0	192990201	78925011	63785620	7897674	315418	288857	305524	589185	3446151	8244241	4552897	20650164	1595237	398807
1989	0	146782233	66459224	72706717	6751695	228857	265133	2931640	565046	3006295	6980982	3778789	1735220	1491842	313018
1988	0	110456790	57167853	63124138	5752207	160528	228889	2484975	445953	2633839	6907529	3152735	14726784	1395933	247933
1987	0	82030526	48864885	54852884	4848824	136485	198750	2076718	360412	2313803	5017374	2633013	12511032	2298223	195582
1986	0	63049048	41767119	47413841	4206551	103041	171630	1718810	288603	2021451	4242625	2189934	10579987	1218584	159223
1985 & Earlier	0	168844466	183278756	208814828	17310881	277588	80427	6884486	1117779	9057727	18399288	9331333	45884513	5782735	474952
Total	260784613	39549448873	27254487003	8995338301	978629551	64638530	144006841	63822654	19009880	315405522	1139629480	790041335	2836852872	73883579	69930931
2009 Vehicle Population Estimates By Vehicle Types and Model Years		INDIANA													
Age	MC	LDGV	LDGT1	LDGT2	HDBGV2b.3	LDV	LDOT	HDDV2b	HDDV3	HDDV4.5	HDDV6.7	HDDV8a	HDDV8b	HDDBS	HDDBT
2009	10 868	199 351	125 840	43 805	3 849	326	695	2 155	646	652	2 181	770	1 777	273	62
2008	12 378	265 550	168 708	54 424	7 010	434	780	3 924	1 177	1 204	4 361	1 541	3 554	547	129
2007	9 906	265 500	167 619	50 737	6 375	434	775	3 568	1 070	1 219	4 080	1 441	3 324	511	129
2006	7 998	265 174	165 657	47 197	5 801	433	766	3 247	974	1 141	3 816	1 348	3 109	477	129
2005	6 457	264 422	162 387	44 028	5 261	432	751	2 956	886	1 067	3 570	1 261	2 909	446	129
2004	5 136	262 541	157 892	41 002	4 799	429	728	2 686	805	997	3 337	1 179	2 719	417	129
2003	4 109	259 196	151 653	38 200	4 370	424	698	2 446	733	933	3 121	1 103	2 543	369	129
2002	3 302	254 266	142 988	35 545	3 972	416	681	2 223	667	872	2 917	1 031	2 377	363	129
2001	2 642	248 367	132 361	33 112	3 612	403	615	2 022	606	817	2 732	966	2 226	340	129
2000	2 128	238 836	121 409	30 889	3 291	365	581	1 842	552	763	2 552	902	2 079	317	129
1999	1 688	221 166	108 549	28 781	2 882	361	602	1 675	502	714	2 390	844	1 948	296	129
1998	7 118	202 736	95 095	26 769	2 724	331	439	1 525	457	668	2 234	789	1 821	277	129
1997	0	172 269	81 095	24 926	2 480	282	375	1 388	416	625	2 091	739	1 704	259	119
1996	0	136 536	67 352	23 230	2 250	223	311	1 259	378	584	1 953	690	1 591	241	114
1995	0	108 326	54 274	21 681	2 051	177	251	1 148	344	546	1 627	646	1 489	226	103
1994	0	85 758	42 504	20 206	1 867	140	195	1 045	313	510	1 707	603	1 391	211	81
1993	0	68 080	32 041	18 805	1 699	111	148	951	285	478	1 589	565	1 303	197	51
1992	0	54 163	23 323	17 478	1 546	89	106	865	259	448	1 498	529	1 220	184	24
1991	0	42 879	18 527	16 298	1 408	70	86	788	236	419	1 402	495	1 142	172	20
1990	0	33 852	17 655	15 191	1 278	56	62	715	214	392	1 312	464	1 089	161	16
1989	0	27 082	17 002	14 159	1 163	44	78	651	195	365	1 222	432	996	150	13
1988	0	21 440	16 348	13 200	1 056	36	76	591	177	342	1 144	404	932	140	11
1987	0	16 926	15 694	12 315	964	28	73	540	162	321	1 072	379	874	131	9
1986	0	13 541	15 040	11 430	872	22	70	488	146	299	1 000	353	815	123	7
1985 & Earlier	0	38 386	78 251	54 055	3 819	63	362	2 137	641	1 431	4 786	1 691	3 900	582	29
Total	73 378	3 761 332	2 179 691	737 450	76 531	6									













## 2012 Vehicle Population Estimates – Wisconsin

2002 Vehicle VMF by Vehicle Types		WISCONSIN														
	Wisconsin															
HDDV	4049673094															
HGGV	700250490															
LDLT	102541887															
LDV	45730919															
LDGT1	19306957242															
LDGT2	6409920967															
LDGV	27880720368															
MC	148876334															
Total	58744471000															
Growth Rates:		2% per year														
2012 Vehicle VMF by Vehicle Types		WISCONSIN														
	Wisconsin															
HDDV	4936528904	100%														
HGGV	853601440	100%														
LDLT	124997623	100%														
LDV	55745735	100%														
LDGT1	23535073145	100%														
LDGT2	7813657891	100%														
LDGV	34108341996	100%														
MC	181238621	100%														
Total	71609162354	100%														
2009 Vehicle VMF Estimates by Vehicle Types and Model Years		WISCONSIN														
Age	MC	LDGV	LDGT1	LDGT2	HGGV	LDV	LDLT	HDDV2b	HDDV3	HDDV4-5	HDDV6-7	HDDV8a	HDDV8b	HDD8s	HDD8T	
2012+	35145146	2663394178	2132034259	811647419	67076615	4189541	13747550	47627822	17238512	16227370	72262670	55114464	179774876	2213961	2267405	
2011	38339268	324607840	2678264730	939106145	114825883	5306301	1650777	79363469	27778780	30393599	130993299	98224530	325918673	4427522	4390245	
2010	26866499	3082595977	2505219344	815323420	98165834	5043567	14775872	66039434	22355878	26626476	111064319	81877344	276353523	4139145	4250289	
2009	21418954	2929544689	2326985028	703292489	83974005	4787967	13189452	54989958	18002603	23324687	94161647	68246989	234328405	3862400	4114769	
2008	15899519	2777110955	2136650027	613559571	71857775	4538333	11624150	45801725	14502576	20437661	78552535	58800749	198739558	3509719	3983557	
2007	11537177	2621279533	1944521116	652173537	61363473	4284147	10165641	38022230	11662297	17897283	67641595	47385621	168351957	3375087	3856661	
2006	8341800	245961249	1741614727	461724269	52550553	4002325	8780300	31734251	9399549	15668774	57345792	39496626	142750884	3152488	3733681	
2005	5991693	2284377113	153737790	400118175	44903284	3748667	7490049	26394098	7560657	13716380	49504148	32893061	102954740	2941921	3614616	
2004	4222411	2113466160	133002838	347097426	38388764	3454191	6276190	21963345	6084614	12027797	41232325	27450023	102652717	2749403	3499366	
2003	2941480	1923250688	1127241531	301655637	32877431	3143315	5164418	18209057	4905843	10523145	34914884	22850902	88937699	2568917	3382312	
2002	1963113	1714551709	933132117	261465919	28105029	2802216	4109998	15233996	3947875	9230534	29639411	19071929	73810586	2400463	3263783	
2001	6766873	1494216522	764507426	226645626	24054070	2442106	3262689	12691247	3181026	8081161	25113919	15887645	62547661	2244042	3139100	
2000	0	1206972217	592950261	196529969	20581247	1972542	2523981	10669176	2581851	7081142	21297573	13245788	53049999	203637	2878881	
1999	0	909399143	452200684	170565493	17568925	1486297	1889284	8776033	2057325	6194118	18032674	11025960	44918366	1956265	2753053	
1998	0	689312549	333802834	148252548	15043586	1121037	1371975	7320392	1699749	5427188	15293076	9192464	38094269	1828924	2297831	
1997	0	516240592	238425750	126671847	12875495	843729	985205	6098397	1337256	4749543	12951165	7854107	32266818	1708600	1844396	
1996	0	389625018	163494768	111516851	11013641	636792	657636	5075803	1078619	4186825	10597012	6389956	27401686	1584283	1117081	
1995	0	294656521	107869056	86524736	9420028	481579	431342	4226845	866975	3653916	9330325	5331390	23257320	1492017	515198	
1994	0	221768971	77418916	83821620	8067207	362453	303836	3523407	698800	3202983	7918953	4448744	19733023	1389742	403090	
1993	0	168439128	66427072	72761850	6882544	272024	265191	2437597	581418	2807275	6715955	3705599	16740403	1399499	532344	
1992	0	126988328	57389548	63155336	5989023	208992	230132	2437026	452147	2446955	6670497	3078246	14135329	1215272	254989	
1991	0	95260442	49396169	54831781	5026037	155991	199376	2004290	363286	2147185	4812342	2590255	11998610	1137062	201970	
1990	0	71497882	42282399	47847098	4313954	116854	172512	1691312	293596	1894806	4087211	2144883	10191633	1058851	159323	
1989	0	54372256	36059300	41185107	3669478	88965	148973	1400162	235099	1646098	3456293	1783132	6616581	992679	129705	
1988 & Earlier	0	145477721	166902919	181883774	15099359	239399	659493	5808193	910555	7378530	14898250	7601413	37376000	4710884	385901	
Total	181238621	34108341996	23535073145	7813657891	853601440	55745735	124997623	619906797	156893930	256933633	926395470	643578889	2310934446	80161987	5886552	
2009 Vehicle Population Estimates by Vehicle Types and Model Years		WISCONSIN														
Age	MC	LDGV	LDGT1	LDGT2	HGGV2b,3	LDV	LDLT	HDDV2b	HDDV3	HDDV4-5	HDDV6-7	HDDV8a	HDDV8b	HDD8s	HDD8T	
2012+	7,343	171,924	109,358	38,050	3,358	281	508	1,755	526	531	1,776	526	1,447	223	59	
2011	6,587	229,016	145,685	47,274	6,115	374	677	3,196	958	1,062	3,553	1,255	2,895	446	100	
2010	6,884	229,016	144,743	44,072	5,561	374	672	2,807	872	993	3,523	1,174	2,708	416	100	
2009	5,558	228,892	143,049	40,997	5,060	374	686	2,645	793	929	3,109	1,098	2,533	389	100	
2008	4,488	228,043	140,226	38,242	4,806	373	651	2,408	722	869	2,908	1,028	2,370	363	100	
2007	3,570	226,471	136,085	35,616	4,185	370	632	2,189	656	812	2,718	960	2,215	340	100	
2006	2,856	223,602	130,439	33,182	3,812	365	608	1,992	597	780	2,542	898	2,072	317	100	
2005	2,295	219,265	123,474	30,876	3,454	359	574	1,811	543	710	2,377	840	1,936	296	100	
2004	1,836	212,473	114,816	28,762	3,151	347	533	1,647	494	665	2,226	796	1,813	277	100	
2003	1,479	203,990	104,849	26,840	2,878	332	487	1,500	450	621	2,079	734	1,694	259	100	
2002	1,173	190,739	93,735	24,982	2,610	312	435	1,364	409	562	1,947	698	1,587	242	100	
2001	4,947	174,844	82,065	23,263	2,376	286	381	1,242	372	544	1,820	643	1,483	228	99	
2000	0	148,669	70,019	21,651	2,153	243	325	1,131	399	509	1,703	602	1,388	211	97	
1999	0	117,752	68,161	20,178	1,863	192	270	1,028	308	476	1,591	662	1,296	197	93	
1998	0	93,423	46,869	18,833	1,789	153	218	935	280	445	1,488	626	1,213	184	84	
1997	0	73,980	36,703	17,552	1,629	121	171	861	255	416	1,391	491	1,133	172	68	
1996	0	58,714	27,669	16,395	1,482	96	129	776	232	389	1,303	460	1,062	180	42	
1995	0	46,712	20,140	15,182	1,348	76	94	705	211	365	1,220	431	984	150	20	
1994	0	36,980	15,999	14,157	1,228	60	74	642	193	341	1,142	403	930	140	16	
1993	0	29,195	15,246	13,196	1,115	48	71	583	175	319	1,069	378	871	131	13	
1992	0	23,356	14,681	12,299	1,015	38	68	530	159	298	996	352	811	122	11	
1991	0	18,490	14,117	11,486	921	30	66	462	144	279	932	329	759	114	9	
1990	0	14,697	13,552	10,698	841	24	63	440	132	261	874	309	712	107	7	
1989	0	11,678	12,987	9,929	761	19	60	398	119	244	615	288	664	100	6	
1988 & Earlier	0	33,087	67,572	46,954	3,331	54	314	1,741	522	1,185	3,899	1,378	3,177	474	19	
Total	50,995	3,243,858	1,882,228	640,675	66,753	5,302	8,745	34,892	10,463	14,586	48,800	17,241	39,763	6,053	1,635	

**2009 Non-Road Emissions Inventories**  
**LADCO All State 2009 Emissions (tpd)**

**Summary by Equipment Type**

Sum of Emissions (tpd) Equipment Type	Pol			
	VOC	CO	NOX	PM10
Agricultural Equipment	29.55	280.69	260.50	26.04
Airport Ground Support Equipment	0.31	3.66	3.59	0.24
Commercial Equipment	48.15	2,157.75	57.39	4.90
Construction and Mining Equipment	40.39	664.85	291.17	22.41
Industrial Equipment	28.07	646.27	137.78	5.85
Lawn and Garden Equipment	188.60	4,908.24	49.85	10.46
Logging Equipment	1.30	15.00	2.10	0.26
Pleasure Craft	252.40	1,003.42	65.78	15.05
Railroad Equipment	0.30	4.08	1.39	0.18
Recreational Equipment	456.48	1,662.90	12.39	13.40
Underground Mining Equipment	0.13	0.56	0.65	0.08
<b>Grand Total</b>	<b>1,045.70</b>	<b>11,347.41</b>	<b>882.59</b>	<b>98.89</b>

**Summary by Equipment Type and Fuel Type**

Sum of Emissions (tpd)		Fuel Type			
Pol	Equipment Type	CNG	Diesel	Gasoline	LPG
VOC	Agricultural Equipment	0.00	24.97	4.58	0.00
	Airport Ground Support Equipment	0.00	0.25	0.05	0.01
	Commercial Equipment	0.02	5.16	41.79	1.18
	Construction and Mining Equipment	0.00	23.68	16.49	0.22
	Industrial Equipment	0.08	5.41	3.36	19.21
	Lawn and Garden Equipment	0.00	1.58	186.93	0.10
	Logging Equipment	0.00	0.15	1.15	0.00
	Pleasure Craft	0.00	0.83	251.58	0.00
CO	Railroad Equipment	0.00	0.25	0.05	0.00
	Recreational Equipment	0.00	0.23	456.23	0.01
	Underground Mining Equipment	0.00	0.13	0.00	0.00
	<b>VOC Total</b>	<b>0.10</b>	<b>62.65</b>	<b>962.19</b>	<b>20.75</b>
	Agricultural Equipment	0.08	128.37	152.17	0.07
	Airport Ground Support Equipment	0.00	1.53	1.82	0.31
	Commercial Equipment	5.11	21.32	2,111.04	20.28
	Construction and Mining Equipment	0.01	134.44	524.58	5.82
Industrial Equipment	32.95	31.38	132.57	449.36	
Lawn and Garden Equipment	0.00	6.51	4,899.31	2.43	
Logging Equipment	0.00	0.79	14.21	0.00	
Pleasure Craft	0.00	3.79	999.63	0.00	
Railroad Equipment	0.00	1.06	3.00	0.01	
Recreational Equipment	0.00	0.95	1,661.72	0.24	
Underground Mining Equipment	0.00	0.56	0.00	0.00	
<b>CO Total</b>	<b>38.16</b>	<b>330.69</b>	<b>10,500.05</b>	<b>478.52</b>	

NOX	Agricultural Equipment	0.01	257.76	2.72	0.01
	Airport Ground Support Equipment	0.00	3.50	0.05	0.04
	Commercial Equipment	1.43	34.10	16.45	5.41
	Construction and Mining Equipment	0.00	284.96	5.36	0.84
	Industrial Equipment	5.13	60.08	3.45	69.12
	Lawn and Garden Equipment	0.00	13.69	35.81	0.35
	Logging Equipment	0.00	2.00	0.09	0.00
	Pleasure Craft	0.00	22.53	43.26	0.00
	Railroad Equipment	0.00	1.37	0.02	0.00
	Recreational Equipment	0.00	0.73	11.60	0.05
	Underground Mining Equipment	0.00	0.65	0.00	0.00
<b>NOX Total</b>		<b>6.57</b>	<b>681.36</b>	<b>118.82</b>	<b>75.84</b>
PM10	Agricultural Equipment	0.00	25.98	0.07	0.00
	Airport Ground Support Equipment	0.00	0.24	0.00	0.00
	Commercial Equipment	0.02	3.83	1.00	0.04
	Construction and Mining Equipment	0.00	20.91	1.49	0.02
	Industrial Equipment	0.06	4.88	0.05	0.86
	Lawn and Garden Equipment	0.00	1.20	9.26	0.00
	Logging Equipment	0.00	0.14	0.12	0.00
	Pleasure Craft	0.00	0.43	14.62	0.00
	Railroad Equipment	0.00	0.18	0.00	0.00
	Recreational Equipment	0.00	0.15	13.26	0.00
	Underground Mining Equipment	0.00	0.08	0.00	0.00
<b>PM10 Total</b>		<b>0.09</b>	<b>58.01</b>	<b>39.86</b>	<b>0.92</b>

**LADCO All States 2012 Emissions (tpd)**

**Summary by Equipment Type**

Sum of Emissions (tpd) Equipment Type	Pol			
	VOC	CO	NOX	PM10
Agricultural Equipment	25.0	262.3	234.2	21.8
Airport Ground Support Equipment	0.3	3.1	3.1	0.2
Commercial Equipment	49.0	2,319.6	55.7	4.6
Construction and Mining Equipment	36.2	628.1	240.7	19.5
Industrial Equipment	14.7	388.2	88.2	5.3
Lawn and Garden Equipment	180.8	5,093.5	48.9	10.6
Logging Equipment	1.3	16.2	1.5	0.2
Pleasure Craft	225.9	980.6	68.7	14.6
Railroad Equipment	0.3	4.1	1.3	0.2
Recreational Equipment	406.5	1,662.7	14.9	12.2
Underground Mining Equipment	0.1	0.5	0.6	0.1
<b>Grand Total</b>	<b>939.9</b>	<b>11,358.9</b>	<b>757.8</b>	<b>89.5</b>

**Summary by Equipment Type and Fuel Type**

Sum of Emissions (tpd)		Fuel Type			
Pol	Equipment Type	CNG	Diesel	Gasoline	LPG
VOC	Agricultural Equipment	0.00	20.80	4.24	0.00
	Airport Ground Support Equipment	0.00	0.22	0.04	0.00
	Commercial Equipment	0.02	4.59	43.41	0.95
	Construction and Mining Equipment	0.00	20.19	15.92	0.11
	Industrial Equipment	0.04	4.42	2.03	8.17
	Lawn and Garden Equipment	0.00	1.42	179.31	0.04
	Logging Equipment	0.00	0.11	1.22	0.00
	Pleasure Craft	0.00	0.80	225.05	0.00
	Railroad Equipment	0.00	0.22	0.05	0.00
	Recreational Equipment	0.00	0.22	406.22	0.01
Underground Mining Equipment	0.00	0.12	0.00	0.00	
<b>VOC Total</b>		<b>0.05</b>	<b>53.12</b>	<b>877.49</b>	<b>9.29</b>
CO	Agricultural Equipment	0.00	108.92	153.34	0.05
	Airport Ground Support Equipment	0.00	1.37	1.60	0.15
	Commercial Equipment	5.02	20.23	2,276.78	17.62
	Construction and Mining Equipment	0.01	113.66	511.35	3.08
	Industrial Equipment	18.46	29.41	90.86	249.45
	Lawn and Garden Equipment	0.00	6.28	5,085.95	1.23
	Logging Equipment	0.00	0.61	15.63	0.00
	Pleasure Craft	0.00	3.93	976.69	0.00
	Railroad Equipment	0.00	0.96	3.09	0.01
	Recreational Equipment	0.00	0.91	1,661.58	0.22
Underground Mining Equipment	0.00	0.50	0.00	0.00	
<b>CO Total</b>		<b>23.49</b>	<b>286.78</b>	<b>10,776.87</b>	<b>271.79</b>

NOX	Agricultural Equipment	0.00	231.78	2.41	0.01
	Airport Ground Support Equipment	0.00	3.05	0.03	0.02
	Commercial Equipment	1.33	33.26	16.69	4.43
	Construction and Mining Equipment	0.00	235.89	4.27	0.50
	Industrial Equipment	2.46	51.49	1.70	32.59
	Lawn and Garden Equipment	0.00	13.71	34.98	0.17
	Logging Equipment	0.00	1.45	0.09	0.00
	Pleasure Craft	0.00	22.35	46.32	0.00
	Railroad Equipment	0.00	1.29	0.02	0.00
	Recreational Equipment	0.00	0.74	14.09	0.05
Underground Mining Equipment	0.00	0.60	0.00	0.00	
<b>NOX Total</b>		<b>3.80</b>	<b>595.61</b>	<b>120.61</b>	<b>37.77</b>
PM10	Agricultural Equipment	0.00	21.71	0.07	0.00
	Airport Ground Support Equipment	0.00	0.21	0.00	0.00
	Commercial Equipment	0.03	3.50	1.08	0.05
	Construction and Mining Equipment	0.00	18.02	1.49	0.02
	Industrial Equipment	0.07	4.32	0.04	0.92
	Lawn and Garden Equipment	0.00	1.09	9.53	0.01
	Logging Equipment	0.00	0.12	0.13	0.00
	Pleasure Craft	0.00	0.38	14.26	0.00
	Railroad Equipment	0.00	0.16	0.00	0.00
	Recreational Equipment	0.00	0.14	12.09	0.00
Underground Mining Equipment	0.00	0.07	0.00	0.00	
<b>PM10 Total</b>		<b>0.09</b>	<b>49.72</b>	<b>38.68</b>	<b>0.99</b>

**Illinois 2009 Emissions (tpd)**

**Summary by Equipment Type**

Sum of Emissions (tpd) Equipment Type	Pol			
	VOC	CO	NOX	PM10
Agricultural Equipment	10.93	100.26	96.76	9.67
Airport Ground Support Equipment	0.15	1.75	1.76	0.12
Commercial Equipment	14.24	622.27	17.97	1.50
Construction and Mining Equipment	11.98	200.98	81.36	6.42
Industrial Equipment	6.53	147.87	32.68	1.41
Lawn and Garden Equipment	48.16	1,199.64	13.87	2.79
Logging Equipment	0.00	0.03	0.00	0.00
Pleasure Craft	31.45	112.99	11.00	2.01
Railroad Equipment	0.08	1.05	0.38	0.05
Recreational Equipment	55.71	197.67	1.96	1.72
Underground Mining Equipment	0.04	0.17	0.20	0.02
<b>Grand Total</b>	<b>179.28</b>	<b>2,584.68</b>	<b>257.95</b>	<b>25.71</b>

**Summary by Equipment Type and Fuel Type**

Sum of Emissions (tpd)		Fuel Type				
Pol	Equipment Type	CNG	Diesel	Gasoline	LPG	
VOC	Agricultural Equipment	0.00	9.27	1.66	0.00	
	Airport Ground Support Equipment	0.00	0.12	0.02	0.01	
	Commercial Equipment	0.01	1.58	12.29	0.36	
	Construction and Mining Equipment	0.00	6.78	5.14	0.06	
	Industrial Equipment	0.02	1.31	0.74	4.46	
	Lawn and Garden Equipment	0.00	0.42	47.71	0.03	
	Logging Equipment	0.00	0.00	0.00	0.00	
	Pleasure Craft	0.00	0.18	31.26	0.00	
	Railroad Equipment	0.00	0.07	0.01	0.00	
	Recreational Equipment	0.00	0.03	55.68	0.00	
	Underground Mining Equipment	0.00	0.04	0.00	0.00	
	<b>VOC Total</b>		<b>0.02</b>	<b>19.81</b>	<b>154.52</b>	<b>4.91</b>
	CO	Agricultural Equipment	0.03	47.65	52.56	0.03
Airport Ground Support Equipment		0.00	0.75	0.86	0.15	
Commercial Equipment		1.57	6.54	607.95	6.22	
Construction and Mining Equipment		0.00	38.09	161.33	1.55	
Industrial Equipment		7.64	7.62	28.34	104.27	
Lawn and Garden Equipment		0.00	1.74	1,197.26	0.65	
Logging Equipment		0.00	0.00	0.02	0.00	
Pleasure Craft		0.00	0.85	112.15	0.00	
Railroad Equipment		0.00	0.29	0.76	0.00	

	Recreational Equipment	0.00	0.13	197.50	0.03
	Underground Mining Equipment	0.00	0.17	0.00	0.00
CO Total		9.24	103.81	2,358.72	112.90
NOX	Agricultural Equipment	0.00	95.67	1.09	0.00
	Airport Ground Support Equipment	0.00	1.71	0.03	0.02
	Commercial Equipment	0.44	10.45	5.42	1.66
	Construction and Mining Equipment	0.00	79.27	1.87	0.22
	Industrial Equipment	1.19	14.59	0.87	16.04
	Lawn and Garden Equipment	0.00	3.65	10.13	0.09
	Logging Equipment	0.00	0.00	0.00	0.00
	Pleasure Craft	0.00	5.05	5.95	0.00
	Railroad Equipment	0.00	0.37	0.01	0.00
	Recreational Equipment	0.00	0.10	1.85	0.01
	Underground Mining Equipment	0.00	0.20	0.00	0.00
NOX Total		1.63	211.07	27.20	18.05
PM10	Agricultural Equipment	0.00	9.64	0.02	0.00
	Airport Ground Support Equipment	0.00	0.12	0.00	0.00
	Commercial Equipment	0.01	1.18	0.31	0.01
	Construction and Mining Equipment	0.00	5.95	0.47	0.00
	Industrial Equipment	0.01	1.18	0.01	0.20
	Lawn and Garden Equipment	0.00	0.32	2.46	0.00
	Logging Equipment	0.00	0.00	0.00	0.00
	Pleasure Craft	0.00	0.10	1.91	0.00
	Railroad Equipment	0.00	0.05	0.00	0.00
	Recreational Equipment	0.00	0.02	1.70	0.00
	Underground Mining Equipment	0.00	0.02	0.00	0.00
PM10 Total		0.02	18.58	6.89	0.22

**Illinois 2012 Emissions (tpd)**

**Summary by Equipment Type**

Sum of Emissions (tpd) Equipment Type	Pol			
	VOC	CO	NOX	PM10
Agricultural Equipment	9.26	93.41	86.99	8.08
Airport Ground Support Equipment	0.13	1.49	1.52	0.10
Commercial Equipment	14.47	668.82	17.46	1.42
Construction and Mining Equipment	10.77	190.75	67.68	5.63
Industrial Equipment	3.42	88.65	21.10	1.28
Lawn and Garden Equipment	46.60	1,251.78	13.65	2.84
Logging Equipment	0.00	0.03	0.00	0.00
Pleasure Craft	28.29	110.96	11.41	1.97
Railroad Equipment	0.07	1.04	0.36	0.04
Recreational Equipment	49.44	200.90	2.31	1.56
Underground Mining Equipment	0.04	0.15	0.19	0.02
<b>Grand Total</b>	<b>162.49</b>	<b>2,608.00</b>	<b>222.66</b>	<b>22.96</b>

**Summary by Equipment Type and Fuel Type**

Sum of Emissions (tpd)		Fuel Type			
Pol	Equipment Type	CNG	Diesel	Gasoline	LPG
VOC	Agricultural Equipment	0.00	7.72	1.54	0.00
	Airport Ground Support Equipment	0.00	0.11	0.02	0.00
	Commercial Equipment	0.00	1.41	12.77	0.29
	Construction and Mining Equipment	0.00	5.77	4.97	0.03
	Industrial Equipment	0.01	1.07	0.45	1.89
	Lawn and Garden Equipment	0.00	0.38	46.22	0.01
	Logging Equipment	0.00	0.00	0.00	0.00
	Pleasure Craft	0.00	0.18	28.11	0.00
	Railroad Equipment	0.00	0.06	0.01	0.00
	Recreational Equipment	0.00	0.03	49.40	0.00
	Underground Mining Equipment	0.00	0.04	0.00	0.00
	<b>VOC Total</b>		<b>0.01</b>	<b>16.77</b>	<b>143.48</b>
CO	Agricultural Equipment	0.00	40.42	52.97	0.02
	Airport Ground Support Equipment	0.00	0.67	0.75	0.08
	Commercial Equipment	1.54	6.20	655.68	5.40
	Construction and Mining Equipment	0.00	32.47	157.48	0.80
	Industrial Equipment	4.28	7.19	19.30	57.88
	Lawn and Garden Equipment	0.00	1.67	1,249.77	0.33
	Logging Equipment	0.00	0.00	0.03	0.00
	Pleasure Craft	0.00	0.88	110.08	0.00
	Railroad Equipment	0.00	0.26	0.78	0.00
	Recreational Equipment	0.00	0.13	200.74	0.03
	Underground Mining Equipment	0.00	0.15	0.00	0.00
	<b>CO Total</b>		<b>5.82</b>	<b>90.05</b>	<b>2,447.59</b>

NOX	Agricultural Equipment	0.00	86.02	0.96	0.00
	Airport Ground Support Equipment	0.00	1.49	0.02	0.01
	Commercial Equipment	0.41	10.20	5.49	1.36
	Construction and Mining Equipment	0.00	66.05	1.50	0.13
	Industrial Equipment	0.57	12.54	0.43	7.56
	Lawn and Garden Equipment	0.00	3.66	9.95	0.05
	Logging Equipment	0.00	0.00	0.00	0.00
	Pleasure Craft	0.00	5.01	6.40	0.00
	Railroad Equipment	0.00	0.35	0.01	0.00
	Recreational Equipment	0.00	0.10	2.20	0.01
Underground Mining Equipment	0.00	0.19	0.00	0.00	
NOX Total		0.98	185.62	26.95	9.12
PM10	Agricultural Equipment	0.00	8.06	0.03	0.00
	Airport Ground Support Equipment	0.00	0.10	0.00	0.00
	Commercial Equipment	0.01	1.07	0.33	0.01
	Construction and Mining Equipment	0.00	5.16	0.47	0.00
	Industrial Equipment	0.02	1.04	0.01	0.21
	Lawn and Garden Equipment	0.00	0.29	2.55	0.00
	Logging Equipment	0.00	0.00	0.00	0.00
	Pleasure Craft	0.00	0.09	1.88	0.00
	Railroad Equipment	0.00	0.04	0.00	0.00
	Recreational Equipment	0.00	0.02	1.54	0.00
Underground Mining Equipment	0.00	0.02	0.00	0.00	
PM10 Total		0.02	15.90	6.80	0.23

**Indiana 2009 Emissions (tpd)**

**Summary by Equipment Type**

Sum of Emissions (tpd) Equipment Type	Pol			
	VOC	CO	NOX	PM10
Agricultural Equipment	5.90	55.46	52.34	5.23
Airport Ground Support Equipment	0.04	0.44	0.43	0.03
Commercial Equipment	6.10	269.22	7.55	0.64
Construction and Mining Equipment	5.21	76.54	41.90	3.12
Industrial Equipment	4.30	97.72	21.03	0.88
Lawn and Garden Equipment	25.67	650.83	7.14	1.46
Logging Equipment	0.04	0.45	0.07	0.01
Pleasure Craft	22.49	88.32	9.36	1.39
Railroad Equipment	0.04	0.53	0.19	0.02
Recreational Equipment	32.97	150.73	1.39	1.09
Underground Mining Equipment	0.04	0.17	0.19	0.02
<b>Grand Total</b>	<b>102.81</b>	<b>1,390.41</b>	<b>141.61</b>	<b>13.89</b>

**Summary by Equipment Type and Fuel Type**

Sum of Emissions (tpd)		Fuel Type			
Pol	Equipment Type	CNG	Diesel	Gasoline	LPG
VOC	Agricultural Equipment	0.00	5.02	0.89	0.00
	Airport Ground Support Equipment		0.03	0.01	0.00
	Commercial Equipment	0.00	0.67	5.27	0.15
	Construction and Mining Equipment	0.00	3.32	1.86	0.03
	Industrial Equipment	0.01	0.81	0.48	3.00
	Lawn and Garden Equipment		0.22	25.44	0.01
	Logging Equipment		0.01	0.04	
	Pleasure Craft		0.16	22.33	
	Railroad Equipment		0.03	0.01	0.00
	Recreational Equipment		0.03	32.95	0.00
	Underground Mining Equipment			0.04	
	<b>VOC Total</b>		<b>0.02</b>	<b>10.33</b>	<b>89.26</b>
CO	Agricultural Equipment	0.02	25.78	29.65	0.01
	Airport Ground Support Equipment		0.18	0.21	0.04
	Commercial Equipment	0.66	2.77	263.15	2.64
	Construction and Mining Equipment	0.00	19.02	56.75	0.78
	Industrial Equipment	5.11	4.68	17.79	70.14
	Lawn and Garden Equipment		0.89	649.60	0.33
	Logging Equipment		0.03	0.43	
	Pleasure Craft		0.76	87.57	
	Railroad Equipment		0.14	0.38	0.00
	Recreational Equipment		0.11	150.59	0.03
	Underground Mining Equipment			0.17	
	<b>CO Total</b>		<b>5.80</b>	<b>54.52</b>	<b>1,256.12</b>

NOX	Agricultural Equipment	0.00	51.77	0.56	0.00
	Airport Ground Support Equipment		0.42	0.01	0.01
	Commercial Equipment	0.19	4.43	2.23	0.70
	Construction and Mining Equipment	0.00	41.16	0.62	0.12
	Industrial Equipment	0.80	8.90	0.55	10.79
	Lawn and Garden Equipment		1.87	5.22	0.05
	Logging Equipment		0.07	0.00	
	Pleasure Craft		4.51	4.85	
	Railroad Equipment		0.18	0.00	0.00
	Recreational Equipment		0.08	1.30	0.01
	Underground Mining Equipment		0.19		
NOX Total		0.98	113.60	15.35	11.67
PM10	Agricultural Equipment	0.00	5.22	0.01	0.00
	Airport Ground Support Equipment		0.03	0.00	0.00
	Commercial Equipment	0.00	0.50	0.13	0.01
	Construction and Mining Equipment	0.00	2.94	0.17	0.00
	Industrial Equipment	0.01	0.73	0.01	0.13
	Lawn and Garden Equipment		0.16	1.29	0.00
	Logging Equipment		0.00	0.00	
	Pleasure Craft		0.09	1.30	
	Railroad Equipment		0.02	0.00	0.00
	Recreational Equipment		0.02	1.08	0.00
	Underground Mining Equipment		0.02		
PM10 Total		0.01	9.74	4.00	0.14

**Indiana 2012 Emissions (tpd)**

**Summary by Equipment Type**

Sum of Emissions (tpd) Equipment Type	Pol			
	VOC	CO	NOX	PM10
Agricultural Equipment	5.00	51.77	47.06	4.37
Airport Ground Support Equipment	0.03	0.37	0.38	0.03
Commercial Equipment	6.19	289.38	7.33	0.60
Construction and Mining Equipment	4.66	71.86	34.58	2.71
Industrial Equipment	2.22	57.74	13.34	0.81
Lawn and Garden Equipment	24.73	678.23	7.02	1.48
Logging Equipment	0.04	0.49	0.05	0.01
Pleasure Craft	20.18	86.03	9.67	1.35
Railroad Equipment	0.04	0.52	0.18	0.02
Recreational Equipment	28.97	155.30	1.53	0.97
Underground Mining Equipment	0.04	0.15	0.18	0.02
<b>Grand Total</b>	<b>92.11</b>	<b>1,391.84</b>	<b>121.30</b>	<b>12.37</b>

**Summary by Equipment Type and Fuel Type**

Sum of Emissions (tpd)		Fuel Type				
Pol	Equipment Type	CNG	Diesel	Gasoline	LPG	
VOC	Agricultural Equipment	0.00	4.18	0.82	0.00	
	Airport Ground Support Equipment		0.03	0.00	0.00	
	Commercial Equipment	0.00	0.60	5.47	0.12	
	Construction and Mining Equipment	0.00	2.84	1.80	0.02	
	Industrial Equipment	0.01	0.66	0.28	1.27	
	Lawn and Garden Equipment		0.19	24.53	0.01	
	Logging Equipment		0.00	0.04		
	Pleasure Craft		0.16	20.02		
	Railroad Equipment		0.03	0.01	0.00	
	Recreational Equipment		0.03	28.95	0.00	
	Underground Mining Equipment		0.04			
	<b>VOC Total</b>		<b>0.01</b>	<b>8.75</b>	<b>81.93</b>	<b>1.42</b>
	CO	Agricultural Equipment	0.00	21.88	29.88	0.01
Airport Ground Support Equipment			0.17	0.19	0.02	
Commercial Equipment		0.65	2.63	283.81	2.29	
Construction and Mining Equipment		0.00	16.02	55.42	0.42	
Industrial Equipment		2.86	4.36	11.59	38.94	
Lawn and Garden Equipment			0.86	677.20	0.17	
Logging Equipment			0.02	0.47		
Pleasure Craft			0.78	85.25		
Railroad Equipment			0.13	0.39	0.00	
Recreational Equipment			0.10	155.17	0.02	
Underground Mining Equipment			0.15			
<b>CO Total</b>			<b>3.51</b>	<b>47.09</b>	<b>1,299.36</b>	<b>41.87</b>

NOX	Agricultural Equipment	0.00	46.56	0.50	0.00
	Airport Ground Support Equipment		0.37	0.00	0.00
	Commercial Equipment	0.17	4.32	2.26	0.58
	Construction and Mining Equipment	0.00	34.01	0.50	0.07
	Industrial Equipment	0.38	7.61	0.27	5.09
	Lawn and Garden Equipment		1.88	5.12	0.02
	Logging Equipment		0.05	0.00	
	Pleasure Craft		4.48	5.20	
	Railroad Equipment		0.17	0.00	0.00
	Recreational Equipment		0.08	1.44	0.01
	Underground Mining Equipment		0.18		
NOX Total		0.55	99.70	15.29	5.76
PM10	Agricultural Equipment	0.00	4.36	0.01	0.00
	Airport Ground Support Equipment		0.03	0.00	0.00
	Commercial Equipment	0.00	0.45	0.14	0.01
	Construction and Mining Equipment	0.00	2.53	0.18	0.00
	Industrial Equipment	0.01	0.65	0.01	0.14
	Lawn and Garden Equipment		0.15	1.33	0.00
	Logging Equipment		0.00	0.00	
	Pleasure Craft		0.08	1.27	
	Railroad Equipment		0.02	0.00	0.00
	Recreational Equipment		0.02	0.95	0.00
	Underground Mining Equipment		0.02		
PM10 Total		0.01	8.31	3.90	0.15

**Michigan 2009 Emissions (tpd)**

**Summary by Equipment Type**

Sum of Emissions (tpd) Equipment Type	Pol			
	VOC	CO	NOX	PM10
Agricultural Equipment	3.32	32.39	29.09	2.91
Airport Ground Support Equipment	0.07	0.83	0.78	0.05
Commercial Equipment	9.86	453.98	11.13	0.97
Construction and Mining Equipment	9.01	157.82	61.53	4.81
Industrial Equipment	6.23	142.84	30.44	1.29
Lawn and Garden Equipment	40.67	1,100.43	9.94	2.15
Logging Equipment	0.58	6.61	0.95	0.12
Pleasure Craft	100.08	428.87	20.79	5.61
Railroad Equipment	0.07	0.95	0.31	0.04
Recreational Equipment	189.09	615.59	4.30	5.34
Underground Mining Equipment	0.00	0.00	0.00	0.00
<b>Grand Total</b>	<b>358.99</b>	<b>2,940.29</b>	<b>169.24</b>	<b>23.30</b>

**Summary by Equipment Type and Fuel Type**

Sum of Emissions (tpd)		Fuel Type			
Pol	Equipment Type	CNG	Diesel	Gasoline	LPG
VOC	Agricultural Equipment	0.00	2.79	0.53	0.00
	Airport Ground Support Equipment		0.05	0.01	0.00
	Commercial Equipment	0.00	1.02	8.60	0.23
	Construction and Mining Equipment	0.00	5.06	3.90	0.05
	Industrial Equipment	0.02	1.20	0.75	4.27
	Lawn and Garden Equipment		0.33	40.33	0.02
	Logging Equipment		0.07	0.52	
	Pleasure Craft		0.06	100.03	
	Railroad Equipment		0.06	0.01	0.00
	Recreational Equipment		0.06	189.02	0.00
	Underground Mining Equipment			0.00	
	<b>VOC Total</b>		<b>0.02</b>	<b>10.69</b>	<b>343.69</b>
CO	Agricultural Equipment	0.01	14.34	18.03	0.01
	Airport Ground Support Equipment		0.33	0.43	0.07
	Commercial Equipment	1.01	4.22	444.74	4.02
	Construction and Mining Equipment	0.00	28.65	127.75	1.40
	Industrial Equipment	7.29	6.95	28.79	99.81
	Lawn and Garden Equipment		1.34	1,098.59	0.50
	Logging Equipment		0.35	6.25	
	Pleasure Craft		0.25	428.62	
	Railroad Equipment		0.24	0.71	0.00
	Recreational Equipment		0.25	615.27	0.06
	Underground Mining Equipment			0.00	
	<b>CO Total</b>		<b>8.31</b>	<b>56.93</b>	<b>2,769.17</b>

NOX	Agricultural Equipment	0.00	28.80	0.29	0.00
	Airport Ground Support Equipment		0.76	0.01	0.01
	Commercial Equipment	0.28	6.75	3.02	1.07
	Construction and Mining Equipment	0.00	60.14	1.18	0.20
	Industrial Equipment	1.13	13.25	0.70	15.35
	Lawn and Garden Equipment		2.82	7.05	0.07
	Logging Equipment		0.90	0.04	
	Pleasure Craft		1.44	19.35	
	Railroad Equipment		0.30	0.00	0.00
	Recreational Equipment		0.20	4.09	0.01
	Underground Mining Equipment		0.00		
NOX Total		1.42	115.36	35.74	16.72
PM10	Agricultural Equipment	0.00	2.90	0.01	0.00
	Airport Ground Support Equipment		0.05	0.00	0.00
	Commercial Equipment	0.00	0.76	0.20	0.01
	Construction and Mining Equipment	0.00	4.47	0.34	0.00
	Industrial Equipment	0.01	1.08	0.01	0.19
	Lawn and Garden Equipment		0.25	1.91	0.00
	Logging Equipment		0.06	0.05	
	Pleasure Craft		0.03	5.58	
	Railroad Equipment		0.04	0.00	0.00
	Recreational Equipment		0.04	5.30	0.00
	Underground Mining Equipment		0.00		
PM10 Total		0.02	9.68	13.40	0.20

**Michigan 2012 Emissions (tpd)**

**Summary by Equipment Type**

Sum of Emissions (tpd) Equipment Type	Pol			
	VOC	CO	NOX	PM10
Agricultural Equipment	2.81	30.34	26.15	2.43
Airport Ground Support Equipment	0.06	0.71	0.67	0.05
Commercial Equipment	10.03	488.14	10.79	0.92
Construction and Mining Equipment	8.06	149.11	50.67	4.19
Industrial Equipment	3.24	85.00	19.48	1.18
Lawn and Garden Equipment	38.47	1,132.72	9.68	2.17
Logging Equipment	0.60	7.15	0.70	0.11
Pleasure Craft	90.02	415.17	22.07	5.47
Railroad Equipment	0.06	0.94	0.29	0.04
Recreational Equipment	168.46	605.44	5.44	4.91
Underground Mining Equipment	0.00	0.00	0.00	0.00
<b>Grand Total</b>	<b>321.81</b>	<b>2,914.72</b>	<b>145.95</b>	<b>21.47</b>

**Summary by Equipment Type and Fuel Type**

Sum of Emissions (tpd)		Fuel Type			
Pol	Equipment Type	CNG	Diesel	Gasoline	LPG
VOC	Agricultural Equipment	0.00	2.32	0.49	0.00
	Airport Ground Support Equipment		0.05	0.01	0.00
	Commercial Equipment	0.00	0.91	8.93	0.19
	Construction and Mining Equipment	0.00	4.28	3.75	0.03
	Industrial Equipment	0.01	0.98	0.44	1.81
	Lawn and Garden Equipment		0.29	38.17	0.01
	Logging Equipment		0.05	0.55	
	Pleasure Craft		0.05	89.96	
	Railroad Equipment		0.05	0.01	0.00
	Recreational Equipment		0.06	168.39	0.00
	Underground Mining Equipment		0.00		
	<b>VOC Total</b>		<b>0.01</b>	<b>9.05</b>	<b>310.71</b>
CO	Agricultural Equipment	0.00	12.17	18.17	0.01
	Airport Ground Support Equipment		0.30	0.38	0.03
	Commercial Equipment	0.99	4.01	479.65	3.49
	Construction and Mining Equipment	0.00	24.19	124.18	0.74
	Industrial Equipment	4.08	6.52	19.00	55.41
	Lawn and Garden Equipment		1.29	1,131.17	0.25
	Logging Equipment		0.28	6.87	
	Pleasure Craft		0.26	414.92	
	Railroad Equipment		0.21	0.73	0.00
	Recreational Equipment		0.24	605.13	0.06
	Underground Mining Equipment		0.00		
	<b>CO Total</b>		<b>5.07</b>	<b>49.46</b>	<b>2,800.20</b>

NOX	Agricultural Equipment	0.00	25.90	0.25	0.00
	Airport Ground Support Equipment		0.66	0.01	0.00
	Commercial Equipment	0.26	6.59	3.06	0.88
	Construction and Mining Equipment	0.00	49.62	0.93	0.12
	Industrial Equipment	0.54	11.36	0.34	7.24
	Lawn and Garden Equipment		2.82	6.82	0.03
	Logging Equipment		0.65	0.04	
	Pleasure Craft		1.43	20.64	
	Railroad Equipment		0.29	0.00	0.00
	Recreational Equipment		0.20	5.23	0.01
	Underground Mining Equipment		0.00		
NOX Total		0.81	99.52	37.34	8.29
PM10	Agricultural Equipment	0.00	2.43	0.01	0.00
	Airport Ground Support Equipment		0.05	0.00	0.00
	Commercial Equipment	0.01	0.69	0.21	0.01
	Construction and Mining Equipment	0.00	3.84	0.34	0.00
	Industrial Equipment	0.01	0.96	0.01	0.20
	Lawn and Garden Equipment		0.23	1.94	0.00
	Logging Equipment		0.05	0.06	
	Pleasure Craft		0.03	5.45	
	Railroad Equipment		0.04	0.00	0.00
	Recreational Equipment		0.04	4.87	0.00
	Underground Mining Equipment		0.00		
PM10 Total		0.02	8.34	12.89	0.22

**Ohio 2009 Emissions (tpd)**

**Summary by Equipment Type**

Sum of Emissions (tpd) Equipment Type	Pol			
	VOC	CO	NOX	PM10
Agricultural Equipment	4.93	47.88	43.26	4.33
Airport Ground Support Equipment	0.04	0.49	0.48	0.03
Commercial Equipment	12.19	551.06	13.93	1.21
Construction and Mining Equipment	10.04	163.47	75.15	5.69
Industrial Equipment	7.19	170.47	35.07	1.49
Lawn and Garden Equipment	53.79	1,421.88	13.70	3.01
Logging Equipment	0.12	1.41	0.20	0.03
Pleasure Craft	33.38	147.82	12.47	1.84
Railroad Equipment	0.08	1.06	0.35	0.05
Recreational Equipment	54.26	282.79	2.22	1.82
Underground Mining Equipment	0.05	0.22	0.25	0.03
<b>Grand Total</b>	<b>176.09</b>	<b>2,788.56</b>	<b>197.08</b>	<b>19.51</b>

**Summary by Equipment Type and Fuel Type**

Sum of Emissions (tpd)		Fuel Type			
Pol	Equipment Type	CNG	Diesel	Gasoline	LPG
VOC	Agricultural Equipment	0.00	4.15	0.78	0.00
	Airport Ground Support Equipment		0.03	0.01	0.00
	Commercial Equipment	0.00	1.27	10.62	0.29
	Construction and Mining Equipment	0.00	6.02	3.97	0.06
	Industrial Equipment	0.02	1.39	0.95	4.84
	Lawn and Garden Equipment		0.47	53.29	0.03
	Logging Equipment		0.01	0.11	
	Pleasure Craft		0.22	33.16	
	Railroad Equipment		0.06	0.01	0.00
	Recreational Equipment		0.06	54.20	0.00
	Underground Mining Equipment			0.05	
<b>VOC Total</b>		<b>0.03</b>	<b>13.74</b>	<b>157.10</b>	<b>5.22</b>
CO	Agricultural Equipment	0.01	21.33	26.52	0.01
	Airport Ground Support Equipment		0.20	0.25	0.04
	Commercial Equipment	1.26	5.26	539.54	5.00
	Construction and Mining Equipment	0.00	34.36	127.58	1.52
	Industrial Equipment	8.39	8.01	40.93	113.14
	Lawn and Garden Equipment		1.95	1,419.20	0.73
	Logging Equipment		0.08	1.33	
	Pleasure Craft		1.02	146.80	
	Railroad Equipment		0.27	0.79	0.00
	Recreational Equipment		0.23	282.50	0.06
	Underground Mining Equipment			0.22	
<b>CO Total</b>		<b>9.67</b>	<b>72.94</b>	<b>2,585.45</b>	<b>120.50</b>

