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Ohio Environmental Protection Agency

State of Ohio

Drinking Water Assistance Fund

Draft Program Management and Intended Use Plan

Program Year 2014

Effective July 1, 2013 through June 30, 2014

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Ohio Drinking Water Assistance Fund
Program Management and Intended Use Plan
Program Year 2014

INTRODUCTION

The Drinking Water Assistance Fund (DWAF) Program Management and Intended Use Plan for Program Year (PY) 2014 describes how the Ohio Environmental Protection Agency (Ohio EPA) intends to administer and distribute funds in the Drinking Water Assistance Fund (DWAF) as authorized and required by Section 1452 of the Safe Drinking Water Act (SDWA), and Ohio Revised Code (ORC) Section 6109.22.

Public Review and Comment Procedures

On July 2, 2013, two public meetings will be held to allow interested parties to present their comments on Ohio's Draft PY 2014 Program Management and Intended Use Plan. A public notice was published on May 29, 2013 to advertise the availability of the Draft PY 2014 Program Management and Intended Use Plan and to announce the public meeting (see Appendix A). The draft plan and public notice were made available on the Ohio EPA Division of Drinking and Ground Waters webpage. Information regarding the public comment period was also sent via an e-mail to systems on the listserv. No comments were received during the comment period.

Highlights of the Drinking Water Assistance Fund

The following changes are being made to this year's Program Management and Intended Use Plan (PMIUP):

1. Requirements contained in the Federal Fiscal Year (FFY) 2013 Consolidated and Further Continuing Appropriations Act (P.L. 113-006) and guidance provided by U.S. EPA for the FFY 2013 capitalization grants are included:

    a. Removal of the Green Project Reserve Requirements
    b. Additional Subsidies not to exceed 30 percent of the capitalization grant and not less than 20 percent of the capitalization grant
    c. Inclusion of the Davis-Bacon Act Wage Requirements including the waiver from the requirement of the two-week interview process with labor wage earners contained in U.S. EPA's standard SRF Davis-Bacon Terms and Conditions.

2. Changes were made to the scoring methodology. In general, more points were awarded for public health threats and good business practices. A summary of these changes are found at the end of Appendix D.

3. The funding categories and amount of principal forgiveness for each funding categories will remain the same; however, the total amount of principal forgiveness available this program year is limited to the subsidy requirements of the capitalization grant, which is estimated to be no more than $8.2 million for FFY 2013 funds.

4. Proportionate share continues to be set at $25 million.

5. The 2014 WSRLA Nomination Form and Disadvantaged Community Loan Application were due on March 1, 2013. It was formerly due on March 15th.

6. Training requirements are still mandatory for all systems obtaining principal forgiveness in Program Year 2014. A minimum of 50 percent of the council members or governing board members for the water system must complete the following Rural Communities Assistance Program (RCAP) Courses prior to loan award: 101 Utility Management for Local Officials and 201 Financial Management for Local Officials within the last five years. Both courses are offered free of charge and are available online or in a classroom setting. Ohio EPA will reassess and determine the final loan terms including disadvantaged community eligibility at the time of loan award.

7. Project planning information must have been submitted to Ohio EPA with the nomination form for a construction loan; minimum requirements for project planning information were included in the nomination form instructions.

8. In accordance with federal capability requirements, all applicants will be screened for their overall capability, and areas of concern must be addressed prior to loan award or through the project being funded.

9. Regionalization, including shared services, will be prioritized this year in selecting fundable projects and will include discounts on loan interest rates.

10. To be eligible for WSRLA funds in Program Year 2015, all nomination forms must be submitted via email. Paper copies and faxes will no longer be accepted.

THE 2014 INTENDED USE PLAN

Through grants from U.S. EPA and matching funds provided by Ohio EPA, the State of Ohio has established financial and technical assistance programs under the DWAF to help Ohioans improve their drinking water systems. The DWAF follows provisions of Section 1452 of the SDWA, and ORC Section 6109.22.