NOX	Agricultural Equipment	0.00	42.83	0.42	0.00
	Airport Ground Support Equipment		0.46	0.01	0.01
	Commercial Equipment	0.35	8.41	3.83	1.33
	Construction and Mining Equipment	0.00	73.71	1.21	0.22
	Industrial Equipment	1.31	15.50	0.87	17.40
	Lawn and Garden Equipment		4.10	9.49	0.11
	Logging Equipment		0.19	0.01	
	Pleasure Craft		6.12	6.35	
	Railroad Equipment		0.35	0.01	0.00
	Recreational Equipment		0.18	2.03	0.01
	Underground Mining Equipment		0.25		
NOX Total		1.66	152.11	24.23	19.09
PM10	Agricultural Equipment	0.00	4.32	0.01	0.00
	Airport Ground Support Equipment		0.03	0.00	0.00
	Commercial Equipment	0.01	0.95	0.25	0.01
	Construction and Mining Equipment	0.00	5.33	0.35	0.00
	Industrial Equipment	0.02	1.25	0.01	0.22
	Lawn and Garden Equipment		0.36	2.65	0.00
	Logging Equipment		0.01	0.01	
	Pleasure Craft		0.12	1.72	
	Railroad Equipment		0.05	0.00	0.00
	Recreational Equipment		0.04	1.78	0.00
	Underground Mining Equipment		0.03		
PM10 Total		0.02	12.47	6.79	0.23

**Ohio 2012 Emissions (tpd)**

**Summary by Equipment Type**

Sum of Emissions (tpd) Equipment Type	Pol			
	VOC	CO	NOX	PM10
Agricultural Equipment	4.18	44.83	38.89	3.62
Airport Ground Support Equipment	0.03	0.42	0.41	0.03
Commercial Equipment	12.41	592.47	13.51	1.15
Construction and Mining Equipment	9.00	153.94	61.92	4.94
Industrial Equipment	3.80	105.15	22.55	1.36
Lawn and Garden Equipment	51.54	1,469.43	13.40	3.04
Logging Equipment	0.13	1.53	0.15	0.02
Pleasure Craft	29.10	144.26	12.86	1.71
Railroad Equipment	0.07	1.06	0.33	0.04
Recreational Equipment	47.59	291.87	2.38	1.60
Underground Mining Equipment	0.05	0.20	0.24	0.03
<b>Grand Total</b>	<b>157.91</b>	<b>2,805.16</b>	<b>166.65</b>	<b>17.53</b>

**Summary by Equipment Type and Fuel Type**

Sum of Emissions (tpd)		Fuel Type			
Pol	Equipment Type	CNG	Diesel	Gasoline	LPG
VOC	Agricultural Equipment	0.00	3.46	0.72	0.00
	Airport Ground Support Equipment		0.03	0.01	0.00
	Commercial Equipment	0.00	1.13	11.04	0.23
	Construction and Mining Equipment	0.00	5.14	3.82	0.03
	Industrial Equipment	0.01	1.13	0.60	2.06
	Lawn and Garden Equipment		0.43	51.10	0.01
	Logging Equipment		0.01	0.12	
	Pleasure Craft		0.22	28.89	
	Railroad Equipment		0.06	0.01	0.00
	Recreational Equipment		0.05	47.54	0.00
	Underground Mining Equipment			0.05	
	<b>VOC Total</b>		<b>0.01</b>	<b>11.70</b>	<b>143.86</b>
CO	Agricultural Equipment	0.00	18.10	26.72	0.01
	Airport Ground Support Equipment		0.18	0.22	0.02
	Commercial Equipment	1.24	4.99	581.90	4.34
	Construction and Mining Equipment	0.00	28.91	124.22	0.80
	Industrial Equipment	4.71	7.50	30.12	62.81
	Lawn and Garden Equipment		1.88	1,467.19	0.37
	Logging Equipment		0.06	1.47	
	Pleasure Craft		1.06	143.20	
	Railroad Equipment		0.24	0.81	0.00
	Recreational Equipment		0.22	291.60	0.05
	Underground Mining Equipment			0.20	
	<b>CO Total</b>		<b>5.96</b>	<b>63.34</b>	<b>2,667.45</b>

NOX	Agricultural Equipment	0.00	38.51	0.37	0.00
	Airport Ground Support Equipment		0.40	0.00	0.00
	Commercial Equipment	0.33	8.20	3.88	1.09
	Construction and Mining Equipment	0.00	60.83	0.96	0.13
	Industrial Equipment	0.63	13.27	0.44	8.21
	Lawn and Garden Equipment		4.11	9.24	0.05
	Logging Equipment		0.14	0.01	
	Pleasure Craft		6.07	6.79	
	Railroad Equipment		0.33	0.01	0.00
	Recreational Equipment		0.18	2.19	0.01
	Underground Mining Equipment		0.24		
NOX Total		0.96	132.28	23.91	9.49
PM10	Agricultural Equipment	0.00	3.61	0.01	0.00
	Airport Ground Support Equipment		0.03	0.00	0.00
	Commercial Equipment	0.01	0.86	0.27	0.01
	Construction and Mining Equipment	0.00	4.58	0.36	0.00
	Industrial Equipment	0.02	1.10	0.01	0.23
	Lawn and Garden Equipment		0.33	2.72	0.00
	Logging Equipment		0.01	0.01	
	Pleasure Craft		0.10	1.60	
	Railroad Equipment		0.04	0.00	0.00
	Recreational Equipment		0.03	1.56	0.00
	Underground Mining Equipment		0.03		
PM10 Total		0.02	10.72	6.54	0.25

**Wisconsin 2009 Emissions (tpd)**

**Summary by Equipment Type**

Sum of Emissions (tpd) Equipment Type	Pol			
	VOC	CO	NOX	PM10
Agricultural Equipment	4.47	44.70	39.06	3.91
Airport Ground Support Equipment	0.01	0.15	0.15	0.01
Commercial Equipment	5.76	261.22	6.82	0.58
Construction and Mining Equipment	4.15	66.05	31.24	2.37
Industrial Equipment	3.82	87.36	18.55	0.78
Lawn and Garden Equipment	20.30	535.47	5.19	1.06
Logging Equipment	0.55	6.51	0.87	0.11
Pleasure Craft	65.01	225.41	12.16	4.21
Railroad Equipment	0.03	0.49	0.16	0.02
Recreational Equipment	124.44	416.13	2.51	3.43
Underground Mining Equipment	0.00	0.00	0.00	0.00
<b>Grand Total</b>	<b>228.54</b>	<b>1,643.48</b>	<b>116.71</b>	<b>16.48</b>

**Summary by Equipment Type and Fuel Type**

Sum of Emissions (tpd)		Fuel Type			
Pol	Equipment Type	CNG	Diesel	Gasoline	LPG
VOC	Agricultural Equipment	0.00	3.75	0.72	0.00
	Airport Ground Support Equipment		0.01	0.00	0.00
	Commercial Equipment	0.00	0.61	5.00	0.14
	Construction and Mining Equipment	0.00	2.50	1.62	0.02
	Industrial Equipment	0.01	0.71	0.45	2.65
	Lawn and Garden Equipment		0.14	20.15	0.01
	Logging Equipment		0.06	0.48	
	Pleasure Craft		0.20	64.81	
	Railroad Equipment		0.03	0.01	0.00
	Recreational Equipment		0.06	124.38	0.00
	Underground Mining Equipment		0.00		
	<b>VOC Total</b>		<b>0.01</b>	<b>8.08</b>	<b>217.62</b>
CO	Agricultural Equipment	0.01	19.27	25.41	0.01
	Airport Ground Support Equipment		0.06	0.07	0.01
	Commercial Equipment	0.61	2.53	255.67	2.41
	Construction and Mining Equipment	0.00	14.31	51.16	0.58
	Industrial Equipment	4.52	4.13	16.72	61.99
	Lawn and Garden Equipment		0.59	534.65	0.22
	Logging Equipment		0.33	6.18	
	Pleasure Craft		0.91	224.50	
	Railroad Equipment		0.13	0.36	0.00
	Recreational Equipment		0.22	415.85	0.06
	Underground Mining Equipment		0.00		
	<b>CO Total</b>		<b>5.14</b>	<b>42.48</b>	<b>1,530.57</b>

NOX	Agricultural Equipment	0.00	38.69	0.36	0.00
	Airport Ground Support Equipment		0.14	0.00	0.00
	Commercial Equipment	0.17	4.05	1.96	0.64
	Construction and Mining Equipment	0.00	30.67	0.48	0.08
	Industrial Equipment	0.70	7.85	0.46	9.54
	Lawn and Garden Equipment		1.25	3.92	0.03
	Logging Equipment		0.84	0.04	
	Pleasure Craft		5.40	6.75	
	Railroad Equipment		0.16	0.00	0.00
	Recreational Equipment		0.17	2.33	0.01
	Underground Mining Equipment		0.00		
NOX Total		0.87	89.23	16.30	10.31
PM10	Agricultural Equipment	0.00	3.90	0.01	0.00
	Airport Ground Support Equipment		0.01	0.00	0.00
	Commercial Equipment	0.00	0.46	0.12	0.00
	Construction and Mining Equipment	0.00	2.22	0.15	0.00
	Industrial Equipment	0.01	0.64	0.01	0.12
	Lawn and Garden Equipment		0.11	0.95	0.00
	Logging Equipment		0.06	0.05	
	Pleasure Craft		0.10	4.11	
	Railroad Equipment		0.02	0.00	0.00
	Recreational Equipment		0.03	3.40	0.00
	Underground Mining Equipment		0.00		
PM10 Total		0.01	7.55	8.79	0.13

**Wisconsin 2012 Emissions (tpd)**

**Summary by Equipment Type**

Sum of Emissions (tpd) Equipment Type	Pol			
	VOC	CO	NOX	PM10
Agricultural Equipment	3.79	41.96	35.11	3.27
Airport Ground Support Equipment	0.01	0.12	0.13	0.01
Commercial Equipment	5.85	280.83	6.62	0.55
Construction and Mining Equipment	3.73	62.44	25.81	2.07
Industrial Equipment	1.97	51.63	11.77	0.71
Lawn and Garden Equipment	19.43	561.30	5.11	1.08
Logging Equipment	0.56	7.05	0.64	0.10
Pleasure Craft	58.27	224.19	12.65	4.14
Railroad Equipment	0.03	0.49	0.15	0.02
Recreational Equipment	111.99	409.21	3.22	3.20
Underground Mining Equipment	0.00	0.00	0.00	0.00
<b>Grand Total</b>	<b>205.63</b>	<b>1,639.22</b>	<b>101.21</b>	<b>15.16</b>

**Summary by Equipment Type and Fuel Type**

Sum of Emissions (tpd)		Fuel Type			
Pol	Equipment Type	CNG	Diesel	Gasoline	LPG
VOC	Agricultural Equipment	0.00	3.12	0.67	0.00
	Airport Ground Support Equipment		0.01	0.00	0.00
	Commercial Equipment	0.00	0.55	5.19	0.11
	Construction and Mining Equipment	0.00	2.14	1.57	0.01
	Industrial Equipment	0.01	0.58	0.26	1.13
	Lawn and Garden Equipment		0.13	19.29	0.00
	Logging Equipment		0.05	0.51	
	Pleasure Craft		0.19	58.07	
	Railroad Equipment		0.03	0.01	0.00
	Recreational Equipment		0.05	111.94	0.00
	Underground Mining Equipment			0.00	
	<b>VOC Total</b>		<b>0.01</b>	<b>6.85</b>	<b>197.52</b>
CO	Agricultural Equipment	0.00	16.35	25.61	0.01
	Airport Ground Support Equipment		0.06	0.06	0.01
	Commercial Equipment	0.60	2.40	275.74	2.09
	Construction and Mining Equipment	0.00	12.08	50.05	0.31
	Industrial Equipment	2.53	3.85	10.84	34.41
	Lawn and Garden Equipment		0.57	560.61	0.11
	Logging Equipment		0.26	6.79	
	Pleasure Craft		0.94	223.24	
	Railroad Equipment		0.11	0.37	0.00
	Recreational Equipment		0.21	408.94	0.05
	Underground Mining Equipment			0.00	
	<b>CO Total</b>		<b>3.12</b>	<b>36.83</b>	<b>1,562.26</b>

NOX	Agricultural Equipment	0.00	34.79	0.32	0.00
	Airport Ground Support Equipment		0.12	0.00	0.00
	Commercial Equipment	0.16	3.95	1.98	0.53
	Construction and Mining Equipment	0.00	25.38	0.39	0.05
	Industrial Equipment	0.34	6.71	0.22	4.50
	Lawn and Garden Equipment		1.25	3.85	0.02
	Logging Equipment		0.60	0.04	
	Pleasure Craft		5.36	7.29	
	Railroad Equipment		0.15	0.00	0.00
	Recreational Equipment		0.17	3.03	0.01
	Underground Mining Equipment		0.00		
NOX Total		0.49	78.49	17.12	5.10
PM10	Agricultural Equipment	0.00	3.26	0.01	0.00
	Airport Ground Support Equipment		0.01	0.00	0.00
	Commercial Equipment	0.00	0.42	0.13	0.01
	Construction and Mining Equipment	0.00	1.91	0.15	0.00
	Industrial Equipment	0.01	0.57	0.01	0.13
	Lawn and Garden Equipment		0.10	0.98	0.00
	Logging Equipment		0.05	0.05	
	Pleasure Craft		0.09	4.05	
	Railroad Equipment		0.02	0.00	0.00
	Recreational Equipment		0.03	3.17	0.00
	Underground Mining Equipment		0.00		
PM10 Total		0.01	6.46	8.55	0.13

**2009 Locomotive Emissions for LADCO States**  
**2009 Annual Locomotive Emissions (TPD)**

Type	SCC	NOX	LADCO		
			PM10-PRI	PM25-PRI	ROG
Line Haul Locomotives: Class I Operations	2285002006	240.5	8.3	7.5	15.6
Line Haul Locomotives: Class II / III Operations	2285002007	16.6	0.4	0.3	0.7
Line Haul Locomotives: Passenger Trains (Amtrak)	2285002008	5.3	0.2	0.1	0.3
Line Haul Locomotives: Commuter Lines	2285002009	13.3	0.4	0.3	0.7
Yard Locomotives	2285002010	59.0	1.4	1.3	4.0
Totals		334.7	10.6	9.6	21.2

Vessel Type	SCC	NOX	IL		
			PM10-PRI	PM25-PRI	ROG
Line Haul Locomotives: Class I Operations	2285002006	93.0	3.2	2.9	6.0
Line Haul Locomotives: Class II / III Operations	2285002007	5.0	0.1	0.1	0.2
Line Haul Locomotives: Passenger Trains (Amtrak)	2285002008	1.9	0.1	0.1	0.1
Line Haul Locomotives: Commuter Lines	2285002009	13.2	0.4	0.3	0.7
Yard Locomotives	2285002010	18.9	0.5	0.4	1.3
Totals		132.0	4.2	3.8	8.2

Vessel Type	SCC	NOX	IN		
			PM10-PRI	PM25-PRI	ROG
Line Haul Locomotives: Class I Operations	2285002006	45.2	1.6	1.4	2.9
Line Haul Locomotives: Class II / III Operations	2285002007	1.9	0.0	0.0	0.1
Line Haul Locomotives: Passenger Trains (Amtrak)	2285002008	0.9	0.0	0.0	0.1
Line Haul Locomotives: Commuter Lines	2285002009	0.0	0.0	0.0	0.0
Yard Locomotives	2285002010	15.2	0.4	0.3	1.0
Totals		63.2	2.0	1.8	4.0

Vessel Type	SCC	NOX	MI		
			PM10-PRI	PM25-PRI	ROG
Line Haul Locomotives: Class I Operations	2285002006	8.4	0.3	0.3	0.5
Line Haul Locomotives: Class II / III Operations	2285002007	3.6	0.1	0.1	0.1
Line Haul Locomotives: Passenger Trains (Amtrak)	2285002008	1.1	0.0	0.0	0.1
Line Haul Locomotives: Commuter Lines	2285002009	0.0	0.0	0.0	0.0
Yard Locomotives	2285002010	4.4	0.1	0.1	0.3
Totals		17.5	0.5	0.5	1.0

Vessel Type	SCC	NOX	OH		
			PM10-PRI	PM25-PRI	ROG
Line Haul Locomotives: Class I Operations	2285002006	64.5	2.2	2.0	4.2
Line Haul Locomotives: Class II / III Operations	2285002007	5.1	0.1	0.1	0.2
Line Haul Locomotives: Passenger Trains (Amtrak)	2285002008	0.8	0.0	0.0	0.0
Line Haul Locomotives: Commuter Lines	2285002009	0.0	0.0	0.0	0.0
Yard Locomotives	2285002010	15.0	0.4	0.3	1.0
Totals		85.4	2.7	2.5	5.4

Vessel Type	SCC	NOX	WI		
			PM10-PRI	PM25-PRI	ROG
Line Haul Locomotives: Class I Operations	2285002006	29.4	1.0	0.9	2.0
Line Haul Locomotives: Class II / III Operations	2285002007	1.0	0.0	0.0	0.1
Line Haul Locomotives: Passenger Trains (Amtrak)	2285002008	0.6	0.0	0.0	0.0
Line Haul Locomotives: Commuter Lines	2285002009	0.1	0.0	0.0	0.0
Yard Locomotives	2285002010	5.5	0.1	0.1	0.4
Totals		36.6	1.2	1.1	2.5

**2012 Locomotive Emissions for LADCO States**  
**2012 Annual Locomotive Emissions (TPD)**

Vessel Type	SCC	LADCO			
		NOX	PM10-PRI	PM25-PRI	ROG
Line Haul Locomotives: Class I Operations	2285002006	238.3	7.9	7.1	15.2
Line Haul Locomotives: Class II / III Operations	2285002007	16.5	0.4	0.3	0.7
Line Haul Locomotives: Passenger Trains (Amtrak)	2285002008	4.5	0.1	0.1	0.3
Line Haul Locomotives: Commuter Lines	2285002009	11.3	0.4	0.3	0.7
Yard Locomotives	2285002010	56.1	1.4	1.3	4.0
Totals		326.7	10.1	9.1	20.8

Vessel Type	SCC	IL			
		NOX	PM10-PRI	PM25-PRI	ROG
Line Haul Locomotives: Class I Operations	2285002006	92.1	3.1	2.7	5.9
Line Haul Locomotives: Class II / III Operations	2285002007	5.0	0.1	0.1	0.2
Line Haul Locomotives: Passenger Trains (Amtrak)	2285002008	1.6	0.1	0.1	0.1
Line Haul Locomotives: Commuter Lines	2285002009	11.3	0.4	0.3	0.7
Yard Locomotives	2285002010	18.0	0.4	0.4	1.3
Totals		128.0	4.0	3.6	8.1

Vessel Type	SCC	IN			
		NOX	PM10-PRI	PM25-PRI	ROG
Line Haul Locomotives: Class I Operations	2285002006	44.8	1.5	1.3	2.8
Line Haul Locomotives: Class II / III Operations	2285002007	1.9	0.0	0.0	0.1
Line Haul Locomotives: Passenger Trains (Amtrak)	2285002008	0.8	0.0	0.0	0.1
Line Haul Locomotives: Commuter Lines	2285002009	0.0	0.0	0.0	0.0
Yard Locomotives	2285002010	14.4	0.4	0.3	1.0
Totals		61.9	1.9	1.7	4.0

Vessel Type	SCC	MI			
		NOX	PM10-PRI	PM25-PRI	ROG
Line Haul Locomotives: Class I Operations	2285002006	8.3	0.3	0.3	0.5
Line Haul Locomotives: Class II / III Operations	2285002007	3.5	0.1	0.1	0.2
Line Haul Locomotives: Passenger Trains (Amtrak)	2285002008	1.0	0.0	0.0	0.1
Line Haul Locomotives: Commuter Lines	2285002009	0.0	0.0	0.0	0.0
Yard Locomotives	2285002010	4.2	0.1	0.1	0.3
Totals		16.9	0.5	0.4	1.0

Vessel Type	SCC	OH			
		NOX	PM10-PRI	PM25-PRI	ROG
Line Haul Locomotives: Class I Operations	2285002006	63.7	2.1	1.9	4.0
Line Haul Locomotives: Class II / III Operations	2285002007	5.1	0.1	0.1	0.2
Line Haul Locomotives: Passenger Trains (Amtrak)	2285002008	0.6	0.0	0.0	0.0
Line Haul Locomotives: Commuter Lines	2285002009	0.0	0.0	0.0	0.0
Yard Locomotives	2285002010	14.2	0.4	0.3	1.0
Totals		83.7	2.6	2.3	5.3

Vessel Type	SCC	WI			
		NOX	PM10-PRI	PM25-PRI	ROG
Line Haul Locomotives: Class I Operations	2285002006	29.3	1.0	0.9	2.0
Line Haul Locomotives: Class II / III Operations	2285002007	1.0	0.0	0.0	0.1
Line Haul Locomotives: Passenger Trains (Amtrak)	2285002008	0.5	0.0	0.0	0.0
Line Haul Locomotives: Commuter Lines	2285002009	0.1	0.0	0.0	0.0
Yard Locomotives	2285002010	5.3	0.1	0.1	0.4
Totals		36.2	1.1	1.0	2.4

## 2009 Commercial Marine Vessel Emissions for LADCO States

### 2009 Annual Commercial Marine Emissions (TPD)

Vessel Type	Fuel	SCC	NOX	LADCO		ROG
				PM10- PRI	PM25- PRI	
Tugs	Diesel	2280002021	28.0	0.6	0.6	0.6
Ferries	Diesel	2280002022	2.9	0.1	0.1	0.1
Push Boats	Diesel	2280002023	91.7	2.2	1.9	2.2
Excursion	Diesel	2280002024	0.9	0.0	0.0	0.0
Dredge	Diesel	2280002025	0.1	0.0	0.0	0.0
Support Vessels	Diesel	2280002029	0.1	0.0	0.0	0.0
Fishing Vessels	Diesel	2280002030	0.0	0.0	0.0	0.0
Military Vessels	Diesel	2280002040	0.4	0.0	0.0	0.0
Port emissions	Residual	2280003100	22.4	1.4	1.3	0.7
Underway emissions	Residual	2280003200	94.9	7.7	7.0	2.2
Fishing Vessels	Gasoline	2280004030	0.0	0.0	0.0	0.0
Military Vessels	Gasoline	2280004040	0.0	0.0	0.0	0.5
		Totals	241.4	12.1	10.9	6.3

Vessel Type	Fuel	SCC	NOX	IL		ROG
				PM10- PRI	PM25- PRI	
Tugs	Diesel	2280002021	10.5	0.2	0.2	0.2
Ferries	Diesel	2280002022	0.1	0.0	0.0	0.0
Push Boats	Diesel	2280002023	54.6	1.3	1.2	1.3
Excursion	Diesel	2280002024	0.3	0.0	0.0	0.0
Dredge	Diesel	2280002025	0.0	0.0	0.0	0.0
Support Vessels	Diesel	2280002029	0.0	0.0	0.0	0.0
Fishing Vessels	Diesel	2280002030	0.0	0.0	0.0	0.0
Military Vessels	Diesel	2280002040	0.0	0.0	0.0	0.0
Port emissions	Residual	2280003100	0.8	0.1	0.1	0.0
Underway emissions	Residual	2280003200	2.6	0.2	0.2	0.1
Fishing Vessels	Gasoline	2280004030	0.0	0.0	0.0	0.0
Military Vessels	Gasoline	2280004040	0.0	0.0	0.0	0.0
		Totals	68.9	1.8	1.6	1.6

Vessel Type	Fuel	SCC	NOX	IN		ROG
				PM10- PRI	PM25- PRI	
Tugs	Diesel	2280002021	7.2	0.2	0.2	0.2
Ferries	Diesel	2280002022	0.0	0.0	0.0	0.0
Push Boats	Diesel	2280002023	14.4	0.3	0.3	0.3
Excursion	Diesel	2280002024	0.2	0.0	0.0	0.0
Dredge	Diesel	2280002025	0.0	0.0	0.0	0.0
Support Vessels	Diesel	2280002029	0.0	0.0	0.0	0.0
Fishing Vessels	Diesel	2280002030	0.0	0.0	0.0	0.0
Military Vessels	Diesel	2280002040	0.0	0.0	0.0	0.0
Port emissions	Residual	2280003100	2.0	0.1	0.1	0.1
Underway emissions	Residual	2280003200	0.0	0.0	0.0	0.0
Fishing Vessels	Gasoline	2280004030	0.0	0.0	0.0	0.0
Military Vessels	Gasoline	2280004040	0.0	0.0	0.0	0.0
		Totals	23.8	0.6	0.6	0.6

Vessel Type	Fuel	SCC	NOX	MI		
				PM10- PRI	PM25- PRI	ROG
Tugs	Diesel	2280002021	5.3	0.1	0.1	0.1
Ferries	Diesel	2280002022	1.7	0.1	0.1	0.0
Push Boats	Diesel	2280002023	2.5	0.1	0.1	0.1
Excursion	Diesel	2280002024	0.2	0.0	0.0	0.0
Dredge	Diesel	2280002025	0.1	0.0	0.0	0.0
Support Vessels	Diesel	2280002029	0.0	0.0	0.0	0.0
Fishing Vessels	Diesel	2280002030	0.0	0.0	0.0	0.0
Military Vessels	Diesel	2280002040	0.3	0.0	0.0	0.0
Port emissions	Residual	2280003100	8.5	0.6	0.5	0.2
Underway emissions	Residual	2280003200	69.5	5.7	5.1	1.6
Fishing Vessels	Gasoline	2280004030	0.0	0.0	0.0	0.0
Military Vessels	Gasoline	2280004040	0.0	0.0	0.0	0.4
		Totals	88.2	6.5	5.9	2.5

Vessel Type	Fuel	SCC	NOX	OH		
				PM10- PRI	PM25- PRI	ROG
Tugs	Diesel	2280002021	3.8	0.1	0.1	0.1
Ferries	Diesel	2280002022	0.6	0.0	0.0	0.0
Push Boats	Diesel	2280002023	16.4	0.4	0.4	0.4
Excursion	Diesel	2280002024	0.0	0.0	0.0	0.0
Dredge	Diesel	2280002025	0.0	0.0	0.0	0.0
Support Vessels	Diesel	2280002029	0.0	0.0	0.0	0.0
Fishing Vessels	Diesel	2280002030	0.0	0.0	0.0	0.0
Military Vessels	Diesel	2280002040	0.0	0.0	0.0	0.0
Port emissions	Residual	2280003100	8.3	0.5	0.5	0.3
Underway emissions	Residual	2280003200	10.8	0.9	0.8	0.3
Fishing Vessels	Gasoline	2280004030	0.0	0.0	0.0	0.0
Military Vessels	Gasoline	2280004040	0.0	0.0	0.0	0.0
		Totals	40.0	1.9	1.7	1.1

Vessel Type	Fuel	SCC	NOX	WI		
				PM10- PRI	PM25- PRI	ROG
Tugs	Diesel	2280002021	1.1	0.0	0.0	0.0
Ferries	Diesel	2280002022	0.5	0.0	0.0	0.0
Push Boats	Diesel	2280002023	3.8	0.1	0.1	0.1
Excursion	Diesel	2280002024	0.3	0.0	0.0	0.0
Dredge	Diesel	2280002025	0.0	0.0	0.0	0.0
Support Vessels	Diesel	2280002029	0.0	0.0	0.0	0.0
Fishing Vessels	Diesel	2280002030	0.0	0.0	0.0	0.0
Military Vessels	Diesel	2280002040	0.1	0.0	0.0	0.0
Port emissions	Residual	2280003100	2.8	0.2	0.2	0.1
Underway emissions	Residual	2280003200	12.0	1.0	0.9	0.3
Fishing Vessels	Gasoline	2280004030	0.0	0.0	0.0	0.0
Military Vessels	Gasoline	2280004040	0.0	0.0	0.0	0.1
		Totals	20.5	1.3	1.1	0.6

**2012 Commercial Marine Vessel Emissions for LADCO States**  
**2012 Annual Commercial Marine Emissions (TPD)**

Vessel Type	Fuel	SCC	NOX	LADCO		ROG
				PM10- PRI	PM25- PRI	
Tugs	Diesel	2280002021	27.2	0.6	0.6	0.7
Ferries	Diesel	2280002022	2.7	0.1	0.1	0.1
Push Boats	Diesel	2280002023	88.9	2.2	2.0	2.2
Excursion	Diesel	2280002024	0.9	0.0	0.0	0.0
Dredge	Diesel	2280002025	0.1	0.0	0.0	0.0
Support Vessels	Diesel	2280002029	0.1	0.0	0.0	0.0
Fishing Vessels	Diesel	2280002030	0.0	0.0	0.0	0.0
Military Vessels	Diesel	2280002040	0.4	0.0	0.0	0.0
Port emissions	Residual	2280003100	22.4	1.4	1.3	0.7
Underway emissions	Residual	2280003200	94.9	7.7	7.0	2.2
Fishing Vessels	Gasoline	2280004030	0.0	0.0	0.0	0.1
Military Vessels	Gasoline	2280004040	0.0	0.0	0.0	0.5
		Totals	237.7	12.1	10.9	6.4

Vessel Type	Fuel	SCC	NOX	IL		ROG
				PM10- PRI	PM25- PRI	
Tugs	Diesel	2280002021	10.2	0.2	0.2	0.2
Ferries	Diesel	2280002022	0.1	0.0	0.0	0.0
Push Boats	Diesel	2280002023	52.9	1.3	1.2	1.3
Excursion	Diesel	2280002024	0.3	0.0	0.0	0.0
Dredge	Diesel	2280002025	0.0	0.0	0.0	0.0
Support Vessels	Diesel	2280002029	0.0	0.0	0.0	0.0
Fishing Vessels	Diesel	2280002030	0.0	0.0	0.0	0.0
Military Vessels	Diesel	2280002040	0.0	0.0	0.0	0.0
Port emissions	Residual	2280003100	0.8	0.1	0.1	0.0
Underway emissions	Residual	2280003200	2.6	0.2	0.2	0.1
Fishing Vessels	Gasoline	2280004030	0.0	0.0	0.0	0.0
Military Vessels	Gasoline	2280004040	0.0	0.0	0.0	0.0
		Totals	66.9	1.8	1.6	1.6

Vessel Type	Fuel	SCC	NOX	IN		ROG
				PM10- PRI	PM25- PRI	
Tugs	Diesel	2280002021	7.0	0.2	0.2	0.2
Ferries	Diesel	2280002022	0.0	0.0	0.0	0.0
Push Boats	Diesel	2280002023	14.0	0.3	0.3	0.4
Excursion	Diesel	2280002024	0.1	0.0	0.0	0.0
Dredge	Diesel	2280002025	0.0	0.0	0.0	0.0
Support Vessels	Diesel	2280002029	0.0	0.0	0.0	0.0
Fishing Vessels	Diesel	2280002030	0.0	0.0	0.0	0.0
Military Vessels	Diesel	2280002040	0.0	0.0	0.0	0.0
Port emissions	Residual	2280003100	2.0	0.1	0.1	0.1
Underway emissions	Residual	2280003200	0.0	0.0	0.0	0.0
Fishing Vessels	Gasoline	2280004030	0.0	0.0	0.0	0.0
Military Vessels	Gasoline	2280004040	0.0	0.0	0.0	0.0
		Totals	23.2	0.6	0.6	0.6

Vessel Type	Fuel	SCC	NOX	MI			ROG
				PM10- PRI	PM25- PRI		
Tugs	Diesel	2280002021	5.2	0.1	0.1	0.1	
Ferries	Diesel	2280002022	1.6	0.1	0.1	0.0	
Push Boats	Diesel	2280002023	2.4	0.1	0.1	0.1	
Excursion	Diesel	2280002024	0.2	0.0	0.0	0.0	
Dredge	Diesel	2280002025	0.1	0.0	0.0	0.0	
Support Vessels	Diesel	2280002029	0.0	0.0	0.0	0.0	
Fishing Vessels	Diesel	2280002030	0.0	0.0	0.0	0.0	
Military Vessels	Diesel	2280002040	0.3	0.0	0.0	0.0	
Port emissions	Residual	2280003100	8.5	0.6	0.5	0.2	
Underway emissions	Residual	2280003200	69.5	5.7	5.1	1.6	
Fishing Vessels	Gasoline	2280004030	0.0	0.0	0.0	0.1	
Military Vessels	Gasoline	2280004040	0.0	0.0	0.0	0.4	
		Totals	87.9	6.5	5.8	2.5	

Vessel Type	Fuel	SCC	NOX	OH			ROG
				PM10- PRI	PM25- PRI		
Tugs	Diesel	2280002021	3.7	0.1	0.1	0.1	
Ferries	Diesel	2280002022	0.6	0.0	0.0	0.0	
Push Boats	Diesel	2280002023	15.9	0.4	0.4	0.4	
Excursion	Diesel	2280002024	0.0	0.0	0.0	0.0	
Dredge	Diesel	2280002025	0.0	0.0	0.0	0.0	
Support Vessels	Diesel	2280002029	0.0	0.0	0.0	0.0	
Fishing Vessels	Diesel	2280002030	0.0	0.0	0.0	0.0	
Military Vessels	Diesel	2280002040	0.0	0.0	0.0	0.0	
Port emissions	Residual	2280003100	8.3	0.5	0.5	0.3	
Underway emissions	Residual	2280003200	10.8	0.9	0.8	0.3	
Fishing Vessels	Gasoline	2280004030	0.0	0.0	0.0	0.0	
Military Vessels	Gasoline	2280004040	0.0	0.0	0.0	0.0	
		Totals	39.4	1.9	1.7	1.1	

Vessel Type	Fuel	SCC	NOX	WI			ROG
				PM10- PRI	PM25- PRI		
Tugs	Diesel	2280002021	1.1	0.0	0.0	0.0	
Ferries	Diesel	2280002022	0.5	0.0	0.0	0.0	
Push Boats	Diesel	2280002023	3.7	0.1	0.1	0.1	
Excursion	Diesel	2280002024	0.3	0.0	0.0	0.0	
Dredge	Diesel	2280002025	0.0	0.0	0.0	0.0	
Support Vessels	Diesel	2280002029	0.0	0.0	0.0	0.0	
Fishing Vessels	Diesel	2280002030	0.0	0.0	0.0	0.0	
Military Vessels	Diesel	2280002040	0.1	0.0	0.0	0.0	
Port emissions	Residual	2280003100	2.8	0.2	0.2	0.1	
Underway emissions	Residual	2280003200	12.0	1.0	0.9	0.3	
Fishing Vessels	Gasoline	2280004030	0.0	0.0	0.0	0.0	
Military Vessels	Gasoline	2280004040	0.0	0.0	0.0	0.1	
		Totals	20.3	1.3	1.1	0.6	

## 2009 and 2012 Emissions Reductions Scenarios

One Emission Reduction Scenario Example for On-road HDDVs - 2009 ILLINOIS

One Preliminary Scenario for On Road HDDV Strategies for ILLINOIS (2009 Analysis)

Technology	Project Cost-Effectiveness (\$/ton)	Estimated NOx Reductions per Vehicle (tons/year)	Estimated PM Reductions per Vehicle (tons/year)	Estimated THC Reductions per Vehicle (tons/year)	Cost per Unit (\$)	Number of Units Available	Units Recommended	Total Cost	Total NOx Reduction (tons/day)	Total PM Reduction (tons/day)	Total THC Reduction (tons/day)
<b>Measure 31: Fleet Modernization (Incentive/Voluntary Program)</b>											
<b>Diesel Engine/Vehicle Upgrades (MY 1990 Engine 6 g NOx)</b>											
MY 1989 and Earlier	\$26,154	0.18	0.000	0.001	\$25,000	15,606	-	\$0	0.00	0.00	0.00
Sub Total									0.00	0.00	0.00
<b>Diesel Engine/Vehicle Upgrades (MY 2001/2 Engine 4 g NOx)</b>											
MY 1989 and Earlier	\$8,783	0.26	0.02	0.00	\$40,000	15,606	468	\$18,726,778	0.34	0.02	0.00
MY 1990	\$8,551	0.12	0.03	0.00	\$40,000	2,219	67	\$2,663,094	0.02	0.00	0.00
MY 1991 - 1997	\$25,529	0.09	0.01	0.00	\$40,000	20,429	-	\$0	0.00	0.00	0.00
Sub Total							535	21,389,872	0.36	0.03	0.00
<b>Diesel Engine/Vehicle Upgrades (MY 2002/4 Engine 2.4 g NOx)</b>											
MY 1989 and Earlier	\$8,657	0.32	0.02	0.03	\$45,000	15,606	468	\$21,067,625	0.41	0.02	0.04
MY 1990	\$8,015	0.20	0.03	0.04	\$45,000	2,219	67	\$2,995,980	0.04	0.00	0.01
MY 1991 - 1997	\$14,569	0.22	0.01	0.07	\$45,000	20,429	-	\$0	0.00	0.00	0.00
MY 1998 - 2001	\$17,472	0.22	0.00	0.11	\$45,000	16,761	-	\$0	0.00	0.00	0.00
Sub Total							535	24,063,606	0.45	0.03	0.05
<b>Diesel Engine/Vehicle Upgrades (MY 2007 Engine 0.2 g NOx)</b>											
MY 1998 - 2001	\$8,611	0.53	0.01	0.16	\$60,000	16,761	503	\$30,169,569	0.74	0.02	0.22
MY 2002 - 2006	\$8,587	0.49	0.02	0.08	\$60,000	28,354	851	\$1,026,551	1.15	0.05	0.19
Sub Total							1,354	31,196,120	1.89	0.07	0.41
<b>Measure 46: Aftertreatment Device Retrofits (Incentive/Voluntary Program)</b>											
<b>DPF</b>											
MY 1989 and Earlier	\$2,954	0.00	0.02	0.04	\$9,000	15,606	780	\$7,022,542	0.00	0.04	0.08
MY 1990	\$2,089	0.00	0.03	0.06	\$9,000	2,219	111	\$998,660	0.00	0.01	0.02
MY 1991 - 1997	\$3,534	0.00	0.01	0.09	\$9,000	20,429	1,021	\$9,193,146	0.00	0.04	0.25
MY 1998 - 2001	\$3,028	0.00	0.01	0.15	\$9,000	16,761	838	\$7,542,392	0.00	0.03	0.34
MY 2002 - 2006	\$2,601	0.00	0.02	0.09	\$9,000	28,354	1,418	\$12,759,138	0.00	0.07	0.35
Sub Total							4,168	\$37,515,878	0.00	0.19	1.03
<b>Cleaire LNC+DPF</b>											
MY 1989 and Earlier	\$5,536	0.10	0.03	0.04	\$ 20,000	15,606	624	\$12,484,519	0.17	0.03	0.06
MY 1990	\$4,281	0.08	0.03	0.05	\$ 20,000	2,219	89	\$1,775,396	0.03	0.01	0.01
MY 1991 - 1997	\$6,645	0.11	0.01	0.08	\$ 20,000	20,429	817	\$16,343,370	0.24	0.03	0.18
MY 1998 - 2001	\$5,816	0.14	0.01	0.13	\$ 20,000	16,761	670	\$13,408,697	0.26	0.02	0.24
MY 2002 - 2006	\$5,487	0.13	0.02	0.08	\$ 20,000	28,354	1,134	\$22,682,911	0.42	0.06	0.25
Sub Total							3,335	66,694,893	1.10	0.15	0.75
<b>EGR+DPF Retrofit</b>											
MY 1989 and Earlier	\$5,548	0.16	0.02	0.04	\$ 23,000	15,606	624	\$14,357,197	0.28	0.03	0.06
MY 1990	\$4,415	0.13	0.03	0.05	\$ 23,000	2,219	89	\$2,041,705	0.03	0.01	0.01
MY 1991 - 1997	\$6,281	0.17	0.01	0.06	\$ 23,000	20,429	817	\$18,794,876	0.38	0.03	0.18
MY 1998 - 2001	\$3,192	0.22	0.01	0.13	\$ 23,000	16,761	670	\$15,420,002	0.41	0.02	0.24
MY 2002 - 2006	\$4,710	0.21	0.02	0.08	\$ 23,000	28,354	1,134	\$26,085,348	0.67	0.06	0.25
Sub Total							3,335	76,699,127	1.76	0.15	0.75
<b>SCR Retrofit</b>											
MY 1989 and Earlier	\$12,002	0.32	0.00	0.00	\$ 26,500	15,606	312	\$8,270,994	0.28	0.00	0.00
MY 1990	\$15,139	0.26	0.00	0.00	\$ 26,500	2,219	-	\$0	0.00	0.00	0.00
MY 1991 - 1997	\$11,810	0.34	0.00	0.00	\$ 26,500	20,429	409	\$10,827,463	0.38	0.00	0.00
MY 1998 - 2001	\$9,224	0.45	0.00	0.00	\$ 26,500	16,761	503	\$13,324,893	0.63	0.00	0.00
MY 2002 - 2006	\$10,173	0.43	0.00	0.00	\$ 26,500	28,354	507	\$15,027,429	0.67	0.00	0.00
Sub Total							1,791	47,480,798	1.94	0.00	0.00
<b>DOC Retrofit</b>											
MY 1989 and Earlier	\$1,314	0.00	0.01	0.03	\$2,000	15,606	780	\$1,560,565	0.00	0.02	0.06
MY 1990	\$920	0.00	0.01	0.04	\$2,000	2,219	111	\$221,924	0.00	0.00	0.01
MY 1991 - 1997	\$1,431	0.00	0.01	0.06	\$2,000	20,429	1,021	\$2,042,921	0.00	0.02	0.18
MY 1998 - 2001	\$1,156	0.00	0.01	0.11	\$2,000	16,761	838	\$1,676,087	0.00	0.01	0.24
MY 2002 - 2006	\$1,093	0.00	0.01	0.06	\$2,000	28,354	1,418	\$2,835,364	0.00	0.03	0.25
Sub Total							4,168	8,336,862	0.00	0.09	0.75
<b>FTF Retrofit</b>											
MY 1989 and Earlier	\$4,650	0.00	0.01	0.04	\$9,000	15,606	624	\$5,618,033	0.00	0.02	0.07
MY 1990	\$3,257	0.00	0.02	0.06	\$9,000	2,219	111	\$998,660	0.00	0.00	0.02
MY 1991 - 1997	\$4,945	0.00	0.01	0.09	\$9,000	20,429	817	\$7,354,517	0.00	0.02	0.20
MY 1998 - 2001	\$3,941	0.00	0.01	0.15	\$9,000	16,761	838	\$7,542,392	0.00	0.02	0.34
MY 2002 - 2006	\$3,809	0.00	0.01	0.09	\$9,000	28,354	1,418	\$12,759,138	0.00	0.04	0.35
Sub Total							3,888	34,272,740	0.00	0.10	0.96
<b>DOC+SCR Retrofit</b>											
MY 1989 and Earlier	\$8,517	0.32	0.01	0.03	\$27,500	15,606	468	\$12,874,660	0.41	0.01	0.04
MY 1990	\$8,611	0.26	0.01	0.04	\$27,500	2,219	67	\$1,830,877	0.05	0.00	0.01
MY 1991 - 1997	\$8,404	0.34	0.00	0.06	\$27,500	20,429	613	\$16,854,100	0.57	0.01	0.11
MY 1998 - 2001	\$6,571	0.45	0.00	0.10	\$27,500	16,761	670	\$18,436,959	0.83	0.01	0.19
MY 2002 - 2006	\$7,245	0.43	0.00	0.06	\$27,500	28,354	851	\$23,391,752	1.00	0.01	0.15
Sub Total							2,669	73,388,149	2.86	0.03	0.49
<b>SCR+DPF</b>											
MY 1989 and Earlier	\$5,812	0.32	0.02	0.04	\$30,000	15,606	624	\$18,726,778	0.55	0.03	0.06
MY 1990	\$5,060	0.26	0.03	0.05	\$30,000	2,219	89	\$2,663,094	0.06	0.01	0.01
MY 1991 - 1997	\$6,505	0.34	0.01	0.08	\$30,000	20,429	817	\$24,514,035	0.76	0.03	0.18
MY 1998 - 2001	\$5,427	0.45	0.01	0.13	\$30,000	16,761	670	\$20,113,046	0.83	0.02	0.24
MY 2002 - 2006	\$5,335	0.43	0.02	0.08	\$30,000	28,354	1,134	\$34,024,367	1.33	0.06	0.25
Sub Total							3,335	100,042,340	3.53	0.15	0.75
<b>Overall Projects</b>											
MY 1989 and Earlier						15,606	5,774	120,709,691	2.44	0.23	0.48
MY 1990						2,219	799	16,189,391	0.22	0.05	0.10
MY 1991 - 1997						20,429	6,333	105,925,467	2.32	0.16	1.26
MY 1998 - 2001						16,761	6,202	127,634,039	3.68	0.15	2.06
MY 2002 - 2006						28,354	9,924	200,601,997	5.23	0.39	2.04
MY 2007+						17,967	0	0	0	0	0
Total						101,335	29,031	571,060,584	13.88	0.98	5.94
<b>Measure 46b: Midwest Clean Diesel Initiative</b>											
All Diesel Sources	\$1,492	N/A	N/A	N/A	N/A	N/A	N/A	\$11,585,921	4.67	0.79	0.66

One Emission Reduction Scenario Example for On-road HDDVs - 2012 ILLINOIS

Sub Total										\$23,171,841	9.34	1.58	1.31
Measure 33/34/35/37: Anti-Idling Restriction on Onroad HDDVs													
Anti-Idling Restrictions													
All MY Heavy HDDVs	\$1,700	0.02	0.0002	0.001	\$7,500	107,538	32,261	\$241,960,310	1.44	0.02	0.08		
Sub Total						107,538	32,261	\$241,960,310	1.44	0.02	0.08		
Measure 42: Accelerate Low NOx Calibration/Refresh Program (Mandatory Phase-in)													
MY 1993-1998 Medium-HDDVs	\$371	0.04	0.00	0.00	\$100	18,654	11,193	\$1,119,256	1.18	0.00	0.00		
MY 1993-1998 Heavy-HDDVs	\$110	0.13	0.00	0.00	\$100	16,776	13,421	\$1,342,073	4.76	0.00	0.00		
Sub Total						35,430	24,613	2,461,329	5.94	0.00	0.00		
Grand Total						107,538	98,011	\$1,029,704,793	30.6	2.7	7.7		

Summary Results of an Emission Reduction Scenario for Nonroad Diesel  
Construction Equipment in the LADCO States

2009 ILLINOIS

Equipment Type	Total Unit Recom.	Total Cost (\$)	Total NOx (tpd)	Total PM (tpd)	Total HC (tpd)
<b>Measure 20: Fleet Modernization (Tier 2 or Tier 3 Engines)</b>					
Excavators	202	\$4,784,500	0.38	0.01	0.03
Rubber Tire Loaders	214	\$7,633,250	0.36	0.01	0.04
Crawler Tractor/Dozer	142	\$5,789,250	0.23	0.01	0.04
Tractors/Loaders/Backhoes	172	\$1,822,500	0.05	0.00	0.00
Off-Highway Trucks	11	\$1,821,853	0.12	0.00	0.00
<b>Sub Total</b>	<b>741</b>	<b>\$21,851,353</b>	<b>1.15</b>	<b>0.03</b>	<b>0.11</b>
<b>Measure 51a: DPF Retrofit</b>					
Excavators	354	\$2,647,421	0.00	0.02	0.05
Rubber Tire Loaders	381	\$3,736,421	0.00	0.02	0.07
Crawler Tractor/Dozer	236	\$2,432,842	0.00	0.01	0.05
Tractors/Loaders/Backhoes	464	\$1,786,737	0.00	0.02	0.02
Off-Highway Trucks	13	\$669,778	0.00	0.01	0.04
<b>Sub Total</b>	<b>1,448</b>	<b>\$11,273,199</b>	<b>0.00</b>	<b>0.07</b>	<b>0.21</b>
<b>Measure 51b: Lean NOx Catalyst</b>					
Excavators	304	\$5,304,211	0.16	0.02	0.04
Rubber Tire Loaders	246	\$4,921,053	0.13	0.01	0.04
Crawler Tractor/Dozer	207	\$4,590,526	0.10	0.01	0.04
Tractors/Loaders/Backhoes	421	\$3,506,316	0.07	0.01	0.02
Off-Highway Trucks	13	\$1,488,395	0.09	0.01	0.03
<b>Sub Total</b>	<b>1,191</b>	<b>\$19,810,500</b>	<b>0.55</b>	<b>0.06</b>	<b>0.16</b>
<b>Measure 51c: EGR+DPF Retrofit</b>					
Excavators	304	\$6,099,842	0.25	0.02	0.04
Rubber Tire Loaders	272	\$6,504,158	0.24	0.01	0.04
Crawler Tractor/Dozer	207	\$5,279,105	0.16	0.01	0.04
Tractors/Loaders/Backhoes	276	\$2,803,579	0.07	0.01	0.01
Off-Highway Trucks	13	\$1,711,654	0.15	0.01	0.03
<b>Sub Total</b>	<b>1,072</b>	<b>\$22,398,339</b>	<b>0.87</b>	<b>0.05</b>	<b>0.16</b>
<b>Measure 51d: SCR Retrofit</b>					
Excavators	228	\$5,249,789	0.42	0.00	0.00
Rubber Tire Loaders	203	\$5,673,789	0.38	0.00	0.00
Crawler Tractor/Dozer	190	\$5,821,632	0.30	0.00	0.00
Tractors/Loaders/Backhoes	130	\$1,843,842	0.08	0.00	0.00
Off-Highway Trucks	18	\$2,730,632	0.38	0.00	0.00
<b>Sub Total</b>	<b>769</b>	<b>\$21,319,685</b>	<b>1.56</b>	<b>0.00</b>	<b>0.00</b>
<b>Measure 51e: DOC Retrofit</b>					
Excavators	304	\$530,421	0.00	0.01	0.03
Rubber Tire Loaders	381	\$830,316	0.00	0.01	0.05
Crawler Tractor/Dozer	236	\$540,632	0.00	0.01	0.03
Tractors/Loaders/Backhoes	421	\$350,632	0.00	0.01	0.01
Off-Highway Trucks	13	\$148,840	0.00	0.00	0.03
<b>Sub Total</b>	<b>1,355</b>	<b>\$2,400,840</b>	<b>0.00</b>	<b>0.03</b>	<b>0.15</b>
<b>Measure 51f: FTF Retrofit</b>					
Excavators	196	\$1,430,526	0.00	0.01	0.03
Rubber Tire Loaders	201	\$1,780,579	0.00	0.01	0.04
Crawler Tractor/Dozer	149	\$1,404,000	0.00	0.01	0.03
Tractors/Loaders/Backhoes	255	\$1,012,263	0.00	0.00	0.01
Off-Highway Trucks	13	\$669,778	0.00	0.00	0.04
<b>Sub Total</b>	<b>814</b>	<b>\$6,297,146</b>	<b>0.00</b>	<b>0.03</b>	<b>0.14</b>
<b>Measure 51g: DOC+SCR Retrofit</b>					
Excavators	304	\$7,293,289	0.50	0.00	0.03
Rubber Tire Loaders	322	\$9,717,632	0.62	0.00	0.05
Crawler Tractor/Dozer	190	\$6,041,316	0.30	0.00	0.03
Tractors/Loaders/Backhoes	226	\$3,285,526	0.14	0.00	0.01
Off-Highway Trucks	18	\$2,833,675	0.38	0.00	0.03
<b>Sub Total</b>	<b>1,060</b>	<b>\$29,171,438</b>	<b>1.95</b>	<b>0.02</b>	<b>0.15</b>
<b>Measure 51h: SCR+DPF Retrofit</b>					
Excavators	388	\$10,140,000	0.65	0.02	0.05
Rubber Tire Loaders	343	\$10,965,789	0.63	0.02	0.06
Crawler Tractor/Dozer	267	\$8,647,895	0.39	0.01	0.04
Tractors/Loaders/Backhoes	473	\$7,539,474	0.30	0.02	0.02
Off-Highway Trucks	18	\$3,091,282	0.38	0.01	0.04
<b>Sub Total</b>	<b>1,489</b>	<b>\$40,384,440</b>	<b>2.35</b>	<b>0.08</b>	<b>0.21</b>
<b>Grand Total</b>	<b>9,939</b>	<b>\$174,906,940</b>	<b>8.43</b>	<b>0.37</b>	<b>1.29</b>

## One Example Emission Reduction Scenario for Agricultural Equipment - 2009 ILLINOIS

Summary Results of an Emission Reduction Scenario for Nonroad Diesel  
Agricultural Equipment in the LADCO States

2009 ILLINOIS

Equipment Type	Total Unit Recom.	Total Cost (\$)	Total NOx (tpd)	Total PM (tpd)	Total HC (tpd)
<b>Measure 20: Fleet Modernization (Tier 2 or Tier 3 Engines)</b>					
Agricultural Tractors	5,186	\$68,616,500	2.05	0.12	0.15
Combines	45	\$848,750	0.01	0.00	0.00
<b>Sub Total</b>	<b>5,231</b>	<b>\$69,465,250</b>	<b>2.06</b>	<b>0.12</b>	<b>0.15</b>
<b>Measure 51a: DPF Retrofit</b>					
Agricultural Tractors	6,148	\$27,364,737	0.00	0.18	0.24
Combines	216	\$1,477,895	0.00	0.00	0.00
<b>Sub Total</b>	<b>6,364</b>	<b>\$28,842,632</b>	<b>0.00</b>	<b>0.18</b>	<b>0.24</b>
<b>Measure 51b: Lean NOx Catalyst</b>					
Agricultural Tractors	3,752	\$47,790,737	0.83	0.13	0.13
Combines	62	\$911,579	0.01	0.00	0.00
<b>Sub Total</b>	<b>3,814</b>	<b>\$48,702,316</b>	<b>0.84</b>	<b>0.13</b>	<b>0.13</b>
<b>Measure 51c: EGR+DPF Retrofit</b>					
Agricultural Tractors	4,837	\$41,432,684	1.09	0.11	0.13
Combines	62	\$1,048,316	0.01	0.00	0.00
<b>Sub Total</b>	<b>4,899</b>	<b>\$42,481,000</b>	<b>1.10</b>	<b>0.11</b>	<b>0.13</b>
<b>Measure 51d: SCR Retrofit</b>					
Agricultural Tractors	1,976	\$25,115,026	1.14	0.00	0.00
Combines	0	\$0	0.00	0.00	0.00
<b>Sub Total</b>	<b>1,976</b>	<b>\$25,115,026</b>	<b>1.14</b>	<b>0.00</b>	<b>0.00</b>
<b>Measure 51e: DOC Retrofit</b>					
Agricultural Tractors	6,564	\$5,326,947	0.00	0.07	0.18
Combines	308	\$475,368	0.00	0.00	0.00
<b>Sub Total</b>	<b>6,872</b>	<b>\$5,802,316</b>	<b>0.00</b>	<b>0.07</b>	<b>0.18</b>
<b>Measure 51f: FTF Retrofit</b>					
Agricultural Tractors	4,275	\$13,422,316	0.00	0.06	0.14
Combines	62	\$410,211	0.00	0.00	0.00
<b>Sub Total</b>	<b>4,337</b>	<b>\$13,832,526</b>	<b>0.00</b>	<b>0.06</b>	<b>0.14</b>
<b>Measure 51g: DOC+SCR Retrofit</b>					
Agricultural Tractors	3,075	\$40,938,816	1.73	0.02	0.12
Combines	0	\$0	0.00	0.00	0.00
<b>Sub Total</b>	<b>3,075</b>	<b>\$40,938,816</b>	<b>1.73</b>	<b>0.02</b>	<b>0.12</b>
<b>Measure 51h: SCR+DPF Retrofit</b>					
Agricultural Tractors	5,388	\$66,729,474	2.59	0.12	0.18
Combines	62	\$1,367,368	0.02	0.00	0.00
<b>Sub Total</b>	<b>5,450</b>	<b>\$68,096,842</b>	<b>2.61</b>	<b>0.12</b>	<b>0.18</b>
<b>Grand Total</b>	<b>42,018</b>	<b>\$343,276,724</b>	<b>9.49</b>	<b>0.81</b>	<b>1.28</b>

One Emission Reduction Scenario Example for On-road HDDVs - 2012 ILLINOIS

One Preliminary Scenario for On Road HDDV Strategies for ILLINOIS (2012 Analysis)

Technology	Project Cost-Effectiveness (\$/ton)	Estimated NOx Reductions per Vehicle (tons/year)	Estimated PM Reductions per Vehicle (tons/year)	Estimated THC Reductions per Vehicle (tons/year)	Cost per Unit (\$)	Number of Units Available	Units Recommended	Total Cost	Total NOx Reduction (tons/day)	Total PM Reduction (tons/day)	Total THC Reduction (tons/day)
<b>Measure 31: Fleet Modernization (Incentive/Voluntary Program)</b>											
<b>Diesel Engine/Vehicle Upgrades (MY 1990 Engine 6 g NOx)</b>											
MY 1989 and Earlier	\$28,769	0.16	0.006	0.001	\$35,000	10,388	-	\$0	0.00	0.00	0.00
Sub Total									0.00	0.00	0.00
<b>Diesel Engine/Vehicle Upgrades (MY 2001/2 Engine 4 g NOx)</b>											
MY 1989 and Earlier	\$9,661	0.24	0.02	0.00	\$40,000	10,388	623	\$24,931,590	0.41	0.03	0.01
MY 1990	\$11,401	0.09	0.02	0.00	\$40,000	1,925	77	\$3,079,886	0.02	0.00	0.00
MY 1991 - 1997	\$34,934	0.07	0.00	0.00	\$40,000	17,744	-	\$0	0.00	0.00	0.00
Sub Total							700	28,011,476	0.43	0.03	0.01
<b>Diesel Engine/Vehicle Upgrades (MY 2002/4 Engine 2.4 g NOx)</b>											
MY 1989 and Earlier	\$9,523	0.29	0.02	0.03	\$45,000	10,388	623	\$28,048,039	0.49	0.03	0.05
MY 1990	\$10,687	0.15	0.02	0.03	\$45,000	1,925	77	\$3,464,872	0.03	0.00	0.01
MY 1991 - 1997	\$19,936	0.16	0.00	0.05	\$45,000	17,744	-	\$0	0.00	0.00	0.00
MY 1998 - 2001	\$24,024	0.16	0.00	0.08	\$45,000	14,550	-	\$0	0.00	0.00	0.00
Sub Total							700	31,512,911	0.53	0.03	0.06
<b>Diesel Engine/Vehicle Upgrades (MY 2007 Engine 0.2 g NOx)</b>											
MY 1998 - 2001	\$1,841	0.39	0.01	0.12	\$60,000	14,550	582	\$4,919,712	0.62	0.01	0.19
MY 2002 - 2006	\$11,789	0.35	0.01	0.06	\$60,000	24,615	985	\$9,077,029	0.97	0.04	0.16
Sub Total							1,567	95,996,741	1.58	0.05	0.35
<b>Measure 46: Aftertreatment Device Retrofits (Incentive/Voluntary Program)</b>											
<b>DPF</b>											
MY 1989 and Earlier	\$3,260	0.00	0.02	0.04	\$9,000	10,388	1,039	\$9,349,346	0.00	0.05	0.10
MY 1990	\$2,769	0.00	0.02	0.04	\$9,000	1,925	192	\$1,732,436	0.00	0.01	0.02
MY 1991 - 1997	\$4,835	0.00	0.01	0.06	\$9,000	17,744	1,420	\$12,775,504	0.00	0.04	0.25
MY 1998 - 2001	\$4,161	0.00	0.01	0.11	\$9,000	14,550	1,164	\$10,475,914	0.00	0.03	0.34
MY 2002 - 2006	\$3,570	0.00	0.01	0.07	\$9,000	24,615	2,462	\$22,153,886	0.00	0.09	0.44
Sub Total							6,276	\$56,487,086	0.00	0.22	1.15
<b>Clear LNC+DPF</b>											
MY 1989 and Earlier	\$6,074	0.09	0.02	0.03	\$ 20,000	10,388	831	\$16,621,060	0.21	0.04	0.07
MY 1990	\$5,650	0.06	0.02	0.04	\$ 20,000	1,925	154	\$3,079,886	0.03	0.01	0.02
MY 1991 - 1997	\$8,933	0.08	0.01	0.06	\$ 20,000	17,744	1,065	\$21,292,507	0.23	0.03	0.17
MY 1998 - 2001	\$7,766	0.10	0.01	0.10	\$ 20,000	14,550	873	\$17,459,856	0.24	0.02	0.23
MY 2002 - 2006	\$7,287	0.10	0.01	0.06	\$ 20,000	24,615	1,477	\$29,538,515	0.40	0.06	0.24
Sub Total							4,400	\$79,991,824	1.10	0.15	0.73
<b>EGR+DPF Retrofit</b>											
MY 1989 and Earlier	\$6,102	0.15	0.03	0.03	\$ 23,000	10,388	831	\$19,114,219	0.33	0.04	0.07
MY 1990	\$5,880	0.10	0.02	0.04	\$ 23,000	1,925	154	\$3,541,869	0.04	0.01	0.02
MY 1991 - 1997	\$8,593	0.12	0.01	0.06	\$ 23,000	17,744	1,065	\$24,486,383	0.36	0.03	0.17
MY 1998 - 2001	\$7,136	0.16	0.01	0.10	\$ 23,000	14,550	873	\$20,678,834	0.39	0.02	0.23
MY 2002 - 2006	\$6,461	0.16	0.01	0.06	\$ 23,000	24,615	1,969	\$45,292,389	0.84	0.07	0.32
Sub Total							4,892	\$112,513,695	1.97	0.17	0.81
<b>SCR Retrofit</b>											
MY 1989 and Earlier	\$13,197	0.29	0.09	0.09	\$ 26,500	10,388	416	\$11,011,452	0.33	0.09	0.09
MY 1990	\$30,057	0.19	0.00	0.00	\$ 26,500	1,925	-	\$0	0.00	0.00	0.00
MY 1991 - 1997	\$15,979	0.23	0.00	0.00	\$ 26,500	17,744	-	\$0	0.00	0.00	0.00
MY 1998 - 2001	\$12,445	0.33	0.00	0.00	\$ 26,500	14,550	582	\$15,422,873	0.52	0.00	0.00
MY 2002 - 2006	\$13,569	0.31	0.00	0.00	\$ 26,500	24,615	985	\$26,092,355	0.84	0.00	0.00
Sub Total							1,982	\$2,526,680	1.70	0.00	0.00
<b>DOC Retrofit</b>											
MY 1989 and Earlier	\$1,445	0.00	0.01	0.03	\$2,000	10,388	1,039	\$2,077,633	0.00	0.02	0.07
MY 1990	\$1,227	0.00	0.01	0.03	\$2,000	1,925	192	\$384,986	0.00	0.01	0.02
MY 1991 - 1997	\$1,559	0.00	0.00	0.05	\$2,000	17,744	1,774	\$3,548,751	0.00	0.02	0.23
MY 1998 - 2001	\$1,590	0.00	0.00	0.08	\$2,000	14,550	1,455	\$2,909,976	0.00	0.02	0.31
MY 2002 - 2006	\$1,500	0.00	0.01	0.05	\$2,000	24,615	2,462	\$4,923,086	0.00	0.04	0.32
Sub Total							6,922	\$13,844,431	0.00	0.11	0.94
<b>FTF Retrofit</b>											
MY 1989 and Earlier	\$5,115	0.00	0.01	0.04	\$9,000	10,388	831	\$7,479,477	0.00	0.02	0.08
MY 1990	\$4,343	0.00	0.01	0.04	\$9,000	1,925	154	\$1,385,949	0.00	0.01	0.02
MY 1991 - 1997	\$6,787	0.00	0.01	0.06	\$9,000	17,744	1,420	\$12,775,504	0.00	0.02	0.25
MY 1998 - 2001	\$5,418	0.00	0.01	0.11	\$9,000	14,550	1,164	\$10,475,914	0.00	0.02	0.34
MY 2002 - 2006	\$5,228	0.00	0.01	0.07	\$9,000	24,615	1,969	\$17,733,109	0.00	0.04	0.35
Sub Total							6,538	\$49,839,952	0.00	0.11	1.04
<b>DOC+SCR Retrofit</b>											
MY 1989 and Earlier	\$9,365	0.29	0.01	0.03	\$27,500	10,388	623	\$17,140,468	0.50	0.01	0.04
MY 1990	\$11,411	0.19	0.01	0.03	\$27,500	1,925	77	\$2,117,422	0.04	0.00	0.01
MY 1991 - 1997	\$11,375	0.25	0.00	0.05	\$27,500	17,744	710	\$19,518,132	0.48	0.01	0.09
MY 1998 - 2001	\$8,871	0.33	0.00	0.08	\$27,500	14,550	873	\$24,007,302	0.78	0.01	0.18
MY 2002 - 2006	\$9,672	0.31	0.00	0.05	\$27,500	24,615	1,477	\$40,615,458	1.26	0.02	0.19
Sub Total							3,760	\$103,498,781	3.07	0.04	0.51
<b>SCR+DPF</b>											
MY 1989 and Earlier	\$6,391	0.29	0.02	0.03	\$30,000	10,388	831	\$24,931,590	0.67	0.04	0.07
MY 1990	\$6,708	0.19	0.02	0.04	\$30,000	1,925	154	\$4,619,829	0.08	0.01	0.02
MY 1991 - 1997	\$8,812	0.25	0.01	0.06	\$30,000	17,744	1,065	\$31,938,761	0.72	0.03	0.17
MY 1998 - 2001	\$7,336	0.33	0.01	0.10	\$30,000	14,550	873	\$26,189,784	0.78	0.02	0.23
MY 2002 - 2006	\$7,138	0.31	0.01	0.06	\$30,000	24,615	1,477	\$44,307,772	1.26	0.06	0.24
Sub Total							4,400	\$131,987,736	3.52	0.15	0.73
<b>Overall Projects</b>											
MY 1989 and Earlier						10,388	7,687	\$60,704,876	2.95	0.28	0.58
MY 1990						1,925	1,232	\$3,407,133	0.24	0.06	0.12
MY 1991 - 1997						17,744	8,517	\$126,335,543	1.79	0.16	1.33
MY 1998 - 2001						14,550	8,439	\$161,940,164	3.24	0.14	2.05
MY 2002 - 2006						24,615	15,262	\$289,723,597	5.58	0.42	2.26
MY 2007+						38,316	0	\$0	0	0	0
Total						107,538	41,137	\$762,111,313	13.89	1.07	6.33
<b>Measure 46: Midwest Clean Diesel Initiative</b>											
All Diesel Sources	\$1,492 N/A	N/A	N/A	N/A	N/A	N/A	N/A	\$23,171,841	9.34	1.59	1.31

One Emission Reduction Scenario Example for On-road HDDVs - 2012 ILLINOIS

Sub Total										\$23,171,841	9.34	1.59	1.31	
Measure 33/34/35/37: Anti-Idling Restriction on Onroad HDDVs														
Anti-Idling Restrictions														
All MY Heavy HDDVs	\$1,700	0.02	0.0002	0.001	\$7,500	107,538	32,261	\$241,960,310	1.44	0.02	0.08			
Sub Total						107,538	32,261	\$241,960,310	1.44	0.02	0.08			
Measure 42: Accelerate Low NOx Calibration/Retflash Program (Mandatory Phase-in)														
MY 1993-1998 Medium-HDDVs	\$371	0.04	0.00	0.00	\$100	18,654	11,193	\$1,119,256	1.18	0.00	0.00			
MY 1993-1998 Heavy-HDDVs	\$110	0.13	0.00	0.00	\$100	16,776	13,421	\$1,342,073	4.76	0.00	0.00			
Sub Total						35,430	24,613	2,461,329	5.94	0.00	0.00			
Grand Total						107,538	98,011	\$1,029,784,793	38.6	2.7	7.7			

Summary Results of an Emission Reduction Scenario for Nonroad Diesel  
Construction Equipment in the LADCO States

2012 ILLINOIS

Equipment Type	Total Unit Recom.	Total Cost (\$)	Total NOx (tpd)	Total PM (tpd)	Total HC (tpd)
<b>Measure 20: Fleet Modernization (Tier 2 or Tier 3 Engines)</b>					
Excavators	120	\$3,565,750	0.26	0.01	0.03
Rubber Tire Loaders	314	\$13,303,500	0.60	0.01	0.05
Crawler Tractor/Dozer	146	\$6,979,500	0.29	0.01	0.04
Tractors/Loaders/Backhoes	266	\$2,988,250	0.09	0.01	0.01
Off-Highway Trucks	11	\$2,148,155	0.11	0.00	0.00
<b>Sub Total</b>	<b>857</b>	<b>\$28,985,155</b>	<b>1.35</b>	<b>0.03</b>	<b>0.12</b>
<b>Measure 51a: DPF Retrofit</b>					
Excavators	1,056	\$8,085,316	0.00	0.04	0.08
Rubber Tire Loaders	1,028	\$9,177,632	0.00	0.04	0.10
Crawler Tractor/Dozer	821	\$8,202,789	0.00	0.04	0.09
Tractors/Loaders/Backhoes	1,615	\$6,476,684	0.00	0.04	0.06
Off-Highway Trucks	30	\$1,545,641	0.00	0.01	0.04
<b>Sub Total</b>	<b>4,550</b>	<b>\$33,488,062</b>	<b>0.00</b>	<b>0.17</b>	<b>0.38</b>
<b>Measure 51b: Lean NOx Catalyst</b>					
Excavators	656	\$11,205,263	0.26	0.03	0.05
Rubber Tire Loaders	452	\$9,304,211	0.21	0.02	0.05
Crawler Tractor/Dozer	403	\$8,314,737	0.17	0.02	0.05
Tractors/Loaders/Backhoes	896	\$7,528,421	0.13	0.03	0.04
Off-Highway Trucks	20	\$2,289,839	0.12	0.01	0.03
<b>Sub Total</b>	<b>2,427</b>	<b>\$38,642,470</b>	<b>0.90</b>	<b>0.10</b>	<b>0.23</b>
<b>Measure 51c: EGR+DPF Retrofit</b>					
Excavators	522	\$9,248,421	0.32	0.02	0.04
Rubber Tire Loaders	452	\$10,699,842	0.34	0.02	0.05
Crawler Tractor/Dozer	403	\$9,561,947	0.27	0.02	0.05
Tractors/Loaders/Backhoes	635	\$6,446,053	0.16	0.02	0.03
Off-Highway Trucks	20	\$2,633,314	0.20	0.01	0.03
<b>Sub Total</b>	<b>2,032</b>	<b>\$38,589,578</b>	<b>1.28</b>	<b>0.09</b>	<b>0.21</b>
<b>Measure 51d: SCR Retrofit</b>					
Excavators	334	\$7,771,474	0.50	0.00	0.00
Rubber Tire Loaders	287	\$8,675,263	0.53	0.00	0.00
Crawler Tractor/Dozer	303	\$9,482,816	0.46	0.00	0.00
Tractors/Loaders/Backhoes	203	\$2,935,921	0.13	0.00	0.00
Off-Highway Trucks	30	\$4,551,054	0.57	0.00	0.00
<b>Sub Total</b>	<b>1,157</b>	<b>\$33,416,528</b>	<b>2.18</b>	<b>0.00</b>	<b>0.00</b>
<b>Measure 51e: DOC Retrofit</b>					
Excavators	242	\$371,368	0.00	0.00	0.02
Rubber Tire Loaders	329	\$657,158	0.00	0.01	0.04
Crawler Tractor/Dozer	188	\$377,579	0.00	0.00	0.02
Tractors/Loaders/Backhoes	379	\$314,211	0.00	0.01	0.02
Off-Highway Trucks	6	\$68,695	0.00	0.00	0.01
<b>Sub Total</b>	<b>1,144</b>	<b>\$1,789,011</b>	<b>0.00</b>	<b>0.02</b>	<b>0.10</b>
<b>Measure 51f: FTF Retrofit</b>					
Excavators	672	\$5,125,737	0.00	0.02	0.06
Rubber Tire Loaders	680	\$6,279,632	0.00	0.02	0.09
Crawler Tractor/Dozer	541	\$5,360,211	0.00	0.02	0.07
Tractors/Loaders/Backhoes	1,326	\$5,167,895	0.00	0.02	0.06
Off-Highway Trucks	30	\$1,545,641	0.00	0.01	0.04
<b>Sub Total</b>	<b>3,249</b>	<b>\$23,479,115</b>	<b>0.00</b>	<b>0.08</b>	<b>0.31</b>
<b>Measure 51g: DOC+SCR Retrofit</b>					
Excavators	522	\$11,057,895	0.64	0.01	0.03
Rubber Tire Loaders	559	\$17,261,316	0.95	0.01	0.06
Crawler Tractor/Dozer	303	\$9,840,658	0.46	0.00	0.04
Tractors/Loaders/Backhoes	499	\$7,493,026	0.32	0.01	0.02
Off-Highway Trucks	30	\$4,722,792	0.57	0.00	0.03
<b>Sub Total</b>	<b>1,913</b>	<b>\$50,375,687</b>	<b>2.94</b>	<b>0.03</b>	<b>0.19</b>
<b>Measure 51h: SCR+DPF Retrofit</b>					
Excavators	687	\$17,777,368	0.91	0.03	0.06
Rubber Tire Loaders	680	\$20,932,105	1.01	0.03	0.08
Crawler Tractor/Dozer	541	\$17,867,368	0.73	0.03	0.06
Tractors/Loaders/Backhoes	950	\$15,678,947	0.57	0.04	0.06
Off-Highway Trucks	30	\$5,152,137	0.57	0.01	0.04
<b>Sub Total</b>	<b>2,888</b>	<b>\$77,407,926</b>	<b>3.79</b>	<b>0.13</b>	<b>0.29</b>
<b>Grand Total</b>	<b>20,217</b>	<b>\$326,173,531</b>	<b>12.44</b>	<b>0.65</b>	<b>1.82</b>

## One Example Emission Reduction Scenario for Agricultural Equipment - 2012 ILLINOIS

Summary Results of an Emission Reduction Scenario for Nonroad Diesel  
Agricultural Equipment in the LADCO States

2012 ILLINOIS

Equipment Type	Total Unit Recom.	Total Cost (\$)	Total NOx (tpd)	Total PM (tpd)	Total HC (tpd)
<b>Measure 20: Fleet Modernization (Tier 2 or Tier 3 Engines)</b>					
Agricultural Tractors	10,352	\$142,855,750	4.20	0.22	0.37
Combines	116	\$3,945,000	0.05	0.00	0.00
<b>Sub Total</b>	<b>10,468</b>	<b>\$146,800,750</b>	<b>4.25</b>	<b>0.23</b>	<b>0.37</b>
<b>Measure 51a: DPF Retrofit</b>					
Agricultural Tractors	13,216	\$72,243,947	0.00	0.36	0.64
Combines	647	\$4,455,000	0.00	0.01	0.00
<b>Sub Total</b>	<b>13,863</b>	<b>\$76,698,947</b>	<b>0.00</b>	<b>0.37</b>	<b>0.64</b>
<b>Measure 51b: Lean NOx Catalyst</b>					
Agricultural Tractors	7,231	\$96,236,105	1.63	0.26	0.32
Combines	116	\$1,848,421	0.01	0.00	0.00
<b>Sub Total</b>	<b>7,347</b>	<b>\$98,084,526</b>	<b>1.65</b>	<b>0.26</b>	<b>0.32</b>
<b>Measure 51c: EGR+DPF Retrofit</b>					
Agricultural Tractors	8,478	\$76,128,789	1.91	0.20	0.32
Combines	116	\$2,125,684	0.02	0.00	0.00
<b>Sub Total</b>	<b>8,594</b>	<b>\$78,254,474</b>	<b>1.93</b>	<b>0.20</b>	<b>0.32</b>
<b>Measure 51d: SCR Retrofit</b>					
Agricultural Tractors	3,666	\$48,693,053	2.12	0.00	0.00
Combines	0	\$0	0.00	0.00	0.00
<b>Sub Total</b>	<b>3,666</b>	<b>\$48,693,053</b>	<b>2.12</b>	<b>0.00</b>	<b>0.00</b>
<b>Measure 51e: DOC Retrofit</b>					
Agricultural Tractors	10,200	\$9,584,000	0.00	0.11	0.41
Combines	834	\$1,257,895	0.00	0.01	0.01
<b>Sub Total</b>	<b>11,034</b>	<b>\$10,841,895</b>	<b>0.00</b>	<b>0.12</b>	<b>0.42</b>
<b>Measure 51f: FTF Retrofit</b>					
Agricultural Tractors	10,705	\$44,548,579	0.00	0.14	0.58
Combines	161	\$1,066,263	0.00	0.00	0.00
<b>Sub Total</b>	<b>10,866</b>	<b>\$45,614,842</b>	<b>0.00</b>	<b>0.15</b>	<b>0.58</b>
<b>Measure 51g: DOC+SCR Retrofit</b>					
Agricultural Tractors	5,768	\$70,870,395	2.92	0.04	0.21
Combines	0	\$0	0.00	0.00	0.00
<b>Sub Total</b>	<b>5,768</b>	<b>\$70,870,395</b>	<b>2.92</b>	<b>0.04</b>	<b>0.21</b>
<b>Measure 51h: SCR+DPF Retrofit</b>					
Agricultural Tractors	10,119	\$138,440,526	5.13	0.23	0.47
Combines	116	\$2,772,632	0.04	0.00	0.00
<b>Sub Total</b>	<b>10,235</b>	<b>\$141,213,158</b>	<b>5.17</b>	<b>0.23</b>	<b>0.47</b>
<b>Grand Total</b>	<b>81,841</b>	<b>\$717,072,039</b>	<b>18.04</b>	<b>1.59</b>	<b>3.34</b>

One Emission Reduction Scenario Example for On-road HDDVs - 2009 INDIANA

One Preliminary Scenario for On Road HDDV Strategies for INDIANA (2009 Analysis)

Technology	Project Cost-Effectiveness (\$/ton)	Estimated NOx Reductions per Vehicle (tons/year)	Estimated PM Reductions per Vehicle (tons/year)	Estimated THC Reductions per Vehicle (tons/year)	Cost per Unit (\$)	Number of Units Available	Units Recommended	Total Cost	Total NOx Reduction (tons/day)	Total PM Reduction (tons/day)	Total THC Reduction (tons/day)
<b>Measure 31: Fleet Modernization (Incentive/Voluntary Program)</b>											
<b>Diesel Engine/Vehicle Upgrades (MY 1989 Engine 6 g NOx)</b>											
MY 1989 and Earlier	\$26,154	0.18	0.000	0.001	\$35,000	10,776	-	\$0	0.00	0.00	0.00
Sub Total									0.00	0.00	0.00
<b>Diesel Engine/Vehicle Upgrades (MY 2001/2 Engine 4 g NOx)</b>											
MY 1989 and Earlier	\$8,783	0.26	0.02	0.00	\$40,000	10,776	323	\$12,931,739	0.23	0.02	0.00
MY 1990	\$8,551	0.12	0.03	0.00	\$40,000	1,532	46	\$1,838,994	0.01	0.00	0.00
MY 1991 - 1997	\$25,529	0.09	0.01	0.00	\$40,000	14,107	-	\$0	0.00	0.00	0.00
Sub Total							369	14,770,733	0.25	0.02	0.00
<b>Diesel Engine/Vehicle Upgrades (MY 2002/4 Engine 2.4 g NOx)</b>											
MY 1989 and Earlier	\$8,657	0.32	0.02	0.02	\$45,000	10,776	323	\$14,548,206	0.28	0.02	0.03
MY 1990	\$8,015	0.20	0.03	0.04	\$45,000	1,532	46	\$2,068,868	0.03	0.00	0.01
MY 1991 - 1997	\$14,569	0.22	0.01	0.07	\$45,000	14,107	-	\$0	0.00	0.00	0.00
MY 1998 - 2001	\$17,472	0.22	0.00	0.11	\$45,000	11,574	-	\$0	0.00	0.00	0.00
Sub Total							369	16,617,075	0.31	0.02	0.03
<b>Diesel Engine/Vehicle Upgrades (MY 2007 Engine 0.2 g NOx)</b>											
MY 1998 - 2001	\$8,611	0.53	0.01	0.16	\$60,000	11,574	347	20,833,535	0.51	0.01	0.15
MY 2002 - 2006	\$8,587	0.49	0.02	0.08	\$60,000	19,580	587	35,243,187	0.79	0.03	0.13
Sub Total							935	56,076,722	1.30	0.04	0.28
<b>Measure 46: Aftertreatment Device Retrofits (Incentive/Voluntary Program)</b>											
<b>DPF</b>											
MY 1989 and Earlier	\$2,954	0.00	0.02	0.04	\$9,000	10,776	539	\$4,849,402	0.00	0.03	0.06
MY 1990	\$2,089	0.00	0.03	0.06	\$9,000	1,532	77	\$689,623	0.00	0.01	0.01
MY 1991 - 1997	\$3,534	0.00	0.01	0.09	\$9,000	14,107	705	\$6,348,308	0.00	0.02	0.17
MY 1998 - 2001	\$3,028	0.00	0.01	0.15	\$9,000	11,574	579	\$5,208,384	0.00	0.02	0.23
MY 2002 - 2006	\$2,601	0.00	0.02	0.09	\$9,000	19,580	979	\$8,810,797	0.00	0.05	0.24
Sub Total							2,879	\$25,906,514	0.00	0.13	0.71
<b>Catalytic LNC+DPF</b>											
MY 1989 and Earlier	\$5,536	0.10	0.02	0.04	\$ 20,000	10,776	431	\$8,621,159	0.12	0.02	0.04
MY 1990	\$4,281	0.08	0.03	0.05	\$ 20,000	1,532	61	\$1,225,996	0.01	0.00	0.01
MY 1991 - 1997	\$6,645	0.11	0.01	0.08	\$ 20,000	14,107	564	\$11,285,881	0.16	0.02	0.12
MY 1998 - 2001	\$5,816	0.14	0.01	0.13	\$ 20,000	11,574	463	\$9,259,349	0.18	0.02	0.17
MY 2002 - 2006	\$5,487	0.13	0.02	0.08	\$ 20,000	19,580	783	\$15,663,639	0.29	0.04	0.17
Sub Total							2,303	46,056,024	0.76	0.10	0.52
<b>EGR+DPF Retrofit</b>											
MY 1989 and Earlier	\$5,548	0.10	0.02	0.04	\$ 23,000	10,776	431	\$9,914,333	0.19	0.02	0.04
MY 1990	\$4,415	0.13	0.03	0.05	\$ 23,000	1,532	61	\$1,409,895	0.02	0.00	0.01
MY 1991 - 1997	\$6,281	0.11	0.01	0.08	\$ 23,000	14,107	564	\$12,978,763	0.26	0.02	0.12
MY 1998 - 2001	\$5,192	0.23	0.01	0.13	\$ 23,000	11,574	463	\$10,648,251	0.29	0.02	0.17
MY 2002 - 2006	\$4,710	0.21	0.02	0.08	\$ 23,000	19,580	783	\$18,013,185	0.46	0.04	0.17
Sub Total							2,303	52,964,428	1.22	0.10	0.52
<b>SCR Retrofit</b>											
MY 1989 and Earlier	\$12,002	0.32	0.00	0.00	\$ 26,500	10,776	216	\$5,711,518	0.19	0.00	0.00
MY 1990	\$15,139	0.26	0.00	0.00	\$ 26,500	1,532	22	\$0	0.00	0.00	0.00
MY 1991 - 1997	\$11,810	0.34	0.00	0.00	\$ 26,500	14,107	282	\$7,476,895	0.26	0.00	0.00
MY 1998 - 2001	\$9,224	0.45	0.00	0.00	\$ 26,500	11,574	347	\$9,201,478	0.43	0.00	0.00
MY 2002 - 2006	\$10,173	0.43	0.00	0.00	\$ 26,500	19,580	392	\$10,377,161	0.46	0.00	0.00
Sub Total							1,236	32,767,053	1.34	0.00	0.00
<b>DOC Retrofit</b>											
MY 1989 and Earlier	\$1,314	0.00	0.01	0.03	\$2,000	10,776	539	\$1,077,645	0.00	0.01	0.04
MY 1990	\$920	0.00	0.01	0.04	\$2,000	1,532	77	\$153,250	0.00	0.00	0.01
MY 1991 - 1997	\$1,431	0.00	0.01	0.06	\$2,000	14,107	705	\$1,410,735	0.00	0.01	0.12
MY 1998 - 2001	\$1,156	0.00	0.01	0.11	\$2,000	11,574	579	\$1,157,419	0.00	0.01	0.17
MY 2002 - 2006	\$1,093	0.00	0.01	0.06	\$2,000	19,580	979	\$1,957,955	0.00	0.02	0.17
Sub Total							2,879	5,757,003	0.00	0.06	0.52
<b>FTF Retrofit</b>											
MY 1989 and Earlier	\$4,650	0.00	0.01	0.04	\$9,000	10,776	431	\$3,879,522	0.00	0.01	0.05
MY 1990	\$3,257	0.00	0.02	0.06	\$9,000	1,532	77	\$689,623	0.00	0.00	0.01
MY 1991 - 1997	\$4,945	0.00	0.01	0.09	\$9,000	14,107	564	\$5,078,647	0.00	0.01	0.14
MY 1998 - 2001	\$3,941	0.00	0.01	0.15	\$9,000	11,574	579	\$5,208,384	0.00	0.01	0.23
MY 2002 - 2006	\$3,809	0.00	0.01	0.09	\$9,000	19,580	979	\$8,810,797	0.00	0.03	0.24
Sub Total							2,630	23,666,972	0.00	0.07	0.67
<b>DOC+SCR Retrofit</b>											
MY 1989 and Earlier	\$8,517	0.32	0.01	0.03	\$27,500	10,776	323	\$8,890,570	0.29	0.01	0.02
MY 1990	\$8,611	0.26	0.01	0.04	\$27,500	1,532	46	\$1,264,308	0.03	0.00	0.01
MY 1991 - 1997	\$8,404	0.34	0.00	0.06	\$27,500	14,107	423	\$11,638,565	0.39	0.00	0.07
MY 1998 - 2001	\$6,571	0.45	0.004	0.10	\$27,500	11,574	463	\$12,731,685	0.57	0.00	0.13
MY 2002 - 2006	\$7,245	0.43	0.006	0.06	\$27,500	19,580	587	\$16,153,127	0.69	0.01	0.10
Sub Total							1,843	\$6,678,176	1.97	0.02	0.34
<b>SCR+DPF</b>											
MY 1989 and Earlier	\$5,812	0.32	0.02	0.04	\$30,000	10,776	431	\$12,931,739	0.38	0.02	0.04
MY 1990	\$5,060	0.26	0.03	0.05	\$30,000	1,532	61	\$1,838,994	0.04	0.00	0.01
MY 1991 - 1997	\$6,505	0.34	0.01	0.08	\$30,000	14,107	564	\$16,928,822	0.52	0.02	0.12
MY 1998 - 2001	\$5,427	0.45	0.01	0.13	\$30,000	11,574	463	\$13,889,023	0.57	0.02	0.17
MY 2002 - 2006	\$5,335	0.43	0.02	0.08	\$30,000	19,580	783	\$23,495,458	0.92	0.04	0.17
Sub Total							2,303	69,084,036	2.44	0.10	0.52
<b>Overall Projects</b>											
MY 1989 and Earlier						10,776	3,987	\$3,355,834	1.68	0.16	0.33
MY 1990						1,532	552	\$1,179,551	0.15	0.03	0.07
MY 1991 - 1997						14,107	4,373	\$3,146,618	1.60	0.11	0.87
MY 1998 - 2001						11,574	4,282	\$8,137,438	2.54	0.10	1.42
MY 2002 - 2006						19,580	6,853	\$38,525,305	3.61	0.27	1.41
MY 2007+						12,407	0	\$0	0	0	0
Total						69,977	20,048	\$94,344,736	9.58	0.67	4.10
<b>Measure 46: Midwest Clean Diesel Initiative</b>											
All Diesel Sources	\$1,492	N/A	N/A	N/A	N/A	N/A	N/A	\$2,295,344	0.92	0.16	0.13

One Emission Reduction Scenario Example for On-road HDDVs - 2009 INDIANA

Sub Total											\$2,295,344	0.92	0.16	0.13	
Measure 33/34/35/37: Anti-Idling Restriction on Onroad HDDVs															
Anti-Idling Restrictions															
All MY Heavy HDDVs	\$1,700	0.02	0.000	0.001	\$7,500	69,977	10,497	\$78,724,060	0.63	0.01	0.03				
Sub Total						69,977	10,497	\$78,724,060	0.63	0.01	0.03				
Measure 42: Accelerate Low NOx Calibration/Refresh Program (Mandatory Phase-In)															
Diesel Engine Refresh (MY 1993-1998 Engines)															
MY 1993-1998 Medium-HDDVs	\$371	0.04	0.00	0.00	\$100	14,823	8,594	\$889,392	9.94	0.00	0.09				
MY 1993-1998 Heavy-HDDVs	\$110	0.13	0.00	0.00	\$100	13,331	10,654	\$1,056,449	3.78	0.00	0.00				
Sub Total							19,558	1,955,841	4.72	-	-				
Grand Total						69,977	60,103	\$477,319,980	15.9	0.8	4.3				

Summary Results of an Emission Reduction Scenario for Nonroad Diesel  
Construction Equipment in the LADCO States

2009 INDIANA

Equipment Type	Total Unit Recom.	Total Cost (\$)	Total NOx (tpd)	Total PM (tpd)	Total HC (tpd)
<b>Measure 20: Fleet Modernization (Tier 2 or Tier 3 Engines)</b>					
Excavators	97	\$2,301,500	0.18	0.00	0.02
Rubber Tire Loaders	98	\$3,474,000	0.17	0.00	0.02
Crawler Tractor/Dozer	80	\$3,225,750	0.13	0.01	0.02
Tractors/Loaders/Backhoes	74	\$783,500	0.02	0.00	0.00
Off-Highway Trucks	7	\$1,169,249	0.07	0.00	0.00
<b>Sub Total</b>	<b>356</b>	<b>\$10,953,999</b>	<b>0.57</b>	<b>0.02</b>	<b>0.06</b>
<b>Measure 51a: DPF Retrofit</b>					
Excavators	170	\$1,263,789	0.00	0.01	0.02
Rubber Tire Loaders	173	\$1,692,947	0.00	0.01	0.03
Crawler Tractor/Dozer	134	\$1,355,684	0.00	0.01	0.03
Tractors/Loaders/Backhoes	198	\$762,632	0.00	0.01	0.01
Off-Highway Trucks	8	\$412,171	0.00	0.00	0.02
<b>Sub Total</b>	<b>683</b>	<b>\$5,487,224</b>	<b>0.00</b>	<b>0.03</b>	<b>0.11</b>
<b>Measure 51b: Lean NOx Catalyst</b>					
Excavators	146	\$2,530,526	0.07	0.01	0.02
Rubber Tire Loaders	112	\$2,242,105	0.06	0.01	0.02
Crawler Tractor/Dozer	117	\$2,564,211	0.05	0.01	0.02
Tractors/Loaders/Backhoes	180	\$1,498,947	0.03	0.01	0.01
Off-Highway Trucks	8	\$915,935	0.06	0.00	0.02
<b>Sub Total</b>	<b>563</b>	<b>\$9,751,725</b>	<b>0.27</b>	<b>0.03</b>	<b>0.08</b>
<b>Measure 51c: EGR+DPF Retrofit</b>					
Excavators	146	\$2,910,105	0.12	0.01	0.02
Rubber Tire Loaders	124	\$2,957,316	0.11	0.01	0.02
Crawler Tractor/Dozer	117	\$2,948,842	0.09	0.01	0.02
Tractors/Loaders/Backhoes	118	\$1,198,421	0.03	0.00	0.00
Off-Highway Trucks	8	\$1,053,326	0.09	0.00	0.02
<b>Sub Total</b>	<b>513</b>	<b>\$11,068,010</b>	<b>0.43</b>	<b>0.03</b>	<b>0.08</b>
<b>Measure 51d: SCR Retrofit</b>					
Excavators	109	\$2,502,158	0.20	0.00	0.00
Rubber Tire Loaders	94	\$2,612,342	0.17	0.00	0.00
Crawler Tractor/Dozer	107	\$3,244,158	0.16	0.00	0.00
Tractors/Loaders/Backhoes	56	\$797,789	0.04	0.00	0.00
Off-Highway Trucks	12	\$1,820,422	0.25	0.00	0.00
<b>Sub Total</b>	<b>378</b>	<b>\$10,976,869</b>	<b>0.82</b>	<b>0.00</b>	<b>0.00</b>
<b>Measure 51e: DOC Retrofit</b>					
Excavators	146	\$253,053	0.00	0.00	0.01
Rubber Tire Loaders	173	\$376,211	0.00	0.00	0.02
Crawler Tractor/Dozer	134	\$301,263	0.00	0.00	0.02
Tractors/Loaders/Backhoes	180	\$149,895	0.00	0.00	0.01
Off-Highway Trucks	8	\$91,594	0.00	0.00	0.02
<b>Sub Total</b>	<b>641</b>	<b>\$1,172,015</b>	<b>0.00</b>	<b>0.02</b>	<b>0.08</b>
<b>Measure 51f: FTF Retrofit</b>					
Excavators	92	\$664,579	0.00	0.00	0.01
Rubber Tire Loaders	91	\$809,526	0.00	0.00	0.02
Crawler Tractor/Dozer	84	\$780,632	0.00	0.00	0.02
Tractors/Loaders/Backhoes	109	\$431,526	0.00	0.00	0.00
Off-Highway Trucks	8	\$412,171	0.00	0.00	0.02
<b>Sub Total</b>	<b>384</b>	<b>\$3,098,434</b>	<b>0.00</b>	<b>0.01</b>	<b>0.07</b>
<b>Measure 51g: DOC+SCR Retrofit</b>					
Excavators	146	\$3,479,474	0.24	0.00	0.01
Rubber Tire Loaders	146	\$4,386,974	0.28	0.00	0.02
Crawler Tractor/Dozer	107	\$3,366,579	0.16	0.00	0.02
Tractors/Loaders/Backhoes	98	\$1,427,105	0.06	0.00	0.00
Off-Highway Trucks	12	\$1,889,117	0.25	0.00	0.02
<b>Sub Total</b>	<b>509</b>	<b>\$14,549,248</b>	<b>1.00</b>	<b>0.01</b>	<b>0.07</b>
<b>Measure 51h: SCR+DPF Retrofit</b>					
Excavators	185	\$4,796,842	0.31	0.01	0.02
Rubber Tire Loaders	155	\$4,942,105	0.29	0.01	0.03
Crawler Tractor/Dozer	153	\$4,848,947	0.21	0.01	0.02
Tractors/Loaders/Backhoes	201	\$3,200,526	0.13	0.01	0.01
Off-Highway Trucks	12	\$2,060,855	0.25	0.00	0.02
<b>Sub Total</b>	<b>706</b>	<b>\$19,849,276</b>	<b>1.18</b>	<b>0.04</b>	<b>0.10</b>
<b>Grand Total</b>	<b>4,733</b>	<b>\$86,906,799</b>	<b>4.28</b>	<b>0.18</b>	<b>0.66</b>

One Example Emission Reduction Scenario for Agricultural Equipment - 2009 INDIANA

Summary Results of an Emission Reduction Scenario for Nonroad Diesel  
Agricultural Equipment in the LADCO States

2009 INDIANA

Equipment Type	Total Unit Recom.	Total Cost (\$)	Total NOx (tpd)	Total PM (tpd)	Total HC (tpd)
<b>Measure 20: Fleet Modernization (Tier 2 or Tier 3 Engines)</b>					
Agricultural Tractors	2,806	\$37,137,400	1.11	0.06	0.08
Combines	25	\$473,750	0.01	0.00	0.00
<b>Sub Total</b>	<b>2,831</b>	<b>\$37,611,150</b>	<b>1.12</b>	<b>0.06</b>	<b>0.08</b>
<b>Measure 51a: DPF Retrofit</b>					
Agricultural Tractors	3,323	\$14,783,684	0.00	0.10	0.13
Combines	116	\$793,895	0.00	0.00	0.00
<b>Sub Total</b>	<b>3,439</b>	<b>\$15,577,579</b>	<b>0.00</b>	<b>0.10</b>	<b>0.13</b>
<b>Measure 51b: Lean NOx Catalyst</b>					
Agricultural Tractors	2,028	\$25,823,789	0.45	0.07	0.07
Combines	34	\$503,158	0.00	0.00	0.00
<b>Sub Total</b>	<b>2,062</b>	<b>\$26,326,947</b>	<b>0.45</b>	<b>0.07</b>	<b>0.07</b>
<b>Measure 51c: EGR+DPF Retrofit</b>					
Agricultural Tractors	2,615	\$22,406,842	0.59	0.06	0.07
Combines	34	\$578,632	0.01	0.00	0.00
<b>Sub Total</b>	<b>2,649</b>	<b>\$22,985,474</b>	<b>0.59</b>	<b>0.06</b>	<b>0.07</b>
<b>Measure 51d: SCR Retrofit</b>					
Agricultural Tractors	1,069	\$13,591,711	0.62	0.00	0.00
Combines	0	\$0	0.00	0.00	0.00
<b>Sub Total</b>	<b>1,069</b>	<b>\$13,591,711</b>	<b>0.62</b>	<b>0.00</b>	<b>0.00</b>
<b>Measure 51e: DOC Retrofit</b>					
Agricultural Tractors	3,549	\$2,879,684	0.00	0.04	0.09
Combines	166	\$256,211	0.00	0.00	0.00
<b>Sub Total</b>	<b>3,715</b>	<b>\$3,135,895</b>	<b>0.00</b>	<b>0.04</b>	<b>0.10</b>
<b>Measure 51f: FTF Retrofit</b>					
Agricultural Tractors	2,311	\$7,256,842	0.00	0.03	0.08
Combines	34	\$226,421	0.00	0.00	0.00
<b>Sub Total</b>	<b>2,345</b>	<b>\$7,483,263</b>	<b>0.00</b>	<b>0.03</b>	<b>0.08</b>
<b>Measure 51g: DOC+SCR Retrofit</b>					
Agricultural Tractors	1,662	\$22,127,368	0.94	0.01	0.06
Combines	0	\$0	0.00	0.00	0.00
<b>Sub Total</b>	<b>1,662</b>	<b>\$22,127,368</b>	<b>0.94</b>	<b>0.01</b>	<b>0.06</b>
<b>Measure 51h: SCR+DPF Retrofit</b>					
Agricultural Tractors	2,913	\$36,055,263	1.40	0.07	0.10
Combines	34	\$754,737	0.01	0.00	0.00
<b>Sub Total</b>	<b>2,947</b>	<b>\$36,810,000</b>	<b>1.41</b>	<b>0.07</b>	<b>0.10</b>
<b>Grand Total</b>	<b>22,719</b>	<b>\$185,649,387</b>	<b>5.13</b>	<b>0.44</b>	<b>0.69</b>

One Emission Reduction Scenario Example for On-road HDDVs -2012 INDIANA

One Preliminary Scenario for On Road HDDV Strategies for INDIANA (2012 Analysis)