The main purpose of the DWAF is to protect public health by providing financial assistance to eligible public water systems to attain and maintain compliance with the requirements of the SDWA and Ohio statutes and regulations. Therefore, our ranking system places the highest priority on helping communities rectify public health issues in their system, helping communities meet or maintain state and federal SDWA requirements and providing financing to communities that are economically distressed.

Drinking Water Assistance Fund Long-Term Goals

The long-term DWAF program goals are to:

1. Maximize below-market rate loans and subsidies to eligible public water systems to fund

improvements to eliminate public health threats, and ensure compliance with federal and state drinking water laws and regulations;

2. Target technical assistance to public water systems serving fewer than 10,000 in population;

3. Target small and disadvantaged community assistance to reduce the financial impact of capital improvements projects on the users of smaller systems and systems serving less affluent populations;

4. Support extensions of public water systems to address areas of contaminated private water systems;

5. Promote the development of the technical, managerial and financial capability of public water system owners and operators to maintain compliance with the state and federal SDWA requirements;

6. Update source water assessments and provide technical assistance to promote locally developed source water protection plans;

7. Encourage the consolidation and/or regionalization of small public water systems so they may take advantage of economies of scale available to larger water systems.

Drinking Water Assistance Fund Short-Term Goals

For this program year, the short-term DWAF program goals are to:

1. Provide subsidy to meet the requirements of FFY 2013 Consolidated and Further Continuing Appropriations Act (P.L. 113-006);

2. Make available not less than 20 percent but no more than 30 percent of the funds from Ohio's FFY 2013 capitalization grants as additional subsidy to eligible recipients in the form of forgiveness of principal;

3. Give priority to projects on the Intended Projects List (IPL) identified as the initial installation of water meters where none previously existed at a public water system.

4. Systems with approved balanced growth plans will receive a 0.1% discount on their loan interest rate.

5. Projects that result in the consolidation of water systems (i.e. regionalization) will receive a 0.1% discount on their loan interest rate.

Sources and Amount of Funds for the PY 2014 DWAF

The primary sources of funds available during PY 2014 for the DWAF will come from funds remaining from prior year capitalization grants, repayments, leveraged funds and FFY 2013 capitalization grants.

Loan Funds

Ohio EPA applied for the FFY 2013 capitalization grant in March and anticipates an award by the beginning of the program year. Table 1 indicates the distribution of funds for the DWAF including the FFY 2013 capitalization grant. Ohio EPA applied for $28,839,000 in FFY 2013 funds prior to federal sequestration cuts, and has approximately $30,261,000 of funds remaining in PY 2013. Depending on federal appropriations, Ohio EPA anticipates applying for the FY 2014 capitalization grant sometime in PY 2014.

The amount of funds available each year is based upon the capitalization grant, repayments and leveraged funds. In order to reduce accumulated unliquidated obligations, the priority of disbursements are capitalization grant funds first and then leveraged bond funds followed by repayments. A model is run each year to ensure that we have at least 20 years of coverage for the leveraged bonds; the findings of this model are included in the annual report.

Leveraging

For PY 2014, the Drinking Water Assistance Fund is considering several leveraging options which would provide approximately $100,000,000 to $150,000,000 of additional funding for the Drinking Water Assistance Fund. Those leveraging options being considered include the following:

- Issuance of long-term fixed rate bonds
- Issuance of floating rate notes
- Lines of Credit
- Direct Purchase Contract
- WPCLF interim Funding

A Request for Proposals to identify and select leveraging options was issued May 8, 2013. Responses are due by June 17, 2013. A product will be selected, and it is anticipated to be available by August 2013.

Set-Asides

Ohio EPA will use the set-asides provided for in the 1996 Amendments to the SDWA from the capitalization grants when necessary to supplement existing state programs and funds, and not as substitutes for existing funding. This will allow the maximum amount of funds to be provided for infrastructure improvements.