Technology	Project Cost-Effectiveness (\$/ton)	Estimated NOx Reductions per Vehicle (tons/year)	Estimated PM Reductions per Vehicle (tons/year)	Estimated THC Reductions per Vehicle (tons/year)	Cost per Unit (\$)	Number of Units Available	Units Recommended	Total Cost	Total NOx Reduction (tons/day)	Total PM Reduction (tons/day)	Total THC Reduction (tons/day)
<b>Measure 31: Fleet Modernization (Incentive/Voluntary Program)</b>											
<b>Diesel Engine/Vehicle Upgrades (MY 1989 Engine 6 g NOx)</b>											
MY 1989 and Earlier	\$28,769	0.16	0.000	0.001	\$35,000	7,174	-	\$0	0.00	0.00	0.00
Sub Total									0.00	0.00	0.00
<b>Diesel Engine/Vehicle Upgrades (MY 2001/2 Engine 4 g NOx)</b>											
MY 1989 and Earlier	\$9,661	0.24	0.02	0.00	\$40,000	7,174	430	\$17,216,459	0.28	0.02	0.00
MY 1990	\$11,401	0.09	0.02	0.00	\$40,000	1,329	53	\$2,126,809	0.01	0.00	0.00
MY 1991 - 1997	\$34,934	0.07	0.00	0.00	\$40,000	12,253	-	\$0	0.00	0.00	0.00
Sub Total							484	\$19,343,268	0.29	0.02	0.00
<b>Diesel Engine/Vehicle Upgrades (MY 2002/4 Engine 2.4 g NOx)</b>											
MY 1989 and Earlier	\$9,522	0.29	0.02	0.03	\$45,000	7,174	430	\$19,368,517	0.34	0.02	0.03
MY 1990	\$10,687	0.15	0.02	0.03	\$45,000	1,329	53	\$2,392,660	0.02	0.00	0.00
MY 1991 - 1997	\$19,936	0.16	0.00	0.05	\$45,000	12,253	-	\$0	0.00	0.00	0.00
MY 1998 - 2001	\$24,024	0.16	0.00	0.08	\$45,000	10,047	-	\$0	0.00	0.00	0.00
Sub Total							484	\$21,761,177	0.36	0.02	0.04
<b>Diesel Engine/Vehicle Upgrades (MY 2007 Engine 0.2 g NOx)</b>											
MY 1998 - 2001	\$11,841	0.39	0.01	0.12	\$60,000	10,047	402	\$24,113,737	0.43	0.01	0.13
MY 2002 - 2006	\$11,789	0.36	0.01	0.06	\$60,000	16,998	680	\$40,795,523	0.67	0.03	0.11
Sub Total							1,082	\$64,909,260	1.09	0.04	0.24
<b>Measure 46: Aftertreatment Device Retrofits (Incentive/Voluntary Program)</b>											
<b>DPF</b>											
MY 1989 and Earlier	\$3,250	0.00	0.02	0.04	\$9,000	7,174	717	\$6,456,172	0.00	0.03	0.07
MY 1990	\$2,759	0.00	0.02	0.04	\$9,000	1,329	133	\$1,196,330	0.00	0.01	0.02
MY 1991 - 1997	\$4,835	0.00	0.01	0.06	\$9,000	12,253	980	\$8,822,099	0.00	0.02	0.17
MY 1998 - 2001	\$4,161	0.00	0.01	0.11	\$9,000	10,047	804	\$7,234,121	0.00	0.02	0.23
MY 2002 - 2006	\$3,570	0.00	0.01	0.07	\$9,000	16,998	1,700	\$15,298,321	0.00	0.06	0.30
Sub Total							4,334	\$39,067,043	0.00	0.15	0.79
<b>Clear LNC+DPF</b>											
MY 1989 and Earlier	\$6,074	0.09	0.02	0.03	\$ 20,000	7,174	574	\$11,477,640	0.14	0.03	0.05
MY 1990	\$5,650	0.06	0.02	0.04	\$ 20,000	1,329	106	\$2,126,809	0.02	0.01	0.01
MY 1991 - 1997	\$8,033	0.08	0.01	0.06	\$ 20,000	12,253	735	\$14,703,498	0.16	0.02	0.12
MY 1998 - 2001	\$7,766	0.10	0.01	0.10	\$ 20,000	10,047	603	\$12,056,868	0.17	0.01	0.16
MY 2002 - 2006	\$7,207	0.10	0.01	0.06	\$ 20,000	16,998	1,020	\$20,397,762	0.27	0.04	0.17
Sub Total							3,838	\$60,762,577	0.76	0.10	0.51
<b>EGR+DPF Retrofit</b>											
MY 1989 and Earlier	\$6,102	0.15	0.02	0.03	\$ 23,000	7,174	574	\$13,199,286	0.23	0.03	0.05
MY 1990	\$5,886	0.10	0.02	0.04	\$ 23,000	1,329	106	\$2,445,830	0.03	0.01	0.01
MY 1991 - 1997	\$8,593	0.12	0.01	0.06	\$ 23,000	12,253	735	\$16,909,033	0.25	0.02	0.12
MY 1998 - 2001	\$7,136	0.16	0.01	0.10	\$ 23,000	10,047	603	\$13,865,399	0.27	0.01	0.16
MY 2002 - 2006	\$6,461	0.16	0.01	0.06	\$ 23,000	16,998	1,360	\$31,276,568	0.58	0.05	0.22
Sub Total							3,378	\$77,696,105	1.36	0.12	0.56
<b>SCR Retrofit</b>											
MY 1989 and Earlier	\$13,197	0.29	0.00	0.00	\$ 26,500	7,174	287	\$7,608,936	0.23	0.00	0.00
MY 1990	\$20,057	0.19	0.00	0.00	\$ 26,500	1,329	-	\$0	0.00	0.00	0.00
MY 1991 - 1997	\$15,979	0.23	0.00	0.00	\$ 26,500	12,253	-	\$0	0.00	0.00	0.00
MY 1998 - 2001	\$12,445	0.33	0.00	0.00	\$ 26,500	10,047	402	\$10,650,234	0.36	0.00	0.00
MY 2002 - 2006	\$13,569	0.31	0.00	0.00	\$ 26,500	16,998	680	\$18,018,023	0.58	0.00	0.00
Sub Total							1,369	\$36,274,193	1.17	0.00	0.00
<b>DOC Retrofit</b>											
MY 1989 and Earlier	\$1,445	0.00	0.01	0.03	\$2,000	7,174	717	\$1,434,705	0.00	0.02	0.05
MY 1990	\$1,227	0.00	0.01	0.03	\$2,000	1,329	133	\$265,851	0.00	0.00	0.01
MY 1991 - 1997	\$1,959	0.00	0.00	0.05	\$2,000	12,253	1,225	\$2,450,583	0.00	0.01	0.16
MY 1998 - 2001	\$1,590	0.00	0.00	0.08	\$2,000	10,047	1,005	\$2,009,478	0.00	0.01	0.21
MY 2002 - 2006	\$1,500	0.00	0.01	0.05	\$2,000	16,998	1,700	\$3,399,627	0.00	0.03	0.22
Sub Total							4,780	\$9,560,244	0.00	0.08	0.65
<b>FTP Retrofit</b>											
MY 1989 and Earlier	\$5,115	0.00	0.01	0.04	\$9,000	7,174	574	\$5,164,938	0.00	0.02	0.06
MY 1990	\$4,343	0.00	0.01	0.04	\$9,000	1,329	106	\$957,064	0.00	0.00	0.01
MY 1991 - 1997	\$6,767	0.00	0.01	0.06	\$9,000	12,253	980	\$8,822,099	0.00	0.01	0.17
MY 1998 - 2001	\$5,418	0.00	0.01	0.11	\$9,000	10,047	804	\$7,234,121	0.00	0.01	0.23
MY 2002 - 2006	\$5,228	0.00	0.01	0.07	\$9,000	16,998	1,360	\$12,238,657	0.00	0.03	0.24
Sub Total							3,824	\$34,416,879	0.00	0.08	0.72
<b>DOC+SCR Retrofit</b>											
MY 1989 and Earlier	\$9,365	0.29	0.01	0.03	\$27,500	7,174	430	\$11,836,316	0.35	0.01	0.03
MY 1990	\$11,411	0.19	0.01	0.03	\$27,500	1,329	53	\$1,462,181	0.03	0.00	0.00
MY 1991 - 1997	\$11,375	0.25	0.00	0.05	\$27,500	12,253	490	\$13,478,206	0.33	0.00	0.06
MY 1998 - 2001	\$8,871	0.33	0.00	0.08	\$27,500	10,047	603	\$16,578,194	0.54	0.00	0.13
MY 2002 - 2006	\$9,672	0.31	0.00	0.05	\$27,500	16,998	1,020	\$28,046,922	0.87	0.01	0.13
Sub Total							2,596	\$71,401,820	2.12	0.03	0.35
<b>SCR+DPF</b>											
MY 1989 and Earlier	\$6,391	0.29	0.02	0.03	\$30,000	7,174	574	\$17,216,459	0.46	0.03	0.05
MY 1990	\$6,708	0.19	0.02	0.04	\$30,000	1,329	106	\$3,190,213	0.06	0.01	0.01
MY 1991 - 1997	\$8,812	0.25	0.01	0.06	\$30,000	12,253	735	\$22,055,247	0.50	0.02	0.12
MY 1998 - 2001	\$7,336	0.33	0.01	0.10	\$30,000	10,047	603	\$18,085,303	0.54	0.01	0.16
MY 2002 - 2006	\$7,138	0.31	0.01	0.06	\$30,000	16,998	1,020	\$30,596,643	0.87	0.04	0.16
Sub Total							3,038	\$91,143,865	2.43	0.10	0.50
<b>Overall Projects</b>											
MY 1989 and Earlier						7,174	5,368	\$18,974,428	2.04	0.20	0.40
MY 1990						1,329	851	\$16,163,748	0.17	0.04	0.08
MY 1991 - 1997						12,253	5,881	\$7,240,755	1.23	0.11	0.22
MY 1998 - 2001						10,047	5,827	\$11,827,454	2.31	0.10	1.42
MY 2002 - 2006						16,998	10,539	\$20,068,046	3.85	0.29	1.56
MY 2007+						26,459	0	\$0	0	0	0
Total						74,260	28,407	\$26,274,431	9.59	0.74	4.37
<b>Measure 46: Midwest Clean Diesel Initiative</b>											
All Diesel Sources	\$1,492 N/A	N/A	N/A	N/A	N/A	N/A	N/A	\$4,590,688	1.85	0.31	0.26

One Emission Reduction Scenario Example for On-road HDDVs -2012 INDIANA

Sub Total							\$4,590,688	1.85	0.31	0.26
Measure 33/34/35/37: Anti-Idling Restriction on Onroad HDDVs										
Anti-Idling Restrictions										
All MY Heavy HDDVs	\$1,700	0.01	0.0002	0.001	\$7,500	74,260	21,278	\$167,085,204	0.87	0.02
Sub Total						74,260	21,278	\$167,085,204	0.87	0.02
Measure 42: Accelerate Low NOx Calibration/Reflash Program (Mandatory Phase-In)										
MY 1993-1998 Medium-HDDVs	\$371	0.04	0.00	0.00	100	12,882	7,729	\$772,900	0.81	0.00
MY 1993-1998 Heavy-HDDVs	\$110	0.13	0.00	0.00	100	11,583	9,268	\$926,766	3.39	0.00
Sub Total						24,466	16,997	\$1,699,666	4.10	-
Grand Total						74,260	67,682	\$699,649,989	16.4	1.1

Summary Results of an Emission Reduction Scenario for Nonroad Diesel  
Construction Equipment in the LADCO States

2012 INDIANA

Equipment Type	Total Unit Recom.	Total Cost (\$)	Total NOx (tpd)	Total PM (tpd)	Total HC (tpd)
<b>Measure 20: Fleet Modernization (Tier 2 or Tier 3 Engines)</b>					
Excavators	57	\$1,693,250	0.12	0.00	0.01
Rubber Tire Loaders	140	\$5,919,000	0.27	0.01	0.02
Crawler Tractor/Dozer	84	\$4,008,750	0.16	0.00	0.02
Tractors/Loaders/Backhoes	113	\$1,273,250	0.04	0.00	0.00
Off-Highway Trucks	7	\$1,386,783	0.07	0.00	0.00
<b>Sub Total</b>	<b>401</b>	<b>\$14,281,033</b>	<b>0.66</b>	<b>0.01</b>	<b>0.06</b>
<b>Measure 51a: DPF Retrofit</b>					
Excavators	506	\$3,881,842	0.00	0.02	0.04
Rubber Tire Loaders	463	\$4,125,789	0.00	0.02	0.05
Crawler Tractor/Dozer	473	\$4,728,789	0.00	0.02	0.05
Tractors/Loaders/Backhoes	688	\$2,758,737	0.00	0.02	0.03
Off-Highway Trucks	20	\$1,030,427	0.00	0.01	0.03
<b>Sub Total</b>	<b>2,150</b>	<b>\$16,525,585</b>	<b>0.00</b>	<b>0.08</b>	<b>0.19</b>
<b>Measure 51b: Lean NOx Catalyst</b>					
Excavators	314	\$5,363,158	0.13	0.01	0.03
Rubber Tire Loaders	203	\$4,182,105	0.09	0.01	0.02
Crawler Tractor/Dozer	232	\$4,777,895	0.10	0.01	0.03
Tractors/Loaders/Backhoes	381	\$3,198,947	0.06	0.01	0.02
Off-Highway Trucks	13	\$1,488,395	0.08	0.01	0.02
<b>Sub Total</b>	<b>1,143</b>	<b>\$19,010,500</b>	<b>0.45</b>	<b>0.05</b>	<b>0.11</b>
<b>Measure 51c: EGR+DPF Retrofit</b>					
Excavators	250	\$4,428,105	0.15	0.01	0.02
Rubber Tire Loaders	203	\$4,809,421	0.15	0.01	0.02
Crawler Tractor/Dozer	232	\$5,494,579	0.15	0.01	0.03
Tractors/Loaders/Backhoes	270	\$2,738,211	0.07	0.01	0.01
Off-Highway Trucks	13	\$1,711,654	0.13	0.01	0.02
<b>Sub Total</b>	<b>968</b>	<b>\$19,181,970</b>	<b>0.65</b>	<b>0.04</b>	<b>0.11</b>
<b>Measure 51d: SCR Retrofit</b>					
Excavators	159	\$3,705,816	0.24	0.00	0.00
Rubber Tire Loaders	128	\$3,852,263	0.23	0.00	0.00
Crawler Tractor/Dozer	174	\$5,440,868	0.26	0.00	0.00
Tractors/Loaders/Backhoes	86	\$1,241,316	0.05	0.00	0.00
Off-Highway Trucks	20	\$3,034,036	0.37	0.00	0.00
<b>Sub Total</b>	<b>567</b>	<b>\$17,274,299</b>	<b>1.16</b>	<b>0.00</b>	<b>0.00</b>
<b>Measure 51e: DOC Retrofit</b>					
Excavators	115	\$175,263	0.00	0.00	0.01
Rubber Tire Loaders	149	\$296,737	0.00	0.00	0.02
Crawler Tractor/Dozer	107	\$210,737	0.00	0.00	0.01
Tractors/Loaders/Backhoes	162	\$134,105	0.00	0.00	0.01
Off-Highway Trucks	4	\$45,797	0.00	0.00	0.01
<b>Sub Total</b>	<b>537</b>	<b>\$862,639</b>	<b>0.00</b>	<b>0.01</b>	<b>0.05</b>
<b>Measure 51f: FTF Retrofit</b>					
Excavators	322	\$2,455,105	0.00	0.01	0.03
Rubber Tire Loaders	305	\$2,812,737	0.00	0.01	0.04
Crawler Tractor/Dozer	312	\$3,089,368	0.00	0.01	0.04
Tractors/Loaders/Backhoes	565	\$2,201,211	0.00	0.01	0.03
Off-Highway Trucks	20	\$1,030,427	0.00	0.00	0.03
<b>Sub Total</b>	<b>1,524</b>	<b>\$11,588,848</b>	<b>0.00</b>	<b>0.04</b>	<b>0.16</b>
<b>Measure 51g: DOC+SCR Retrofit</b>					
Excavators	250	\$5,294,474	0.31	0.00	0.02
Rubber Tire Loaders	251	\$7,734,737	0.42	0.00	0.03
Crawler Tractor/Dozer	174	\$5,646,184	0.26	0.00	0.02
Tractors/Loaders/Backhoes	212	\$3,184,211	0.13	0.00	0.01
Off-Highway Trucks	20	\$3,148,528	0.37	0.00	0.02
<b>Sub Total</b>	<b>907</b>	<b>\$25,008,133</b>	<b>1.50</b>	<b>0.01</b>	<b>0.09</b>
<b>Measure 51h: SCR+DPF Retrofit</b>					
Excavators	330	\$8,557,895	0.44	0.01	0.03
Rubber Tire Loaders	305	\$9,375,789	0.45	0.01	0.04
Crawler Tractor/Dozer	312	\$10,297,895	0.42	0.01	0.03
Tractors/Loaders/Backhoes	404	\$6,669,474	0.24	0.02	0.02
Off-Highway Trucks	20	\$3,434,758	0.37	0.01	0.02
<b>Sub Total</b>	<b>1,371</b>	<b>\$38,335,810</b>	<b>1.92</b>	<b>0.07</b>	<b>0.15</b>
<b>Grand Total</b>	<b>9,568</b>	<b>\$162,068,819</b>	<b>6.36</b>	<b>0.32</b>	<b>0.92</b>

## One Example Emission Reduction Scenario for Agricultural Equipment - 2012 INDIANA

Summary Results of an Emission Reduction Scenario for Nonroad Diesel  
Agricultural Equipment in the LADCO States

2012 INDIANA

Equipment Type	Total Unit Recom.	Total Cost (\$)	Total NOx (tpd)	Total PM (tpd)	Total HC (tpd)
<b>Measure 20: Fleet Modernization (Tier 2 or Tier 3 Engines)</b>					
Agricultural Tractors	5,604	\$77,336,700	2.27	0.12	0.20
Combines	63	\$2,155,000	0.03	0.00	0.00
<b>Sub Total</b>	<b>5,667</b>	<b>\$79,491,700</b>	<b>2.30</b>	<b>0.12</b>	<b>0.20</b>
<b>Measure 51a: DPF Retrofit</b>					
Agricultural Tractors	7,155	\$39,117,316	0.00	0.20	0.35
Combines	351	\$2,416,263	0.00	0.01	0.00
<b>Sub Total</b>	<b>7,506</b>	<b>\$41,533,579</b>	<b>0.00</b>	<b>0.20</b>	<b>0.35</b>
<b>Measure 51b: Lean NOx Catalyst</b>					
Agricultural Tractors	3,913	\$52,090,211	0.88	0.14	0.17
Combines	63	\$998,947	0.01	0.00	0.00
<b>Sub Total</b>	<b>3,976</b>	<b>\$53,089,158</b>	<b>0.89</b>	<b>0.14</b>	<b>0.17</b>
<b>Measure 51c: EGR+DPF Retrofit</b>					
Agricultural Tractors	4,590	\$41,211,158	1.03	0.11	0.17
Combines	63	\$1,148,789	0.01	0.00	0.00
<b>Sub Total</b>	<b>4,653</b>	<b>\$42,359,947</b>	<b>1.05</b>	<b>0.11</b>	<b>0.17</b>
<b>Measure 51d: SCR Retrofit</b>					
Agricultural Tractors	1,984	\$26,347,974	1.15	0.00	0.00
Combines	0	\$0	0.00	0.00	0.00
<b>Sub Total</b>	<b>1,984</b>	<b>\$26,347,974</b>	<b>1.15</b>	<b>0.00</b>	<b>0.00</b>
<b>Measure 51e: DOC Retrofit</b>					
Agricultural Tractors	5,521	\$5,189,263	0.00	0.06	0.22
Combines	452	\$681,684	0.00	0.00	0.00
<b>Sub Total</b>	<b>5,973</b>	<b>\$5,870,947</b>	<b>0.00</b>	<b>0.06</b>	<b>0.23</b>
<b>Measure 51f: FTF Retrofit</b>					
Agricultural Tractors	5,795	\$24,122,842	0.00	0.08	0.31
Combines	87	\$574,579	0.00	0.00	0.00
<b>Sub Total</b>	<b>5,882</b>	<b>\$24,697,421</b>	<b>0.00</b>	<b>0.08</b>	<b>0.31</b>
<b>Measure 51g: DOC+SCR Retrofit</b>					
Agricultural Tractors	3,121	\$38,343,684	1.58	0.02	0.12
Combines	0	\$0	0.00	0.00	0.00
<b>Sub Total</b>	<b>3,121</b>	<b>\$38,343,684</b>	<b>1.58</b>	<b>0.02</b>	<b>0.12</b>
<b>Measure 51h: SCR+DPF Retrofit</b>					
Agricultural Tractors	5,479	\$74,998,421	2.78	0.12	0.25
Combines	63	\$1,498,421	0.02	0.00	0.00
<b>Sub Total</b>	<b>5,542</b>	<b>\$76,496,842</b>	<b>2.80</b>	<b>0.12</b>	<b>0.25</b>
<b>Grand Total</b>	<b>44,304</b>	<b>\$388,231,253</b>	<b>9.77</b>	<b>0.86</b>	<b>1.81</b>

One Emission Reduction Scenario Example for On-road HDDVs -2009 MICHIGAN

One Preliminary Scenario for On Road HDDV Strategies for MICHIGAN (2009 Analysis)

Technology	Project Cost-Effectiveness (\$/ton)	Estimated NOx Reductions per Vehicle (tons/year)	Estimated PM Reductions per Vehicle (tons/year)	Estimated THC Reductions per Vehicle (tons/year)	Cost per Unit (\$)	Number of Units Available	Units Recommended	Total Cost	Total NOx Reduction (tons/day)	Total PM Reduction (tons/day)	Total THC Reduction (tons/day)
<b>Measure 31: Fleet Modernization (Incentive/Voluntary Program)</b>											
<b>Diesel Engine/Vehicle Upgrades (MY 1990 Engine 6 g NOx)</b>											
MY 1989 and Earlier	\$26,154	0.18	0.000	0.001	\$35,000	10,079	-	\$0	0.00	0.00	0.00
Sub Total									0.00	0.00	0.00
<b>Diesel Engine/Vehicle Upgrades (MY 2001/2 Engine 4 g NOx)</b>											
MY 1989 and Earlier	\$8,783	0.26	0.02	0.00	\$40,000	10,079	302	\$12,094,988	0.22	0.02	0.00
MY 1990	\$8,551	0.12	0.03	0.00	\$40,000	1,433	43	\$1,720,002	0.01	0.00	0.00
MY 1991 - 1997	\$25,529	0.09	0.01	0.00	\$40,000	13,195	-	\$0	0.00	0.00	0.00
Sub Total							345	13,814,990	0.23	0.02	0.00
<b>Diesel Engine/Vehicle Upgrades (MY 2002/4 Engine 2.4 g NOx)</b>											
MY 1989 and Earlier	\$8,657	0.22	0.02	0.03	\$45,000	10,079	302	\$13,606,802	0.20	0.02	0.03
MY 1990	\$8,015	0.20	0.03	0.04	\$45,000	1,433	43	\$1,925,000	0.02	0.00	0.01
MY 1991 - 1997	\$14,569	0.22	0.01	0.07	\$45,000	13,195	-	\$0	0.00	0.00	0.00
MY 1998 - 2001	\$17,472	0.22	0.00	0.11	\$45,000	10,825	-	\$0	0.00	0.00	0.00
Sub Total							345	15,541,864	0.29	0.02	0.03
<b>Diesel Engine/Vehicle Upgrades (MY 2007 Engine 0.2 g NOx)</b>											
MY 1998 - 2001	\$8,611	0.53	0.01	0.16	\$60,000	10,825	325	19,485,497	0.47	0.01	0.14
MY 2002 - 2006	\$8,587	0.49	0.02	0.08	\$60,000	18,313	545	32,962,770	0.74	0.03	0.12
Sub Total							874	52,448,267	1.21	0.04	0.27
<b>Measure 46: Aftertreatment Device Retrofits (Incentive/Voluntary Program)</b>											
<b>DPF</b>											
MY 1989 and Earlier	\$2,954	0.00	0.02	0.04	\$9,000	10,079	504	\$4,535,621	0.00	0.03	0.05
MY 1990	\$2,069	0.00	0.03	0.06	\$9,000	1,433	72	\$645,001	0.00	0.01	0.01
MY 1991 - 1997	\$3,534	0.00	0.01	0.09	\$9,000	13,195	660	\$5,937,540	0.00	0.02	0.16
MY 1998 - 2001	\$3,026	0.00	0.01	0.15	\$9,000	10,825	541	\$4,871,374	0.00	0.02	0.22
MY 2002 - 2006	\$2,601	0.00	0.02	0.09	\$9,000	18,313	916	\$8,240,693	0.00	0.05	0.22
Sub Total							2,692	\$24,230,228	0.00	0.12	0.66
<b>Cleaire LNC+DPF</b>											
MY 1989 and Earlier	\$5,536	0.10	0.02	0.04	\$ 20,000	10,079	403	\$8,063,326	0.11	0.02	0.04
MY 1990	\$4,281	0.08	0.03	0.05	\$ 20,000	1,433	57	\$1,146,668	0.01	0.00	0.01
MY 1991 - 1997	\$6,645	0.11	0.01	0.08	\$ 20,000	13,195	528	\$10,555,626	0.15	0.02	0.12
MY 1998 - 2001	\$5,816	0.14	0.01	0.12	\$ 20,000	10,825	433	\$8,660,221	0.17	0.01	0.16
MY 2002 - 2006	\$5,487	0.13	0.02	0.08	\$ 20,000	18,313	733	\$14,650,120	0.27	0.04	0.16
Sub Total							2,154	43,075,960	0.71	0.10	0.49
<b>EGR+DPF Retrofit</b>											
MY 1989 and Earlier	\$5,548	0.16	0.02	0.04	\$ 23,000	10,079	403	\$9,272,824	0.18	0.02	0.04
MY 1990	\$4,415	0.13	0.03	0.05	\$ 23,000	1,433	57	\$1,318,668	0.02	0.00	0.01
MY 1991 - 1997	\$6,281	0.17	0.01	0.08	\$ 23,000	13,195	528	\$12,138,970	0.24	0.02	0.12
MY 1998 - 2001	\$5,192	0.23	0.01	0.13	\$ 23,000	10,825	433	\$9,959,254	0.27	0.01	0.16
MY 2002 - 2006	\$4,710	0.21	0.02	0.08	\$ 23,000	18,313	733	\$16,847,638	0.43	0.04	0.16
Sub Total							2,154	49,537,354	1.14	0.10	0.49
<b>SCR Retrofit</b>											
MY 1989 and Earlier	\$12,002	0.32	0.00	0.00	\$ 26,500	10,079	202	\$5,341,953	0.18	0.00	0.00
MY 1990	\$15,139	0.26	0.00	0.00	\$ 26,500	1,433	-	\$0	0.00	0.00	0.00
MY 1991 - 1997	\$11,810	0.34	0.00	0.00	\$ 26,500	13,195	264	\$6,993,102	0.24	0.00	0.00
MY 1998 - 2001	\$9,224	0.45	0.00	0.00	\$ 26,500	10,825	325	\$8,606,095	0.40	0.00	0.00
MY 2002 - 2006	\$10,173	0.43	0.00	0.00	\$ 26,500	18,313	366	\$9,705,705	0.43	0.00	0.00
Sub Total							1,156	30,646,855	1.25	0.00	0.00
<b>DOC Retrofit</b>											
MY 1989 and Earlier	\$1,314	0.00	0.01	0.03	\$2,000	10,079	504	\$1,007,916	0.00	0.01	0.04
MY 1990	\$920	0.00	0.01	0.04	\$2,000	1,433	72	\$143,333	0.00	0.00	0.01
MY 1991 - 1997	\$1,431	0.00	0.01	0.06	\$2,000	13,195	660	\$1,319,453	0.00	0.01	0.12
MY 1998 - 2001	\$1,156	0.00	0.01	0.11	\$2,000	10,825	541	\$1,082,528	0.00	0.01	0.16
MY 2002 - 2006	\$1,093	0.00	0.01	0.06	\$2,000	18,313	916	\$1,831,265	0.00	0.02	0.16
Sub Total							2,692	5,384,495	0.00	0.06	0.48
<b>FFP Retrofit</b>											
MY 1989 and Earlier	\$4,650	0.00	0.01	0.04	\$9,000	10,079	403	\$3,628,496	0.00	0.01	0.04
MY 1990	\$3,257	0.00	0.02	0.06	\$9,000	1,433	72	\$645,001	0.00	0.00	0.01
MY 1991 - 1997	\$4,945	0.00	0.01	0.09	\$9,000	13,195	528	\$4,750,032	0.00	0.01	0.13
MY 1998 - 2001	\$3,941	0.00	0.01	0.15	\$9,000	10,825	541	\$4,871,374	0.00	0.01	0.22
MY 2002 - 2006	\$3,809	0.00	0.01	0.09	\$9,000	18,313	916	\$8,240,693	0.00	0.03	0.22
Sub Total							2,460	22,135,596	0.00	0.06	0.62
<b>DOC+SCR Retrofit</b>											
MY 1989 and Earlier	\$8,517	0.32	0.01	0.03	\$27,500	10,079	302	\$8,315,304	0.27	0.00	0.02
MY 1990	\$8,611	0.26	0.01	0.04	\$27,500	1,433	43	\$1,182,501	0.03	0.00	0.00
MY 1991 - 1997	\$8,404	0.34	0.00	0.06	\$27,500	13,195	396	\$10,885,489	0.37	0.00	0.07
MY 1998 - 2001	\$6,571	0.45	0.004	0.10	\$27,500	10,825	433	\$11,907,804	0.53	0.00	0.12
MY 2002 - 2006	\$7,245	0.43	0.006	0.06	\$27,500	18,313	549	\$15,107,936	0.65	0.01	0.10
Sub Total							1,724	47,399,035	1.84	0.02	0.32
<b>SCR+DPF</b>											
MY 1989 and Earlier	\$5,812	0.32	0.02	0.04	\$30,000	10,079	403	\$12,094,988	0.36	0.02	0.04
MY 1990	\$5,060	0.26	0.03	0.05	\$30,000	1,433	57	\$1,720,002	0.04	0.00	0.01
MY 1991 - 1997	\$6,585	0.34	0.01	0.08	\$30,000	13,195	528	\$15,833,439	0.49	0.02	0.12
MY 1998 - 2001	\$5,427	0.45	0.01	0.13	\$30,000	10,825	433	\$12,990,332	0.53	0.01	0.16
MY 2002 - 2006	\$5,335	0.43	0.02	0.08	\$30,000	18,313	733	\$21,975,180	0.86	0.04	0.16
Sub Total							2,154	64,613,941	2.28	0.10	0.48
<b>Overall Projects</b>											
MY 1989 and Earlier						10,079	3,729	77,902,239	1.57	0.15	0.31
MY 1990						1,433	516	10,456,176	0.14	0.03	0.06
MY 1991 - 1997						13,195	4,090	68,413,652	1.50	0.10	0.82
MY 1998 - 2001						10,825	4,005	82,434,479	2.37	0.09	1.33
MY 2002 - 2006						18,313	6,409	129,561,999	3.38	0.25	1.32
MY 2007+						11,694	0	0	0	0	0
Total						65,449	18,750	368,828,585	8.96	0.63	3.84
<b>Measure 46: Midwest Clean Diesel Initiative</b>											
All Diesel Sources	\$1,492	N/A	N/A	N/A	N/A	N/A	N/A	\$4,830,102	1.95	0.33	0.27

One Emission Reduction Scenario Example for On-road HDDVs -2009 MICHIGAN

Sub Total											\$4,830,102	1.95	0.33	0.37	
Measure 33/34/35/37: Anti-Idling Restriction on Onroad HDDVs															
Anti-Idling Restrictions															
All MY Heavy HDDVs	\$1,700	0.04	0.000	0.002	\$7,500	65,449	9,817	\$73,630,205	1.05	0.01	0.05				
Sub Total						65,449	9,817	\$73,630,205	1.08	0.01	0.06				
Measure 42: Accelerate Low NOx Calibration/Reflash Program (Mandatory Phase-In)															
Diesel Engine Reflash (MY 1993-1998 Engines)															
MY 1993-1998 Medium-HDDVs	\$371	0.04	0.00	0.00	\$100	13,264	8,318	\$831,844	0.88	0.00	0.00				
MY 1993-1998 Heavy-HDDVs	\$110	0.13	0.00	0.00	\$100	12,468	9,974	\$997,444	3.54	0.00	0.00				
Sub Total							18,293	1,829,288	4.41	-	-				
Grand Total						65,449	46,861	\$449,118,179	16.4	1.0	4.2				

Summary Results of an Emission Reduction Scenario for Nonroad Diesel  
Construction Equipment in the LADCO States

2009 MICHIGAN

Equipment Type	Total Unit Recom.	Total Cost (\$)	Total NOx (tpd)	Total PM (tpd)	Total HC (tpd)
<b>Measure 20: Fleet Modernization (Tier 2 or Tier 3 Engines)</b>					
Excavators	97	\$2,301,500	0.18	0.00	0.02
Rubber Tire Loaders	108	\$3,860,250	0.18	0.00	0.02
Crawler Tractor/Dozer	74	\$2,978,250	0.12	0.01	0.02
Tractors/Loaders/Backhoes	171	\$1,813,750	0.05	0.00	0.00
Off-Highway Trucks	8	\$1,305,208	0.09	0.00	0.00
<b>Sub Total</b>	<b>458</b>	<b>\$12,258,958</b>	<b>0.62</b>	<b>0.02</b>	<b>0.06</b>
<b>Measure 51a: DPF Retrofit</b>					
Excavators	169	\$1,258,579	0.00	0.01	0.02
Rubber Tire Loaders	192	\$1,873,895	0.00	0.01	0.03
Crawler Tractor/Dozer	125	\$1,269,947	0.00	0.01	0.02
Tractors/Loaders/Backhoes	455	\$1,751,211	0.00	0.02	0.02
Off-Highway Trucks	10	\$515,214	0.00	0.00	0.03
<b>Sub Total</b>	<b>951</b>	<b>\$6,668,845</b>	<b>0.00</b>	<b>0.04</b>	<b>0.12</b>
<b>Measure 51b: Lean NOx Catalyst</b>					
Excavators	145	\$2,518,947	0.07	0.01	0.02
Rubber Tire Loaders	124	\$2,467,368	0.07	0.01	0.02
Crawler Tractor/Dozer	110	\$2,413,684	0.05	0.01	0.02
Tractors/Loaders/Backhoes	413	\$3,434,737	0.06	0.01	0.02
Off-Highway Trucks	10	\$1,144,919	0.07	0.00	0.02
<b>Sub Total</b>	<b>802</b>	<b>\$11,979,656</b>	<b>0.33</b>	<b>0.04</b>	<b>0.10</b>
<b>Measure 51c: EGR+DPF Retrofit</b>					
Excavators	145	\$2,896,789	0.12	0.01	0.02
Rubber Tire Loaders	138	\$3,303,526	0.12	0.01	0.02
Crawler Tractor/Dozer	110	\$2,775,737	0.08	0.01	0.02
Tractors/Loaders/Backhoes	269	\$2,729,737	0.07	0.01	0.01
Off-Highway Trucks	10	\$1,316,657	0.11	0.00	0.02
<b>Sub Total</b>	<b>672</b>	<b>\$13,022,447</b>	<b>0.50</b>	<b>0.03</b>	<b>0.09</b>
<b>Measure 51d: SCR Retrofit</b>					
Excavators	109	\$2,502,158	0.20	0.00	0.00
Rubber Tire Loaders	100	\$2,761,579	0.18	0.00	0.00
Crawler Tractor/Dozer	101	\$3,060,053	0.15	0.00	0.00
Tractors/Loaders/Backhoes	127	\$1,803,395	0.08	0.00	0.00
Off-Highway Trucks	14	\$2,123,825	0.30	0.00	0.00
<b>Sub Total</b>	<b>451</b>	<b>\$12,251,009</b>	<b>0.91</b>	<b>0.00</b>	<b>0.00</b>
<b>Measure 51e: DOC Retrofit</b>					
Excavators	145	\$251,895	0.00	0.00	0.01
Rubber Tire Loaders	192	\$416,421	0.00	0.00	0.02
Crawler Tractor/Dozer	125	\$282,211	0.00	0.00	0.02
Tractors/Loaders/Backhoes	413	\$343,474	0.00	0.01	0.01
Off-Highway Trucks	10	\$114,492	0.00	0.00	0.02
<b>Sub Total</b>	<b>885</b>	<b>\$1,408,492</b>	<b>0.00</b>	<b>0.02</b>	<b>0.09</b>
<b>Measure 51f: FTF Retrofit</b>					
Excavators	92	\$664,579	0.00	0.00	0.01
Rubber Tire Loaders	99	\$870,632	0.00	0.00	0.02
Crawler Tractor/Dozer	81	\$761,211	0.00	0.00	0.01
Tractors/Loaders/Backhoes	249	\$986,684	0.00	0.00	0.01
Off-Highway Trucks	10	\$515,214	0.00	0.00	0.03
<b>Sub Total</b>	<b>531</b>	<b>\$3,798,319</b>	<b>0.00</b>	<b>0.02</b>	<b>0.08</b>
<b>Measure 51g: DOC+SCR Retrofit</b>					
Excavators	145	\$3,463,553	0.24	0.00	0.01
Rubber Tire Loaders	163	\$4,908,026	0.31	0.00	0.02
Crawler Tractor/Dozer	101	\$3,175,526	0.15	0.00	0.02
Tractors/Loaders/Backhoes	221	\$3,211,711	0.14	0.00	0.01
Off-Highway Trucks	14	\$2,203,970	0.30	0.00	0.02
<b>Sub Total</b>	<b>644</b>	<b>\$16,962,785</b>	<b>1.14</b>	<b>0.01</b>	<b>0.08</b>
<b>Measure 51h: SCR+DPF Retrofit</b>					
Excavators	184	\$4,779,474	0.31	0.01	0.02
Rubber Tire Loaders	174	\$5,545,263	0.32	0.01	0.03
Crawler Tractor/Dozer	142	\$4,528,421	0.20	0.01	0.02
Tractors/Loaders/Backhoes	464	\$7,389,474	0.29	0.02	0.02
Off-Highway Trucks	14	\$2,404,330	0.30	0.01	0.03
<b>Sub Total</b>	<b>978</b>	<b>\$24,646,962</b>	<b>1.41</b>	<b>0.05</b>	<b>0.12</b>
<b>Grand Total</b>	<b>6,372</b>	<b>\$102,997,474</b>	<b>4.92</b>	<b>0.23</b>	<b>0.75</b>

## One Example Emission Reduction Scenario for Agricultural Equipment - 2009 MICHIGAN

Summary Results of an Emission Reduction Scenario for Nonroad Diesel  
Agricultural Equipment in the LADCO States

2009 MICHIGAN

Equipment Type	Total Unit Recom.	Total Cost (\$)	Total NOx (tpd)	Total PM (tpd)	Total HC (tpd)
<b>Measure 20: Fleet Modernization (Tier 2 or Tier 3 Engines)</b>					
Agricultural Tractors	1,561	\$20,671,200	0.62	0.04	0.05
Combines	14	\$262,500	0.00	0.00	0.00
<b>Sub Total</b>	<b>1,575</b>	<b>\$20,933,700</b>	<b>0.62</b>	<b>0.04</b>	<b>0.05</b>
<b>Measure 51a: DPF Retrofit</b>					
Agricultural Tractors	1,850	\$8,237,842	0.00	0.05	0.07
Combines	64	\$439,579	0.00	0.00	0.00
<b>Sub Total</b>	<b>1,914</b>	<b>\$8,677,421</b>	<b>0.00</b>	<b>0.05</b>	<b>0.07</b>
<b>Measure 51b: Lean NOx Catalyst</b>					
Agricultural Tractors	1,130	\$14,391,789	0.25	0.04	0.04
Combines	19	\$278,947	0.00	0.00	0.00
<b>Sub Total</b>	<b>1,149</b>	<b>\$14,670,737</b>	<b>0.25</b>	<b>0.04</b>	<b>0.04</b>
<b>Measure 51c: EGR+DPF Retrofit</b>					
Agricultural Tractors	1,455	\$12,469,632	0.33	0.03	0.04
Combines	19	\$320,789	0.00	0.00	0.00
<b>Sub Total</b>	<b>1,474</b>	<b>\$12,790,421</b>	<b>0.33</b>	<b>0.03</b>	<b>0.04</b>
<b>Measure 51d: SCR Retrofit</b>					
Agricultural Tractors	596	\$7,585,974	0.35	0.00	0.00
Combines	0	\$0	0.00	0.00	0.00
<b>Sub Total</b>	<b>596</b>	<b>\$7,585,974</b>	<b>0.35</b>	<b>0.00</b>	<b>0.00</b>
<b>Measure 51e: DOC Retrofit</b>					
Agricultural Tractors	1,974	\$1,601,053	0.00	0.02	0.05
Combines	92	\$141,895	0.00	0.00	0.00
<b>Sub Total</b>	<b>2,066</b>	<b>\$1,742,947</b>	<b>0.00</b>	<b>0.02</b>	<b>0.05</b>
<b>Measure 51f: FTF Retrofit</b>					
Agricultural Tractors	1,286	\$4,042,421	0.00	0.02	0.04
Combines	19	\$125,526	0.00	0.00	0.00
<b>Sub Total</b>	<b>1,305</b>	<b>\$4,167,947</b>	<b>0.00</b>	<b>0.02</b>	<b>0.04</b>
<b>Measure 51g: DOC+SCR Retrofit</b>					
Agricultural Tractors	925	\$12,331,579	0.52	0.01	0.04
Combines	0	\$0	0.00	0.00	0.00
<b>Sub Total</b>	<b>925</b>	<b>\$12,331,579</b>	<b>0.52</b>	<b>0.01</b>	<b>0.04</b>
<b>Measure 51h: SCR+DPF Retrofit</b>					
Agricultural Tractors	1,622	\$20,074,737	0.78	0.04	0.05
Combines	19	\$418,421	0.01	0.00	0.00
<b>Sub Total</b>	<b>1,641</b>	<b>\$20,493,158</b>	<b>0.79</b>	<b>0.04</b>	<b>0.05</b>
<b>Grand Total</b>	<b>12,645</b>	<b>\$103,393,884</b>	<b>2.86</b>	<b>0.25</b>	<b>0.39</b>

One Emission Reduction Scenario Example for On-road HDDVs - 2012 MICHIGAN

One Preliminary Scenario for On Road HDDV Strategies for MICHIGAN (2012 Analysis)

Technology	Project Cost-Effectiveness (\$/ton)	Estimated NOx Reductions per Vehicle (tons/year)	Estimated PM Reductions per Vehicle (tons/year)	Estimated THC Reductions per Vehicle (tons/year)	Cost per Unit (\$)	Number of Units Available	Units Recommended	Total Cost	Total NOx Reduction (tons/day)	Total PM Reduction (tons/day)	Total THC Reduction (tons/day)
<b>Measure 31: Fleet Modernization (Incentive/Voluntary Program)</b>											
<b>Diesel Engine/Vehicle Upgrades (MY 1990 Engine 6 g NOx)</b>											
MY 1989 and Earlier	\$28,769	0.16	0.000	0.001	\$35,000	6,709	-	\$0	0.00	0.00	0.00
Sub Total									0.00	0.00	0.00
<b>Diesel Engine/Vehicle Upgrades (MY 2001/2 Engine 4 g NOx)</b>											
MY 1989 and Earlier	\$9,661	0.24	0.02	0.00	\$40,000	6,709	403	\$16,102,465	0.26	0.02	0.00
MY 1990	\$11,401	0.09	0.02	0.00	\$40,000	1,243	50	\$1,989,193	0.01	0.00	0.00
MY 1991 - 1997	\$34,934	0.07	0.00	0.00	\$40,000	11,460	-	\$0	0.00	0.00	0.00
Sub Total							452	16,091,659	0.28	0.02	0.00
<b>Diesel Engine/Vehicle Upgrades (MY 2002/4 Engine 2.4 g NOx)</b>											
MY 1989 and Earlier	\$9,522	0.29	0.02	0.03	\$45,000	6,709	403	\$18,115,273	0.22	0.02	0.03
MY 1990	\$10,687	0.15	0.02	0.03	\$45,000	1,243	50	\$2,237,843	0.02	0.00	0.00
MY 1991 - 1997	\$19,936	0.16	0.00	0.05	\$45,000	11,460	-	\$0	0.00	0.00	0.00
MY 1998 - 2001	\$24,024	0.16	0.00	0.08	\$45,000	9,397	-	\$0	0.00	0.00	0.00
Sub Total							452	20,353,116	0.34	0.02	0.04
<b>Diesel Engine/Vehicle Upgrades (MY 2007 Engine 0.2 g NOx)</b>											
MY 1998 - 2001	\$11,841	0.39	0.01	0.12	\$60,000	9,397	376	\$22,553,453	0.40	0.01	0.12
MY 2002 - 2006	\$11,789	0.36	0.01	0.06	\$60,000	15,898	636	\$6,155,841	0.62	0.03	0.10
Sub Total							1,012	60,709,294	1.02	0.03	0.22
<b>Measure 46: Aftertreatment Device Retrofits (Incentive/Voluntary Program)</b>											
<b>DPF</b>											
MY 1989 and Earlier	\$3,250	0.00	0.02	0.04	\$9,000	6,709	671	\$6,038,424	0.00	0.03	0.07
MY 1990	\$2,759	0.00	0.02	0.04	\$9,000	1,243	124	\$1,118,921	0.00	0.01	0.01
MY 1991 - 1997	\$4,835	0.00	0.01	0.06	\$9,000	11,460	917	\$8,251,263	0.00	0.02	0.16
MY 1998 - 2001	\$4,161	0.00	0.01	0.11	\$9,000	9,397	752	\$6,766,036	0.00	0.02	0.22
MY 2002 - 2006	\$3,570	0.00	0.01	0.07	\$9,000	15,898	1,590	\$14,308,441	0.00	0.06	0.28
Sub Total							4,054	\$36,483,085	0.00	0.14	0.74
<b>Clearance LNC+DPF</b>											
MY 1989 and Earlier	\$6,074	0.09	0.02	0.03	\$ 20,000	6,709	537	\$10,734,977	0.13	0.03	0.05
MY 1990	\$5,650	0.06	0.02	0.04	\$ 20,000	1,243	99	\$1,989,193	0.02	0.01	0.01
MY 1991 - 1997	\$8,933	0.08	0.01	0.06	\$ 20,000	11,460	688	\$13,752,105	0.15	0.02	0.11
MY 1998 - 2001	\$7,656	0.10	0.01	0.10	\$ 20,000	9,397	564	\$11,276,726	0.16	0.01	0.15
MY 2002 - 2006	\$7,207	0.10	0.01	0.06	\$ 20,000	15,898	954	\$19,077,921	0.26	0.04	0.16
Sub Total							2,842	\$6,830,923	0.71	0.10	0.47
<b>EGR+DPF Retrofit</b>											
MY 1989 and Earlier	\$6,102	0.15	0.02	0.03	\$ 23,000	6,709	537	\$12,345,223	0.22	0.03	0.05
MY 1990	\$5,886	0.10	0.02	0.04	\$ 23,000	1,243	99	\$2,387,572	0.03	0.01	0.01
MY 1991 - 1997	\$8,593	0.12	0.01	0.06	\$ 23,000	11,460	688	\$15,814,921	0.23	0.02	0.11
MY 1998 - 2001	\$7,136	0.16	0.01	0.10	\$ 23,000	9,397	564	\$12,968,235	0.25	0.01	0.15
MY 2002 - 2006	\$6,461	0.16	0.01	0.06	\$ 23,000	15,898	1,272	\$29,252,812	0.54	0.05	0.21
Sub Total							3,160	72,668,764	1.27	0.11	0.53
<b>SCR Retrofit</b>											
MY 1989 and Earlier	\$13,197	0.29	0.00	0.00	\$ 26,500	6,709	268	\$7,111,922	0.22	0.00	0.00
MY 1990	\$20,057	0.19	0.00	0.00	\$ 26,500	1,243	-	\$0	0.00	0.00	0.00
MY 1991 - 1997	\$15,979	0.25	0.00	0.00	\$ 26,500	11,460	-	\$0	0.00	0.00	0.00
MY 1998 - 2001	\$12,445	0.33	0.00	0.00	\$ 26,500	9,397	376	\$9,961,108	0.34	0.00	0.00
MY 2002 - 2006	\$13,569	0.31	0.00	0.00	\$ 26,500	15,898	636	\$16,852,163	0.54	0.00	0.00
Sub Total							1,280	\$33,925,194	1.10	0.00	0.00
<b>DOC Retrofit</b>											
MY 1989 and Earlier	\$1,445	0.00	0.01	0.03	\$2,000	6,709	671	\$1,341,872	0.00	0.02	0.05
MY 1990	\$1,227	0.00	0.01	0.03	\$2,000	1,243	124	\$248,649	0.00	0.00	0.01
MY 1991 - 1997	\$1,959	0.00	0.00	0.05	\$2,000	11,460	1,146	\$2,292,015	0.00	0.01	0.15
MY 1998 - 2001	\$1,590	0.00	0.00	0.08	\$2,000	9,397	940	\$1,879,454	0.00	0.01	0.20
MY 2002 - 2006	\$1,500	0.00	0.01	0.05	\$2,000	15,898	1,590	\$3,179,653	0.00	0.03	0.21
Sub Total							4,471	\$8,941,647	0.00	0.07	0.61
<b>FTF Retrofit</b>											
MY 1989 and Earlier	\$5,115	0.00	0.01	0.04	\$9,000	6,709	537	\$4,830,740	0.00	0.02	0.05
MY 1990	\$4,343	0.00	0.01	0.04	\$9,000	1,243	99	\$895,137	0.00	0.00	0.01
MY 1991 - 1997	\$6,767	0.00	0.01	0.06	\$9,000	11,460	917	\$8,251,263	0.00	0.01	0.16
MY 1998 - 2001	\$5,418	0.00	0.01	0.11	\$9,000	9,397	752	\$6,766,036	0.00	0.01	0.22
MY 2002 - 2006	\$5,228	0.00	0.01	0.07	\$9,000	15,898	1,272	\$11,446,752	0.00	0.03	0.23
Sub Total							3,577	\$21,889,928	0.00	0.07	0.67
<b>DOC+SCR Retrofit</b>											
MY 1989 and Earlier	\$9,365	0.29	0.01	0.03	\$27,500	6,709	403	\$11,070,445	0.32	0.01	0.03
MY 1990	\$11,411	0.19	0.01	0.03	\$27,500	1,243	50	\$1,367,570	0.03	0.00	0.00
MY 1991 - 1997	\$11,375	0.25	0.00	0.05	\$27,500	11,460	458	\$12,606,097	0.31	0.00	0.06
MY 1998 - 2001	\$8,871	0.33	0.003	0.08	\$27,500	9,397	564	\$15,505,499	0.50	0.00	0.12
MY 2002 - 2006	\$9,672	0.31	0.004	0.05	\$27,500	15,898	954	\$26,232,141	0.82	0.01	0.12
Sub Total							2,428	66,781,752	1.98	0.02	0.33
<b>SCR+DPF</b>											
MY 1989 and Earlier	\$6,391	0.29	0.02	0.03	\$30,000	6,709	537	\$16,102,465	0.43	0.03	0.05
MY 1990	\$6,708	0.19	0.02	0.04	\$30,000	1,243	99	\$2,983,790	0.05	0.01	0.01
MY 1991 - 1997	\$8,812	0.25	0.01	0.06	\$30,000	11,460	688	\$20,628,158	0.47	0.02	0.11
MY 1998 - 2001	\$7,336	0.33	0.01	0.10	\$30,000	9,397	564	\$16,915,090	0.50	0.01	0.15
MY 2002 - 2006	\$7,138	0.31	0.01	0.06	\$30,000	15,898	954	\$28,616,881	0.82	0.04	0.15
Sub Total							2,842	85,246,384	2.27	0.10	0.47
<b>Overall Projects</b>											
MY 1989 and Earlier						6,709	4,965	103,793,897	1.90	0.18	0.37
MY 1990						1,243	796	15,117,870	0.15	0.04	0.08
MY 1991 - 1997						11,460	5,901	81,595,825	1.15	0.11	0.86
MY 1998 - 2001						9,397	5,450	104,591,637	2.16	0.09	1.32
MY 2002 - 2006						15,898	9,857	187,122,605	3.60	0.27	1.46
MY 2007+						24,747	0	0	0	0	0
Total						69,455	26,569	492,221,744	8.97	0.69	4.09
<b>Measure 46i: Midwest Clean Diesel Initiative</b>											
All Diesel Sources	\$1,492 N/A	N/A	N/A	N/A	N/A	N/A	N/A	\$9,660,204	3.89	0.66	0.55