In order to minimize set-aside unliquidated obligations, Ohio EPA utilizes the oldest set-aside funds first. When accounts contain funds that are more than three years old, the funds are transferred into the loan account to be available for projects.

Cross-collateralization

The Director of Ohio EPA and the Ohio Water Development Authority (Authority) have implemented cross-collateralization between the Water Pollution Control Loan Fund (WPCLF) and the DWAF by providing for the investment of surplus funds available in the WPCLF to enhance the security for state match and leveraging bonds for the DWAF and by providing for the investment of surplus funds available in the DWAF to enhance the security for Water Quality Bonds and State Match Bonds issued for the WPCLF. The Director and the Authority have agreed:

(i) to require the investment of certain surplus funds in the WPCLF (i.e., funds in the Surplus Account of the Interest Fund, the Surplus Principal Fund and the Unpledged Principal Subaccount) to purchase DWAF obligations that the Authority would agree to issue for the purpose of averting, if possible, any shortfalls that might otherwise occur for the payment of state match or leverage bonds issued for the DWAF, and

(ii) (ii) to require the investment of the comparable surplus funds in the DWAF to purchase WPCLF obligations that the Authority would agree to issue for the purpose of averting, if possible, any shortfalls that might otherwise occur for the payment of Water Quality Bonds and State Match Bonds.

This approach to cross-collateralization was approved by the Authority in 2001 and is structured in a way to comply with the Clean Water Act and the Amendments and with the Trust Agreement, the State Match Bond Trust Agreement, the Water Pollution Control Loan Fund Trust Agreement and the trust agreements established in connection with the DWAF and the state match and leveraging bonds issued for the DWAF, in each case as those agreements have been and may further be amended in accordance with their respective terms. The cross-collateralization does not include any pledge of the loan payments being paid into the WPCLF or DWAF, but is limited to the investment of the specified surplus funds available under the WPCLF and DWAF.

Proportionality

Proportionality between State Match and Request of Reimbursement for “federal” funds is tracked between the Ohio Water Development Authority (OWDA) and reconciled by Ohio EPA Division of Environmental and Financial Assistance on a quarterly basis. We have always maintained the ration of 80% federal funds to the 20% state match, as demonstrated in the spreadsheets that are maintained by both OWDA and OEPA/DEFA.

Grant Payment Schedule

In the 2013 Drinking Water capitalization grant on Form 424 and in the cover letter to U.S. EPA, Ohio EPA requested the capitalization grant be immediately placed in ASAP for drawing for projects.

Table 1

Drinking Water Assistance Fund - Distribution of Funds
Summary of Funds Available

FFY 2013 Appropriation Capitalization Grant = $28,839,000[1]

	Prior Year Balances As of 4/30/2013	2013 Grant[3]	Totals for Each Account
Set-Asides Accounts			
Administrative[2]	2,667,307	1,153,560	3,820,867
Small Systems Technical Asstistance[2]	1,072,035	576,780	1,648,815
Public Water System Supervision[4]	4,500,973	1,441,950	5,942,923
Revolving Loan Accounts			
WSRLA CAP Grant	0	25,666,710	25,666,710
State Match for New Grant and PWSS	1,545,972	7,209,750	8,755,722
State Match Account - After New Grant and PWSS	6,975,992	0	1,208,192
Subtotal WSRLA CAP grant (w/ unobligated State Match)	8,521,964	32,876,460	41,398,424
Leveraged Bond Balance	0	0	0[5]
Other Projects Fund (repayments)	65,473,800	0	65,473,800
Total estimated WSRLA Funds	73,995,764	32,876,460	106,872,224
Estimated May and June loans in PY 2013.			$55,000,000
Amount of funds being made available PY 2014			$150,000,000
Proportionate Share			$25,000,000

[1]This is estimated prior to sequestration cuts.
[2]FFYs 2010 - 2012
[3]FFY 2013 capitalization grant application will be submitted in the winter of 2013.
[4]PWSS requires a one-to-one match, details on page 17.
[5]Ohio anticipates securing additional leverage funds early in PY 2014

Structure of the Fund

For PY 2014, the DWAF will be composed of four accounts used to provide assistance to accomplish its goals:

1. The Water Supply Revolving Loan Account (WSRLA) will provide financial assistance for the planning, design, and construction of improvements to community water systems, and nonprofit non-community public water systems.

2. The Drinking Water Assistance Administrative Account will be used to ensure the long-term administration of the program.

3. The Small Systems Technical Assistance Account will fund technical and managerial assistance for public water systems serving fewer than 10,000 in population. Assistance from this fund will also be provided to WSRLA applicants for completing the documentation necessary to obtain financial assistance, and documents necessary for the Capability Assurance program. This assistance will be provided through a combination of outsourcing to qualified organizations and Ohio EPA staff support.

4. The Public Water Systems Supervision (PWSS) Account will fund a variety of activities to help ensure Ohio's public water systems provide adequate quantities of safe drinking water and on-going implementation of Ohio's Source Water Protection and Capability Assurance Programs.

Each of these four accounts and their operation are described in the following sections.

Water Supply Revolving Loan Account

Through the Ohio WSRLA, Ohio EPA provides below-market interest rates for compliance-related improvements to public water systems.

WSRLA Application Process

To be eligible for WSRLA funds, a potential recipient must have submitted a nomination form and attached all required documentation to Ohio EPA by March 1, 2013. To be considered for the Disadvantaged Community Loan Program, a potential recipient must also have submitted a complete application for the disadvantaged community loan program and attached all required documentation to Ohio EPA by March 1, 2013. Ohio EPA sent an email to the DWAF listserv and posted nomination form materials on the website concerning application requirements in January 2013. For Program Year 2014, a public water system must have submitted a completed nomination form and attach all required documentation (including project planning information for construction loans) to Ohio EPA by March 1, 2013.

To be eligible for WSRLA funds in Program Year 2015, all nomination forms must be submitted via email with one attachment for the nomination form, one attachment for the Disadvantaged Community Loan Program application (if applicable), and one attachment for all supporting documents to the DWAF.mail@epa.ohio.gov email account by March 1, 2014. Paper copies and faxes will no longer be accepted.

WSRLA Project Priority Ranking System

The WSRLA Project Priority System (Appendix D) follows federal and state requirements and provides the structure and methodology for rating and ranking systems submitted to be considered for assistance. Proposed projects are reviewed by Ohio EPA and placed on the Project Priority List primarily according to three factors:

1. Human health risk
2. Compliance with federal and state SDWA requirements
3. Economic affordability

Other factors included in the project priority ranking system are:

1. Effective management structure
2. Population of the area served
3. Regionalization / consolidation

Some public water systems have submitted more than one project, and therefore, may have two or more projects on the Project Priority List (PPL). Projects within the same public water system may receive more or less points based on the specific issues the project will address dependent on the scoring criteria in Appendix D. Multiple projects combined into one nomination form may be disaggregated and scored as separate projects (ex. Water treatment plant project separated from a distribution project). Disaggregation would apply when the majority of cost attributed to the project does not coincide with the majority of the points awarded to the project.

All projects on the PPL have been ranked using the system described in Appendix D. For PY 2014, the actual fundability of a specific project is determined by: the availability of WSRLA base funds, the overall priority in the project priority ranking system, and readiness to proceed to construction.

During PY 2014, Ohio EPA will continue to participate in the Ohio Balanced Growth initiative to promote regional planning to better protect and manage Ohio's water resources. Points for systems with an Ohio Balanced Growth Plan are assessed in the effective management structure section of the project priority ranking system.