One Emission Reduction Scenario Example for On-road HDDVs - 2012 MICHIGAN

Sub Total								\$9,660,204	3.89	0.66	0.55
Measure 33/34/35/37: Anti-Idling Restriction on Onroad HDDVs											
Anti-Idling Restrictions											
All MY Heavy HDDVs	\$1,700	0.03	0.0002	0.002	\$7,500	69,455	20,837	\$156,273,924	1.86	0.01	0.10
Sub Total						69,455	20,837	\$156,273,924	1.86	0.01	0.10
Measure 42: Accelerate Low NOx Calibration/Refresh Program (Mandatory Phase-In)											
MY 1993-1998 Medium-HDDVs	\$371	0.04	0.00	0.00	\$100	12,048	7,229	\$722,889	0.76	-	0.00
MY 1993-1998 Heavy-HDDVs	\$110	0.13	0.00	0.00	\$100	10,835	8,668	\$866,799	3.98	-	0.00
Sub Total						22,883	15,897	\$1,589,688	3.84	-	-
Grand Total						69,455	63,302	\$659,745,562	18.6	1.4	4.7

Summary Results of an Emission Reduction Scenario for Nonroad Diesel  
Construction Equipment in the LADCO States

2012 MICHIGAN

Equipment Type	Total Unit Recom.	Total Cost (\$)	Total NOx (tpd)	Total PM (tpd)	Total HC (tpd)
<b>Measure 26: Fleet Modernization (Tier 2 or Tier 3 Engines)</b>					
Excavators	57	\$1,693,250	0.12	0.00	0.01
Rubber Tire Loaders	157	\$6,633,000	0.30	0.01	0.02
Crawler Tractor/Dozer	77	\$3,680,250	0.15	0.00	0.02
Tractors/Loaders/Backhoes	261	\$2,935,750	0.08	0.01	0.01
Off-Highway Trucks	9	\$1,767,469	0.09	0.00	0.00
<b>Sub Total</b>	<b>561</b>	<b>\$16,709,719</b>	<b>0.75</b>	<b>0.02</b>	<b>0.07</b>
<b>Measure 51a: DPF Retrofit</b>					
Excavators	500	\$3,831,158	0.00	0.02	0.04
Rubber Tire Loaders	515	\$4,591,895	0.00	0.02	0.05
Crawler Tractor/Dozer	443	\$4,406,211	0.00	0.02	0.05
Tractors/Loaders/Backhoes	1,575	\$6,315,632	0.00	0.04	0.06
Off-Highway Trucks	22	\$1,133,470	0.00	0.01	0.03
<b>Sub Total</b>	<b>3,055</b>	<b>\$20,278,365</b>	<b>0.00</b>	<b>0.11</b>	<b>0.23</b>
<b>Measure 51b: Lean NOx Catalyst</b>					
Excavators	311	\$5,320,000	0.12	0.01	0.03
Rubber Tire Loaders	226	\$4,638,947	0.11	0.01	0.03
Crawler Tractor/Dozer	216	\$4,434,737	0.09	0.01	0.03
Tractors/Loaders/Backhoes	874	\$7,345,263	0.13	0.03	0.04
Off-Highway Trucks	15	\$1,717,379	0.09	0.01	0.02
<b>Sub Total</b>	<b>1,642</b>	<b>\$23,456,326</b>	<b>0.54</b>	<b>0.07</b>	<b>0.14</b>
<b>Measure 51c: EGR+DPF Retrofit</b>					
Excavators	247	\$4,378,474	0.15	0.01	0.02
Rubber Tire Loaders	226	\$5,334,789	0.17	0.01	0.03
Crawler Tractor/Dozer	216	\$5,099,947	0.14	0.01	0.03
Tractors/Loaders/Backhoes	620	\$6,294,737	0.16	0.02	0.03
Off-Highway Trucks	15	\$1,974,986	0.15	0.01	0.02
<b>Sub Total</b>	<b>1,324</b>	<b>\$23,082,933</b>	<b>0.76</b>	<b>0.06</b>	<b>0.13</b>
<b>Measure 51d: SCR Retrofit</b>					
Excavators	158	\$3,679,316	0.24	0.00	0.00
Rubber Tire Loaders	143	\$4,277,658	0.26	0.00	0.00
Crawler Tractor/Dozer	162	\$5,047,553	0.24	0.00	0.00
Tractors/Loaders/Backhoes	199	\$2,874,553	0.13	0.00	0.00
Off-Highway Trucks	22	\$3,337,440	0.42	0.00	0.00
<b>Sub Total</b>	<b>684</b>	<b>\$19,216,519</b>	<b>1.28</b>	<b>0.00</b>	<b>0.00</b>
<b>Measure 51e: DOC Retrofit</b>					
Excavators	115	\$175,263	0.00	0.00	0.01
Rubber Tire Loaders	164	\$325,368	0.00	0.00	0.02
Crawler Tractor/Dozer	103	\$205,263	0.00	0.00	0.01
Tractors/Loaders/Backhoes	371	\$307,474	0.00	0.01	0.02
Off-Highway Trucks	4	\$45,797	0.00	0.00	0.01
<b>Sub Total</b>	<b>757</b>	<b>\$1,059,165</b>	<b>0.00</b>	<b>0.02</b>	<b>0.06</b>
<b>Measure 51f: FTF Retrofit</b>					
Excavators	319	\$2,435,684	0.00	0.01	0.03
Rubber Tire Loaders	341	\$3,152,842	0.00	0.01	0.04
Crawler Tractor/Dozer	291	\$2,865,316	0.00	0.01	0.03
Tractors/Loaders/Backhoes	1,292	\$5,034,316	0.00	0.02	0.06
Off-Highway Trucks	22	\$1,133,470	0.00	0.00	0.03
<b>Sub Total</b>	<b>2,265</b>	<b>\$14,621,628</b>	<b>0.00</b>	<b>0.05</b>	<b>0.20</b>
<b>Measure 51g: DOC+SCR Retrofit</b>					
Excavators	247	\$5,235,132	0.30	0.00	0.02
Rubber Tire Loaders	281	\$8,678,421	0.48	0.00	0.03
Crawler Tractor/Dozer	162	\$5,238,026	0.24	0.00	0.02
Tractors/Loaders/Backhoes	487	\$7,313,553	0.31	0.01	0.02
Off-Highway Trucks	22	\$3,463,381	0.42	0.00	0.02
<b>Sub Total</b>	<b>1,199</b>	<b>\$29,928,512</b>	<b>1.75</b>	<b>0.02</b>	<b>0.11</b>
<b>Measure 51h: SCR+DPF Retrofit</b>					
Excavators	327	\$8,493,158	0.43	0.01	0.03
Rubber Tire Loaders	341	\$10,509,474	0.51	0.01	0.04
Crawler Tractor/Dozer	291	\$9,551,053	0.39	0.01	0.03
Tractors/Loaders/Backhoes	926	\$15,281,053	0.56	0.04	0.05
Off-Highway Trucks	22	\$3,778,234	0.42	0.01	0.03
<b>Sub Total</b>	<b>1,907</b>	<b>\$47,612,970</b>	<b>2.30</b>	<b>0.09</b>	<b>0.18</b>
<b>Grand Total</b>	<b>13,394</b>	<b>\$195,966,138</b>	<b>7.39</b>	<b>0.42</b>	<b>1.11</b>

One Example Emission Reduction Scenario for Agricultural Equipment - 2012 MICHIGAN

Summary Results of an Emission Reduction Scenario for Nonroad Diesel  
Agricultural Equipment in the LADCO States

2012 MICHIGAN

Equipment Type	Total Unit Recom.	Total Cost (\$)	Total NOx (tpd)	Total PM (tpd)	Total HC (tpd)
<b>Measure 20: Fleet Modernization (Tier 2 or Tier 3 Engines)</b>					
Agricultural Tractors	3,116	\$42,996,350	1.26	0.07	0.11
Combines	35	\$1,192,500	0.02	0.00	0.00
<b>Sub Total</b>	<b>3,151</b>	<b>\$44,188,850</b>	<b>1.28</b>	<b>0.07</b>	<b>0.11</b>
<b>Measure 51a: DPF Retrofit</b>					
Agricultural Tractors	3,978	\$21,736,421	0.00	0.11	0.19
Combines	195	\$1,341,947	0.00	0.00	0.00
<b>Sub Total</b>	<b>4,173</b>	<b>\$23,078,368</b>	<b>0.00</b>	<b>0.11</b>	<b>0.19</b>
<b>Measure 51b: Lean NOx Catalyst</b>					
Agricultural Tractors	2,177	\$28,969,263	0.49	0.08	0.10
Combines	35	\$556,842	0.00	0.00	0.00
<b>Sub Total</b>	<b>2,212</b>	<b>\$29,526,105</b>	<b>0.50</b>	<b>0.08</b>	<b>0.10</b>
<b>Measure 51c: EGR+DPF Retrofit</b>					
Agricultural Tractors	2,551	\$22,897,105	0.57	0.06	0.10
Combines	35	\$640,368	0.01	0.00	0.00
<b>Sub Total</b>	<b>2,586</b>	<b>\$23,537,474</b>	<b>0.58</b>	<b>0.06</b>	<b>0.10</b>
<b>Measure 51d: SCR Retrofit</b>					
Agricultural Tractors	1,103	\$14,648,921	0.64	0.00	0.00
Combines	0	\$0	0.00	0.00	0.00
<b>Sub Total</b>	<b>1,103</b>	<b>\$14,648,921</b>	<b>0.64</b>	<b>0.00</b>	<b>0.00</b>
<b>Measure 51e: DOC Retrofit</b>					
Agricultural Tractors	3,069	\$2,881,263	0.00	0.03	0.12
Combines	251	\$378,211	0.00	0.00	0.00
<b>Sub Total</b>	<b>3,320</b>	<b>\$3,259,474</b>	<b>0.00</b>	<b>0.04</b>	<b>0.13</b>
<b>Measure 51f: FTF Retrofit</b>					
Agricultural Tractors	3,221	\$13,392,947	0.00	0.04	0.17
Combines	49	\$323,526	0.00	0.00	0.00
<b>Sub Total</b>	<b>3,270</b>	<b>\$13,716,474</b>	<b>0.00</b>	<b>0.04</b>	<b>0.17</b>
<b>Measure 51g: DOC+SCR Retrofit</b>					
Agricultural Tractors	1,738	\$21,340,000	0.88	0.01	0.06
Combines	0	\$0	0.00	0.00	0.00
<b>Sub Total</b>	<b>1,738</b>	<b>\$21,340,000</b>	<b>0.88</b>	<b>0.01</b>	<b>0.06</b>
<b>Measure 51h: SCR+DPF Retrofit</b>					
Agricultural Tractors	3,045	\$41,636,842	1.54	0.07	0.14
Combines	35	\$835,263	0.01	0.00	0.00
<b>Sub Total</b>	<b>3,080</b>	<b>\$42,472,105</b>	<b>1.56</b>	<b>0.07</b>	<b>0.14</b>
<b>Grand Total</b>	<b>24,633</b>	<b>\$215,767,771</b>	<b>5.43</b>	<b>0.48</b>	<b>1.00</b>

One Emission Reduction Scenario Example for On-road HDDVs - 2009 OHIO

One Preliminary Scenario for On Road HDDV Strategies for OHIO (2009 Analysis)

Technology	Project Cost-Effectiveness (\$/ton)	Estimated NOx Reductions per Vehicle (tons/year)	Estimated PM Reductions per Vehicle (tons/year)	Estimated THC Reductions per Vehicle (tons/year)	Cost per Unit (\$)	Number of Units Available	Units Recommended	Total Cost	Total NOx Reduction (tons/day)	Total PM Reduction (tons/day)	Total THC Reduction (tons/day)
<b>Measure 33: Fleet Modernization (Incentive/Voluntary Program)</b>											
<b>Diesel Engine/Vehicle Upgrades (MY 1990 Engine 6 g NOx)</b>											
MY 1989 and Earlier	\$26,154	0.18	0.000	0.001	\$35,000	16,027	-	\$0	0.00	0.00	0.00
Sub Total									0.00	0.00	0.00
<b>Diesel Engine/Vehicle Upgrades (MY 2001/2 Engine 4 g NOx)</b>											
MY 1989 and Earlier	\$8,783	0.26	0.02	0.00	\$40,000	16,027	481	\$19,232,383	0.35	0.03	0.00
MY 1990	\$8,551	0.12	0.03	0.00	\$40,000	2,279	68	\$2,734,995	0.02	0.01	0.00
MY 1991 - 1997	\$25,529	0.09	0.01	0.00	\$40,000	20,981	-	\$0	0.00	0.00	0.00
Sub Total							549	21,967,378	0.37	0.03	0.01
<b>Diesel Engine/Vehicle Upgrades (MY 2002/4 Engine 2.4 g NOx)</b>											
MY 1989 and Earlier	\$8,657	0.32	0.02	0.03	\$45,000	16,027	481	\$21,636,431	0.42	0.03	0.04
MY 1990	\$8,015	0.20	0.03	0.04	\$45,000	2,279	68	\$3,076,669	0.04	0.01	0.01
MY 1991 - 1997	\$14,569	0.22	0.01	0.07	\$45,000	20,981	-	\$0	0.00	0.00	0.00
MY 1998 - 2001	\$17,472	0.23	0.00	0.11	\$45,000	17,213	-	\$0	0.00	0.00	0.00
Sub Total							549	24,713,100	0.46	0.03	0.05
<b>Diesel Engine/Vehicle Upgrades (MY 2007 Engine 0.2 g NOx)</b>											
MY 1998 - 2001	\$8,611	0.53	0.01	0.16	\$60,000	17,213	516	\$6,984,119	0.75	0.02	0.23
MY 2002 - 2006	\$8,587	0.49	0.02	0.08	\$60,000	29,119	874	\$2,414,488	1.18	0.05	0.19
Sub Total							1,390	83,398,607	1.93	0.07	0.42
<b>Measure 46: Aftertreatment Device Retrofits (Incentive/Voluntary Program)</b>											
<b>DPF</b>											
MY 1989 and Earlier	\$2,954	0.00	0.02	0.04	\$9,000	16,027	801	\$7,212,144	0.00	0.04	0.09
MY 1990	\$2,069	0.00	0.03	0.06	\$9,000	2,279	114	\$1,025,623	0.00	0.01	0.02
MY 1991 - 1997	\$3,534	0.00	0.01	0.09	\$9,000	20,981	1,049	\$9,441,352	0.00	0.04	0.25
MY 1998 - 2001	\$3,026	0.00	0.01	0.15	\$9,000	17,213	861	\$7,746,030	0.00	0.03	0.34
MY 2002 - 2006	\$2,601	0.00	0.02	0.09	\$9,000	29,119	1,456	\$13,103,622	0.00	0.08	0.36
Sub Total							4,281	\$38,528,770	0.00	0.19	1.06
<b>Chaire LNC+DPF</b>											
MY 1989 and Earlier	\$5,536	0.10	0.02	0.04	\$ 20,000	16,027	641	\$12,821,589	0.18	0.03	0.06
MY 1990	\$4,381	0.08	0.03	0.05	\$ 20,000	2,279	91	\$1,823,330	0.02	0.01	0.01
MY 1991 - 1997	\$6,645	0.11	0.01	0.08	\$ 20,000	20,981	839	\$16,784,625	0.24	0.03	0.18
MY 1998 - 2001	\$5,816	0.14	0.01	0.13	\$ 20,000	17,213	689	\$13,770,719	0.26	0.02	0.25
MY 2002 - 2006	\$5,487	0.13	0.02	0.08	\$ 20,000	29,119	1,165	\$23,295,328	0.43	0.06	0.26
Sub Total							3,425	68,495,591	1.13	0.15	0.77
<b>EGR+DPF Retrofit</b>											
MY 1989 and Earlier	\$5,548	0.16	0.02	0.04	\$ 23,000	16,027	641	\$14,744,827	0.28	0.03	0.06
MY 1990	\$4,415	0.13	0.03	0.05	\$ 23,000	2,279	91	\$2,096,829	0.03	0.01	0.01
MY 1991 - 1997	\$6,281	0.17	0.01	0.08	\$ 23,000	20,981	839	\$19,302,319	0.29	0.03	0.18
MY 1998 - 2001	\$5,192	0.22	0.01	0.13	\$ 23,000	17,213	689	\$15,836,327	0.42	0.02	0.25
MY 2002 - 2006	\$4,710	0.21	0.02	0.08	\$ 23,000	29,119	1,165	\$26,789,627	0.68	0.06	0.28
Sub Total							3,425	78,769,930	1.81	0.15	0.77
<b>SCR Retrofit</b>											
MY 1989 and Earlier	\$12,002	0.32	0.00	0.00	\$ 26,500	16,027	321	\$8,494,303	0.28	0.00	0.00
MY 1990	\$15,139	0.26	0.00	0.00	\$ 26,500	2,279	-	\$0	0.00	0.00	0.00
MY 1991 - 1997	\$11,810	0.34	0.00	0.00	\$ 26,500	20,981	420	\$11,119,814	0.39	0.00	0.00
MY 1998 - 2001	\$9,224	0.45	0.00	0.00	\$ 26,500	17,213	516	\$13,684,652	0.64	0.00	0.00
MY 2002 - 2006	\$10,173	0.43	0.00	0.00	\$ 26,500	29,119	582	\$15,433,155	0.68	0.00	0.00
Sub Total							1,839	48,731,924	1.99	0.00	0.00
<b>DOC Retrofit</b>											
MY 1989 and Earlier	\$1,314	0.00	0.01	0.03	\$2,000	16,027	801	\$1,602,699	0.00	0.02	0.06
MY 1990	\$920	0.00	0.01	0.04	\$2,000	2,279	114	\$227,916	0.00	0.00	0.01
MY 1991 - 1997	\$1,431	0.00	0.01	0.06	\$2,000	20,981	1,049	\$2,098,078	0.00	0.02	0.18
MY 1998 - 2001	\$1,156	0.00	0.01	0.11	\$2,000	17,213	861	\$1,721,340	0.00	0.01	0.25
MY 2002 - 2006	\$1,693	0.00	0.01	0.06	\$2,000	29,119	1,456	\$2,911,916	0.00	0.04	0.26
Sub Total							4,281	8,561,949	0.00	0.09	0.77
<b>FTF Retrofit</b>											
MY 1989 and Earlier	\$4,650	0.00	0.01	0.04	\$9,000	16,027	641	\$5,769,715	0.00	0.02	0.07
MY 1990	\$3,257	0.00	0.02	0.06	\$9,000	2,279	114	\$1,025,623	0.00	0.01	0.02
MY 1991 - 1997	\$4,945	0.00	0.01	0.09	\$9,000	20,981	839	\$7,553,081	0.00	0.02	0.20
MY 1998 - 2001	\$3,941	0.00	0.01	0.15	\$9,000	17,213	861	\$7,746,030	0.00	0.02	0.34
MY 2002 - 2006	\$3,809	0.00	0.01	0.09	\$9,000	29,119	1,456	\$13,103,622	0.00	0.04	0.36
Sub Total							3,911	35,198,071	0.00	0.10	0.99
<b>DOC+SCR Retrofit</b>											
MY 1989 and Earlier	\$8,517	0.32	0.01	0.03	\$27,500	16,027	481	\$13,222,263	0.42	0.01	0.04
MY 1990	\$8,611	0.26	0.01	0.04	\$27,500	2,279	68	\$1,880,309	0.05	0.00	0.01
MY 1991 - 1997	\$8,404	0.34	0.00	0.06	\$27,500	20,981	629	\$17,309,145	0.58	0.01	0.11
MY 1998 - 2001	\$6,671	0.45	0.00	0.10	\$27,500	17,213	689	\$15,934,739	0.85	0.01	0.20
MY 2002 - 2006	\$7,245	0.43	0.00	0.06	\$27,500	29,119	874	\$24,023,307	1.03	0.01	0.15
Sub Total							2,741	75,369,763	2.93	0.04	0.51
<b>SCR+DPF</b>											
MY 1989 and Earlier	\$5,812	0.32	0.02	0.04	\$30,000	16,027	641	\$19,232,383	0.57	0.03	0.06
MY 1990	\$5,000	0.26	0.03	0.05	\$30,000	2,279	91	\$2,734,995	0.06	0.01	0.01
MY 1991 - 1997	\$6,503	0.34	0.01	0.08	\$30,000	20,981	839	\$25,176,938	0.78	0.03	0.18
MY 1998 - 2001	\$5,427	0.45	0.01	0.13	\$30,000	17,213	689	\$20,656,079	0.85	0.02	0.25
MY 2002 - 2006	\$5,335	0.43	0.02	0.08	\$30,000	29,119	1,165	\$34,942,992	1.37	0.06	0.26
Sub Total							3,425	102,743,387	3.63	0.15	0.77
<b>Overall Projects</b>											
MY 1989 and Earlier						16,027	5,930	123,968,736	2.50	0.24	0.49
MY 1990						2,279	820	16,626,489	0.22	0.05	0.10
MY 1991 - 1997						20,981	6,504	108,785,353	2.38	0.16	1.30
MY 1998 - 2001						17,213	6,369	131,080,035	3.77	0.15	2.12
MY 2002 - 2006						29,119	10,192	206,018,058	5.37	0.40	2.10
MY 2007+						18,452	0	0	0	0	0
Total						104,071	29,815	586,478,671	14.25	1.00	6.10
<b>Measure 46ii: Midwest Clean Diesel Initiative</b>											
All Diesel Sources	\$1,492	N/A	N/A	N/A	N/A	N/A	N/A	\$1,794,610	0.72	0.12	0.10

One Emission Reduction Scenario Example for On-road HDDVs - 2009 OHIO

Sub Total											51,794,610	0.72	0.12	0.16
Measure 33/34/35/37: Anti-Idling Restriction on Onroad HDDVs														
Anti-Idling Restrictions														
All MY Heavy HDDVs	\$1,700	0.02	0.000	0.001	\$7,500	104,071	15,611	\$117,080,254	0.84	0.02	0.04			
Sub Total						104,071	15,611	\$117,080,254	0.84	0.02	0.04			
Measure 42: Accelerate Low NOx Calibration/Refash Program (Mandatory Phase-in)														
Diesel Engine Refash (MY 1993-1998 Engines)														
MY 1993-1998 Medium-HDDVs	5371	0.04	0.00	0.00	\$100	22,045	13,227	\$1,322,724	1.39	0.00	0.00			
MY 1993-1998 Heavy-HDDVs	5110	0.13	0.00	0.00	\$100	19,826	15,869	\$1,586,047	5.63	0.00	0.00			
Sub Total						29,088	29,088	2,908,772	7.02	-	-			
Grand Total						104,071	74,514	\$708,262,307	22.8	1.1	6.2			

Summary Results of an Emission Reduction Scenario for Nonroad Diesel  
Construction Equipment in the LADCO States

2009 OHIO

Equipment Type	Total Unit Recom.	Total Cost (\$)	Total NOx (tpd)	Total PM (tpd)	Total HC (tpd)
<b>Measure 20: Fleet Modernization (Tier 2 or Tier 3 Engines)</b>					
Excavators	175	\$4,143,250	0.33	0.01	0.03
Rubber Tire Loaders	172	\$6,144,250	0.29	0.01	0.03
Crawler Tractor/Dozer	140	\$5,654,250	0.23	0.01	0.04
Tractors/Loaders/Backhoes	138	\$1,458,750	0.04	0.00	0.00
Off-Highway Trucks	12	\$1,957,812	0.13	0.00	0.00
<b>Sub Total</b>	<b>637</b>	<b>\$19,358,312</b>	<b>1.02</b>	<b>0.03</b>	<b>0.10</b>
<b>Measure 51a: DPF Retrofit</b>					
Excavators	308	\$2,295,474	0.00	0.01	0.04
Rubber Tire Loaders	308	\$3,016,421	0.00	0.01	0.05
Crawler Tractor/Dozer	234	\$2,390,211	0.00	0.01	0.04
Tractors/Loaders/Backhoes	374	\$1,440,947	0.00	0.01	0.01
Off-Highway Trucks	15	\$772,821	0.00	0.01	0.04
<b>Sub Total</b>	<b>1,239</b>	<b>\$9,915,873</b>	<b>0.00</b>	<b>0.06</b>	<b>0.19</b>
<b>Measure 51b: Lean NOx Catalyst</b>					
Excavators	265	\$4,603,158	0.14	0.01	0.03
Rubber Tire Loaders	197	\$3,946,316	0.10	0.01	0.03
Crawler Tractor/Dozer	205	\$4,495,789	0.10	0.01	0.04
Tractors/Loaders/Backhoes	339	\$2,822,105	0.05	0.01	0.01
Off-Highway Trucks	15	\$1,717,379	0.11	0.01	0.04
<b>Sub Total</b>	<b>1,021</b>	<b>\$17,584,747</b>	<b>0.50</b>	<b>0.05</b>	<b>0.15</b>
<b>Measure 51c: EGR+DPF Retrofit</b>					
Excavators	265	\$5,293,632	0.22	0.01	0.03
Rubber Tire Loaders	219	\$5,250,053	0.19	0.01	0.03
Crawler Tractor/Dozer	205	\$5,170,158	0.15	0.01	0.04
Tractors/Loaders/Backhoes	221	\$2,245,526	0.06	0.01	0.01
Off-Highway Trucks	15	\$1,974,986	0.17	0.01	0.04
<b>Sub Total</b>	<b>925</b>	<b>\$19,934,354</b>	<b>0.79</b>	<b>0.05</b>	<b>0.15</b>
<b>Measure 51d: SCR Retrofit</b>					
Excavators	199	\$4,577,526	0.36	0.00	0.00
Rubber Tire Loaders	162	\$4,505,000	0.30	0.00	0.00
Crawler Tractor/Dozer	188	\$5,696,105	0.29	0.00	0.00
Tractors/Loaders/Backhoes	104	\$1,472,842	0.07	0.00	0.00
Off-Highway Trucks	22	\$3,337,440	0.46	0.00	0.00
<b>Sub Total</b>	<b>675</b>	<b>\$19,588,913</b>	<b>1.48</b>	<b>0.00</b>	<b>0.00</b>
<b>Measure 51e: DOC Retrofit</b>					
Excavators	265	\$460,316	0.00	0.01	0.03
Rubber Tire Loaders	308	\$670,316	0.00	0.01	0.04
Crawler Tractor/Dozer	234	\$531,158	0.00	0.01	0.03
Tractors/Loaders/Backhoes	339	\$282,211	0.00	0.01	0.01
Off-Highway Trucks	15	\$171,738	0.00	0.00	0.03
<b>Sub Total</b>	<b>1,161</b>	<b>\$2,115,738</b>	<b>0.00</b>	<b>0.03</b>	<b>0.14</b>
<b>Measure 51f: FTF Retrofit</b>					
Excavators	171	\$1,246,263	0.00	0.01	0.02
Rubber Tire Loaders	160	\$1,414,895	0.00	0.01	0.03
Crawler Tractor/Dozer	149	\$1,404,000	0.00	0.01	0.03
Tractors/Loaders/Backhoes	203	\$805,737	0.00	0.00	0.01
Off-Highway Trucks	15	\$772,821	0.00	0.00	0.04
<b>Sub Total</b>	<b>698</b>	<b>\$5,643,715</b>	<b>0.00</b>	<b>0.02</b>	<b>0.13</b>
<b>Measure 51g: DOC+SCR Retrofit</b>					
Excavators	265	\$6,329,342	0.43	0.00	0.03
Rubber Tire Loaders	260	\$7,847,632	0.50	0.00	0.04
Crawler Tractor/Dozer	188	\$5,911,053	0.29	0.00	0.03
Tractors/Loaders/Backhoes	180	\$2,616,842	0.12	0.00	0.01
Off-Highway Trucks	22	\$3,463,381	0.46	0.00	0.03
<b>Sub Total</b>	<b>915</b>	<b>\$26,168,249</b>	<b>1.80</b>	<b>0.02</b>	<b>0.13</b>
<b>Measure 51h: SCR+DPF Retrofit</b>					
Excavators	337	\$8,763,158	0.56	0.02	0.04
Rubber Tire Loaders	277	\$8,856,316	0.51	0.01	0.05
Crawler Tractor/Dozer	265	\$8,505,789	0.38	0.01	0.04
Tractors/Loaders/Backhoes	381	\$6,074,211	0.24	0.02	0.02
Off-Highway Trucks	22	\$3,778,234	0.46	0.01	0.04
<b>Sub Total</b>	<b>1,282</b>	<b>\$35,977,707</b>	<b>2.15</b>	<b>0.07</b>	<b>0.19</b>
<b>Grand Total</b>	<b>8,553</b>	<b>\$156,287,610</b>	<b>7.74</b>	<b>0.33</b>	<b>1.18</b>

One Example Emission Reduction Scenario for Agricultural Equipment - 2009 OHIO

Summary Results of an Emission Reduction Scenario for Nonroad Diesel  
Agricultural Equipment in the LADCO States

2009 OHIO

Equipment Type	Total Unit Recom.	Total Cost (\$)	Total NOx (tpd)	Total PM (tpd)	Total HC (tpd)
<b>Measure 20: Fleet Modernization (Tier 2 or Tier 3 Engines)</b>					
Agricultural Tractors	2,321	\$30,744,450	0.92	0.05	0.07
Combines	20	\$375,000	0.00	0.00	0.00
<b>Sub Total</b>	<b>2,341</b>	<b>\$31,119,450</b>	<b>0.92</b>	<b>0.05</b>	<b>0.07</b>
<b>Measure 51a: DPF Retrofit</b>					
Agricultural Tractors	2,751	\$12,246,158	0.00	0.08	0.11
Combines	96	\$655,579	0.00	0.00	0.00
<b>Sub Total</b>	<b>2,847</b>	<b>\$12,901,737</b>	<b>0.00</b>	<b>0.08</b>	<b>0.11</b>
<b>Measure 51b: Lean NOx Catalyst</b>					
Agricultural Tractors	1,679	\$21,390,632	0.37	0.06	0.06
Combines	28	\$408,421	0.00	0.00	0.00
<b>Sub Total</b>	<b>1,707</b>	<b>\$21,799,053</b>	<b>0.37</b>	<b>0.06</b>	<b>0.06</b>
<b>Measure 51c: EGR+DPF Retrofit</b>					
Agricultural Tractors	2,165	\$18,562,211	0.49	0.05	0.06
Combines	28	\$469,684	0.00	0.00	0.00
<b>Sub Total</b>	<b>2,193</b>	<b>\$19,031,895</b>	<b>0.49</b>	<b>0.05</b>	<b>0.06</b>
<b>Measure 51d: SCR Retrofit</b>					
Agricultural Tractors	885	\$11,252,737	0.51	0.00	0.00
Combines	0	\$0	0.00	0.00	0.00
<b>Sub Total</b>	<b>885</b>	<b>\$11,252,737</b>	<b>0.51</b>	<b>0.00</b>	<b>0.00</b>
<b>Measure 51e: DOC Retrofit</b>					
Agricultural Tractors	2,939	\$2,386,737	0.00	0.03	0.08
Combines	136	\$209,684	0.00	0.00	0.00
<b>Sub Total</b>	<b>3,075</b>	<b>\$2,596,421</b>	<b>0.00</b>	<b>0.03</b>	<b>0.08</b>
<b>Measure 51f: FTF Retrofit</b>					
Agricultural Tractors	1,914	\$6,008,684	0.00	0.02	0.06
Combines	28	\$183,789	0.00	0.00	0.00
<b>Sub Total</b>	<b>1,942</b>	<b>\$6,192,474</b>	<b>0.00</b>	<b>0.03</b>	<b>0.06</b>
<b>Measure 51g: DOC+SCR Retrofit</b>					
Agricultural Tractors	1,376	\$18,333,816	0.78	0.01	0.05
Combines	0	\$0	0.00	0.00	0.00
<b>Sub Total</b>	<b>1,376</b>	<b>\$18,333,816</b>	<b>0.78</b>	<b>0.01</b>	<b>0.05</b>
<b>Measure 51h: SCR+DPF Retrofit</b>					
Agricultural Tractors	2,413	\$29,903,684	1.16	0.05	0.08
Combines	28	\$612,632	0.01	0.00	0.00
<b>Sub Total</b>	<b>2,441</b>	<b>\$30,516,316</b>	<b>1.17</b>	<b>0.05</b>	<b>0.08</b>
<b>Grand Total</b>	<b>18,807</b>	<b>\$153,743,897</b>	<b>4.25</b>	<b>0.36</b>	<b>0.57</b>

One Emission Reduction Scenario Example for On-road HDDVs - 2012 OHIO

One Preliminary Scenario for On Road HDDV Strategies for OHIO (2012 Analysis)

Technology	Project Cost-Effectiveness (\$/ton)	Estimated NOx Reductions per Vehicle (tons/year)	Estimated PM Reductions per Vehicle (tons/year)	Estimated THC Reductions per Vehicle (tons/year)	Cost per Unit (\$)	Number of Units Available	Units Recommended	Total Cost	Total NOx Reduction (tons/day)	Total PM Reduction (tons/day)	Total THC Reduction (tons/day)
<b>Measure 31: Fleet Modernization (Incentive/Voluntary Program)</b>											
<b>Diesel Engine/Vehicle Upgrades (MY 1990 Engine 6 g NOx)</b>											
MY 1989 and Earlier	\$28,769	0.16	0.006	0.001	\$35,000	10,669	-	\$0	0.00	0.00	0.00
Sub Total							-	\$0	0.00	0.00	0.00
<b>Diesel Engine/Vehicle Upgrades (MY 2001/2 Engine 4 g NOx)</b>											
MY 1989 and Earlier	\$9,661	0.24	0.02	0.00	\$40,000	10,669	640	\$25,604,719	0.42	0.03	0.01
MY 1990	\$11,401	0.09	0.02	0.00	\$40,000	1,977	79	\$3,163,040	0.02	0.00	0.00
MY 1991 - 1997	\$34,934	0.07	0.00	0.00	\$40,000	18,223	-	\$0	0.00	0.00	0.00
Sub Total							719	\$28,767,759	0.44	0.03	0.01
<b>Diesel Engine/Vehicle Upgrades (MY 2002/4 Engine 2.4 g NOx)</b>											
MY 1989 and Earlier	\$9,522	0.29	0.02	0.03	\$45,000	10,669	640	\$28,805,309	0.51	0.03	0.05
MY 1990	\$18,687	0.15	0.02	0.03	\$45,000	1,977	79	\$3,558,420	0.03	0.00	0.01
MY 1991 - 1997	\$19,936	0.16	0.00	0.05	\$45,000	18,223	-	\$0	0.00	0.00	0.00
MY 1998 - 2001	\$24,024	0.16	0.00	0.08	\$45,000	14,943	-	\$0	0.00	0.00	0.00
Sub Total							719	\$32,363,729	0.54	0.03	0.06
<b>Diesel Engine/Vehicle Upgrades (MY 2007 Engine 0.2 g NOx)</b>											
MY 1998 - 2001	\$11,841	0.39	0.01	0.12	\$60,000	14,943	598	\$35,862,511	0.64	0.02	0.19
MY 2002 - 2006	\$11,789	0.36	0.01	0.06	\$60,000	25,280	1,011	\$60,672,052	0.99	0.04	0.16
Sub Total							1,609	\$96,534,563	1.63	0.06	0.36
<b>Measure 46: Aftertreatment Device Retrofits (Incentive/Voluntary Program)</b>											
<b>DPF</b>											
MY 1989 and Earlier	\$3,250	0.00	0.02	0.04	\$9,000	10,669	1,067	\$9,601,770	0.00	0.05	0.10
MY 1990	\$2,759	0.00	0.02	0.04	\$9,000	1,977	198	\$1,779,210	0.00	0.01	0.02
MY 1991 - 1997	\$4,835	0.00	0.01	0.06	\$9,000	18,223	1,458	\$13,120,431	0.00	0.04	0.26
MY 1998 - 2001	\$4,161	0.00	0.01	0.11	\$9,000	14,943	1,195	\$10,758,753	0.00	0.03	0.35
MY 2002 - 2006	\$3,570	0.00	0.01	0.07	\$9,000	25,280	2,528	\$22,752,020	0.00	0.10	0.45
Sub Total							6,446	\$58,012,183	0.00	0.22	1.18
<b>Cheaper LNC+DPF</b>											
MY 1989 and Earlier	\$6,074	0.09	0.02	0.05	\$ 20,000	10,669	853	\$17,069,813	0.21	0.04	0.08
MY 1990	\$5,650	0.06	0.02	0.04	\$ 20,000	1,977	158	\$3,163,040	0.03	0.01	0.02
MY 1991 - 1997	\$8,933	0.08	0.01	0.06	\$ 20,000	18,223	1,093	\$21,867,384	0.23	0.03	0.18
MY 1998 - 2001	\$7,766	0.10	0.01	0.10	\$ 20,000	14,943	897	\$17,931,256	0.25	0.02	0.24
MY 2002 - 2006	\$7,207	0.10	0.01	0.06	\$ 20,000	25,280	1,517	\$30,336,026	0.41	0.06	0.25
Sub Total							4,516	\$60,367,519	1.13	0.16	0.75
<b>EGR+DPF Retrofit</b>											
MY 1989 and Earlier	\$6,102	0.15	0.02	0.05	\$ 23,000	10,669	853	\$19,630,283	0.34	0.04	0.08
MY 1990	\$5,886	0.10	0.02	0.04	\$ 23,000	1,977	158	\$3,637,496	0.04	0.01	0.02
MY 1991 - 1997	\$8,593	0.12	0.01	0.06	\$ 23,000	18,223	1,093	\$25,147,493	0.37	0.03	0.18
MY 1998 - 2001	\$7,136	0.16	0.01	0.10	\$ 23,000	14,943	897	\$20,620,944	0.40	0.02	0.24
MY 2002 - 2006	\$6,461	0.16	0.01	0.06	\$ 23,000	25,280	2,023	\$46,515,240	0.87	0.08	0.33
Sub Total							5,024	\$115,551,456	2.02	0.18	0.84
<b>SCR Retrofit</b>											
MY 1989 and Earlier	\$13,197	0.29	0.00	0.00	\$ 26,500	10,669	427	\$11,308,751	0.34	0.00	0.00
MY 1990	\$20,057	0.19	0.00	0.00	\$ 26,500	1,977	-	\$0	0.00	0.00	0.00
MY 1991 - 1997	\$15,979	0.25	0.00	0.00	\$ 26,500	18,223	-	\$0	0.00	0.00	0.00
MY 1998 - 2001	\$12,445	0.33	0.00	0.00	\$ 26,500	14,943	598	\$15,839,275	0.54	0.00	0.00
MY 2002 - 2006	\$13,509	0.31	0.00	0.00	\$ 26,500	25,280	1,011	\$26,796,823	0.87	0.00	0.00
Sub Total							2,036	\$3,944,850	1.74	0.00	0.00
<b>DOC Retrofit</b>											
MY 1989 and Earlier	\$1,445	0.00	0.01	0.03	\$2,000	10,669	1,067	\$2,133,727	0.00	0.02	0.08
MY 1990	\$1,227	0.00	0.01	0.03	\$2,000	1,977	198	\$395,380	0.00	0.01	0.02
MY 1991 - 1997	\$1,959	0.00	0.00	0.05	\$2,000	18,223	1,822	\$3,644,564	0.00	0.02	0.23
MY 1998 - 2001	\$1,590	0.00	0.00	0.08	\$2,000	14,943	1,494	\$2,988,543	0.00	0.02	0.32
MY 2002 - 2006	\$1,500	0.00	0.01	0.05	\$2,000	25,280	2,528	\$5,056,004	0.00	0.05	0.33
Sub Total							7,109	\$14,218,218	0.00	0.11	0.97
<b>FTF Retrofit</b>											
MY 1989 and Earlier	\$5,115	0.00	0.01	0.04	\$9,000	10,669	853	\$7,681,416	0.00	0.02	0.08
MY 1990	\$4,343	0.00	0.01	0.04	\$9,000	1,977	158	\$1,423,368	0.00	0.01	0.02
MY 1991 - 1997	\$6,767	0.00	0.01	0.06	\$9,000	18,223	1,458	\$13,120,431	0.00	0.02	0.26
MY 1998 - 2001	\$5,418	0.00	0.01	0.11	\$9,000	14,943	1,195	\$10,758,753	0.00	0.02	0.35
MY 2002 - 2006	\$5,228	0.00	0.01	0.07	\$9,000	25,280	2,022	\$18,201,616	0.00	0.05	0.36
Sub Total							5,687	\$11,885,583	0.00	0.11	1.07
<b>DOC+SCR Retrofit</b>											
MY 1989 and Earlier	\$9,365	0.29	0.01	0.03	\$27,500	10,669	640	\$17,603,245	0.51	0.01	0.04
MY 1990	\$11,411	0.19	0.01	0.03	\$27,500	1,977	79	\$2,174,590	0.04	0.00	0.01
MY 1991 - 1997	\$11,375	0.25	0.00	0.05	\$27,500	18,223	729	\$20,045,102	0.49	0.01	0.09
MY 1998 - 2001	\$8,871	0.33	0.00	0.08	\$27,500	14,943	897	\$24,655,476	0.80	0.01	0.19
MY 2002 - 2006	\$9,672	0.31	0.00	0.05	\$27,500	25,280	1,517	\$41,712,036	1.30	0.02	0.19
Sub Total							3,801	\$106,190,449	3.15	0.04	0.53
<b>SCR+DPF</b>											
MY 1989 and Earlier	\$6,391	0.29	0.02	0.03	\$30,000	10,669	853	\$25,604,719	0.69	0.04	0.08
MY 1990	\$6,708	0.19	0.02	0.04	\$30,000	1,977	158	\$4,744,560	0.08	0.01	0.02
MY 1991 - 1997	\$8,812	0.25	0.01	0.06	\$30,000	18,223	1,093	\$32,801,077	0.74	0.03	0.17
MY 1998 - 2001	\$7,336	0.33	0.01	0.10	\$30,000	14,943	897	\$26,896,883	0.80	0.02	0.24
MY 2002 - 2006	\$7,138	0.31	0.01	0.06	\$30,000	25,280	1,517	\$45,504,039	1.30	0.06	0.25
Sub Total							4,518	\$135,551,278	3.61	0.16	0.75
<b>Overall Projects</b>											
MY 1989 and Earlier						18,669	7,895	\$65,043,753	3.03	0.29	0.59
MY 1990						1,977	1,265	\$24,039,103	0.25	0.06	0.12
MY 1991 - 1997						18,223	8,747	\$129,746,481	1.84	0.17	1.26
MY 1998 - 2001						14,943	8,667	\$166,312,393	3.43	0.15	2.10
MY 2002 - 2006						25,280	15,674	\$297,445,856	5.73	0.44	2.32
MY 2007+						39,300	0	\$0	0	0	0
Total						116,441	42,247	\$782,687,586	14.26	1.10	6.50
<b>Measure 46: Midwest Clean Diesel Initiative</b>											
All Diesel Sources	\$1,492 N/A	N/A	N/A	N/A	N/A	N/A	N/A	\$3,589,219	1.45	0.25	0.20

One Emission Reduction Scenario Example for On-road HDDVs - 2012 OHIO

Sub Total										\$3,589,219	1.45	0.25	0.20	
Measure 33/34/35/37: Anti-Idling Restriction on Onroad HDDVs														
Anti-Idling Restrictions														
All MY Heavy HDDVs	\$1,700	0.01	0.0002	0.001	\$7,500	110,441	33,132	\$248,493,005	1.27	0.02	0.07			
Sub Total						110,441	33,132	\$248,493,005	1.27	0.02	0.07			
Measure 42: Accelerate Low NOx Calibration/Retrash Program (Mandatory Phase-in)														
MY 1993-1998 Medium-HDDVs	\$371	0.04	0.00	0.00	\$100	19,158	11,495	\$1,149,475	1.21	-	0.00			
MY 1993-1998 Heavy-HDDVs	\$110	0.13	0.00	0.00	\$100	17,229	13,783	\$1,378,308	4.89	-	0.00			
Sub Total						36,387	25,278	\$2,527,783	6.10	-	-			
Grand Total						110,441	100,658	\$1,037,397,594	23.1	1.4	6.8			

Summary Results of an Emission Reduction Scenario for Nonroad Diesel Construction Equipment in the LADCO States

2012 OHIO

Equipment Type	Total Unit Recom.	Total Cost (\$)	Total NOx (tpd)	Total PM (tpd)	Total HC (tpd)
<b>Measure 20: Fleet Modernization (Tier 2 or Tier 3 Engines)</b>					
Excavators	103	\$3,055,000	0.22	0.00	0.02
Rubber Tire Loaders	252	\$10,660,500	0.48	0.01	0.04
Crawler Tractor/Dozer	145	\$6,954,750	0.29	0.01	0.04
Tractors/Loaders/Backhoes	213	\$2,394,750	0.07	0.01	0.01
Off-Highway Trucks	14	\$2,773,567	0.14	0.00	0.00
<b>Sub Total</b>	<b>727</b>	<b>\$25,838,567</b>	<b>1.20</b>	<b>0.03</b>	<b>0.11</b>
<b>Measure 51a: DPF Retrofit</b>					
Excavators	919	\$7,038,947	0.00	0.04	0.07
Rubber Tire Loaders	824	\$7,353,474	0.00	0.03	0.08
Crawler Tractor/Dozer	814	\$8,126,526	0.00	0.04	0.08
Tractors/Loaders/Backhoes	1,293	\$5,183,526	0.00	0.03	0.05
Off-Highway Trucks	36	\$1,854,769	0.00	0.01	0.05
<b>Sub Total</b>	<b>3,886</b>	<b>\$29,557,243</b>	<b>0.00</b>	<b>0.15</b>	<b>0.34</b>
<b>Measure 51b: Lean NOx Catalyst</b>					
Excavators	571	\$9,748,421	0.23	0.02	0.05
Rubber Tire Loaders	363	\$7,466,316	0.17	0.02	0.04
Crawler Tractor/Dozer	400	\$8,280,000	0.17	0.02	0.05
Tractors/Loaders/Backhoes	717	\$6,024,211	0.11	0.02	0.03
Off-Highway Trucks	24	\$2,747,806	0.15	0.01	0.04
<b>Sub Total</b>	<b>2,075</b>	<b>\$34,266,754</b>	<b>0.82</b>	<b>0.09</b>	<b>0.21</b>
<b>Measure 51c: EGR+DPF Retrofit</b>					
Excavators	454	\$8,046,368	0.28	0.02	0.04
Rubber Tire Loaders	363	\$8,586,263	0.27	0.02	0.04
Crawler Tractor/Dozer	400	\$9,522,000	0.27	0.02	0.05
Tractors/Loaders/Backhoes	508	\$5,156,842	0.13	0.02	0.02
Off-Highway Trucks	24	\$3,159,977	0.24	0.01	0.04
<b>Sub Total</b>	<b>1,749</b>	<b>\$34,471,451</b>	<b>1.18</b>	<b>0.08</b>	<b>0.19</b>
<b>Measure 51d: SCR Retrofit</b>					
Excavators	290	\$6,754,711	0.44	0.00	0.00
Rubber Tire Loaders	229	\$6,898,368	0.42	0.00	0.00
Crawler Tractor/Dozer	301	\$9,452,132	0.46	0.00	0.00
Tractors/Loaders/Backhoes	162	\$2,340,368	0.10	0.00	0.00
Off-Highway Trucks	36	\$5,461,265	0.68	0.00	0.00
<b>Sub Total</b>	<b>1,018</b>	<b>\$30,906,844</b>	<b>2.10</b>	<b>0.00</b>	<b>0.00</b>
<b>Measure 51e: DOC Retrofit</b>					
Excavators	210	\$320,526	0.00	0.00	0.02
Rubber Tire Loaders	264	\$527,158	0.00	0.01	0.03
Crawler Tractor/Dozer	187	\$376,421	0.00	0.00	0.02
Tractors/Loaders/Backhoes	304	\$251,789	0.00	0.00	0.01
Off-Highway Trucks	8	\$91,594	0.00	0.00	0.01
<b>Sub Total</b>	<b>973</b>	<b>\$1,567,488</b>	<b>0.00</b>	<b>0.02</b>	<b>0.09</b>
<b>Measure 51f: FTF Retrofit</b>					
Excavators	584	\$4,454,526	0.00	0.01	0.05
Rubber Tire Loaders	545	\$5,036,684	0.00	0.01	0.07
Crawler Tractor/Dozer	537	\$5,315,211	0.00	0.01	0.07
Tractors/Loaders/Backhoes	1,062	\$4,139,053	0.00	0.02	0.05
Off-Highway Trucks	36	\$1,854,769	0.00	0.01	0.05
<b>Sub Total</b>	<b>2,764</b>	<b>\$20,800,243</b>	<b>0.00</b>	<b>0.07</b>	<b>0.28</b>
<b>Measure 51g: DOC+SCR Retrofit</b>					
Excavators	454	\$9,620,658	0.56	0.01	0.03
Rubber Tire Loaders	449	\$13,861,447	0.76	0.01	0.05
Crawler Tractor/Dozer	301	\$9,808,816	0.46	0.00	0.04
Tractors/Loaders/Backhoes	399	\$5,993,553	0.25	0.01	0.02
Off-Highway Trucks	36	\$5,667,350	0.68	0.00	0.04
<b>Sub Total</b>	<b>1,639</b>	<b>\$44,951,824</b>	<b>2.72</b>	<b>0.03</b>	<b>0.17</b>
<b>Measure 51h: SCR+DPF Retrofit</b>					
Excavators	598	\$15,483,158	0.79	0.03	0.05
Rubber Tire Loaders	545	\$16,788,947	0.81	0.02	0.06
Crawler Tractor/Dozer	537	\$17,717,368	0.73	0.03	0.06
Tractors/Loaders/Backhoes	761	\$12,560,526	0.46	0.03	0.04
Off-Highway Trucks	36	\$6,182,564	0.68	0.01	0.05
<b>Sub Total</b>	<b>2,477</b>	<b>\$68,732,564</b>	<b>3.46</b>	<b>0.12</b>	<b>0.26</b>
<b>Grand Total</b>	<b>17,308</b>	<b>\$291,092,978</b>	<b>11.48</b>	<b>0.58</b>	<b>1.65</b>