Additional Program Requirements

Subsidies – The FFY 2013 Consolidated and Further Continuing Appropriations Act (P.L. 113-006) specifies, not less than 20 percent of the funds and no more than 30 percent of the funds must be used for subsidies. Ohio EPA has identified projects totaling $9.4 million in subsidies in the form of principal forgiveness as meeting this requirement on its IPL. If a subsidized project on the IPL does not proceed to loan award, it will be bypassed and other subsidized projects on the PPL will be funded until the minimum of 20 percent (and no more than 30 percent) of the subsidy requirement is achieved. We anticipate meeting subsidy requirements through PY 2014 awards. Subsidy will be capped in order to not exceed the "no more than 30 percent" requirement, which is estimated to be $8.2 million.

Reporting- All projects funded will be maintained in the Drinking Water State Revolving Fund Project Benefits Reporting (DWSRF PBR) system on an ongoing basis, as required by U.S. EPA. Ohio EPA will also use the DWSRF PBR to report quarterly additional subsidy information required by the FFY 2013 Consolidated and Further Continuing Appropriations Act (P.L. 113-006). In addition, Ohio EPA will meet the reporting requirements set forth by the Federal Funding Accountability and Transparency Act (FFATA) and will report annually into the National Information Management System (NIMS) database.

Compliance-Ohio EPA agrees to demonstrate compliance with the capacity development authority, capacity development strategy and operator certification provisions in order to avoid withholdings. Ohio EPA does not identify equivalency projects as all of our loans are reviewed to meet federal cross-cutters and we award significantly more loans than the equivalency amount required for FFATA.

Project Priority List and Intended Projects List

Appendices G and H contain the lists of all projects identified for inclusion on the WSRLA PPL and IPL for PY 2014. Appendix G contains the PPL and consists of submitted projects in priority order for program year 2013 received by Ohio EPA whether or not they are likely to receive funding during this program year. The IPL in Appendix H consists of those projects anticipated to receive funding if they proceed on schedule and meet all other regulatory and program requirements.

Additionally, Ohio EPA will fund in PY 2014 projects originally scheduled to be funded in June 2013 with the same terms and conditions as PY 2013 for those projects where the system was ready for a loan during the program year but were not processed by Ohio EPA by the close of the program year. To meet this condition the system must have submitted engineering plans, loan application, capability assurance plan and environmental review materials and received detailed plan approval according to the proscribed timeline and must take the loan within three months of the issue being resolved but no later than the end of the program year (June 30, 2014).

The PPL and IPL contain information specific to each project including:

- Project Rank or Position Number
- Name of Public Water System
- Brief Description of the Proposed Project
- Public Water System Identification Number
- Population of System Service Area
- Total Project Priority Points
- Potential Terms of Financial Assistance*
- Expected Funding Schedule of Project

**Only included on the IPL. Potential terms of financial assistance are based on the best information available at the time of the development of this IUP. Terms listed in this table may not reflect the actual terms of financial assistance to be offered to the public water system at the time the financial arrangements are finalized.*

Eligibility, WSRLA Planning and Capability

Capability Assurance Plans
The capability assurance plan, complete loan application, water rate ordinance and water system regulations/ordinances must be submitted to Ohio EPA at least 90 days prior to loan award. A screening will be performed by the district office inspector after which the system will be expected to address areas of concern. Failure to do so could jeopardize funding.

Planning
For a construction loan in PY 2014, submission of project planning information was required to be submitted to Ohio EPA by March 1, 2013 with the nomination form; minimum requirements for project planning information were included in the nomination form instructions.

Ohio EPA's review of planning documentation will determine the eligible project for funding. The proposed project included in the plan will address all deficiencies noted in the prioritization of the project. It should also improve a system's overall capability and minimize total life cycle costs through the use of appropriate technology and the selection and implementation of the most cost-effective alternative. Cost effectiveness includes both monetary and non-monetary costs. Ohio EPA may select to fund projects that improve overall capability even if capital cost is higher initially.

Regionalization/Shared Services
Based on the benefits to users and the economies of scale, for the purpose of increasing system capability, the agency reserves the right to evaluate alternatives and fund projects that result in consolidation or shared services.

Beginning Program Year 2015, an Ohio EPA approved general plan addressing the proposed project will be required to be submitted with the nomination for construction loans for the following types of projects:

- New, replaced, rehabilitated, upgraded or expanded water treatment plants and their components
- New and expanded components in the distribution system including pipe, appurtenances, finished water storage and pump stations.

The general plan must be approved prior to detail design work. The general plan submitted must ensure consistency with all SDWA requirements and address the substance of the proposed project.

Applicants are responsible for consistency between approved general plans and detailed design at the time of application and construction in accordance with the approved detailed plans. Construction must be in accordance with all terms of the WSRLA assistance agreement. The approved general plan may not contain all the planning requirements necessary for a WSLRA loan award. Applicants are responsible for meeting the additional requirements.

Essential Water Supply System Components

WSRLA funding is limited, so its resources must be directed toward essential drinking water improvements. Ohio EPA will accept as allowable project costs, only costs for facilities and components necessary to the proper function, and/or capital costs directly resulting in improved operation and maintenance of the water system. This determination will be made during the review of general and detailed plans and specifications.

A public water system supplying bulk water to an Ohio EPA regulated consecutive system that has or is exceeding a primary drinking water standard shall have its eligibility for WSRLA funds determined in the same manner as the consecutive system with the exceedance if the proposed project is expected to correct the exceedance experienced by the consecutive system.

WSRLA Eligible and Ineligible Costs

Ohio EPA will provide WSRLA funds as defined in ORC Section 6109.22 and the SDWA. Each applicant's project will undergo an eligibility review prior to any commitment of funds from the WSRLA. Ohio EPA attempts to provide assistance with as few eligibility restrictions as possible. However, certain costs are prohibited from WSRLA funding because of federal limitations, while others do not provide safe drinking water benefits. Ineligible WSRLA costs include, but are not necessarily limited to, those listed in Appendix C.

The costs associated with the general operation and maintenance activities of any public water system are not considered allowable project costs.

Project Bypass Procedure

Ohio EPA may bypass projects on the IPL due to withdrawal of the project by the applicant or lack of readiness to proceed. Lack of readiness to proceed will be determined using the agreed upon project schedule between Ohio EPA and the system, and detail plan approval for the project. Project applicants will complete a project schedule they are expected to follow to avoid being bypassed. An applicant choosing to withdrawal a project should do so in writing to Ohio EPA.

A project may also be bypassed due to an applicant's inability to meet all WSRLA requirements, failure to develop an approvable, implementable project, or for other reasons appropriate under state or federal law. This may occur when the project's general plan or detailed design approval, advertisement for bids, award of the loan, or award of construction contracts cannot be reasonably projected or met by the dates established in the project's schedule. Projects otherwise not in compliance with the provisions of the DWAF management plan or program requirements are also subject to this bypass procedure.

Funds made available through bypassing may be awarded to eligible projects on the IPL or the PPL consistent with the project eligibility, priority ranking, and readiness to proceed requirements outlined earlier.

Projects bypassed during the program year may reapply and be considered for funding during the next program year in which they are anticipated to be ready to proceed. All

projects scheduled for an April, May or June award are strongly encouraged to re-nominate their project by March 1st for the following program year.

Plant Construction
If a plant construction project (including upgrade, replacement, new construction or expansion of water treatment facilities) has not submitted detail plans by October 1st of a program year, then the project may be bypassed until the next program year.

Distribution System
If a distribution related project (including mains, pump stations, finished water storage) has not submitted detailed plans or planning documents (including like kind replacement, meters) by January 1st of a program year, then the project may be bypassed until the next program year. If a plant construction project or a distribution related project worth $2 million or more has not submitted detailed plans or planning documents by October 1st, then the project may be bypassed until the next program year.