One Example Emission Reduction Scenario for Agricultural Equipment - 2012 OHIO

Summary Results of an Emission Reduction Scenario for Nonroad Diesel  
Agricultural Equipment in the LADCO States

2012 OHIO

Equipment Type	Total Unit Recom.	Total Cost (\$)	Total NOx (tpd)	Total PM (tpd)	Total HC (tpd)
<b>Measure 20: Fleet Modernization (Tier 2 or Tier 3 Engines)</b>					
Agricultural Tractors	4,636	\$63,993,300	1.88	0.10	0.17
Combines	52	\$1,766,250	0.02	0.00	0.00
<b>Sub Total</b>	<b>4,688</b>	<b>\$65,759,550</b>	<b>1.90</b>	<b>0.10</b>	<b>0.17</b>
<b>Measure 51a: DPF Retrofit</b>					
Agricultural Tractors	5,918	\$32,358,789	0.00	0.16	0.29
Combines	289	\$1,990,895	0.00	0.00	0.00
<b>Sub Total</b>	<b>6,207</b>	<b>\$34,349,684</b>	<b>0.00</b>	<b>0.17</b>	<b>0.29</b>
<b>Measure 51b: Lean NOx Catalyst</b>					
Agricultural Tractors	3,239	\$43,120,000	0.73	0.12	0.14
Combines	52	\$829,474	0.01	0.00	0.00
<b>Sub Total</b>	<b>3,291</b>	<b>\$43,949,474</b>	<b>0.74</b>	<b>0.12</b>	<b>0.14</b>
<b>Measure 51c: EGR+DPF Retrofit</b>					
Agricultural Tractors	3,797	\$34,102,947	0.86	0.09	0.14
Combines	52	\$953,895	0.01	0.00	0.00
<b>Sub Total</b>	<b>3,849</b>	<b>\$35,056,842</b>	<b>0.87</b>	<b>0.09</b>	<b>0.14</b>
<b>Measure 51d: SCR Retrofit</b>					
Agricultural Tractors	1,642	\$21,806,711	0.95	0.00	0.00
Combines	0	\$0	0.00	0.00	0.00
<b>Sub Total</b>	<b>1,642</b>	<b>\$21,806,711</b>	<b>0.95</b>	<b>0.00</b>	<b>0.00</b>
<b>Measure 51e: DOC Retrofit</b>					
Agricultural Tractors	4,568	\$4,293,053	0.00	0.05	0.19
Combines	373	\$562,421	0.00	0.00	0.00
<b>Sub Total</b>	<b>4,941</b>	<b>\$4,855,474</b>	<b>0.00</b>	<b>0.05</b>	<b>0.19</b>
<b>Measure 51f: FTF Retrofit</b>					
Agricultural Tractors	4,794	\$19,954,421	0.00	0.06	0.26
Combines	72	\$477,474	0.00	0.00	0.00
<b>Sub Total</b>	<b>4,866</b>	<b>\$20,431,895</b>	<b>0.00</b>	<b>0.07</b>	<b>0.26</b>
<b>Measure 51g: DOC+SCR Retrofit</b>					
Agricultural Tractors	2,583	\$31,729,211	1.31	0.02	0.10
Combines	0	\$0	0.00	0.00	0.00
<b>Sub Total</b>	<b>2,583</b>	<b>\$31,729,211</b>	<b>1.31</b>	<b>0.02</b>	<b>0.10</b>
<b>Measure 51h: SCR+DPF Retrofit</b>					
Agricultural Tractors	4,532	\$62,027,368	2.30	0.10	0.21
Combines	52	\$1,244,211	0.02	0.00	0.00
<b>Sub Total</b>	<b>4,584</b>	<b>\$63,271,579</b>	<b>2.32</b>	<b>0.10</b>	<b>0.21</b>
<b>Grand Total</b>	<b>36,651</b>	<b>\$321,210,418</b>	<b>8.08</b>	<b>0.71</b>	<b>1.49</b>

One Emission Reduction Scenario Example for On-road HDDVs - 2009 WISCONSIN

One Preliminary Scenario for On Road HDDV Strategies for WISCONSIN (2009 Analysis)

Technology	Project Cost-Effectiveness (\$/ton)	Estimated NOx Reductions per Vehicle (tons/year)	Estimated PM Reductions per Vehicle (tons/year)	Estimated THC Reductions per Vehicle (tons/year)	Cost per Unit (\$)	Number of Units Available	Units Recommended	Total Cost	Total NOx Reduction (tons/day)	Total PM Reduction (tons/day)	Total THC Reduction (tons/day)
<b>Measure 31: Fleet Modernization (Incentive/Voluntary Program)</b>											
<b>Diesel Engine/Vehicle Upgrades (MY 1990 Engine 6 g NOx)</b>											
MY 1989 and Earlier	\$26,154	0.18	0.000	0.001	\$35,000	8,272	-	\$0	0.00	0.00	0.00
Sub Total									0.00	0.00	0.00
<b>Diesel Engine/Vehicle Upgrades (MY 2001/2 Engine 4 g NOx)</b>											
MY 1989 and Earlier	\$8,783	0.26	0.02	0.00	\$40,000	8,272	248	\$9,926,749	0.18	0.01	0.00
MY 1990	\$8,551	0.12	0.03	0.00	\$40,000	1,176	35	\$1,411,661	0.01	0.00	0.00
MY 1991 - 1997	\$25,529	0.09	0.01	0.00	\$40,000	10,829	-	\$0	0.00	0.00	0.00
Sub Total							283	11,338,410	0.19	0.02	0.00
<b>Diesel Engine/Vehicle Upgrades (MY 2002/4 Engine 2.4 g NOx)</b>											
MY 1989 and Earlier	\$8,657	0.32	0.02	0.03	\$45,000	8,272	248	\$11,167,599	0.22	0.01	0.02
MY 1990	\$8,015	0.20	0.03	0.04	\$45,000	1,176	35	\$1,588,119	0.02	0.00	0.00
MY 1991 - 1997	\$14,569	0.22	0.01	0.07	\$45,000	10,829	-	\$0	0.00	0.00	0.00
MY 1998 - 2001	\$17,472	0.22	0.00	0.11	\$45,000	8,885	-	\$0	0.00	0.00	0.00
Sub Total							283	12,755,718	0.24	0.02	0.03
<b>Diesel Engine/Vehicle Upgrades (MY 2007 Engine 0.2 g NOx)</b>											
MY 1998 - 2001	\$8,611	0.53	0.01	0.16	\$60,000	8,885	267	15,992,379	0.39	0.01	0.12
MY 2002 - 2006	\$8,587	0.49	0.02	0.08	\$60,000	15,030	451	27,053,614	0.61	0.02	0.10
Sub Total							717	43,045,993	1.00	0.03	0.22
<b>Measure 46: Aftertreatment Device Retrofits (Incentive/Voluntary Program)</b>											
<b>DPF</b>											
MY 1989 and Earlier	\$2,954	0.00	0.02	0.04	\$9,000	8,272	414	\$3,722,531	0.00	0.02	0.04
MY 1990	\$2,069	0.00	0.03	0.06	\$9,000	1,176	59	\$529,373	0.00	0.00	0.01
MY 1991 - 1997	\$3,534	0.00	0.01	0.09	\$9,000	10,829	541	\$4,873,131	0.00	0.02	0.13
MY 1998 - 2001	\$3,026	0.00	0.01	0.15	\$9,000	8,885	444	\$3,998,095	0.00	0.01	0.18
MY 2002 - 2006	\$2,601	0.00	0.02	0.09	\$9,000	15,030	751	\$6,763,403	0.00	0.04	0.18
Sub Total							2,210	\$19,886,533	0.00	0.10	0.55
<b>Close LNC+DPF</b>											
MY 1989 and Earlier	\$5,526	0.10	0.02	0.04	\$ 20,000	8,272	331	\$6,617,833	0.09	0.02	0.03
MY 1990	\$4,281	0.08	0.03	0.05	\$ 20,000	1,176	47	\$941,107	0.01	0.00	0.01
MY 1991 - 1997	\$6,645	0.11	0.01	0.08	\$ 20,000	10,829	433	\$8,663,345	0.13	0.01	0.10
MY 1998 - 2001	\$5,816	0.14	0.01	0.13	\$ 20,000	8,885	355	\$7,107,724	0.14	0.01	0.13
MY 2002 - 2006	\$5,487	0.13	0.02	0.08	\$ 20,000	15,030	601	\$12,023,828	0.22	0.03	0.13
Sub Total							1,768	\$35,353,837	0.58	0.08	0.40
<b>EGR+DPF Retrofit</b>											
MY 1989 and Earlier	\$5,548	0.16	0.02	0.04	\$ 23,000	8,272	331	\$7,610,508	0.15	0.02	0.03
MY 1990	\$4,415	0.13	0.03	0.05	\$ 23,000	1,176	47	\$1,082,273	0.02	0.00	0.01
MY 1991 - 1997	\$6,281	0.17	0.01	0.08	\$ 23,000	10,829	433	\$9,962,846	0.20	0.01	0.10
MY 1998 - 2001	\$5,192	0.22	0.01	0.13	\$ 23,000	8,885	355	\$8,173,883	0.22	0.01	0.13
MY 2002 - 2006	\$4,710	0.21	0.02	0.08	\$ 23,000	15,030	601	\$13,827,403	0.35	0.03	0.13
Sub Total							1,768	48,656,913	0.94	0.08	0.40
<b>SCR Retrofit</b>											
MY 1989 and Earlier	\$12,002	0.32	0.00	0.00	\$ 26,500	8,272	165	\$4,384,314	0.15	0.00	0.00
MY 1990	\$15,139	0.26	0.00	0.00	\$ 26,500	1,176	-	\$0	0.00	0.00	0.00
MY 1991 - 1997	\$11,810	0.34	0.00	0.00	\$ 26,500	10,829	217	\$5,739,466	0.20	0.00	0.00
MY 1998 - 2001	\$9,224	0.45	0.00	0.00	\$ 26,500	8,885	267	\$7,063,301	0.33	0.00	0.00
MY 2002 - 2006	\$10,173	0.43	0.00	0.00	\$ 26,500	15,030	301	\$7,965,786	0.35	0.00	0.00
Sub Total							949	25,152,867	1.03	0.00	0.00
<b>DOC Retrofit</b>											
MY 1989 and Earlier	\$1,314	0.00	0.01	0.03	\$2,000	8,272	414	\$827,229	0.00	0.01	0.03
MY 1990	\$920	0.00	0.01	0.04	\$2,000	1,176	59	\$117,638	0.00	0.00	0.01
MY 1991 - 1997	\$1,431	0.00	0.01	0.06	\$2,000	10,829	541	\$1,082,918	0.00	0.01	0.09
MY 1998 - 2001	\$1,156	0.00	0.01	0.11	\$2,000	8,885	444	\$888,466	0.00	0.01	0.13
MY 2002 - 2006	\$1,093	0.00	0.01	0.06	\$2,000	15,030	751	\$1,502,979	0.00	0.02	0.13
Sub Total							2,210	4,419,230	0.00	0.05	0.40
<b>FTF Retrofit</b>											
MY 1989 and Earlier	\$4,650	0.00	0.01	0.04	\$9,000	8,272	331	\$2,978,025	0.00	0.01	0.04
MY 1990	\$3,257	0.00	0.02	0.06	\$9,000	1,176	59	\$529,373	0.00	0.00	0.01
MY 1991 - 1997	\$4,945	0.00	0.01	0.09	\$9,000	10,829	433	\$3,898,505	0.00	0.01	0.10
MY 1998 - 2001	\$3,941	0.00	0.01	0.15	\$9,000	8,885	444	\$3,998,095	0.00	0.01	0.18
MY 2002 - 2006	\$3,809	0.00	0.01	0.09	\$9,000	15,030	751	\$6,763,403	0.00	0.02	0.18
Sub Total							2,019	18,167,401	0.00	0.05	0.51
<b>DOC+SCR Retrofit</b>											
MY 1989 and Earlier	\$8,517	0.32	0.01	0.03	\$27,500	8,272	248	\$6,824,640	0.22	0.00	0.02
MY 1990	\$8,611	0.26	0.01	0.04	\$27,500	1,176	35	\$970,517	0.02	0.00	0.00
MY 1991 - 1997	\$8,404	0.34	0.00	0.06	\$27,500	10,829	325	\$8,934,074	0.30	0.00	0.06
MY 1998 - 2001	\$6,571	0.45	0.00	0.10	\$27,500	8,885	355	\$9,773,121	0.44	0.00	0.10
MY 2002 - 2006	\$7,245	0.43	0.00	0.06	\$27,500	15,030	451	\$12,399,573	0.53	0.01	0.08
Sub Total							1,415	\$38,901,825	1.51	0.02	0.26
<b>SCR+DPF</b>											
MY 1989 and Earlier	\$5,812	0.32	0.02	0.04	\$30,000	8,272	331	\$9,926,749	0.29	0.02	0.03
MY 1990	\$5,060	0.26	0.03	0.05	\$30,000	1,176	47	\$1,411,661	0.03	0.00	0.01
MY 1991 - 1997	\$6,505	0.34	0.01	0.08	\$30,000	10,829	433	\$12,995,017	0.40	0.01	0.09
MY 1998 - 2001	\$5,427	0.45	0.01	0.13	\$30,000	8,885	355	\$10,661,586	0.44	0.01	0.13
MY 2002 - 2006	\$5,335	0.43	0.02	0.08	\$30,000	15,030	601	\$18,035,743	0.71	0.03	0.13
Sub Total							1,768	\$33,030,755	1.87	0.08	0.40
<b>Overall Projects</b>											
MY 1989 and Earlier						8,272	3,061	\$3,986,169	1.29	0.12	0.25
MY 1990						1,176	423	\$5,581,723	0.12	0.03	0.05
MY 1991 - 1997						10,829	3,357	\$6,149,302	1.23	0.08	0.67
MY 1998 - 2001						8,885	3,287	\$7,656,649	1.95	0.08	1.09
MY 2002 - 2006						15,030	5,260	\$16,335,732	2.77	0.21	1.08
MY 2007+						9,524	0	\$0	0	0	0
Total						53,716	15,389	\$82,709,575	7.36	0.52	3.15
<b>Measure 46: Midwest Clean Diesel Initiative</b>											
AH Diesel Sources	\$1,492	N/A	N/A	N/A	N/A	N/A	N/A	\$2,039,589	0.82	0.14	0.12

One Emission Reduction Scenario Example for On-road HDDVs - 2009 WISCONSIN

Sub Total										\$2,039,589	0.82	0.14	0.12		
Measure 33/34/35/37: Anti-Idling Restriction on Onroad HDDVs															
Anti-Idling Restrictions															
All MY Heavy HDDVs	\$1,700	0.02	0.000	0.001	\$7,500	53,716	8,057	\$60,430,696	0.45	0.01	0.02				
Sub Total										\$3,716	8.957	\$60,430,696	0.45	0.01	0.02
Measure 42: Accelerate Low NOx Calibration/Refash Program (Mandatory Phase-in)															
Diesel Engine Reflash (MY 1993-1998 Engines)															
MY 1993-1998 Medium-HDDVs	5371	0.04	0.00	0.00	\$100	11,319	6,827	\$682,721	0.72	0.00	0.00				
MY 1993-1998 Heavy-HDDVs	5118	0.13	0.00	0.00	\$100	10,233	8,186	\$818,634	2.90	0.00	0.00				
Sub Total										15,014	1,501,356	3.62	-	-	
Grand Total										53,716	38,460	\$366,681,215	12.2	0.7	3.3

One Example Emission Reduction Scenario for Construction Equipment

Summary Results of an Emission Reduction Scenario for Nonroad Diesel Construction Equipment in the LADCO States

2009 WISCONSIN

Equipment Type	Total Unit Recom.	Total Cost (\$)	Total NOx (tpd)	Total PM (tpd)	Total HC (tpd)
<b>Measure 20: Fleet Modernization (Tier 2 or Tier 3 Engines)</b>					
Excavators	75	\$1,800,500	0.14	0.00	0.01
Rubber Tire Loaders	70	\$2,476,000	0.12	0.00	0.01
Crawler Tractor/Dozer	61	\$2,496,000	0.10	0.00	0.02
Tractors/Loaders/Backhoes	58	\$613,250	0.02	0.00	0.00
Off-Highway Trucks	5	\$788,563	0.05	0.00	0.00
<b>Sub Total</b>	<b>269</b>	<b>\$8,174,313</b>	<b>0.43</b>	<b>0.01</b>	<b>0.04</b>
<b>Measure 51a: DPF Retrofit</b>					
Excavators	132	\$992,842	0.00	0.01	0.02
Rubber Tire Loaders	126	\$1,237,737	0.00	0.01	0.02
Crawler Tractor/Dozer	101	\$1,026,474	0.00	0.01	0.02
Tractors/Loaders/Backhoes	156	\$600,632	0.00	0.01	0.01
Off-Highway Trucks	6	\$309,128	0.00	0.00	0.02
<b>Sub Total</b>	<b>521</b>	<b>\$4,166,812</b>	<b>0.00</b>	<b>0.03</b>	<b>0.08</b>
<b>Measure 51b: Lean NOx Catalyst</b>					
Excavators	114	\$1,997,895	0.06	0.01	0.02
Rubber Tire Loaders	81	\$1,596,842	0.04	0.00	0.01
Crawler Tractor/Dozer	89	\$1,950,526	0.04	0.00	0.02
Tractors/Loaders/Backhoes	141	\$1,173,684	0.02	0.00	0.01
Off-Highway Trucks	6	\$686,952	0.04	0.00	0.02
<b>Sub Total</b>	<b>431</b>	<b>\$7,405,899</b>	<b>0.21</b>	<b>0.02</b>	<b>0.06</b>
<b>Measure 51c: EGR+DPF Retrofit</b>					
Excavators	114	\$2,297,579	0.09	0.01	0.02
Rubber Tire Loaders	89	\$2,102,684	0.08	0.00	0.01
Crawler Tractor/Dozer	89	\$2,243,105	0.06	0.00	0.02
Tractors/Loaders/Backhoes	92	\$934,526	0.02	0.00	0.00
Off-Highway Trucks	6	\$789,994	0.07	0.00	0.02
<b>Sub Total</b>	<b>390</b>	<b>\$8,367,889</b>	<b>0.33</b>	<b>0.02</b>	<b>0.06</b>
<b>Measure 51d: SCR Retrofit</b>					
Excavators	85	\$1,963,789	0.16	0.00	0.00
Rubber Tire Loaders	65	\$1,800,605	0.12	0.00	0.00
Crawler Tractor/Dozer	82	\$2,477,053	0.12	0.00	0.00
Tractors/Loaders/Backhoes	43	\$609,500	0.03	0.00	0.00
Off-Highway Trucks	9	\$1,365,316	0.19	0.00	0.00
<b>Sub Total</b>	<b>284</b>	<b>\$8,216,264</b>	<b>0.62</b>	<b>0.00</b>	<b>0.00</b>
<b>Measure 51e: DOC Retrofit</b>					
Excavators	114	\$199,789	0.00	0.00	0.01
Rubber Tire Loaders	126	\$275,053	0.00	0.00	0.02
Crawler Tractor/Dozer	101	\$228,105	0.00	0.00	0.01
Tractors/Loaders/Backhoes	141	\$117,368	0.00	0.00	0.00
Off-Highway Trucks	6	\$68,695	0.00	0.00	0.01
<b>Sub Total</b>	<b>488</b>	<b>\$889,011</b>	<b>0.00</b>	<b>0.01</b>	<b>0.06</b>
<b>Measure 51f: FTF Retrofit</b>					
Excavators	72	\$517,737	0.00	0.00	0.01
Rubber Tire Loaders	66	\$577,895	0.00	0.00	0.01
Crawler Tractor/Dozer	65	\$606,789	0.00	0.00	0.01
Tractors/Loaders/Backhoes	84	\$333,474	0.00	0.00	0.00
Off-Highway Trucks	6	\$309,128	0.00	0.00	0.02
<b>Sub Total</b>	<b>293</b>	<b>\$2,345,023</b>	<b>0.00</b>	<b>0.01</b>	<b>0.05</b>
<b>Measure 51g: DOC+SCR Retrofit</b>					
Excavators	114	\$2,747,105	0.19	0.00	0.01
Rubber Tire Loaders	106	\$3,178,421	0.20	0.00	0.02
Crawler Tractor/Dozer	82	\$2,570,526	0.12	0.00	0.01
Tractors/Loaders/Backhoes	75	\$1,089,868	0.05	0.00	0.00
Off-Highway Trucks	9	\$1,416,838	0.19	0.00	0.01
<b>Sub Total</b>	<b>386</b>	<b>\$11,002,759</b>	<b>0.76</b>	<b>0.01</b>	<b>0.06</b>
<b>Measure 51h: SCR+DPF Retrofit</b>					
Excavators	145	\$3,806,842	0.24	0.01	0.02
Rubber Tire Loaders	112	\$3,571,579	0.21	0.01	0.02
Crawler Tractor/Dozer	114	\$3,647,368	0.16	0.01	0.02
Tractors/Loaders/Backhoes	159	\$2,534,211	0.10	0.01	0.01
Off-Highway Trucks	9	\$1,545,641	0.19	0.00	0.02
<b>Sub Total</b>	<b>539</b>	<b>\$15,105,641</b>	<b>0.90</b>	<b>0.03</b>	<b>0.08</b>
<b>Grand Total</b>	<b>3,601</b>	<b>\$65,673,611</b>	<b>3.25</b>	<b>0.14</b>	<b>0.50</b>

## One Example Emission Reduction Scenario for Agricultural Equipment - 2009 WISCONSIN

Summary Results of an Emission Reduction Scenario for Nonroad Diesel  
Agricultural Equipment in the LADCO States

2009 WISCONSIN

Equipment Type	Total Unit Recom.	Total Cost (\$)	Total NOx (tpd)	Total PM (tpd)	Total HC (tpd)
<b>Measure 20: Fleet Modernization (Tier 2 or Tier 3 Engines)</b>					
Agricultural Tractors	2,095	\$27,726,950	0.83	0.05	0.06
Combines	18	\$337,500	0.00	0.00	0.00
<b>Sub Total</b>	<b>2,113</b>	<b>\$28,064,450</b>	<b>0.83</b>	<b>0.05</b>	<b>0.06</b>
<b>Measure 51a: DPF Retrofit</b>					
Agricultural Tractors	2,483	\$11,048,684	0.00	0.07	0.10
Combines	86	\$588,316	0.00	0.00	0.00
<b>Sub Total</b>	<b>2,569</b>	<b>\$11,637,000</b>	<b>0.00</b>	<b>0.07</b>	<b>0.10</b>
<b>Measure 51b: Lean NOx Catalyst</b>					
Agricultural Tractors	1,516	\$19,332,526	0.34	0.05	0.05
Combines	25	\$365,263	0.00	0.00	0.00
<b>Sub Total</b>	<b>1,541</b>	<b>\$19,697,789</b>	<b>0.34</b>	<b>0.05</b>	<b>0.05</b>
<b>Measure 51c: EGR+DPF Retrofit</b>					
Agricultural Tractors	1,955	\$16,750,053	0.44	0.05	0.05
Combines	25	\$420,053	0.00	0.00	0.00
<b>Sub Total</b>	<b>1,980</b>	<b>\$17,170,105</b>	<b>0.44</b>	<b>0.05</b>	<b>0.05</b>
<b>Measure 51d: SCR Retrofit</b>					
Agricultural Tractors	801	\$10,189,947	0.46	0.00	0.00
Combines	0	\$0	0.00	0.00	0.00
<b>Sub Total</b>	<b>801</b>	<b>\$10,189,947</b>	<b>0.46</b>	<b>0.00</b>	<b>0.00</b>
<b>Measure 51e: DOC Retrofit</b>					
Agricultural Tractors	2,651	\$2,149,789	0.00	0.03	0.07
Combines	123	\$189,579	0.00	0.00	0.00
<b>Sub Total</b>	<b>2,774</b>	<b>\$2,339,368</b>	<b>0.00</b>	<b>0.03</b>	<b>0.07</b>
<b>Measure 51f: FTF Retrofit</b>					
Agricultural Tractors	1,729	\$5,437,895	0.00	0.02	0.06
Combines	25	\$164,368	0.00	0.00	0.00
<b>Sub Total</b>	<b>1,754</b>	<b>\$5,602,263</b>	<b>0.00</b>	<b>0.02</b>	<b>0.06</b>
<b>Measure 51g: DOC+SCR Retrofit</b>					
Agricultural Tractors	1,243	\$16,539,079	0.70	0.01	0.05
Combines	0	\$0	0.00	0.00	0.00
<b>Sub Total</b>	<b>1,243</b>	<b>\$16,539,079</b>	<b>0.70</b>	<b>0.01</b>	<b>0.05</b>
<b>Measure 51h: SCR+DPF Retrofit</b>					
Agricultural Tractors	2,176	\$26,922,632	1.05	0.05	0.07
Combines	25	\$547,895	0.01	0.00	0.00
<b>Sub Total</b>	<b>2,201</b>	<b>\$27,470,526</b>	<b>1.05</b>	<b>0.05</b>	<b>0.07</b>
<b>Grand Total</b>	<b>16,976</b>	<b>\$138,710,529</b>	<b>3.83</b>	<b>0.33</b>	<b>0.52</b>

One Emission Reduction Scenario Example for On-road HDDVs - 2012 WISCONSIN

One Preliminary Scenario for On Road HDDV Strategies for WISCONSIN (2012 Analysis)

Technology	Project Cost-Effectiveness (\$/ton)	Estimated NOx Reductions per Vehicle (tons/year)	Estimated PM Reductions per Vehicle (tons/year)	Estimated THC Reductions per Vehicle (tons/year)	Cost per Unit (\$)	Number of Units Available	Units Recommended	Total Cost	Total NOx Reduction (tons/day)	Total PM Reduction (tons/day)	Total THC Reduction (tons/day)
<b>Measure 31: Fleet Modernization (Incentive/Voluntary Program)</b>											
<b>Diesel Engine/Vehicle Upgrades (MY 1989 Engine 6 g NOx)</b>											
MY 1989 and Earlier	\$28,769	0.16	0.060	0.001	\$35,000	5,507	-	\$0	0.00	0.00	0.00
Sub Total									0.00	0.00	0.00
<b>Diesel Engine/Vehicle Upgrades (MY 2001/2 Engine 4 g NOx)</b>											
MY 1989 and Earlier	\$9,661	0.24	0.02	0.00	\$40,000	5,507	330	\$13,215,815	0.22	0.02	0.00
MY 1990	\$11,401	0.09	0.02	0.00	\$40,000	1,020	41	\$1,692,596	0.01	0.00	0.00
MY 1991 - 1997	\$34,934	0.07	0.00	0.00	\$40,000	9,406	-	\$0	0.00	0.00	0.00
Sub Total							371	14,848,411	0.23	0.02	0.00
<b>Diesel Engine/Vehicle Upgrades (MY 2007/4 Engine 2.4 g NOx)</b>											
MY 1989 and Earlier	\$9,522	0.29	0.02	0.03	\$45,000	5,507	330	\$14,867,792	0.26	0.02	0.03
MY 1990	\$10,687	0.15	0.02	0.03	\$45,000	1,020	41	\$1,836,670	0.02	0.00	0.00
MY 1991 - 1997	\$19,936	0.16	0.00	0.05	\$45,000	9,406	-	\$0	0.00	0.00	0.00
MY 1998 - 2001	\$24,024	0.16	0.00	0.08	\$45,000	7,713	-	\$0	0.00	0.00	0.00
Sub Total							371	16,704,462	0.28	0.02	0.03
<b>Diesel Engine/Vehicle Upgrades (MY 2007 Engine 0.2 g NOx)</b>											
MY 1998 - 2001	\$11,841	0.39	0.01	0.12	\$60,000	7,713	309	18,510,350	0.33	0.01	0.10
MY 2002 - 2006	\$11,789	0.35	0.01	0.06	\$60,000	13,048	527	31,315,736	0.51	0.02	0.08
Sub Total							836	49,826,086	0.84	0.03	0.18
<b>Measure 46: Aftertreatment Device Retrofits (Incentive/Voluntary Program)</b>											
<b>DPF</b>											
MY 1989 and Earlier	\$3,250	0.00	0.02	0.04	\$9,000	5,507	551	\$4,955,931	0.00	0.03	0.05
MY 1990	\$2,759	0.00	0.02	0.04	\$9,000	1,020	102	\$918,335	0.00	0.01	0.01
MY 1991 - 1997	\$4,835	0.00	0.01	0.06	\$9,000	9,406	752	\$6,772,079	0.00	0.02	0.13
MY 1998 - 2001	\$4,181	0.00	0.01	0.11	\$9,000	7,713	617	\$5,553,105	0.00	0.01	0.18
MY 2002 - 2006	\$3,570	0.00	0.01	0.07	\$9,000	13,048	1,305	\$11,743,401	0.00	0.05	0.23
Sub Total							3,327	\$29,942,851	0.00	0.12	0.61
<b>Cleaire LNC+DPF</b>											
MY 1989 and Earlier	\$6,074	0.09	0.02	0.03	\$ 20,000	5,507	441	\$8,810,543	0.11	0.02	0.04
MY 1990	\$5,650	0.06	0.02	0.04	\$ 20,000	1,020	82	\$1,632,596	0.01	0.00	0.01
MY 1991 - 1997	\$8,923	0.08	0.01	0.06	\$ 20,000	9,406	564	\$11,286,799	0.12	0.01	0.09
MY 1998 - 2001	\$7,766	0.10	0.01	0.10	\$ 20,000	7,713	463	\$9,255,175	0.13	0.01	0.12
MY 2002 - 2006	\$7,207	0.10	0.01	0.06	\$ 20,000	13,048	783	\$15,657,868	0.21	0.03	0.13
Sub Total							2,332	46,642,980	0.58	0.08	0.39
<b>EGR+DPF Retrofit</b>											
MY 1989 and Earlier	\$6,102	0.15	0.02	0.03	\$ 23,000	5,507	441	\$10,132,129	0.18	0.02	0.04
MY 1990	\$5,806	0.10	0.02	0.04	\$ 23,000	1,020	82	\$1,877,485	0.02	0.00	0.01
MY 1991 - 1997	\$8,593	0.12	0.01	0.06	\$ 23,000	9,406	564	\$12,979,818	0.19	0.01	0.09
MY 1998 - 2001	\$7,136	0.16	0.01	0.10	\$ 23,000	7,713	463	\$10,643,451	0.21	0.01	0.12
MY 2002 - 2006	\$6,461	0.16	0.01	0.06	\$ 23,000	13,048	1,044	\$24,008,731	0.45	0.04	0.17
Sub Total							2,593	59,641,610	1.04	0.09	0.43
<b>SCR Retrofit</b>											
MY 1989 and Earlier	\$13,197	0.29	0.00	0.00	\$ 26,500	5,507	220	\$5,836,985	0.18	0.00	0.00
MY 1990	\$20,057	0.19	0.00	0.00	\$ 26,500	1,020	-	\$0	0.00	0.00	0.00
MY 1991 - 1997	\$15,979	0.25	0.00	0.00	\$ 26,500	9,406	-	\$0	0.00	0.00	0.00
MY 1998 - 2001	\$12,445	0.33	0.00	0.00	\$ 26,500	7,713	309	\$8,175,404	0.28	0.00	0.00
MY 2002 - 2006	\$13,509	0.31	0.00	0.00	\$ 26,500	13,048	522	\$13,851,117	0.45	0.00	0.00
Sub Total							1,051	27,843,506	0.90	0.00	0.00
<b>DOC Retrofit</b>											
MY 1989 and Earlier	\$1,445	0.00	0.01	0.03	\$2,000	5,507	551	\$1,101,318	0.00	0.01	0.04
MY 1990	\$1,227	0.00	0.01	0.03	\$2,000	1,020	102	\$204,074	0.00	0.00	0.01
MY 1991 - 1997	\$1,959	0.00	0.00	0.05	\$2,000	9,406	941	\$1,881,133	0.00	0.01	0.12
MY 1998 - 2001	\$1,590	0.00	0.00	0.08	\$2,000	7,713	771	\$1,542,529	0.00	0.01	0.16
MY 2002 - 2006	\$1,500	0.00	0.01	0.05	\$2,000	13,048	1,305	\$2,609,645	0.00	0.02	0.17
Sub Total							3,669	7,338,699	0.00	0.06	0.50
<b>FTF Retrofit</b>											
MY 1989 and Earlier	\$5,115	0.00	0.01	0.04	\$9,000	5,507	441	\$3,964,745	0.00	0.01	0.04
MY 1990	\$4,343	0.00	0.01	0.04	\$9,000	1,020	82	\$734,668	0.00	0.00	0.01
MY 1991 - 1997	\$6,767	0.00	0.01	0.06	\$9,000	9,406	752	\$6,772,079	0.00	0.01	0.13
MY 1998 - 2001	\$5,418	0.00	0.01	0.11	\$9,000	7,713	617	\$5,553,105	0.00	0.01	0.18
MY 2002 - 2006	\$5,228	0.00	0.01	0.07	\$9,000	13,048	1,044	\$9,394,721	0.00	0.02	0.19
Sub Total							2,535	26,419,317	0.00	0.06	0.55
<b>DOC+SCR Retrofit</b>											
MY 1989 and Earlier	\$9,365	0.29	0.01	0.03	\$27,500	5,507	330	\$9,085,873	0.27	0.00	0.02
MY 1990	\$11,411	0.19	0.01	0.03	\$27,500	1,020	41	\$1,122,409	0.02	0.00	0.00
MY 1991 - 1997	\$11,375	0.25	0.00	0.05	\$27,500	9,406	376	\$10,346,232	0.25	0.00	0.05
MY 1998 - 2001	\$8,871	0.33	0.00	0.08	\$27,500	7,713	463	\$12,725,865	0.41	0.00	0.10
MY 2002 - 2006	\$9,672	0.31	0.00	0.05	\$27,500	13,048	783	\$21,529,568	0.67	0.01	0.10
Sub Total							1,993	54,809,948	1.63	0.02	0.27
<b>SCR+DPF</b>											
MY 1989 and Earlier	\$6,391	0.29	0.02	0.03	\$30,000	5,507	441	\$13,215,815	0.35	0.02	0.04
MY 1990	\$6,708	0.19	0.02	0.04	\$30,000	1,020	82	\$2,448,893	0.04	0.00	0.01
MY 1991 - 1997	\$8,812	0.25	0.01	0.06	\$30,000	9,406	564	\$16,910,198	0.38	0.01	0.09
MY 1998 - 2001	\$7,336	0.33	0.01	0.10	\$30,000	7,713	463	\$13,882,762	0.41	0.01	0.12
MY 2002 - 2006	\$7,138	0.31	0.01	0.06	\$30,000	13,048	783	\$23,486,802	0.67	0.03	0.13
Sub Total							2,332	69,964,478	1.86	0.08	0.39
<b>Overall Projects</b>											
MY 1989 and Earlier						5,507	4,075	85,186,942	1.36	0.15	0.30
MY 1990						1,020	653	12,407,726	0.13	0.03	0.06
MY 1991 - 1997						9,406	4,515	86,968,338	0.95	0.09	0.70
MY 1998 - 2001						7,713	4,473	85,841,747	1.77	0.08	1.09
MY 2002 - 2006						13,048	8,090	155,577,588	2.96	0.22	1.20
MY 2007+						20,311	0	0	0	0	0
Total						57,004	21,806	403,982,340	7.16	0.57	3.35
<b>Measure 46: Midwest Clean Diesel Initiative</b>											
All Diesel Sources	\$1,492 N/A	N/A	N/A	N/A	N/A	N/A	N/A	\$4,079,178	1.64	0.28	0.23

One Emission Reduction Scenario Example for On-road HDDVs - 2012 WISCONSIN

Sub Total								\$4,079,178	1.64	0.28	0.23
Measure 33/34/35/37: Anti-Idling Restriction on Onroad HDDVs											
Anti-Idling Restrictions											
All MY Heavy HDDVs	\$1,700	0.01	0.0002	0.001	\$7,500	\$7,004	17,101	\$128,259,075	0.63	0.01	0.03
Sub Total						\$7,004	17,101	\$128,259,075	0.63	0.01	0.03
Measure 42: Accelerate Low NOx Calibration/Refresh Program (Mandatory Phase-In)											
MY 1993-1998 Medium-HDDVs	\$371	0.04	0.00	0.00	\$100	9,888	5,933	\$593,299	0.62	-	0.00
MY 1993-1998 Heavy-HDDVs	\$110	0.13	0.00	0.00	\$100	8,893	7,114	\$711,410	2.52	-	0.00
Sub Total						18,781	13,047	\$1,304,709	3.15	-	-
Grand Total						\$7,004	\$1,954	\$537,625,302	12.8	0.9	3.6

Summary Results of an Emission Reduction Scenario for Nonroad Diesel Construction Equipment in the LADCO States

2012 WISCONSIN

Equipment Type	Total Unit Recom.	Total Cost (\$)	Total NOx (tpd)	Total PM (tpd)	Total HC (tpd)
<b>Measure 20: Fleet Modernization (Tier 2 or Tier 3 Engines)</b>					
Excavators	44	\$1,281,250	0.09	0.00	0.01
Rubber Tire Loaders	103	\$4,368,750	0.20	0.00	0.02
Crawler Tractor/Dozer	61	\$2,903,250	0.12	0.00	0.02
Tractors/Loaders/Backhoes	88	\$988,750	0.03	0.00	0.00
Off-Highway Trucks	5	\$1,006,098	0.05	0.00	0.00
<b>Sub Total</b>	<b>301</b>	<b>\$10,548,098</b>	<b>0.49</b>	<b>0.01</b>	<b>0.04</b>
<b>Measure 51a: DPF Retrofit</b>					
Excavators	394	\$3,019,737	0.00	0.02	0.03
Rubber Tire Loaders	336	\$2,999,842	0.00	0.01	0.03
Crawler Tractor/Dozer	352	\$3,514,263	0.00	0.02	0.04
Tractors/Loaders/Backhoes	539	\$2,162,368	0.00	0.01	0.02
Off-Highway Trucks	14	\$721,299	0.00	0.01	0.02
<b>Sub Total</b>	<b>1,635</b>	<b>\$12,417,510</b>	<b>0.00</b>	<b>0.06</b>	<b>0.14</b>
<b>Measure 51b: Lean NOx Catalyst</b>					
Excavators	243	\$4,136,842	0.10	0.01	0.02
Rubber Tire Loaders	147	\$3,012,632	0.07	0.01	0.02
Crawler Tractor/Dozer	172	\$3,529,474	0.07	0.01	0.02
Tractors/Loaders/Backhoes	298	\$2,503,158	0.04	0.01	0.01
Off-Highway Trucks	9	\$1,030,427	0.05	0.00	0.01
<b>Sub Total</b>	<b>869</b>	<b>\$14,212,533</b>	<b>0.33</b>	<b>0.04</b>	<b>0.08</b>
<b>Measure 51c: EGR+DPF Retrofit</b>					
Excavators	193	\$3,401,579	0.12	0.01	0.02
Rubber Tire Loaders	147	\$3,464,526	0.11	0.01	0.02
Crawler Tractor/Dozer	172	\$4,058,895	0.11	0.01	0.02
Tractors/Loaders/Backhoes	211	\$2,141,421	0.05	0.01	0.01
Off-Highway Trucks	9	\$1,184,991	0.09	0.00	0.01
<b>Sub Total</b>	<b>732</b>	<b>\$14,251,413</b>	<b>0.48</b>	<b>0.03</b>	<b>0.08</b>
<b>Measure 51d: SCR Retrofit</b>					
Excavators	123	\$2,845,263	0.18	0.00	0.00
Rubber Tire Loaders	93	\$2,809,000	0.17	0.00	0.00
Crawler Tractor/Dozer	129	\$4,016,842	0.19	0.00	0.00
Tractors/Loaders/Backhoes	68	\$981,895	0.04	0.00	0.00
Off-Highway Trucks	14	\$2,123,825	0.26	0.00	0.00
<b>Sub Total</b>	<b>427</b>	<b>\$12,776,825</b>	<b>0.85</b>	<b>0.00</b>	<b>0.00</b>
<b>Measure 51e: DOC Retrofit</b>					
Excavators	90	\$138,632	0.00	0.00	0.01
Rubber Tire Loaders	108	\$217,158	0.00	0.00	0.01
Crawler Tractor/Dozer	81	\$163,158	0.00	0.00	0.01
Tractors/Loaders/Backhoes	127	\$105,368	0.00	0.00	0.01
Off-Highway Trucks	2	\$22,898	0.00	0.00	0.00
<b>Sub Total</b>	<b>408</b>	<b>\$647,214</b>	<b>0.00</b>	<b>0.01</b>	<b>0.04</b>
<b>Measure 51f: FTF Retrofit</b>					
Excavators	249	\$1,892,842	0.00	0.01	0.02
Rubber Tire Loaders	223	\$2,057,211	0.00	0.01	0.03
Crawler Tractor/Dozer	231	\$2,276,526	0.00	0.01	0.03
Tractors/Loaders/Backhoes	442	\$1,723,263	0.00	0.01	0.02
Off-Highway Trucks	14	\$721,299	0.00	0.00	0.02
<b>Sub Total</b>	<b>1,159</b>	<b>\$8,671,141</b>	<b>0.00</b>	<b>0.03</b>	<b>0.12</b>
<b>Measure 51g: DOC+SCR Retrofit</b>					
Excavators	193	\$4,067,105	0.23	0.00	0.01
Rubber Tire Loaders	183	\$5,649,079	0.31	0.00	0.02
Crawler Tractor/Dozer	129	\$4,168,421	0.19	0.00	0.02
Tractors/Loaders/Backhoes	166	\$2,492,368	0.11	0.00	0.01
Off-Highway Trucks	14	\$2,203,970	0.26	0.00	0.01
<b>Sub Total</b>	<b>685</b>	<b>\$18,580,943</b>	<b>1.11</b>	<b>0.01</b>	<b>0.07</b>
<b>Measure 51h: SCR+DPF Retrofit</b>					
Excavators	255	\$6,596,842	0.34	0.01	0.02
Rubber Tire Loaders	223	\$6,857,368	0.33	0.01	0.03
Crawler Tractor/Dozer	231	\$7,588,421	0.31	0.01	0.02
Tractors/Loaders/Backhoes	316	\$5,216,842	0.19	0.01	0.02
Off-Highway Trucks	14	\$2,404,330	0.26	0.01	0.02
<b>Sub Total</b>	<b>1,039</b>	<b>\$28,663,804</b>	<b>1.42</b>	<b>0.05</b>	<b>0.11</b>
<b>Grand Total</b>	<b>7,255</b>	<b>\$120,769,481</b>	<b>4.68</b>	<b>0.24</b>	<b>0.67</b>

One Example Emission Reduction Scenario for Agricultural Equipment - 2012 WISCONSIN

Summary Results of an Emission Reduction Scenario for Nonroad Diesel  
Agricultural Equipment in the LADCO States

2012 WISCONSIN

Equipment Type	Total Unit Recom.	Total Cost (\$)	Total NOx (tpd)	Total PM (tpd)	Total HC (tpd)
<b>Measure 20: Fleet Modernization (Tier 2 or Tier 3 Engines)</b>					
Agricultural Tractors	4,186	\$57,752,500	1.70	0.09	0.15
Combines	47	\$1,605,000	0.02	0.00	0.00
<b>Sub Total</b>	<b>4,233</b>	<b>\$59,357,500</b>	<b>1.72</b>	<b>0.09</b>	<b>0.15</b>
<b>Measure 51a: DPF Retrofit</b>					
Agricultural Tractors	5,345	\$29,218,737	0.00	0.15	0.26
Combines	262	\$1,804,737	0.00	0.00	0.00
<b>Sub Total</b>	<b>5,607</b>	<b>\$31,023,474</b>	<b>0.00</b>	<b>0.15</b>	<b>0.26</b>
<b>Measure 51b: Lean NOx Catalyst</b>					
Agricultural Tractors	2,925	\$38,939,789	0.66	0.10	0.13
Combines	47	\$746,316	0.01	0.00	0.00
<b>Sub Total</b>	<b>2,972</b>	<b>\$39,686,105</b>	<b>0.67</b>	<b>0.11</b>	<b>0.13</b>
<b>Measure 51c: EGR+DPF Retrofit</b>					
Agricultural Tractors	3,430	\$30,776,421	0.77	0.08	0.13
Combines	47	\$858,263	0.01	0.00	0.00
<b>Sub Total</b>	<b>3,477</b>	<b>\$31,634,684</b>	<b>0.78</b>	<b>0.08</b>	<b>0.13</b>
<b>Measure 51d: SCR Retrofit</b>					
Agricultural Tractors	1,482	\$19,663,000	0.86	0.00	0.00
Combines	0	\$0	0.00	0.00	0.00
<b>Sub Total</b>	<b>1,482</b>	<b>\$19,663,000</b>	<b>0.86</b>	<b>0.00</b>	<b>0.00</b>
<b>Measure 51e: DOC Retrofit</b>					
Agricultural Tractors	4,126	\$3,874,842	0.00	0.05	0.17
Combines	338	\$510,105	0.00	0.00	0.00
<b>Sub Total</b>	<b>4,464</b>	<b>\$4,384,947</b>	<b>0.00</b>	<b>0.05</b>	<b>0.17</b>
<b>Measure 51f: FTF Retrofit</b>					
Agricultural Tractors	4,330	\$18,010,895	0.00	0.06	0.23
Combines	65	\$429,632	0.00	0.00	0.00
<b>Sub Total</b>	<b>4,395</b>	<b>\$18,440,526</b>	<b>0.00</b>	<b>0.06</b>	<b>0.23</b>
<b>Measure 51g: DOC+SCR Retrofit</b>					
Agricultural Tractors	2,332	\$28,627,500	1.18	0.02	0.09
Combines	0	\$0	0.00	0.00	0.00
<b>Sub Total</b>	<b>2,332</b>	<b>\$28,627,500</b>	<b>1.18</b>	<b>0.02</b>	<b>0.09</b>
<b>Measure 51h: SCR+DPF Retrofit</b>					
Agricultural Tractors	4,092	\$55,967,368	2.07	0.09	0.19
Combines	47	\$1,119,474	0.02	0.00	0.00
<b>Sub Total</b>	<b>4,139</b>	<b>\$57,086,842</b>	<b>2.09</b>	<b>0.09</b>	<b>0.19</b>
<b>Grand Total</b>	<b>33,101</b>	<b>\$289,904,579</b>	<b>7.29</b>	<b>0.64</b>	<b>1.35</b>

## **APPENDIX C**

Summary of Emission Inventory and Vehicle Population Estimates, and Emission Control Measure and Emission Reduction Scenario Analysis

**Summary of Emission Inventory and Vehicle Population Estimates, and Emission Control Measure and Emission Reduction Scenario Analyses**

**EMISSION INVENTORY ESTIMATES**

**Onroad TOG and NOx Emissions**

2009 and 2012 TOG and NOx emissions for gasoline and diesel vehicles were set equal to 2009 and 2012 BaseK emissions as shown in Table 1.

**Table 1. LADCO onroad TOG and NOx emissions by fuel type**

Year	Gasoline TOG	Diesel TOG	Total TOG	Gasoline NOx	Diesel NOx	Total NOx
2009	1,321	75	1,396	1,322	1,276	2,598
2012	1,083	67	1,150	1,048	987	2,035

TOG and NOx emissions were distributed according to 2002 NEI emissions fractions by vehicle type corrected to reflect ENVIRON, 2004<sup>1</sup> vehicle miles traveled by vehicle type for all pollutants as shown in Table 2.

**Table 2. LADCO onroad TOG and NOx emissions by vehicle class**

Vehicle Class	2009 Emission		2012 Emissions	
	TOG	NOx	TOG	NOx
LDGV	631	594	518	471
LDGT1	453	477	371	378
LDGT2	208	193	171	153
HDGV	23	53	19	42
Motorcycle	5	4	4	3
LDDV	1	1	1	1
LDDT	2	3	2	2
Class 2b diesel	3	39	3	30
Class 3, 4, 5 diesel	3	39	3	30
Class 6, 7 diesel	13	165	12	127
Class 8 diesel	51	1,004	46	776
Buses	2	26	2	20
<b>Totals</b>	<b>1,396</b>	<b>2,598</b>	<b>1,150</b>	<b>2,035</b>

**Onroad PM and CO Emissions**

NEI 2002 PM and CO emissions were projected to 2009 and 2012 based on EPA NMIM runs for 2002, 2009 and 2012. In NMIM runs, the EPA default county database file was used. The default county database includes 2002 VMT, but not 2009 or 2012 VMT. The 2009 and 2012 VMT applied in NMIM was developed assuming a uniform yearly VMT

<sup>1</sup> ENVIRON, 2004. LADCO/MPCA Total Volume and Vehicle Classification Temporal Profiles. September 2004.

growth rate of 2% across all vehicle types and counties. Emissions distributions by vehicle type were corrected to reflect ENVIRON, 2004<sup>1</sup> vehicle miles traveled by vehicle type for all pollutants.