Disadvantaged Community
Disadvantaged community determination and the subsequent award of the rates and terms are determined in accordance with Ohio Administrative Code (OAC) rules 3745-88-01 and 3745-88-02 and are described in detail in Appendix F.

Systems eligible to apply for the disadvantaged community loan program (DCLP) are all systems eligible for the WSRLA program with the exception of some privately owned systems. For a privately owned system to be eligible, it must be a system regulated by the Public Utilities Commission of Ohio (PUCO), a system considered a political subdivision as defined by ORC 6119.011 or a non-profit public water system. All eligible applicants to the DCLP are evaluated using the following criteria:

- Health Related Factors *(mandatory score of one or more points)*
- Economic Affordability *(mandatory score of one point)*
- Population
- Median Household Income
- Poverty Rate.

To be considered for the DCLP, a public water system must have submitted a complete application for the DCLP and attach all required documentation (including general planning information) to Ohio EPA by March 1st. Only systems specifically requesting an evaluation to determine if the system meets the definition of disadvantaged community will be reviewed for eligibility. Ohio EPA will reassess and determine the final loan terms including disadvantaged community eligibility at the time of loan award.

Additionally, a minimum of 50 percent of the council members or governing board members for the water system must complete the following Rural Communities Assistance Program (RCAP) Courses prior to loan award: 101 Utility Management for Local Officials and 201 Financial Management for Local Officials within the last five years. Both courses are offered free of charge and are available online or in a classroom setting.

Due to limited funds available for principal forgiveness, systems will be required to address areas of concern identified in the capability screening process. Failure to do so may result in forfeiture of principal forgiveness and possibly funding.

Emergency Projects

Emergency projects may be submitted at any time during the program year, and included on the PPL and IPL based upon the applicant's successful demonstration of an emergency situation existing in the service area that requires emergency measures be taken. Projects meeting the definition of emergency projects may be added to the PPL or IPL at any time, and if all applicable requirements have been met, they may be funded at any time. Emergency projects shall be scored using the procedures outlined in Appendix D.

Small Systems Minimum Assistance

The Statute requires a minimum of 15 percent of all funds credited to the DWAF in any program year be made available to provide loan assistance to fund small systems with a population of fewer than 10,000 customers to the extent there is a sufficient number of eligible projects. Fundable small system loans in excess of the 15 percent minimum during the program year may be credited toward future program years. If the designated level of assistance cannot be awarded within the program year, steps will be taken in the PMIUP for the next program year to ensure a sufficient number of projects are funded to meet this requirement in future years.

Unexpended WSRLA base funds will remain in the fund for the next program year.

WSRLA Award Structure

ORC Section 6109.22 (I) (7) authorizes the Director of Ohio EPA to provide assistance as authorized by the SDWA. The FFY 2013 Consolidated and Further Continuing Appropriations Act (P.L. 113-006) requires States to provide not less than 20 percent and not more than 30 percent of the 2012 and 2013 capitalization grant funds in subsidies including principal forgiveness, negative interest, and grants.

Additional subsidies and extended loan repayment periods may be available to public water systems qualifying for disadvantaged community status.

The following section details specific provisions for principal forgiveness and loan rates WSRLA PY 2014 funds.

PY 2014 Available Financing

During PY 2014, the WSRLA will offer the following finance structures: standard long term (STD), small system long term (SML), any system long term that receives affordability ranking points (EA), short term, negotiated linked deposit, supplemental loan and three disadvantaged community interest rates; Tier I, Tier II and Tier III. For more information, see Table 2.

A system may qualify for more than one interest rate. A system qualifying for more than one interest rate will receive the lowest interest rate for which the system qualities. For example, a system qualified as eligible for the EA rate (economic affordability) will receive the small system rate if the small system rate is less than two percent for the month of loan award to the system. Appendix E describes the procedure for determining how interest rates are determined. Generally, rates are based on the term of the loan, size of the service area and the affordability needs of the water system users for non-disadvantaged communities. Appendix F describes the disadvantaged community program procedures and interest rates.