**Table 3. LADCO onroad CO and NOx emissions by vehicle class**

Vehicle Class	2009 Emissions (tpd)			2012 Emissions (tpd)		
	CO	PM10	PM25	CO	PM10	PM25
LDGV	8,573	17.5	9.4	7,621	17.4	9.3
LDGT1	6,334	12.2	6.8	5,354	12.1	6.8
LDGT2	2,610	4.2	2.4	2,187	4.1	2.3
HDGV	198	1.2	0.9	170	0.9	0.6
Motocycle	53	0.2	0.1	53	0.2	0.1
LDDV	1	0.4	0.1	1	0.2	0.1
LDDT	2	0.4	0.2	2	0.2	0.1
Class 2b diesel	8	1.1	0.9	5	0.7	0.6
Class 3, 4, 5 diesel	8	0.9	0.7	5	0.6	0.5
Class 6, 7 diesel	28	3.6	3.1	18	2.3	2.0
Class 8 diesel	147	15.9	13.6	95	10.8	9.2
Buses	5	0.9	0.8	4	0.6	0.5
<b>Totals</b>	<b>17,968</b>	<b>58.4</b>	<b>38.9</b>	<b>15,513</b>	<b>50.2</b>	<b>32.2</b>

## HEAVY HEAVY-DUTY VEHICLE POPULATION ESTIMATES

### VMT and Population Estimates

#### *VMT Mileage Accumulation used in Scenarios*

VMT mileage accumulation by model year range was estimated based on MOBILE6 default mileage accumulation rates and population age distribution. The MOBILE6 default mileage accumulation rates (MARs) by age distribution and vehicle type are shown in Table 4; medium-HDDVs and Class 8 HDDVs were applicable to the Chip Reflashing and Retrofits measures, respectively.

**Table 4. MOBILE6 Default Annual Mileage Accumulation Rates by Age Distribution and Vehicle Type.**

Age	HDDV4-5	HDDV6-7	HDDV8a	HDDV8b
1	30,563	40,681	87,821	124,208
2	28,622	36,872	78,257	112,590
3	26,805	33,420	69,735	102,060
4	25,103	30,291	62,141	92,514
5	23,509	27,455	55,374	83,861
6	22,016	24,885	49,343	76,017
7	20,618	22,555	43,970	68,907
8	19,309	20,443	39,181	62,462
9	18,083	18,529	34,915	56,620

Age	HDDV4-5	HDDV6-7	HDDV8a	HDDV8b
10	16,935	16,795	31,112	51,324
11	15,860	15,222	27,724	46,523
12	14,853	13,797	24,705	42,172
13	13,910	12,505	22,015	38,228
14	13,026	11,335	19,617	34,652
15	12,199	10,273	17,481	31,411
16	11,425	9,312	15,577	28,473
17	10,699	8,440	13,881	25,810
18	10,020	7,650	12,369	23,396
19	9,384	6,933	11,022	21,208
20	8,788	6,284	9,822	19,224
21	8,230	5,696	8,752	17,426
22	7,707	5,163	7,799	15,796
23	7,218	4,679	6,950	14,319
24	6,760	4,241	6,193	12,979
25	6,331	3,844	5,518	11,765

Annual VMT accumulations for M-HDDVs and Class 8 HDDVs by model year group were estimated based summing and averaging of the annual MARs for each applicable model year for a particular model year group. For example, in the 2009 case, Age 1 of the MAR in Table 4 is for MY 2009 vehicles, Age 2 is for MY 2008 vehicles and so on. For the 2012 case, Age 1 of the MAR in Table 4 is for MY 2012 vehicles, Age 2 is for MY 2011 vehicles and so on. The VMT accumulation estimates by model year group for 2009 and 2012 M-HDDVs and Class 8 HDDVs are shown in Table 5 and Table 6, respectively. These estimates were now used in the emission reduction scenarios to estimate average vehicle activity for those vehicles targeted in control measures.

**Table 5. 2009 VMT accumulation**

MY bin	M-HDDV	HDDV8
MY1989 & Earlier	2000	11000
MY 1990	7000	16000
MY 1991 – 1997	10000	26000
MY 1998 – 2001	16000	44000
MY 2002 – 2006	25000	70000
MY 2007+	34000	101000
MY 1993 – 1998*	12000	30000

\* Used for reflashing measure

**Table 6. 2012 VMT accumulation**

MY bin	MDV	HDDV8
MY1989 & Earlier	2000	10000
MY 1990	5000	12000
MY 1991 – 1997	8000	19000
MY 1998 – 2001	12000	32000
MY 2002 – 2006	19000	51000
MY 2007+	31000	88000
MY 1993 – 1998*	9000	22000

\* Used for reflashing measure

### *Populations Estimates Used in Emissions Scenarios*

The basis of population estimates for 2009 and 2012 are 2009 and 2012 VMT estimates. The basis of VMT estimates for 2009 and 2012 are the 2002, MOBILE6 8 vehicle class VMT estimates from ENVIRON, 2004<sup>1</sup>. These 2002 VMT from ENVIRON, 2004<sup>1</sup> were grown by 2% per year to derive 2009 and 2012 VMT by MOBILE6 8 vehicle classes as shown in Table 7.

**Table 7. VMT estimates (millions of miles/year) by the MOBILE6 8 Vehicle Classes**

<b>Vehicle Class</b>	<b>2002<sup>1</sup></b>	<b>2009</b>	<b>2012</b>
HDDV	29,745	34,168	36,259
HDGV	5,109	5,868	6,227
LDDT	772	887	941
LDDV	346	397	422
LDGT1	145,804	167,483	177,734
LDGT2	48,236	55,408	58,799
LDGV	211,599	243,061	257,938
MC	1,175	1,350	1,432
<b>Total</b>	<b>442,786</b>	<b>508,622</b>	<b>539,753</b>

The above 2009 and 2012 VMT by the eight MOBILE6 vehicle classes were distributed to vehicle model year by default MOBILE6 age distribution and VMT mileage accumulation by age. Additionally, HDDV VMT was distributed to detailed HDDV classes such that population fractions by HDDV class were equivalent to MOBILE6 defaults. MOBILE6 default HDDV class fractions vary by model year for pre-1996 model years and are equivalent thereafter. For purposes of calculation simplicity and due to the small variance in HDDV fractions for the HDDV classes of concern, 1996+ HDDV class fractions were applied to all model years. Tables 8 and 9 show vehicle VMT by model year and vehicle class for 2009 and 2012, respectively. Tables 10 and 11 show the vehicle population estimates for 2009 and 2012, respectively, and these population estimates were used in the emission reduction scenario analyses.

## **HEAVY-DUTY DIESEL VEHICLE CONTROL MEASURES ASSESSMENT**

### **Control Measure Assessment**

As discussed in the Retrofits and Fleet Modernization White Papers, emission factors (g/mile) for on-road HDDVs were calculated based on the engine emission standards (g/bhp-hr) and conversion factors (bhp-hr/mile) for HDDVs from EPA's MOBILE6 model by vehicle class and model year.

In the previous analysis, it was assumed that the vehicle with installed retrofit device or replacement vehicle would travel 50,000 mile annually across the model year groups. The vehicle activity is now assumed based on default Mobile6 annual mileage accumulation in which activity is assumed to vary by vehicle age (see Tables 5 and 6).

Note that this would most likely under-estimate the emission reduction potentials for such a program as funded project data in similar programs, such as the Sacramento Emergency Clean Air Transportation (SECAT) program, showed that retrofitted vehicles or replacement vehicles tend to travel at an average of 45,000 to 50,000 miles.

Using this methodology, the emission reduction per vehicle was estimated based on the baseline and control emission factors, as well as the assumed annual VMT. The per-vehicle emission reduction potentials for each technology by model year group were used in the emission reduction scenario analysis.

### **Emission Reduction Scenario Analysis**

The emission reduction scenario analysis was developed based on a series of selected control measures, including the Retrofits and Fleet Modernization Measures. For each control measure, the amount of emission reduction (in tpd) for each model year group was estimated based on the number of recommended vehicles based on a penetration rate and number of vehicle available (as provided in Tables 10 and 11), and the per-vehicle emission reduction estimates. The recommended vehicles were based on a set of criteria related to the cost-effectiveness value of the measure and assigned penetration rate as follows:

<u>Cost-Effectiveness (CE) Value (\$/ton of NO<sub>x</sub>+20*PM+ROG reduced)</u>	<u>Penetration Rate (%)</u>	
	<u>2009</u>	<u>2012</u>
CE < 4,000	5	10
4,000 < CE < 7,000	4	8
7,000 < CE < 10,000	3	6
10,000 < CE < 14,300	2	4
14,300 and over	0	0

These emission reduction potentials by each model group for each control measure were then added up to provide a total emission reduction for the scenario. Again, the emission reduction scenario analysis was just one of infinite possible scenarios to implement the selected control measures. However, it provides a general idea of whether there are available or excess emissions, and potential emission reductions from target sources, as well as available measures to cost effectively reduce these available or excess emissions and associated costs to achieve the potential emission reductions.

**Table 8. 2009 Vehicle VMT Estimates By Vehicle Types and Model Years (millions of miles/year)**

Age	MC	LDGV	LDGT1	LDGT2	HDGV	LDDV	LDDT	HDDV2b	HDDV3	HDDV4-5	HDDV6-7	HDDV8a	HDDV8b	HDDBS	HDDBT
2009	262	18,267	15,172	5,756	461	30	98	330	119	112	500	381	1,244	15	16
2008	285	23,132	19,059	6,659	789	38	117	549	192	210	907	680	2,256	31	30
2007	213	21,991	17,828	5,782	675	36	105	457	155	184	769	567	1,913	29	29
2006	159	20,876	16,560	5,008	577	34	93	381	125	161	652	472	1,622	27	28
2005	118	19,790	15,228	4,351	494	32	82	317	100	141	553	394	1,376	25	28
2004	86	18,680	13,838	3,774	422	31	72	264	81	124	468	328	1,165	23	27
2003	62	17,529	12,394	3,274	361	29	62	220	65	108	397	273	988	22	26
2002	45	16,350	10,940	2,837	309	27	53	183	52	95	336	228	837	20	25
2001	31	15,061	9,465	2,461	264	25	45	152	42	83	285	190	711	19	24
2000	22	13,705	8,022	2,139	226	22	37	127	34	73	242	158	602	18	23
1999	15	12,218	6,640	1,854	193	20	30	105	27	64	205	132	511	17	23
1998	50	10,648	5,369	1,607	165	17	23	88	22	56	174	110	433	16	22
1997	0	8,601	4,219	1,394	141	14	18	73	18	49	147	92	367	14	21
1996	0	6,481	3,218	1,210	121	11	13	61	14	43	125	76	311	14	19
1995	0	4,888	2,374	1,051	103	8	10	51	11	38	106	64	264	13	17
1994	0	3,679	1,697	912	89	6	7	42	9	33	90	53	223	12	13
1993	0	2,777	1,163	791	76	5	5	35	7	29	76	44	190	11	8
1992	0	2,100	768	684	65	3	3	29	6	25	65	37	161	10	4
1991	0	1,580	551	594	55	3	2	24	5	22	55	31	137	10	3
1990	0	1,186	473	516	47	2	2	20	4	19	46	26	116	9	2
1989	0	902	408	448	40	1	2	17	3	17	39	21	98	8	2
1988	0	679	351	389	35	1	1	14	3	15	33	18	83	8	1
1987	0	510	301	338	30	1	1	12	2	13	28	15	71	7	1
1986	0	387	257	292	25	1	1	10	2	11	24	12	60	7	1
1985 & Earlier	0	1,044	1,188	1,286	104	2	5	39	6	51	104	53	259	33	3
<b>Totals</b>	<b>1,350</b>	<b>243,061</b>	<b>167,483</b>	<b>55,408</b>	<b>5,868</b>	<b>397</b>	<b>887</b>	<b>3,598</b>	<b>1,105</b>	<b>1,778</b>	<b>6,426</b>	<b>4,454</b>	<b>15,995</b>	<b>416</b>	<b>394</b>

**Table 9. 2012 Vehicle VMT Estimates By Vehicle Types and Model Years (millions of miles/year)**

Age	MC	LDGV	LDGT1	LDGT2	HGV	LDDV	LDDT	HDDV2b	HDDV3	HDDV4-5	HDDV6-7	HDDV8a	HDDV8b	HDDBS	HDDBT
2012	278	19,385	16,101	6,108	489	32	103	350	127	119	531	405	1,320	16	17
2011	303	24,548	20,226	7,067	838	40	124	583	204	223	962	721	2,394	33	32
2010	227	23,337	18,919	6,135	716	38	111	485	164	196	816	601	2,030	30	31
2009	169	22,154	17,573	5,315	613	36	99	404	132	171	692	501	1,721	28	30
2008	126	21,001	16,160	4,617	524	34	88	336	107	150	587	418	1,460	27	29
2007	91	19,823	14,685	4,005	448	32	77	280	86	131	497	348	1,237	25	28
2006	66	18,602	13,152	3,475	383	30	66	233	69	115	421	290	1,049	23	27
2005	47	17,351	11,610	3,011	328	28	56	194	56	101	357	242	888	22	27
2004	33	15,983	10,044	2,612	280	26	47	161	45	88	303	202	754	20	26
2003	23	14,544	8,513	2,270	240	24	39	134	36	77	256	168	639	19	25
2002	16	12,966	7,047	1,968	205	21	31	112	29	68	218	140	542	18	24
2001	53	11,300	5,698	1,706	175	18	25	93	23	59	184	117	459	16	23
2000	0	9,128	4,477	1,479	150	15	19	78	19	52	156	97	390	15	22
1999	0	6,877	3,415	1,284	128	11	14	64	15	45	132	81	330	14	20
1998	0	5,187	2,519	1,116	110	8	10	54	12	40	112	68	280	13	18
1997	0	3,904	1,801	968	94	6	7	45	10	35	95	56	237	13	14
1996	0	2,946	1,235	839	80	5	5	37	8	31	81	47	201	12	8
1995	0	2,228	815	726	69	4	3	31	6	27	69	39	171	11	4
1994	0	1,677	585	631	59	3	2	26	5	24	58	33	145	10	3
1993	0	1,259	502	548	50	2	2	21	4	21	49	27	123	10	2
1992	0	957	433	475	43	2	2	18	3	18	42	23	104	9	2
1991	0	720	373	413	37	1	2	15	3	16	35	19	88	8	1
1990	0	541	319	359	31	1	1	12	2	14	30	16	75	8	1
1989	0	411	272	310	27	1	1	10	2	12	25	13	63	7	1
1988 & Earlier	0	1,108	1,260	1,365	110	2	5	41	7	54	110	56	275	35	3
<b>Totals</b>	<b>1,432</b>	<b>257,938</b>	<b>177,734</b>	<b>58,799</b>	<b>6,227</b>	<b>422</b>	<b>941</b>	<b>3,819</b>	<b>1,173</b>	<b>1,887</b>	<b>6,819</b>	<b>4,727</b>	<b>16,974</b>	<b>442</b>	<b>418</b>

**Table 10. 2009 Vehicle Population Estimates By Vehicle Types and Model Years (1000 Vehicles)**

Age	MC	LDGV	LDGT1	LDGT2	HDGV	LDDV	LDDT	HDDV2b	HDDV3	HDDV4-5	HDDV6-7	HDDV8a	HDDV8b	HDDBS	HDDBT
2009	54.7	1,225.2	778.2	269.8	23.1	2.0	3.6	12.1	3.6	3.7	12.3	4.3	10.0	1.5	0.3
2008	63.8	1,632.0	1,036.7	335.2	42.0	2.7	4.8	22.1	6.6	7.3	24.6	8.7	20.0	3.1	0.7
2007	51.3	1,632.0	1,030.0	312.5	38.2	2.7	4.8	20.1	6.0	6.9	23.0	8.1	18.7	2.9	0.7
2006	41.4	1,629.7	1,018.0	290.7	34.8	2.7	4.7	18.3	5.5	6.4	21.5	7.6	17.5	2.7	0.7
2005	33.4	1,625.1	997.9	271.2	31.7	2.7	4.6	16.7	5.0	6.0	20.1	7.1	16.4	2.5	0.7
2004	26.6	1,613.5	968.4	252.6	28.8	2.6	4.5	15.1	4.5	5.6	18.8	6.6	15.3	2.4	0.7
2003	21.3	1,592.7	928.2	235.3	26.2	2.6	4.3	13.8	4.1	5.3	17.6	6.2	14.3	2.2	0.7
2002	17.1	1,562.7	878.7	218.9	23.8	2.6	4.1	12.5*	3.8	4.9	16.4	5.8	13.4	2.0	0.7
2001	13.7	1,514.1	817.1	204.0	21.7	2.5	3.8	11.4	3.4	4.6	15.4	5.4	12.5	1.9	0.7
2000	11.0	1,449.4	746.1	190.3	19.7	2.4	3.5	10.4	3.1	4.3	14.4	5.1	11.7	1.8	0.7
1999	8.7	1,359.2	667.0	177.2	17.9	2.2	3.1	9.4	2.8	4.0	13.5	4.8	11.0	1.7	0.7
1998	36.8	1,246.0	584.0	164.9	16.3	2.0	2.7	8.6	2.6	3.8	12.6	4.5	10.3	1.6	0.7
1997	0.0	1,058.7	498.3	153.5	14.9	1.7	2.3	7.8	2.3	3.5	11.8	4.2	9.6	1.5	0.7
1996	0.0	839.1	413.9	143.1	13.5	1.4	1.9	7.1	2.1	3.3	11.0	3.9	9.0	1.4	0.6
1995	0.0	665.7	333.5	133.5	12.3	1.1	1.5	6.5	1.9	3.1	10.3	3.6	8.4	1.3	0.6
1994	0.0	527.0	261.2	124.5	11.2	0.9	1.2	5.9	1.8	2.9	9.6	3.4	7.8	1.2	0.5
1993	0.0	418.4	196.9	115.8	10.2	0.7	0.9	5.4	1.6	2.7	9.0	3.2	7.3	1.1	0.3
1992	0.0	332.9	143.3	107.7	9.3	0.5	0.7	4.9	1.5	2.5	8.4	3.0	6.9	1.0	0.1
1991	0.0	263.5	113.9	100.4	8.4	0.4	0.5	4.4	1.3	2.4	7.9	2.8	6.4	1.0	0.1
1990	0.0	208.0	108.5	93.6	7.7	0.3	0.5	4.0	1.2	2.2	7.4	2.6	6.0	0.9	0.1
1989	0.0	166.4	104.5	87.2	7.0	0.3	0.5	3.7	1.1	2.1	6.9	2.4	5.6	0.8	0.1
1988	0.0	131.8	100.5	81.3	6.3	0.2	0.5	3.3	1.0	1.9	6.5	2.3	5.3	0.8	0.1
1987	0.0	104.0	96.4	75.9	5.8	0.2	0.4	3.0	0.9	1.8	6.0	2.1	4.9	0.7	0.0
1986	0.0	83.2	92.4	70.4	5.2	0.1	0.4	2.8	0.8	1.7	5.6	2.0	4.6	0.7	0.0
1985 & Earlier	0.0	235.8	480.9	333.0	22.9	0.4	2.2	12.1	3.6	8.1	27.0	9.5	22.0	3.3	0.1
<b>Totals</b>	<b>379.7</b>	<b>23,116.2</b>	<b>13,394.5</b>	<b>4,542.4</b>	<b>458.9</b>	<b>37.8</b>	<b>62.0</b>	<b>241.5</b>	<b>72.4</b>	<b>101.0</b>	<b>337.8</b>	<b>119.3</b>	<b>275.2</b>	<b>41.9</b>	<b>11.3</b>

**Table 11. 2012 Vehicle Population Estimates By Vehicle Types and Model Years (1000 Vehicles)**

Age	MC	LDGV	LDGT1	LDGT2	HDGV	LDDV	LDDT	HDDV2b	HDDV3	HDDV4-5	HDDV6-7	HDDV8a	HDDV8b	HDDBS	HDDBT
2012	58.0	1,300.1	825.9	286.3	24.5	2.1	3.8	12.9	3.9	3.9	13.0	4.6	10.6	1.6	0.4
2011	67.7	1,731.9	1,100.2	355.7	44.6	2.8	5.1	23.5	7.0	7.8	26.1	9.2	21.3	3.3	0.7
2010	54.4	1,731.9	1,093.1	331.6	40.6	2.8	5.1	21.3	6.4	7.3	24.4	8.6	19.9	3.1	0.7
2009	43.9	1,729.4	1,080.3	308.5	36.9	2.8	5.0	19.4	5.8	6.8	22.8	8.1	18.6	2.9	0.7
2008	35.5	1,724.5	1,059.0	287.8	33.6	2.8	4.9	17.7	5.3	6.4	21.4	7.5	17.4	2.7	0.7
2007	28.2	1,712.3	1,027.7	268.0	30.5	2.8	4.8	16.1	4.8	6.0	20.0	7.1	16.3	2.5	0.7
2006	22.6	1,690.2	985.1	249.7	27.8	2.8	4.6	14.6	4.4	5.6	18.7	6.6	15.2	2.3	0.7
2005	18.1	1,658.3	932.5	232.3	25.3	2.7	4.3	13.3	4.0	5.2	17.5	6.2	14.2	2.2	0.7
2004	14.5	1,606.8	867.1	216.4	23.0	2.6	4.0	12.1	3.6	4.9	16.3	5.8	13.3	2.0	0.7
2003	11.7	1,538.1	791.7	202.0	20.9	2.5	3.7	11.0	3.3	4.6	15.3	5.4	12.4	1.9	0.7
2002	9.3	1,442.4	707.9	188.0	19.0	2.4	3.3	10.0	3.0	4.3	14.3	5.1	11.7	1.8	0.7
2001	39.1	1,322.2	619.7	175.0	17.3	2.2	2.9	9.1	2.7	4.0	13.4	4.7	10.9	1.7	0.7
2000	0.0	1,123.5	528.8	162.9	15.8	1.8	2.4	8.3	2.5	3.7	12.5	4.4	10.2	1.5	0.7
1999	0.0	890.5	439.2	151.8	14.3	1.5	2.0	7.5	2.3	3.5	11.7	4.1	9.5	1.4	0.7
1998	0.0	706.5	353.9	141.7	13.1	1.2	1.6	6.9	2.1	3.3	10.9	3.9	8.9	1.4	0.6
1997	0.0	559.3	277.2	132.1	11.9	0.9	1.3	6.3	1.9	3.1	10.2	3.6	8.3	1.3	0.5
1996	0.0	444.0	209.0	122.9	10.8	0.7	1.0	5.7	1.7	2.9	9.6	3.4	7.8	1.2	0.3
1995	0.0	353.2	152.1	114.2	9.8	0.6	0.7	5.2	1.6	2.7	9.0	3.2	7.3	1.1	0.1
1994	0.0	279.7	120.8	106.5	9.0	0.5	0.6	4.7	1.4	2.5	8.4	3.0	6.8	1.0	0.1
1993	0.0	220.8	115.1	99.3	8.1	0.4	0.5	4.3	1.3	2.3	7.8	2.8	6.4	1.0	0.1
1992	0.0	176.6	110.9	92.6	7.4	0.3	0.5	3.9	1.2	2.2	7.3	2.6	6.0	0.9	0.1
1991	0.0	139.8	106.6	86.3	6.7	0.2	0.5	3.5	1.1	2.0	6.8	2.4	5.6	0.8	0.1
1990	0.0	110.4	102.3	80.5	6.1	0.2	0.5	3.2	1.0	1.9	6.4	2.3	5.2	0.8	0.1
1989	0.0	88.3	98.1	74.7	5.6	0.1	0.5	2.9	0.9	1.8	6.0	2.1	4.9	0.7	0.0
1988 & Earlier	0.0	250.2	510.3	353.3	24.3	0.4	2.4	12.8	3.8	8.6	28.6	10.1	23.3	3.5	0.1
<b>Total</b>	<b>403.0</b>	<b>24,531.1</b>	<b>14,214.4</b>	<b>4,820.5</b>	<b>487.0</b>	<b>40.1</b>	<b>65.8</b>	<b>256.3</b>	<b>76.9</b>	<b>107.1</b>	<b>358.4</b>	<b>126.6</b>	<b>292.1</b>	<b>44.5</b>	<b>12.0</b>

## **APPENDIX D**

### **Emission Inventory, Vehicle Population Estimates, and Emission Reduction Scenario Analysis for Minnesota**

## 2009 and 2012 On-Road Emissions Inventories for MINNESOTA

<b>2009 NEI Scaled Emissions (NMIM revised VMT from NEI02 mix to ENVIRON VMT-mix)</b>						
	<b>VOC</b>	<b>TOG</b>	<b>CO</b>	<b>NOx</b>	<b>PM10</b>	<b>PM25</b>
LDGV	82.3	90.6	1328.5	81.7	2.2	1.0
LDGT1	46.2	61.3	962.1	64.7	1.5	0.7
LDGT2	20.7	25.8	370.0	25.3	0.5	0.2
HDGV	8.7	3.1	23.5	6.2	0.1	0.1
Motocycle	1.1	0.7	6.7	0.6	0.0	0.0
LDDV	0.041	0.057	0.1	0.2	0.0	0.0
LDDT	0.269	0.263	0.3	0.5	0.0	0.0
Class 2b diesel	0.5	0.5	1.2	5.5	0.1	0.1
Class 3, 4, 5 diesel	0.4	0.5	1.2	5.5	0.1	0.1
Class 6, 7 diesel	1.9	1.9	4.0	23.3	0.5	0.4
Class 8 diesel	8.5	8.0	23.9	139.4	2.1	1.7
Buses	0.4	0.3	0.8	3.6	0.1	0.1
<b>MN Total</b>	<b>171.0</b>	<b>192.9</b>	<b>2722.3</b>	<b>356.4</b>	<b>7.4</b>	<b>4.5</b>

<b>2012 NEI Scaled Emissions (NMIM revised VMT from NEI02 mix to ENVIRON VMT-mix)</b>						
	<b>VOC</b>	<b>TOG</b>	<b>CO</b>	<b>NOx</b>	<b>PM10</b>	<b>PM25</b>
LDGV	71.4	75.8	1194.7	65.9	2.2	1.0
LDGT1	40.0	50.7	818.5	52.2	1.5	0.7
LDGT2	17.9	21.2	316.8	20.4	0.5	0.2
HDGV	7.5	2.5	20.6	5.0	0.1	0.1
Motocycle	1.0	0.6	6.7	0.5	0.0	0.0
LDDV	0.040	0.058	0.1	0.1	0.0	0.0
LDDT	0.264	0.332	0.3	0.5	0.0	0.0
Class 2b diesel	0.5	0.4	0.7	4.8	0.1	0.1
Class 3, 4, 5 diesel	0.4	0.4	0.8	4.8	0.1	0.1
Class 6, 7 diesel	1.9	1.8	2.5	20.4	0.3	0.2
Class 8 diesel	8.3	7.4	15.4	122.2	1.4	1.1
Buses	0.4	0.3	0.5	3.2	0.1	0.1
<b>MN Total</b>	<b>149.6</b>	<b>161.4</b>	<b>2377.5</b>	<b>299.9</b>	<b>6.3</b>	<b>3.6</b>

# 2009 and 2012 On-Road Vehicle Population Estimates MINNESOTA

2002 Vehicle VMT by Vehicle Types:

Vehicle Type	Minnesota
HDDV	3886350507
HDPV	624379290
LDDT	91879520
LDDV	41240283
LDGT1	17388742496
LDGT2	5739161185
LDGV	2523309498
MC	166384217
Total	53151226997

Minnesota

Growth Rates: 2%

2009 Vehicle VMT by Vehicle Types

Vehicle Type	Minnesota	2009	2012	100%
HDDV	4441221414	4441221414	4441221414	100%
HDPV	717115542	717115542	717115542	100%
LDDT	105540687	105540687	105540687	100%
LDDV	47372122	47372122	47372122	100%
LDGT1	19974199283	19974199283	19974199283	100%
LDGT2	6592480711	6592480711	6592480711	100%
LDGV	28984899744	28984899744	28984899744	100%
MC	181123166	181123166	181123166	100%
Total	61054052659	61054052659	61054052659	100%

2009 Vehicle VMT Estimates By Vehicle Types and Model Years

Age	MC	LDGV	LDGT1	LDGT2	HDPV	LDDV	LDDT	HDDV2b	HDDV3	HDDV4-S	HDDV6-7	HDDV8a	HDDV8b	HDD8S	HDD8T
2009	37052235	2178344619	1809455908	684797060	66309229	3560226	11607628	42849076	15007174	14599184	65012183	49584545	161731132	1891823	2039904
2008	40429662	2159432313	2273041308	792335578	90413425	4508389	1393425	71400512	24891591	27344052	11765062	83389244	283217556	3583945	3949749
2007	30230437	2632445070	2128177816	687888540	82481168	4286053	12475893	59413356	20112792	23854504	88820661	73621166	248634541	3723843	3823844
2006	22585276	2489495359	1974910484	595807791	70056889	4068763	11111081	49471631	18186308	20984401	84713921	61399416	210817023	3474865	3701913
2005	16766038	235968517	1816089145	517687870	60378553	3857051	9814761	41206201	13047458	18387045	71840517	51191602	178788999	3247537	3583956
2004	12166603	2227634967	1650313642	449001467	51575805	3640622	8983273	34261243	10482780	16092560	60854764	42631176	151469331	3036447	3469702
2003	8798655	2090363457	1478107139	389562547	44154266	3416432	7414079	28550190	8456444	14098645	51591982	3553327	128428039	2836193	3359061
2002	6318578	1949736330	1304771401	337684099	37220711	3186590	624160	23148442	6802057	12340145	43709449	29597226	108818725	2646743	3261943
2001	4452776	1768000651	1128794124	29085432	32251211	2935334	5299248	19756649	5474293	10820989	37069273	24605820	82362035	2473541	3148358
2000	3101933	1634361332	958689059	254610627	27624378	2871155	4380533	18472014	4413815	8467304	31411693	20558153	78214790	2311164	3042940
1999	2075486	1457067478	791848542	220620560	23614491	2381293	3513003	19705220	3851765	8304387	28865293	17158343	66404788	2159812	2936311
1998	17136048	1268765023	640349898	191223744	20210762	2075276	2771694	11417868	2881857	7270337	22594110	14283555	56271931	2018886	2894137
1997	0	1025671923	503151566	165814789	17292836	1876330	2131102	9508715	2304807	6370955	19180678	11917852	47727182	1885572	2679994
1996	0	772787546	383782558	143926238	14751732	1283039	1585201	7895488	1850903	5572630	18223362	9919639	40411474	1758983	2478344
1995	0	678821958	283128653	129892529	12339677	852645	1160476	6585629	1482218	4802650	13752172	8270137	34272074	1804119	2157244
1994	0	438695666	202351795	108562043	10818287	716892	817486	5486513	1203083	4272997	11651707	8688130	28029231	1537168	1650329
1993	0	331099120	138757808	94088016	8253914	541139	555266	4567474	968598	3748746	9893825	5748810	24652334	1434329	1004998
1992	0	250395822	91548388	81439124	7914924	409240	364200	3802744	779987	3287298	8396895	4798464	2082393	1342315	463605
1991	0	188456870	65705376	70721518	6778253	308009	280763	3198885	628731	2881611	7122004	4000579	17763108	1250302	367144
1990	0	141438169	56376807	61390081	5782956	231183	223812	2632187	595088	2525005	6042018	3336496	15960752	1169113	290811
1989	0	107573391	48706487	53285144	4948092	178183	194310	2182606	408781	2203299	5101547	2765900	12717058	1093338	226404
1988	0	82952382	41897931	46882258	4222992	132005	168341	1821182	329838	1931747	4329455	2310589	10792298	1022974	181705
1987	0	60758128	35885041	40200450	3624683	99301	145639	1521914	264138	1685514	3677120	192676	9189053	852611	143337
1986	0	48204954	30699164	34748389	3083180	75516	125764	1259977	211510	1481476	3109471	1604221	7753834	893073	116991
1985 & Earlier	0	124475181	141850386	153037555	12686828	203439	589766	5045465	819186	6638204	13484409	6838723	33627728	4236036	348622
Total	191123166	28984899744	19974199283	6592480711	717216542	47372122	106540687	467741858	143671011	231164332	835087622	579003489	2079065535	54125624	51250903

2009 Vehicle Population Estimates by Vehicle Types and Model Years

Age	MC	LDGV	LDGT1	LDGT2	HDPV2b-3	LDDV	LDDT	HDDV2b	HDDV3	HDDV4-S	HDDV6-7	HDDV8a	HDDV8b	HDD8S	HDD8T
2009	7.744	146.400	92.812	32.109	2.821	239	429	1,579	479	478	1,598	565	1,302	200	45
2008	9.035	194.616	123.642	39.688	5.138	318	571	2,875	862	3,196	1,129	2,604	401	90	90
2007	7.260	194.616	122.844	37.184	4.672	318	668	2,615	784	894	2,990	1,056	2,438	375	90
2006	6.862	194.340	121.408	34.589	4.251	318	861	2,379	714	838	2,797	988	2,279	350	90
2005	4.732	193.789	119.010	32.286	3.870	317	650	2,166	650	782	2,617	924	2,132	327	90
2004	3.784	182.470	115.495	30.050	3.617	314	534	1,988	590	731	2,445	864	1,993	305	90
2003	3.012	189.529	110.703	27.996	3.203	310	512	1,792	637	684	2,287	808	1,864	285	90
2002	2.420	188.346	104.782	28.050	2.911	305	484	1,629	489	639	2,138	795	1,742	266	90
2001	1.936	180.557	97.444	24.267	2.647	293	460	1,462	444	598	2,002	707	1,631	249	90
2000	1.560	172.839	88.978	22.845	2.412	282	411	1,350	405	559	1,870	661	1,524	233	90
1999	1.237	162.088	79.553	21.078	2.193	265	358	1,227	368	524	1,752	619	1,427	217	90
1998	5.216	148.881	68.645	19.819	1.997	243	322	1,116	335	489	1,636	579	1,334	203	89
1997	0	126.252	59.425	18.268	1.817	206	275	1,017	305	458	1,532	541	1,248	190	88
1996	0	100.064	48.361	17.025	1.649	164	228	923	277	428	1,431	506	1,166	177	84
1995	0	79.390	38.776	15.890	1.503	130	184	841	252	400	1,339	473	1,091	166	75
1994	0	62.850	31.160	14.809	1.369	103	144	766	230	374	1,261	442	1,020	155	60
1993	0	49.894	23.462	13.782	1.245	82	109	687	209	350	1,172	414	955	144	37
1992	0	38.895	17.693	12.809	1.133	65	79	624	190	328	1,090	386	894	135	18
1991	0	31.425	13.578	11.944	1.032	51	63	578	173	307	1,027	363	837	126	15
1990	0	24.609	12.938	11.133	957	41	60	524	157	287	961	340	783	118	12
1989	0	19.847	12.460	10.377	853	32	58	477	143	268	866	316	730	110	10
1988	0	15.713	11.981	9.674	774	25	55	433	130	251	839	295	683	103	8
1987	0	12.405	11.502	9.026	707	20	53	396	119	235	760	278	640	96	6
1986	0	9.924	11.023	8.377	639	15	51	358	107	219	733	259	507	90	5
1985 & Earlier	0	28.117	57.348	39.610	2.788	48	265	1,569	470	1,049	3,608	1,298	2,858	426	17
Total	53,777	2,756,596	1,597,447	540,461	56,088	4,505	7,383	31,391	9,413	13,123	43,904	15,511	35,773	5,446	1,471

2002 Vehicle VMT by Vehicle Types:

Minnesota

Minnesota	
HDDV	3666350507
HDCV	624379230
LDOT	91879520
LDV	41240283
LDGT1	17388742496
LDGT2	5739151183
LDGV	25233099498
MC	166384217
Total	63151226997

Growth Rates: 2%

2012 Vehicle VMT by Vehicle Types

Illinois			
HDDV	4713059694	100%	
HDCV	761114871	100%	
LDOT	112000622	100%	
LDV	50271675	100%	
LDGT1	21196760073	100%	
LDGT2	6995993270	100%	
LDGV	3076907487	100%	
MC	202821432	100%	
Total	64791049125	100%	

2012 Vehicle VMT Estimates By Vehicle Types and Model Years

Age	MC	LDGV	LDGT1	LDGT2	HDCV	LDV	LDOT	HDDV2b	HDDV3	HDDV4-5	HDDV6-7	HDDV8a	HDDV8b	HDD8S	HDD8T
2012+	33331050	2311676737	1922020048	726712118	59088663	3776141	12318107	43471182	1643337	1542781	6891448	52618515	171639739	2113738	2164763
2011	42904483	2827323489	2412169621	840832854	102384742	4784337	14787747	75770795	28521276	26017277	125063429	9378148	311184827	4227477	4181605
2010	32080782	2782960643	2256316908	730003443	87829698	4548394	13239907	63049929	21343682	25421136	106036605	78170880	263852964	3951772	4057894
2009	23967678	2544068857	1927248330	649352285	84072081	4039134	10415503	43728350	13846067	18512479	76237732	54324938	189742928	3448313	3803323
2008	12911296	2363877927	1751326040	476489398	64732696	3863457	9108638	30358505	11336022	17077553	64578502	45240545	160740486	3222302	3630376
2007	5335123	221861024	169579121	413406892	46856891	3623545	780780	30207690	8974948	14959473	5474935	3770876	13828963	3009760	3544663
2006	670326	205907632	1354653948	358247584	40036174	3381841	6711249	25192777	7218399	13095460	46384817	31408818	115478202	2808745	3450998
2005	4723151	1905930270	1197885355	310776221	34229393	3115000	6623605	20989098	5809364	11483317	99365800	26207402	98015331	2624941	3340965
2004	3291788	1734397320	1015246683	270088713	29315205	2834651	4827432	17460233	4683763	10048778	33334340	21816477	83002160	2452626	3229200
2003	2202522	1546187992	840422128	234104671	26058877	2527047	3728345	14544090	3769161	6812682	28297422	18208571	70469292	2291788	3118037
2002	7572831	1347489045	679544333	202928167	21447844	2202299	2941344	12116733	3037026	7715338	23077050	15164335	59716223	2142458	2996997
2000	0	1098451250	533948507	176963880	18351296	1778935	2261843	10698707	2445870	6700590	20333404	12947107	53649467	1993861	2844031
1999	0	82098938	497273121	152718571	15654556	1340347	1892840	6378755	1854193	3913718	1718362	10326822	42884980	1866753	2528436
1998	0	618558042	306458258	132738577	13413634	1010554	1223320	6988722	1984615	5181507	14582203	8778335	26369799	1746132	2289285
1997	0	465647350	214737905	115206809	11480452	760878	867533	5822322	1276721	4534539	12364855	7307617	30806147	1631265	1768993
1996	0	351365035	147250981	99846956	9820328	674261	689256	4846894	1027882	3978199	10499194	6100692	26161255	1522121	1066512
1995	0	205722155	97151882	85423850	8399380	434289	368492	4035502	827728	3489508	8910533	5090046	22204496	1424476	491876
1994	0	189981838	69727071	76050238	7189136	328611	276724	3363968	667214	3057969	7558564	4248448	18639739	1338830	388617
1993	0	16098316	98827308	85147645	8126739	243312	237617	2793393	638004	2680194	6411838	3540716	1592791	1240675	309611
1992	0	114157743	51687693	56346090	5260585	188576	206203	2326765	431679	5338094	5413802	2838898	13495444	1180280	234445
1991	0	85906159	44461465	49053879	4481473	104003	178645	1932653	346841	2049865	4694495	2451894	11453541	1085589	192827
1990	0	64477009	38081453	42661039	3846543	105379	154576	1614749	224450	1799293	3902189	2047788	9730273	1010916	152114
1989	0	48033067	32482679	36876268	3271895	60138	134883	1336779	220456	1572154	3299795	1702412	8222400	947736	12636
1988 & Earlier	0	132094058	150320521	162402767	13463363	215891	625985	5354309	880336	7044816	14399762	7257308	35688012	4497438	369387
Total	202821432	3076907487	21196760073	6995993270	78114871	50271675	112000622	496371402	152464826	245302826	886330220	614443135	226230978	57438545	54387762

2012 Vehicle Population Estimates By Vehicle Types and Model Years

Age	MC	LDGV	LDGT1	LDGT2	HDCV2b-3	LDV	LDOT	HDDV2b	HDDV3	HDDV4-5	HDDV6-7	HDDV8a	HDDV8b	HDD8S	HDD8T
2012+	8,218	158,042	98,492	34,068	2,894	238	455	1,676	502	507	1,696	699	1,382	213	48
2011	9,588	206,528	131,210	42,327	5,452	338	606	3,051	915	1,014	3,392	1,198	2,764	425	96
2010	7,704	206,528	130,353	39,489	4,958	336	603	2,775	832	948	3,173	1,121	2,545	388	96
2009	6,221	206,235	128,837	36,707	4,572	337	605	2,525	757	887	2,968	1,049	2,416	371	96
2008	5,022	205,650	128,234	34,240	4,107	336	594	2,299	689	830	2,777	981	2,253	347	96
2007	3,995	204,187	122,568	31,869	3,732	334	566	2,089	626	776	2,595	917	2,119	324	96
2006	3,196	201,555	117,479	29,709	3,395	329	543	1,902	570	726	2,427	858	1,978	303	96
2005	2,588	197,752	111,207	27,640	3,089	323	514	1,729	518	678	2,269	802	1,849	283	96
2004	2,054	191,009	103,409	25,752	2,808	319	478	1,572	472	639	2,125	751	1,731	264	96
2003	1,655	183,419	94,424	24,031	2,559	300	458	1,432	430	593	1,985	701	1,617	217	96
2002	1,313	172,009	84,422	22,358	2,327	281	390	1,303	391	556	1,859	637	1,518	211	95
2001	6,536	157,675	73,812	20,820	2,119	258	342	1,156	356	519	1,738	614	1,416	216	85
2000	0	133,990	63,062	19,386	1,928	219	291	1,079	324	469	1,626	574	1,323	201	83
1999	0	106,189	52,382	18,057	1,756	174	242	978	294	454	1,518	537	1,238	168	89
1998	0	84,249	42,211	16,862	1,595	138	195	893	268	425	1,421	502	1,158	176	80
1997	0	66,697	33,057	15,715	1,452	109	153	813	244	397	1,328	489	1,082	144	83
1996	0	52,948	24,920	14,625	1,321	87	115	740	222	372	1,244	439	1,014	153	40
1995	0	42,125	18,133	13,593	1,202	69	84	673	202	348	1,165	412	949	143	19
1994	0	33,349	14,409	12,675	1,095	65	67	613	184	326	1,090	385	880	133	15
1993	0	26,328	13,731	11,815	994	43	63	556	167	305	1,020	360	831	126	13
1992	0	21,082	13,223	11,012	905	34	61	506	152	284	950	336	774	117	10
1991	0	16,674	12,714	10,266	821	27	59	460	138	260	890	314	725	109	8
1990	0	13,164	12,206	9,578	760	22	56	420	126	249	834	295	680	102	7
1989	0	10,551	11,697	8,859	679	17	54	380	114	233	778	275	634	95	6
1988 & Earlier	0	28,838	60,859	42,041	2,970	49	281	1,682	498	1,113	3,723	1,315	3,033	493	18
Total	57,069	2,825,321	1,695,223	573,641	59,521	4,781	7,835	33,313	9,689	13,926	46,891	16,461	37,063	5,778	1,561

## 2009 and 2012 NONROAD Emissions Inventories for MINNESOTA

### Minnesota 2009 Emissions (tpd)

#### Summary by Equipment Type

Sum of Emissions (tpd) Equipment Type	Pol				
	VOC	CO	NOX	PM10	PM25
Agricultural Equipment	8.94	82.90	79.50	7.90	7.27
Airport Ground Support Equipment	0.07	0.82	0.84	0.06	0.05
Commercial Equipment	6.24	259.64	8.00	0.65	0.60
Construction and Mining Equipment	4.30	44.82	31.00	2.70	2.49
Industrial Equipment	2.79	62.40	13.90	0.59	0.55
Lawn and Garden Equipment	16.53	405.95	4.49	0.87	0.80
Logging Equipment	0.23	2.31	0.38	0.05	0.04
Pleasure Craft	48.33	156.71	11.58	2.94	2.71
Railroad Equipment	0.03	0.40	0.15	0.02	0.02
Recreational Equipment	116.34	320.09	2.85	3.18	2.92
Underground Mining Equipment	0.00	0.00	0.00	0.00	0.00
<b>Grand Total</b>	<b>203.81</b>	<b>1,336.05</b>	<b>152.70</b>	<b>18.96</b>	<b>17.46</b>

#### Summary by Equipment Type and Fuel Type

Sum of Emissions (tpd)		Fuel Type			
Pol	Equipment Type	CNG	Diesel	Gasoline	LPG
VOC	Agricultural Equipment	0.00	7.59	1.35	0.00
	Airport Ground Support Equipment		0.06	0.01	0.00
	Commercial Equipment	0.00	0.69	5.39	0.16
	Construction and Mining Equipment	0.00	3.18	1.10	0.02
	Industrial Equipment	0.01	0.55	0.31	1.93
	Lawn and Garden Equipment		0.11	16.42	0.01
	Logging Equipment		0.03	0.20	
	Pleasure Craft		0.16	48.17	
	Railroad Equipment		0.03	0.00	0.00
	Recreational Equipment		0.05	116.29	0.00
	Underground Mining Equipment		0.00	0.00	
<b>VOC Total</b>		<b>0.01</b>	<b>12.44</b>	<b>189.24</b>	<b>2.12</b>
CO	Agricultural Equipment	0.02	39.03	43.82	0.02
	Airport Ground Support Equipment		0.36	0.39	0.07
	Commercial Equipment	0.68	2.83	253.44	2.69
	Construction and Mining Equipment	0.00	16.52	27.87	0.43
	Industrial Equipment	3.29	3.19	10.88	45.05
	Lawn and Garden Equipment		0.46	405.32	0.17
	Logging Equipment		0.14	2.17	
	Pleasure Craft		0.75	155.96	
	Railroad Equipment		0.11	0.29	0.00
	Recreational Equipment		0.22	319.82	0.05
	Underground Mining Equipment		0.00	0.00	
<b>CO Total</b>		<b>3.99</b>	<b>63.61</b>	<b>1,219.96</b>	<b>48.49</b>
NOX	Agricultural Equipment	0.00	78.59	0.90	0.00
	Airport Ground Support Equipment		0.82	0.01	0.01
	Commercial Equipment	0.19	4.53	2.57	0.72
	Construction and Mining Equipment	0.00	30.64	0.29	0.08
	Industrial Equipment	0.51	6.07	0.39	6.93
	Lawn and Garden Equipment		0.96	3.51	0.02

	Logging Equipment	0.36	0.02		
	Pleasure Craft	4.48	7.10		
	Railroad Equipment	0.15	0.00	0.00	
	Recreational Equipment	0.17	2.67	0.01	
	Underground Mining Equipment	0.00			
NOX Total		0.70	126.77	17.46	7.78
PM10	Agricultural Equipment	0.00	7.88	0.02	0.00
	Airport Ground Support Equipment		0.06	0.00	0.00
	Commercial Equipment	0.00	0.51	0.13	0.01
	Construction and Mining Equipment	0.00	2.60	0.11	0.00
	Industrial Equipment	0.01	0.49	0.01	0.09
	Lawn and Garden Equipment		0.08	0.79	0.00
	Logging Equipment		0.03	0.02	
	Pleasure Craft		0.09	2.86	
	Railroad Equipment		0.02	0.00	0.00
	Recreational Equipment		0.03	3.14	0.00
	Underground Mining Equipment		0.00		
PM10 Total		0.01	11.79	7.08	0.09
PM25	Agricultural Equipment	0.00	7.25	0.02	0.00
	Airport Ground Support Equipment		0.05	0.00	0.00
	Commercial Equipment	0.00	0.47	0.12	0.01
	Construction and Mining Equipment	0.00	2.39	0.10	0.00
	Industrial Equipment	0.01	0.46	0.00	0.09
	Lawn and Garden Equipment		0.08	0.72	0.00
	Logging Equipment		0.02	0.02	
	Pleasure Craft		0.08	2.63	
	Railroad Equipment		0.02	0.00	0.00
	Recreational Equipment		0.03	2.89	0.00
	Underground Mining Equipment		0.00		
PM25 Total		0.01	10.84	6.51	0.09

**Minnesota 2012 Emissions (tpd)**

**Summary by Equipment Type**

Sum of Emissions (tpd) Equipment Type	Pol				
	VOC	CO	NOX	PM10	PM25
Agricultural Equipment	7.92	78.15	70.38	6.76	6.22
Airport Ground Support Equipment	0.06	0.72	0.70	0.05	0.04
Commercial Equipment	6.45	278.89	7.73	0.61	0.56
Construction and Mining Equipment	3.89	41.92	25.82	2.24	2.06
Industrial Equipment	2.09	46.45	10.99	0.48	0.45
Lawn and Garden Equipment	16.77	428.22	4.54	0.90	0.83
Logging Equipment	0.24	2.48	0.29	0.04	0.04
Pleasure Craft	44.86	156.29	12.16	2.92	2.68
Railroad Equipment	0.03	0.40	0.14	0.02	0.02
Recreational Equipment	108.20	320.10	3.59	2.98	2.74
Underground Mining Equipment	0.00	0.00	0.00	0.00	0.00
<b>Grand Total</b>	<b>190.53</b>	<b>1,353.61</b>	<b>136.33</b>	<b>16.99</b>	<b>15.64</b>

**Summary by Equipment Type and Fuel Type**

Sum of Emissions (tpd)		Fuel Type			
Pol	Equipment Type	CNG	Diesel	Gasoline	LPG
VOC	Agricultural Equipment	0.00	6.64	1.28	0.00
	Airport Ground Support Equipment		0.05	0.01	0.00
	Commercial Equipment	0.00	0.61	5.71	0.13
	Construction and Mining Equipment	0.00	2.79	1.09	0.02
	Industrial Equipment	0.01	0.48	0.23	1.38
	Lawn and Garden Equipment		0.10	16.66	0.00
	Logging Equipment		0.02	0.22	
	Pleasure Craft		0.16	44.70	
	Railroad Equipment		0.02	0.00	0.00
	Recreational Equipment		0.05	108.15	0.00
	Underground Mining Equipment		0.00		
	<b>VOC Total</b>		<b>0.01</b>	<b>10.92</b>	<b>178.06</b>
CO	Agricultural Equipment	0.02	33.55	44.57	0.02
	Airport Ground Support Equipment		0.30	0.37	0.05
	Commercial Equipment	0.66	2.57	273.33	2.34
	Construction and Mining Equipment	0.00	13.67	27.91	0.34
	Industrial Equipment	2.42	2.49	8.23	33.30
	Lawn and Garden Equipment		0.42	427.67	0.13
	Logging Equipment		0.10	2.38	
	Pleasure Craft		0.80	155.48	
	Railroad Equipment		0.10	0.30	0.00
	Recreational Equipment		0.20	319.85	0.05
	Underground Mining Equipment		0.00		
	<b>CO Total</b>		<b>3.10</b>	<b>54.21</b>	<b>1,260.08</b>
NOX	Agricultural Equipment	0.00	69.54	0.83	0.00
	Airport Ground Support Equipment		0.68	0.01	0.01

	Commercial Equipment	0.18	4.28	2.66	0.61
	Construction and Mining Equipment	0.00	25.49	0.27	0.06
	Industrial Equipment	0.38	5.11	0.28	5.22
	Lawn and Garden Equipment		0.93	3.59	0.02
	Logging Equipment		0.26	0.02	
	Pleasure Craft		4.55	7.60	
	Railroad Equipment		0.13	0.00	0.00
	Recreational Equipment		0.16	3.41	0.01
	Underground Mining Equipment		0.00		
NOX Total		0.56	111.15	18.69	5.93
PM10	Agricultural Equipment	0.00	6.74	0.02	0.00
	Airport Ground Support Equipment		0.05	0.00	0.00
	Commercial Equipment	0.00	0.45	0.14	0.01
	Construction and Mining Equipment	0.00	2.14	0.11	0.00
	Industrial Equipment	0.01	0.38	0.00	0.09
	Lawn and Garden Equipment		0.08	0.83	0.00
	Logging Equipment		0.02	0.02	
	Pleasure Craft		0.08	2.83	
	Railroad Equipment		0.02	0.00	0.00
	Recreational Equipment		0.03	2.94	0.00
	Underground Mining Equipment		0.00		
PM10 Total		0.01	9.98	6.90	0.10
PM25	Agricultural Equipment	0.00	6.20	0.02	0.00
	Airport Ground Support Equipment		0.04	0.00	0.00
	Commercial Equipment	0.00	0.42	0.13	0.01
	Construction and Mining Equipment	0.00	1.96	0.10	0.00
	Industrial Equipment	0.01	0.35	0.00	0.09
	Lawn and Garden Equipment		0.07	0.76	0.00
	Logging Equipment		0.02	0.02	
	Pleasure Craft		0.08	2.61	
	Railroad Equipment		0.02	0.00	0.00
	Recreational Equipment		0.03	2.71	0.00
	Underground Mining Equipment		0.00		
PM25 Total		0.01	9.18	6.35	0.10

**2009 and 2012 Locomotive and Commercial Marine Emissions Inventories for  
MINNESOTA**

**2009 Annual Locomotive Emissions (TPD)**

<b>Vessel Type</b>	<b>SCC</b>	<b>NOX</b>	<b>MN PM10- PRI</b>	<b>PM25- PRI</b>	<b>ROG</b>
Line Haul Locomotives: Class I Operations	2285002006	31.2	1.0	0.9	1.8
Line Haul Locomotives: Class II / III Operations	2285002007	12.2	0.3	0.2	0.5
Line Haul Locomotives: Passenger Trains (Amtrak)	2285002008	0.3	0.0	0.0	0.0
Line Haul Locomotives: Commuter Lines	2285002009	0.0	0.0	0.0	0.0
Yard Locomotives	2285002010	2.8	0.1	0.1	0.2
<b>Totals</b>		<b>46.5</b>	<b>1.3</b>	<b>1.2</b>	<b>2.4</b>

**2012 Annual Locomotive Emissions (TPD)**

<b>Vessel Type</b>	<b>SCC</b>	<b>NOX</b>	<b>MN PM10- PRI</b>	<b>PM25- PRI</b>	<b>ROG</b>
Line Haul Locomotives: Class I Operations	2285002006	28.9	0.9	0.8	1.6
Line Haul Locomotives: Class II / III Operations	2285002007	11.5	0.2	0.2	0.5
Line Haul Locomotives: Passenger Trains (Amtrak)	2285002008	0.3	0.0	0.0	0.0
Line Haul Locomotives: Commuter Lines	2285002009	0.0	0.0	0.0	0.0
Yard Locomotives	2285002010	2.5	0.1	0.1	0.2
<b>Totals</b>		<b>43.1</b>	<b>1.2</b>	<b>1.0</b>	<b>2.3</b>

**2009 Annual Commercial Marine Emissions (TPD)**

<b>Vessel Type</b>	<b>Fuel</b>	<b>SCC</b>	<b>NOX</b>	<b>MN PM10- PRI</b>	<b>PM25- PRI</b>	<b>ROG</b>
Tugs	Diesel	2280002021	0.0	0.0	0.0	0.0
Ferries	Diesel	2280002022	0.0	0.0	0.0	0.0
Push Boats	Diesel	2280002023	0.0	0.0	0.0	0.0
Excursion	Diesel	2280002024	0.0	0.0	0.0	0.0
Dredge	Diesel	2280002025	0.0	0.0	0.0	0.0
Support Vessels	Diesel	2280002029	0.0	0.0	0.0	0.0
Fishing Vessels	Diesel	2280002030	0.0	0.0	0.0	0.0
Military Vessels	Diesel	2280002040	0.0	0.0	0.0	0.0
Port emissions	Residual	2280003100	2.6	0.1	0.1	0.1
Underway emissions	Residual	2280003200	1.4	0.1	0.1	0.0
Fishing Vessels	Gasoline	2280004030	0.0	0.0	0.0	0.0
Military Vessels	Gasoline	2280004040	0.0	0.0	0.0	0.0
Port emissions	Diesel	2280002100	3.1	0.1	0.1	0.1
Underway emissions	Diesel	2280002200	9.0	0.2	0.2	0.2
		<b>Totals</b>	<b>16.1</b>	<b>0.5</b>	<b>0.4</b>	<b>0.5</b>

**2012 Annual Commercial Marine Emissions (TPD)**

<b>Vessel Type</b>	<b>Fuel</b>	<b>SCC</b>	<b>NOX</b>	<b>MN PM10- PRI</b>	<b>PM25- PRI</b>	<b>ROG</b>
Tugs	Diesel	2280002021	0.0	0.0	0.0	0.0
Ferries	Diesel	2280002022	0.0	0.0	0.0	0.0
Push Boats	Diesel	2280002023	0.0	0.0	0.0	0.0
Excursion	Diesel	2280002024	0.0	0.0	0.0	0.0
Dredge	Diesel	2280002025	0.0	0.0	0.0	0.0
Support Vessels	Diesel	2280002029	0.0	0.0	0.0	0.0
Fishing Vessels	Diesel	2280002030	0.0	0.0	0.0	0.0
Military Vessels	Diesel	2280002040	0.0	0.0	0.0	0.0
Port emissions	Residual	2280003100	2.7	0.1	0.1	0.1
Underway emissions	Residual	2280003200	1.5	0.1	0.1	0.0
Fishing Vessels	Gasoline	2280004030	0.0	0.0	0.0	0.0
Military Vessels	Gasoline	2280004040	0.0	0.0	0.0	0.0
Port emissions	Diesel	2280002100	3.0	0.1	0.1	0.1
Underway emissions	Diesel	2280002200	9.0	0.2	0.2	0.2
		<b>Totals</b>	<b>16.3</b>	<b>0.5</b>	<b>0.4</b>	<b>0.5</b>

## 2009 and 2012 Emissions Reductions Scenarios for MINNESOTA

One Emission Reduction Scenario Example for On-road HDDVs - 2009 MINNESOTA

One Preliminary Scenario for On Road HDDV Strategies for Minnesota (2009 Analysis)

Technology	Project Cost-Eff (effectiveness \$/ton)	Estimated NOx Reductions per Vehicle (tons/year)	Estimated PM Reductions per Vehicle (tons/year)	Estimated THC Reductions per Vehicle (tons/year)	Cost per Unit (\$)	Number of Units Available	Units Recommended	Total Cost	Total NOx Reduction (tons/day)	Total PM Reduction (tons/day)	Total THC Reduction (tons/day)
<b>Measure 31: Fleet Modernization (Incentive/Voluntary Program)</b>											
<b>Diesel Engine/Vehicle Upgrades (MY 1989 Engine 6 g NOx)</b>											
MY 1989 and Earlier	\$26,154	0.18	0.000	0.001	\$35,000	7,898	-	\$0	0.00	0.00	0.00
Sub Total									0.00	0.00	0.00
<b>Diesel Engine/Vehicle Upgrades (MY 2001/2 Engine 4 g NOx)</b>											
MY 1989 and Earlier	\$8,783	0.26	0.02	0.00	\$40,000	7,898	237	\$9,477,380	0.17	0.01	0.00
MY 1990	\$8,551	0.12	0.03	0.00	\$40,000	1,123	34	\$1,347,757	0.01	0.00	0.00
MY 1991 - 1997	\$25,529	0.09	0.01	0.00	\$40,000	10,339	-	\$0	0.00	0.00	0.00
Sub Total							271	10,825,137	0.18	0.01	0.00
<b>Diesel Engine/Vehicle Upgrades (MY 2002/4 Engine 2.4 g NOx)</b>											
MY 1989 and Earlier	\$8,657	0.32	0.02	0.03	\$45,000	7,898	237	\$10,662,052	0.21	0.01	0.02
MY 1990	\$8,015	0.20	0.03	0.04	\$45,000	1,123	34	\$1,516,227	0.02	0.00	0.00
MY 1991 - 1997	\$14,569	0.23	0.01	0.07	\$45,000	10,339	-	\$0	0.00	0.00	0.00
MY 1998 - 2001	\$17,472	0.22	0.00	0.11	\$45,000	8,482	-	\$0	0.00	0.00	0.00
Sub Total							271	12,178,279	0.23	0.01	0.02
<b>Diesel Engine/Vehicle Upgrades (MY 2007 Engines 0.2 g NOx)</b>											
MY 1998 - 2001	\$8,611	0.53	0.01	0.16	\$60,000	8,482	254	15,268,428	0.37	0.01	0.11
MY 2002 - 2006	\$8,587	0.49	0.02	0.08	\$60,000	14,349	430	25,828,938	0.58	0.02	0.09
Sub Total							685	41,097,366	0.95	0.03	0.21
<b>Measure 46: Aftertreatment Device Retrofits (Incentive/Voluntary Program)</b>											
<b>DPF</b>											
MY 1989 and Earlier	\$2,954	0.00	0.02	0.04	\$9,000	7,898	395	\$3,554,017	0.00	0.02	0.04
MY 1990	\$2,069	0.00	0.03	0.06	\$9,000	1,123	56	\$505,409	0.00	0.00	0.01
MY 1991 - 1997	\$3,534	0.00	0.01	0.09	\$9,000	10,339	517	\$4,652,532	0.00	0.02	0.12
MY 1998 - 2001	\$3,028	0.00	0.01	0.15	\$9,000	8,482	424	\$3,817,107	0.00	0.01	0.17
MY 2002 - 2006	\$2,801	0.00	0.02	0.09	\$9,000	14,349	717	\$6,457,234	0.00	0.04	0.18
Sub Total							2,110	\$18,986,300	0.00	0.09	0.52
<b>Clearance LNC+DPF</b>											
MY 1989 and Earlier	\$5,536	0.10	0.02	0.04	\$ 20,000	7,898	316	\$6,318,233	0.09	0.02	0.03
MY 1990	\$4,281	0.08	0.03	0.05	\$ 20,000	1,123	45	\$898,505	0.01	0.00	0.01
MY 1991 - 1997	\$6,645	0.11	0.01	0.08	\$ 20,000	10,339	414	\$8,271,168	0.12	0.01	0.09
MY 1998 - 2001	\$5,816	0.14	0.01	0.13	\$ 20,000	8,482	339	\$6,785,968	0.13	0.01	0.12
MY 2002 - 2006	\$5,487	0.13	0.02	0.08	\$ 20,000	14,349	574	\$11,479,528	0.21	0.03	0.13
Sub Total							1,688	\$33,753,422	0.56	0.08	0.38
<b>EGR+DPF Retrofit</b>											
MY 1989 and Earlier	\$5,548	0.16	0.02	0.04	\$ 23,000	7,898	316	\$7,265,991	0.14	0.02	0.03
MY 1990	\$4,415	0.13	0.03	0.05	\$ 23,000	1,123	45	\$1,033,281	0.02	0.00	0.01
MY 1991 - 1997	\$6,281	0.17	0.01	0.08	\$ 23,000	10,339	414	\$9,511,843	0.19	0.01	0.09
MY 1998 - 2001	\$5,192	0.22	0.01	0.13	\$ 23,000	8,482	339	\$7,803,863	0.21	0.01	0.12
MY 2002 - 2006	\$4,710	0.21	0.02	0.08	\$ 23,000	14,349	574	\$13,201,457	0.34	0.03	0.13
Sub Total							1,688	\$38,816,435	0.89	0.08	0.38
<b>SCR Retrofit</b>											
MY 1989 and Earlier	\$12,002	0.32	0.00	0.00	\$ 26,500	7,898	158	\$4,185,843	0.14	0.00	0.00
MY 1990	\$15,139	0.26	0.00	0.00	\$ 26,500	1,123	-	\$0	0.00	0.00	0.00
MY 1991 - 1997	\$11,810	0.34	0.00	0.00	\$ 26,500	10,339	207	\$5,479,649	0.19	0.00	0.00
MY 1998 - 2001	\$9,224	0.45	0.00	0.00	\$ 26,500	8,482	254	\$6,743,556	0.31	0.00	0.00
MY 2002 - 2006	\$10,173	0.43	0.00	0.00	\$ 26,500	14,349	287	\$7,605,187	0.34	0.00	0.00
Sub Total							966	\$24,014,235	0.98	0.00	0.00
<b>DOC Retrofit</b>											
MY 1989 and Earlier	\$1,314	0.00	0.01	0.03	\$2,000	7,898	395	\$789,782	0.00	0.01	0.03
MY 1990	\$920	0.00	0.01	0.04	\$2,000	1,123	56	\$112,313	0.00	0.00	0.01
MY 1991 - 1997	\$1,431	0.00	0.01	0.06	\$2,000	10,339	517	\$1,033,896	0.00	0.01	0.09
MY 1998 - 2001	\$1,156	0.00	0.01	0.11	\$2,000	8,482	424	\$848,246	0.00	0.01	0.12
MY 2002 - 2006	\$1,093	0.00	0.01	0.06	\$2,000	14,349	717	\$1,434,941	0.00	0.02	0.13
Sub Total							2,110	\$4,219,178	0.00	0.04	0.38
<b>FTF Retrofit</b>											
MY 1989 and Earlier	\$4,650	0.00	0.01	0.04	\$9,000	7,898	316	\$2,843,214	0.00	0.01	0.03
MY 1990	\$1,257	0.00	0.02	0.06	\$9,000	1,123	56	\$505,409	0.00	0.00	0.01
MY 1991 - 1997	\$4,945	0.00	0.01	0.09	\$9,000	10,339	414	\$3,722,026	0.00	0.01	0.10
MY 1998 - 2001	\$3,941	0.00	0.01	0.15	\$9,000	8,482	424	\$3,817,107	0.00	0.01	0.17
MY 2002 - 2006	\$1,809	0.00	0.01	0.09	\$9,000	14,349	717	\$6,457,234	0.00	0.02	0.18
Sub Total							1,927	\$17,344,990	0.00	0.05	0.49
<b>DOC+SCR Retrofit</b>											
MY 1989 and Earlier	\$8,517	0.32	0.01	0.03	\$27,500	7,898	237	\$6,515,699	0.21	0.00	0.02
MY 1990	\$8,611	0.26	0.01	0.04	\$27,500	1,123	34	\$926,583	0.02	0.00	0.00
MY 1991 - 1997	\$8,404	0.34	0.00	0.06	\$27,500	10,339	310	\$8,529,642	0.29	0.00	0.05
MY 1998 - 2001	\$6,571	0.45	0.004	0.10	\$27,500	8,482	339	\$9,330,706	0.42	0.00	0.10
MY 2002 - 2006	\$7,245	0.43	0.006	0.06	\$27,500	14,349	430	\$11,838,263	0.51	0.01	0.08
Sub Total							1,351	\$37,140,893	1.44	0.02	0.25
<b>SCR+DPF</b>											
MY 1989 and Earlier	\$5,812	0.32	0.02	0.04	\$30,000	7,898	316	\$9,477,380	0.28	0.02	0.03
MY 1990	\$5,060	0.26	0.03	0.05	\$30,000	1,123	45	\$1,347,757	0.03	0.00	0.01
MY 1991 - 1997	\$6,505	0.34	0.01	0.08	\$30,000	10,339	414	\$12,406,752	0.38	0.01	0.09
MY 1998 - 2001	\$5,427	0.45	0.01	0.13	\$30,000	8,482	339	\$10,178,952	0.42	0.01	0.12
MY 2002 - 2006	\$5,335	0.43	0.02	0.08	\$30,000	14,349	574	\$17,219,292	0.67	0.03	0.13
Sub Total							1,688	\$50,630,133	1.79	0.08	0.38
<b>Overall Projects</b>											
MY 1989 and Earlier						7,898	2,922	61,089,612	1.23	0.12	0.24
MY 1990						1,123	404	8,193,241	0.11	0.02	0.05
MY 1991 - 1997						10,339	3,205	53,607,508	1.17	0.08	0.64
MY 1998 - 2001						8,482	3,139	64,593,935	1.86	0.07	1.04
MY 2002 - 2006						14,349	5,022	101,522,074	2.65	0.20	1.03
MY 2007+						9,093	0	0	0	0	0
Total						51,285	14,692	289,806,369	7.02	0.49	3.01
<b>Measure 46c: Midwest Clean Diesel Initiative</b>											
All Diesel Sources	\$1,492	N/A	N/A	N/A	N/A	N/A	N/A	\$255,010	0.09	0.02	0.01

One Emission Reduction Scenario Example for On-road HDDVs - 2009 MINNESOTA

Sub Total										\$235,010	0.89	0.02	0.01	
Measure 33/34/35/37: Anti-Idling Restriction on Onroad HDDVs														
Anti-Idling Restrictions														
All MY Heavy HDDVs	\$1,700	0.04	0.001	0.002	\$7,500	51,285	7,693	\$57,695,089	0.84	0.01	0.04			
Sub Total						51,285	7,693	\$57,695,089	0.84	0.01	0.04			
Measure 42: Accelerate Low NOx Calibration/Retlash Program (Mandatory Phase-in)														
Diesel Engine Retlash (MY 1993-1998 Engines)														
MY 1993-1998 Medium-HDDVs	\$371	0.04	0.00	0.00	\$100	10,804	6,518	\$651,815	0.69	0.00	0.00			
MY 1993-1998 Heavy-HDDVs	\$110	0.13	0.00	0.00	\$100	9,770	7,816	\$781,276	2.77	0.00	0.00			
Sub Total							14,334	1,433,291	3.46	-	-			
Grand Total						51,285	36,719	\$348,369,860	11.4	0.5	3.1			

Summary Results of an Emission Reduction Scenario for Nonroad Diesel  
Construction Equipment & in the LADCO States

2009 MINNESOTA

Equipment Type	Total Unit Recom.	Total Cost (\$)	Total NOx (tpd)	Total PM (tpd)	Total HC (tpd)
<b>Measure 20: Fleet Modernization (Tier 2 or Tier 3 Engines)</b>					
Excavators	79	\$1,903,500	0.15	0.00	0.01
Rubber Tire Loaders	85	\$2,796,250	0.14	0.00	0.02
Crawler Tractor/Dozer	46	\$1,186,750	0.05	0.00	0.01
Tractors/Loaders/Backhoes	138	\$1,419,000	0.04	0.00	0.00
Off-Highway Trucks	5	\$788,563	0.05	0.00	0.00
<b>Sub Total</b>	<b>353</b>	<b>\$8,094,063</b>	<b>0.44</b>	<b>0.02</b>	<b>0.04</b>
<b>Measure 51a: DPF Retrofit</b>					
Excavators	119	\$894,316	0.00	0.01	0.02
Rubber Tire Loaders	138	\$1,342,895	0.00	0.01	0.03
Crawler Tractor/Dozer	57	\$372,789	0.00	0.00	0.01
Tractors/Loaders/Backhoes	323	\$1,256,684	0.00	0.01	0.01
Off-Highway Trucks	6	\$309,128	0.00	0.00	0.02
<b>Sub Total</b>	<b>643</b>	<b>\$4,175,812</b>	<b>0.00</b>	<b>0.03</b>	<b>0.08</b>
<b>Measure 51b: Lean NOx Catalyst</b>					
Excavators	101	\$1,778,947	0.06	0.01	0.02
Rubber Tire Loaders	95	\$1,897,895	0.06	0.01	0.01
Crawler Tractor/Dozer	57	\$828,421	0.02	0.00	0.01
Tractors/Loaders/Backhoes	288	\$2,404,211	0.05	0.01	0.01
Off-Highway Trucks	6	\$686,952	0.04	0.00	0.02
<b>Sub Total</b>	<b>547</b>	<b>\$7,596,425</b>	<b>0.22</b>	<b>0.03</b>	<b>0.06</b>
<b>Measure 51c: EGR+DPF Retrofit</b>					
Excavators	101	\$2,045,789	0.09	0.01	0.02
Rubber Tire Loaders	102	\$2,405,316	0.10	0.01	0.01
Crawler Tractor/Dozer	57	\$952,684	0.03	0.00	0.01
Tractors/Loaders/Backhoes	187	\$1,909,000	0.05	0.01	0.01
Off-Highway Trucks	6	\$789,994	0.07	0.00	0.02
<b>Sub Total</b>	<b>453</b>	<b>\$8,102,784</b>	<b>0.34</b>	<b>0.02</b>	<b>0.06</b>
<b>Measure 51d: SCR Retrofit</b>					
Excavators	82	\$1,930,316	0.17	0.00	0.00
Rubber Tire Loaders	78	\$2,195,316	0.16	0.00	0.00
Crawler Tractor/Dozer	51	\$1,005,605	0.05	0.00	0.00
Tractors/Loaders/Backhoes	89	\$1,231,553	0.06	0.00	0.00
Off-Highway Trucks	8	\$1,213,614	0.17	0.00	0.00
<b>Sub Total</b>	<b>308</b>	<b>\$7,576,404</b>	<b>0.61</b>	<b>0.00</b>	<b>0.00</b>
<b>Measure 51e: DOC Retrofit</b>					
Excavators	101	\$177,895	0.00	0.00	0.01
Rubber Tire Loaders	138	\$298,421	0.00	0.00	0.02
Crawler Tractor/Dozer	57	\$82,842	0.00	0.00	0.01
Tractors/Loaders/Backhoes	288	\$240,421	0.00	0.00	0.01
Off-Highway Trucks	6	\$68,695	0.00	0.00	0.01
<b>Sub Total</b>	<b>590</b>	<b>\$868,274</b>	<b>0.00</b>	<b>0.01</b>	<b>0.06</b>
<b>Measure 51f: FTF Retrofit</b>					
Excavators	70	\$523,421	0.00	0.00	0.01
Rubber Tire Loaders	82	\$727,579	0.00	0.00	0.01
Crawler Tractor/Dozer	40	\$242,526	0.00	0.00	0.01
Tractors/Loaders/Backhoes	174	\$694,421	0.00	0.00	0.01
Off-Highway Trucks	6	\$309,128	0.00	0.00	0.02
<b>Sub Total</b>	<b>372</b>	<b>\$2,497,076</b>	<b>0.00</b>	<b>0.01</b>	<b>0.06</b>
<b>Measure 51g: DOC+SCR Retrofit</b>					
Excavators	101	\$2,446,053	0.19	0.00	0.01
Rubber Tire Loaders	123	\$3,674,868	0.25	0.00	0.02
Crawler Tractor/Dozer	51	\$1,043,553	0.05	0.00	0.01
Tractors/Loaders/Backhoes	157	\$2,221,711	0.10	0.00	0.00
Off-Highway Trucks	8	\$1,259,411	0.17	0.00	0.01
<b>Sub Total</b>	<b>440</b>	<b>\$10,645,595</b>	<b>0.77</b>	<b>0.01</b>	<b>0.06</b>
<b>Measure 51h: SCR+DPF Retrofit</b>					
Excavators	131	\$3,421,579	0.24	0.01	0.02
Rubber Tire Loaders	128	\$4,095,789	0.26	0.01	0.02
Crawler Tractor/Dozer	68	\$1,433,684	0.07	0.00	0.01
Tractors/Loaders/Backhoes	328	\$5,096,842	0.21	0.01	0.01
Off-Highway Trucks	8	\$1,373,903	0.17	0.00	0.02
<b>Sub Total</b>	<b>663</b>	<b>\$15,421,798</b>	<b>0.94</b>	<b>0.03</b>	<b>0.08</b>
<b>Grand Total</b>	<b>4,369</b>	<b>\$64,978,231</b>	<b>3.32</b>	<b>0.16</b>	<b>0.50</b>

One Example Emission Reduction Scenario for Agricultural Equipment - 2009 MINNESOTA

Summary Results of an Emission Reduction Scenario for Nonroad Diesel  
Agricultural Equipment in the LADCO States

2009 MINNESOTA

Equipment Type	Total Unit Recom.	Total Cost (\$)	Total NOx (tpd)	Total PM (tpd)	Total HC (tpd)
<b>Measure 20: Fleet Modernization (Tier 2 or Tier 3 Engines)</b>					
Agricultural Tractors	4,275	\$56,577,800	1.69	0.10	0.13
Combines	37	\$698,750	0.01	0.00	0.00
<b>Sub Total</b>	<b>4,312</b>	<b>\$57,276,550</b>	<b>1.70</b>	<b>0.10</b>	<b>0.13</b>
<b>Measure 51a: DPF Retrofit</b>					
Agricultural Tractors	5,059	\$22,521,316	0.00	0.15	0.20
Combines	177	\$1,210,263	0.00	0.00	0.00
<b>Sub Total</b>	<b>5,236</b>	<b>\$23,731,579</b>	<b>0.00</b>	<b>0.15</b>	<b>0.20</b>
<b>Measure 51b: Lean NOx Catalyst</b>					
Agricultural Tractors	3,088	\$39,348,737	0.68	0.11	0.11
Combines	51	\$750,526	0.01	0.00	0.00
<b>Sub Total</b>	<b>3,139</b>	<b>\$40,099,263</b>	<b>0.69</b>	<b>0.11</b>	<b>0.11</b>
<b>Measure 51c: EGR+DPF Retrofit</b>					
Agricultural Tractors	3,976	\$34,076,316	0.89	0.09	0.11
Combines	51	\$863,105	0.01	0.00	0.00
<b>Sub Total</b>	<b>4,027</b>	<b>\$34,939,421</b>	<b>0.90</b>	<b>0.09</b>	<b>0.11</b>
<b>Measure 51d: SCR Retrofit</b>					
Agricultural Tractors	1,624	\$20,663,026	0.94	0.00	0.00
Combines	0	\$0	0.00	0.00	0.00
<b>Sub Total</b>	<b>1,624</b>	<b>\$20,663,026</b>	<b>0.94</b>	<b>0.00</b>	<b>0.00</b>
<b>Measure 51e: DOC Retrofit</b>					
Agricultural Tractors	5,404	\$4,384,842	0.00	0.05	0.15
Combines	252	\$388,632	0.00	0.00	0.00
<b>Sub Total</b>	<b>5,656</b>	<b>\$4,773,474</b>	<b>0.00</b>	<b>0.06</b>	<b>0.15</b>
<b>Measure 51f: FTF Retrofit</b>					
Agricultural Tractors	3,515	\$11,053,421	0.00	0.05	0.12
Combines	51	\$337,737	0.00	0.00	0.00
<b>Sub Total</b>	<b>3,566</b>	<b>\$11,391,158</b>	<b>0.00</b>	<b>0.05</b>	<b>0.12</b>
<b>Measure 51g: DOC+SCR Retrofit</b>					
Agricultural Tractors	2,529	\$33,687,500	1.42	0.02	0.10
Combines	0	\$0	0.00	0.00	0.00
<b>Sub Total</b>	<b>2,529</b>	<b>\$33,687,500</b>	<b>1.42</b>	<b>0.02</b>	<b>0.10</b>
<b>Measure 51h: SCR+DPF Retrofit</b>					
Agricultural Tractors	4,432	\$54,893,684	2.13	0.10	0.15
Combines	51	\$1,125,789	0.02	0.00	0.00
<b>Sub Total</b>	<b>4,483</b>	<b>\$56,019,474</b>	<b>2.15</b>	<b>0.10</b>	<b>0.15</b>
<b>Grand Total</b>	<b>34,572</b>	<b>\$282,581,445</b>	<b>7.80</b>	<b>0.67</b>	<b>1.06</b>

One Emission Reduction Scenario Example for On-road HDDVs - 2012 MINNESOTA

One Preliminary Scenario for On Road HDDV Strategies for Minnesota (2012 Analysis)

Technology	Project Cost-Effectiveness (\$/ton)	Estimated NOx Reductions per Vehicle (tons/year)	Estimated PM Reductions per Vehicle (tons/year)	Estimated THC Reductions per Vehicle (tons/year)	Cost per Unit (\$)	Number of Units Available	Units Recommended	Total Cost	Total NOx Reduction (tons/day)	Total PM Reduction (tons/day)	Total THC Reduction (tons/day)
<b>Measure 31: Fleet Modernization (Incentive/Voluntary Program)</b>											
<b>Diesel Engine/Vehicle Upgrades (MY 1989 Engine 6 g NOx)</b>											
MY 1989 and Earlier	\$28,769	0.16	0.000	0.001	\$35,000	5,257	-	\$0	0.00	0.00	0.00
Sub Total									0.00	0.00	0.00
<b>Diesel Engine/Vehicle Upgrades (MY 2001/2 Engine 4 g NOx)</b>											
MY 1989 and Earlier	\$9,661	0.24	0.02	0.00	\$40,000	5,257	315	\$12,617,555	0.21	0.02	0.00
MY 1990	\$11,401	0.09	0.02	0.00	\$40,000	974	39	\$1,558,690	0.01	0.00	0.00
MY 1991 - 1997	\$34,934	0.07	0.00	0.00	\$40,000	8,980	-	\$0	0.00	0.00	0.00
Sub Total							354	14,176,245	0.22	0.02	0.00
<b>Diesel Engine/Vehicle Upgrades (MY 2001/4 Engine 2.4 g NOx)</b>											
MY 1989 and Earlier	\$9,522	0.29	0.02	0.03	\$45,000	5,257	315	\$14,194,750	0.25	0.02	0.02
MY 1990	\$10,687	0.15	0.02	0.03	\$45,000	974	39	\$1,753,527	0.02	0.00	0.00
MY 1991 - 1997	\$19,936	0.16	0.00	0.05	\$45,000	8,980	-	\$0	0.00	0.00	0.00
MY 1998 - 2001	\$24,024	0.16	0.00	0.08	\$45,000	7,364	-	\$0	0.00	0.00	0.00
Sub Total							354	15,948,276	0.27	0.02	0.03
<b>Diesel Engine/Vehicle Upgrades (MY 2007 Engine 0.2 g NOx)</b>											
MY 1998 - 2001	\$11,841	0.39	0.01	0.12	\$60,000	7,364	295	17,672,414	0.31	0.01	0.10
MY 2002 - 2006	\$11,789	0.36	0.01	0.06	\$60,000	12,458	498	29,898,130	0.49	0.02	0.08
Sub Total							793	47,570,544	0.80	0.03	0.18
<b>Measure 46: Aftertreatment Device Retrofits (Incentive/Voluntary Program)</b>											
<b>DPF</b>											
MY 1989 and Earlier	\$3,250	0.00	0.02	0.04	\$9,000	5,257	526	\$4,731,583	0.06	0.03	0.05
MY 1990	\$2,759	0.00	0.02	0.04	\$9,000	974	97	\$876,763	0.00	0.01	0.01
MY 1991 - 1997	\$4,835	0.00	0.01	0.06	\$9,000	8,980	718	\$6,465,517	0.00	0.02	0.13
MY 1998 - 2001	\$4,161	0.00	0.01	0.11	\$9,000	7,364	589	\$5,301,724	0.00	0.01	0.17
MY 2002 - 2006	\$3,570	0.00	0.01	0.07	\$9,000	12,458	1,246	\$11,211,795	0.00	0.05	0.22
Sub Total							3,176	\$28,587,383	0.06	0.11	0.58
<b>Cleaire LNC+DPF</b>											
MY 1989 and Earlier	\$6,074	0.09	0.02	0.03	\$ 20,000	5,257	421	\$8,411,703	0.11	0.02	0.04
MY 1990	\$3,650	0.06	0.02	0.04	\$ 20,000	974	78	\$1,558,690	0.01	0.00	0.01
MY 1991 - 1997	\$8,933	0.08	0.01	0.06	\$ 20,000	8,980	539	\$10,775,862	0.11	0.01	0.09
MY 1998 - 2001	\$7,766	0.10	0.01	0.10	\$ 20,000	7,364	442	\$8,836,207	0.12	0.01	0.12
MY 2002 - 2006	\$7,207	0.10	0.01	0.06	\$ 20,000	12,458	747	\$14,949,050	0.20	0.03	0.12
Sub Total							2,227	44,531,523	0.56	0.08	0.37
<b>EGR+DPF Retrofit</b>											
MY 1989 and Earlier	\$6,102	0.15	0.02	0.03	\$ 23,000	5,257	421	\$9,673,459	0.17	0.02	0.04
MY 1990	\$5,886	0.10	0.02	0.04	\$ 23,000	974	78	\$1,792,494	0.02	0.00	0.01
MY 1991 - 1997	\$8,593	0.12	0.01	0.06	\$ 23,000	8,980	539	\$12,392,242	0.18	0.01	0.09
MY 1998 - 2001	\$7,136	0.16	0.01	0.10	\$ 23,000	7,364	442	\$10,161,638	0.20	0.01	0.12
MY 2002 - 2006	\$6,461	0.16	0.01	0.06	\$ 23,000	12,458	997	\$22,921,892	0.43	0.04	0.16
Sub Total							2,476	56,941,724	1.00	0.09	0.41
<b>SCR Retrofit</b>											
MY 1989 and Earlier	\$13,197	0.29	0.00	0.00	\$ 26,500	5,257	210	\$5,572,754	0.17	0.00	0.00
MY 1990	\$20,057	0.19	0.00	0.00	\$ 26,500	974	-	\$0	0.00	0.00	0.00
MY 1991 - 1997	\$15,979	0.25	0.00	0.00	\$ 26,500	8,980	-	\$0	0.00	0.00	0.00
MY 1998 - 2001	\$12,445	0.33	0.00	0.00	\$ 26,500	7,364	295	\$7,805,316	0.26	0.00	0.00
MY 2002 - 2006	\$13,569	0.31	0.00	0.00	\$ 26,500	12,458	498	\$13,205,003	0.43	0.00	0.00
Sub Total							1,003	26,583,073	0.86	0.00	0.00
<b>DOC Retrofit</b>											
MY 1989 and Earlier	\$1,445	0.00	0.01	0.03	\$2,000	5,257	526	\$1,051,463	0.00	0.01	0.04
MY 1990	\$1,227	0.00	0.01	0.03	\$2,000	974	97	\$194,836	0.00	0.00	0.01
MY 1991 - 1997	\$1,959	0.00	0.00	0.05	\$2,000	8,980	898	\$1,795,977	0.00	0.01	0.11
MY 1998 - 2001	\$1,590	0.00	0.00	0.08	\$2,000	7,364	736	\$1,472,701	0.00	0.01	0.16
MY 2002 - 2006	\$1,500	0.00	0.01	0.05	\$2,000	12,458	1,246	\$2,491,510	0.00	0.02	0.16
Sub Total							3,503	7,006,487	0.00	0.06	0.48
<b>FTF Retrofit</b>											
MY 1989 and Earlier	\$5,113	0.00	0.01	0.04	\$9,000	5,257	421	\$3,785,267	0.00	0.01	0.04
MY 1990	\$4,343	0.00	0.01	0.04	\$9,000	974	78	\$701,411	0.00	0.00	0.01
MY 1991 - 1997	\$6,767	0.00	0.01	0.06	\$9,000	8,980	718	\$6,465,517	0.00	0.01	0.13
MY 1998 - 2001	\$5,418	0.00	0.01	0.11	\$9,000	7,364	589	\$5,301,724	0.00	0.01	0.17
MY 2002 - 2006	\$5,228	0.00	0.01	0.07	\$9,000	12,458	997	\$8,969,436	0.00	0.02	0.18
Sub Total							2,803	25,233,355	0.00	0.06	0.53
<b>DOC+SCR Retrofit</b>											
MY 1989 and Earlier	\$9,365	0.29	0.01	0.03	\$27,500	5,257	315	\$8,674,569	0.25	0.00	0.02
MY 1990	\$11,411	0.19	0.01	0.03	\$27,500	974	39	\$1,071,600	0.02	0.00	0.00
MY 1991 - 1997	\$11,375	0.25	0.00	0.05	\$27,500	8,980	359	\$9,877,874	0.24	0.00	0.05
MY 1998 - 2001	\$8,871	0.33	0.00	0.08	\$27,500	7,364	442	\$12,149,785	0.40	0.00	0.09
MY 2002 - 2006	\$9,672	0.31	0.00	0.05	\$27,500	12,458	747	\$20,554,957	0.64	0.01	0.10
Sub Total							1,903	52,318,785	1.55	0.02	0.26
<b>SCR+DPF</b>											
MY 1989 and Earlier	\$6,391	0.29	0.02	0.03	\$30,000	5,257	421	\$12,617,555	0.34	0.02	0.04
MY 1990	\$6,708	0.19	0.02	0.04	\$30,000	974	78	\$2,338,036	0.04	0.00	0.01
MY 1991 - 1997	\$8,812	0.25	0.01	0.06	\$30,000	8,980	539	\$16,163,793	0.36	0.01	0.09
MY 1998 - 2001	\$7,336	0.33	0.01	0.10	\$30,000	7,364	442	\$13,254,311	0.40	0.01	0.12
MY 2002 - 2006	\$7,138	0.31	0.01	0.06	\$30,000	12,458	747	\$22,423,590	0.64	0.03	0.12
Sub Total							2,217	66,797,285	1.78	0.08	0.37
<b>Overall Projects</b>											
MY 1989 and Earlier						5,257	3,890	\$1,330,657	1.49	0.14	0.29
MY 1990						974	623	\$1,846,047	0.12	0.03	0.06
MY 1991 - 1997						8,980	4,310	\$3,936,783	0.90	0.08	0.67
MY 1998 - 2001						7,364	4,271	\$1,955,821	1.69	0.07	1.04
MY 2002 - 2006						12,458	7,724	146,625,362	2.82	0.21	1.14
MY 2007+						19,391	0	0	0	0	0
Total						54,424	20,819	385,694,670	7.03	0.54	3.30
<b>Measure 46: Midwest Clean Diesel Initiative</b>											
All Diesel Sources	\$1,492	N/A	N/A	N/A	N/A	N/A	N/A	\$470,020	0.19	0.03	0.93

One Emission Reduction Scenario Example for On-road HDDVs - 2012 MINNESOTA

<b>Sub Total</b>								\$476,020	0.19	0.03	0.03
<b>Measure 33/34/35/37: Anti-Idling Restriction on Onroad HDDVs</b>											
Anti-Idling Restrictions											
All MY Heavy HDDVs	\$1,700	0.03	0.0004	0.002	\$7,500	54,424	16,327	\$122,452,981	1.44	0.02	0.08
<b>Sub Total</b>						54,424	16,327	\$122,452,981	1.44	0.02	0.08
<b>Measure 42: Accelerate Low NOx Calibration/Refresh Program (Mandatory Phase-In)</b>											
MY 1993-1998 Medium-HDDVs	\$371	0.04	0.00	0.00	\$100	9,441	5,664	\$566,441	0.60	0.00	0.00
MY 1993-1998 Heavy-HDDVs	\$119	0.13	0.00	0.00	\$100	8,490	6,792	\$679,206	2.41	0.00	0.00
<b>Sub Total</b>						17,931	12,456	1,245,647	3.01	0.00	0.00
<b>Grand Total</b>						54,424	49,602	\$509,863,317	11.7	0.6	3.3

Summary Results of an Emission Reduction Scenario for Nonroad Diesel  
Construction Equipment & in the LADCO States

2012 MINNESOTA

Equipment Type	Total Unit Recom.	Total Cost (\$)	Total NOx (tpd)	Total PM (tpd)	Total HC (tpd)
<b>Measure 20: Fleet Modernization (Tier 2 or Tier 3 Engines)</b>					
Excavators	72	\$1,929,000	0.15	0.00	0.01
Rubber Tire Loaders	146	\$5,711,500	0.27	0.01	0.02
Crawler Tractor/Dozer	85	\$3,855,750	0.16	0.00	0.02
Tractors/Loaders/Backhoes	258	\$2,714,000	0.08	0.01	0.00
Off-Highway Trucks	7	\$1,278,016	0.07	0.00	0.00
<b>Sub Total</b>	<b>568</b>	<b>\$15,488,266</b>	<b>0.73</b>	<b>0.02</b>	<b>0.07</b>
<b>Measure 51a: DPF Retrofit</b>					
Excavators	359	\$2,755,421	0.00	0.02	0.03
Rubber Tire Loaders	369	\$3,339,474	0.00	0.02	0.05
Crawler Tractor/Dozer	315	\$3,159,947	0.00	0.02	0.04
Tractors/Loaders/Backhoes	1,080	\$4,340,842	0.00	0.03	0.04
Off-Highway Trucks	17	\$875,863	0.00	0.01	0.03
<b>Sub Total</b>	<b>2,140</b>	<b>\$14,471,547</b>	<b>0.00</b>	<b>0.08</b>	<b>0.18</b>
<b>Measure 51b: Lean NOx Catalyst</b>					
Excavators	228	\$3,920,000	0.10	0.01	0.02
Rubber Tire Loaders	180	\$3,698,947	0.09	0.01	0.02
Crawler Tractor/Dozer	168	\$3,625,263	0.08	0.01	0.03
Tractors/Loaders/Backhoes	650	\$5,484,211	0.10	0.02	0.02
Off-Highway Trucks	12	\$1,373,903	0.08	0.00	0.02
<b>Sub Total</b>	<b>1,238</b>	<b>\$18,102,324</b>	<b>0.45</b>	<b>0.05</b>	<b>0.12</b>
<b>Measure 51c: EGR+DPF Retrofit</b>					
Excavators	184	\$3,331,368	0.13	0.01	0.02
Rubber Tire Loaders	180	\$4,253,789	0.15	0.01	0.02
Crawler Tractor/Dozer	168	\$4,169,053	0.12	0.01	0.03
Tractors/Loaders/Backhoes	461	\$4,705,316	0.12	0.02	0.02
Off-Highway Trucks	12	\$1,579,989	0.12	0.00	0.02
<b>Sub Total</b>	<b>1,005</b>	<b>\$18,039,515</b>	<b>0.65</b>	<b>0.05</b>	<b>0.11</b>
<b>Measure 51d: SCR Retrofit</b>					
Excavators	129	\$2,994,500	0.21	0.00	0.00
Rubber Tire Loaders	120	\$3,648,632	0.25	0.00	0.00
Crawler Tractor/Dozer	136	\$4,312,526	0.22	0.00	0.00
Tractors/Loaders/Backhoes	156	\$2,164,632	0.10	0.00	0.00
Off-Highway Trucks	17	\$2,578,931	0.33	0.00	0.00
<b>Sub Total</b>	<b>558</b>	<b>\$15,699,220</b>	<b>1.11</b>	<b>0.00</b>	<b>0.00</b>
<b>Measure 51e: DOC Retrofit</b>					
Excavators	88	\$134,526	0.00	0.00	0.01
Rubber Tire Loaders	135	\$278,000	0.00	0.00	0.02
Crawler Tractor/Dozer	81	\$167,684	0.00	0.00	0.01
Tractors/Loaders/Backhoes	262	\$220,000	0.00	0.00	0.01
Off-Highway Trucks	4	\$45,797	0.00	0.00	0.01
<b>Sub Total</b>	<b>570</b>	<b>\$846,007</b>	<b>0.00</b>	<b>0.01</b>	<b>0.05</b>
<b>Measure 51f: FTF Retrofit</b>					
Excavators	240	\$1,826,526	0.00	0.01	0.03
Rubber Tire Loaders	262	\$2,475,000	0.00	0.01	0.04
Crawler Tractor/Dozer	219	\$2,175,158	0.00	0.01	0.03
Tractors/Loaders/Backhoes	917	\$3,603,316	0.00	0.02	0.04
Off-Highway Trucks	17	\$875,863	0.00	0.00	0.03
<b>Sub Total</b>	<b>1,655</b>	<b>\$10,955,863</b>	<b>0.00</b>	<b>0.04</b>	<b>0.16</b>
<b>Measure 51g: DOC+SCR Retrofit</b>					
Excavators	184	\$3,983,158	0.25	0.00	0.02
Rubber Tire Loaders	228	\$7,021,184	0.43	0.00	0.03
Crawler Tractor/Dozer	136	\$4,475,263	0.22	0.00	0.02
Tractors/Loaders/Backhoes	380	\$5,476,842	0.24	0.00	0.01
Off-Highway Trucks	17	\$2,676,249	0.33	0.00	0.02
<b>Sub Total</b>	<b>945</b>	<b>\$23,632,696</b>	<b>1.48</b>	<b>0.01</b>	<b>0.10</b>
<b>Measure 51h: SCR+DPF Retrofit</b>					
Excavators	247	\$6,405,789	0.35	0.01	0.02
Rubber Tire Loaders	262	\$8,250,000	0.44	0.01	0.04
Crawler Tractor/Dozer	219	\$7,250,526	0.31	0.01	0.03
Tractors/Loaders/Backhoes	680	\$10,774,737	0.41	0.03	0.03
Off-Highway Trucks	17	\$2,919,544	0.33	0.01	0.02
<b>Sub Total</b>	<b>1,425</b>	<b>\$35,600,597</b>	<b>1.86</b>	<b>0.07</b>	<b>0.15</b>
<b>Grand Total</b>	<b>10,104</b>	<b>\$152,836,036</b>	<b>6.27</b>	<b>0.34</b>	<b>0.93</b>

One Example Emission Reduction Scenario for Agricultural Equipment - 2012 MINNESOTA

Summary Results of an Emission Reduction Scenario for Nonroad Diesel  
Agricultural Equipment in the LADCO States

2012 MINNESOTA

Equipment Type	Total Unit Recom.	Total Cost (\$)	Total NOx (tpd)	Total PM (tpd)	Total HC (tpd)
<b>Measure 20: Fleet Modernization (Tier 2 or Tier 3 Engines)</b>					
Agricultural Tractors	11,029	\$146,272,650	4.37	0.25	0.33
Combines	93	\$3,165,000	0.04	0.00	0.00
<b>Sub Total</b>	<b>11,122</b>	<b>\$149,437,650</b>	<b>4.41</b>	<b>0.25</b>	<b>0.33</b>
<b>Measure 51a: DPF Retrofit</b>					
Agricultural Tractors	14,033	\$68,659,105	0.00	0.39	0.57
Combines	518	\$3,566,842	0.00	0.01	0.00
<b>Sub Total</b>	<b>14,551</b>	<b>\$72,225,947</b>	<b>0.00</b>	<b>0.40</b>	<b>0.57</b>
<b>Measure 51b: Lean NOx Catalyst</b>					
Agricultural Tractors	8,175	\$102,903,895	1.78	0.29	0.29
Combines	93	\$1,481,053	0.01	0.00	0.00
<b>Sub Total</b>	<b>8,268</b>	<b>\$104,384,947</b>	<b>1.79</b>	<b>0.29</b>	<b>0.29</b>
<b>Measure 51c: EGR+DPF Retrofit</b>					
Agricultural Tractors	10,167	\$86,006,684	2.27	0.24	0.29
Combines	93	\$1,703,211	0.02	0.00	0.00
<b>Sub Total</b>	<b>10,260</b>	<b>\$87,709,895</b>	<b>2.29</b>	<b>0.24</b>	<b>0.29</b>
<b>Measure 51d: SCR Retrofit</b>					
Agricultural Tractors	4,377	\$55,351,526	2.56	0.00	0.00
Combines	0	\$0	0.00	0.00	0.00
<b>Sub Total</b>	<b>4,377</b>	<b>\$55,351,526</b>	<b>2.56</b>	<b>0.00</b>	<b>0.00</b>
<b>Measure 51e: DOC Retrofit</b>					
Agricultural Tractors	11,680	\$10,103,474	0.00	0.13	0.37
Combines	668	\$1,007,579	0.00	0.00	0.01
<b>Sub Total</b>	<b>12,348</b>	<b>\$11,111,053</b>	<b>0.00</b>	<b>0.13</b>	<b>0.38</b>
<b>Measure 51f: FTF Retrofit</b>					
Agricultural Tractors	12,105	\$46,660,737	0.00	0.16	0.52
Combines	129	\$854,053	0.00	0.00	0.00
<b>Sub Total</b>	<b>12,234</b>	<b>\$47,514,789</b>	<b>0.00</b>	<b>0.17</b>	<b>0.52</b>
<b>Measure 51g: DOC+SCR Retrofit</b>					
Agricultural Tractors	6,657	\$76,037,500	3.28	0.05	0.19
Combines	0	\$0	0.00	0.00	0.00
<b>Sub Total</b>	<b>6,657</b>	<b>\$76,037,500</b>	<b>3.28</b>	<b>0.05</b>	<b>0.19</b>
<b>Measure 51h: SCR+DPF Retrofit</b>					
Agricultural Tractors	11,571	\$146,436,316	5.71	0.26	0.42
Combines	93	\$2,221,579	0.04	0.00	0.00
<b>Sub Total</b>	<b>11,664</b>	<b>\$148,657,895</b>	<b>5.75</b>	<b>0.26</b>	<b>0.42</b>
<b>Grand Total</b>	<b>91,481</b>	<b>\$752,431,203</b>	<b>20.07</b>	<b>1.78</b>	<b>3.00</b>