The principal forgiveness amount for an intended project will be based on the actual loan amount and adjusted up or down based on bids at the time of loan award, with the principal forgiveness amount included in the loan exhibit calculated based on its percentage of principal forgiveness. However, the maximum amount that principal forgiveness will be increased is ten (10) percent more than the principal forgiveness amount calculated from the IPL project cost.

Planning and Design

Planning and design projects are eligible for the same interest rate as the construction loan project would be during the month of loan award. Planning and design loans will not include principal forgiveness.

Proportionate share

Systems serving a population of more than 10,000 people are eligible to receive no greater than $25 million of the available annual funds.

Table 2

WSRLA Funding Categories, Interest Rates and Loan Terms for PY 2014		
Funding Category or Type of Loan	**Funding Category Key for PPL**	**Interest Rate and Term**
Tier I Disadvantaged Community	Tier I	Up to 40 percent of project awarded in principal forgiveness. The balance in a zero percent interest rate loan for a minimum of 5 years and up to 30 years.
Tier II Disadvantaged Community	Tier II	Up to 30 percent of project awarded in principal forgiveness. The balance in a two percent interest rate (or the small system rate if it is less than two percent during the month of loan award) loan for a minimum of 5 years and up to 30 years.
Tier III Disadvantaged Community	Tier III	Up to 20 percent of project awarded in principal forgiveness. The balance in a two percent interest rate (or the small system rate if it is less than two percent during the month of loan award) loan for a minimum of 5 years and up to 30 years.
Non Disadvantaged Community with Affordability Ranking Points	EA	Economic Affordability Rate for two percent interest rate (or the small system rate if it is less than two percent during the month of loan award) loan for a term of 5 or more years but not greater than 20 years.
Small System Long Term [Small System (≤10K) with no Affordability Ranking Points]	SML	Small System Long Term Rate for a term of 5 or more years but not greater than 20 years.
Standard Long Term [Large System (>10K) with no Affordability Ranking Points]	STD	Standard Long Term Rate for a term of 5 or more years but not greater than 20 years.
Short Term Loan (Design, Engineering, Planning)	Can be any of the above.	Short Term Rate for a term of no more than 5 years with the same interest rate as the long term rate as the construction project is eligible for during the month of loan award.
Linked Deposit Loan	Not notated on the PPL. Part of loan documents.	Linked Deposit Rate determined by commercial lender, rate will be discounted below the normal discount rate, as determined at time of loan, program stipulations, and system specifics.
Supplemental Loan	Can be any of the above.	Supplemental loans for project not commencing repayments fixed at interest rate in effect at time of original loan award. For projects that have commenced repayments interest rate will be determined as appropriate rate in effect at the time of loan award.

Drinking Water Assistance Fund Administrative Account

Ohio EPA will take 4 percent of the FFY 2013 federal capitalization grants to provide for administration of the DWAF. The funds from the Drinking Water Assistance Fund Administrative Account (DWAFAA) will pay for personnel costs within Ohio EPA including management of the DWAF and district office coordinators. This account will also contain the administrative fees collected by Ohio EPA from WSRLA funding recipients. Ohio EPA will require a loan origination fee of 1 percent of the principal of each loan originated from the WSRLA. Subsidized portions of projects (as a result of principal forgiveness) will not be assessed a 1 percent loan origination fee. The administrative fee collected by Ohio EPA will be deposited into the DWAFAA.

The Ohio Water Development Authority (OWDA) will require a fee of point 0.35 percent of the principal of the loan amount. The fee collected by OWDA will be deposited into the DWAFAA to be utilized by the OWDA for administrative costs related to the program.

These fees are due at the time of the loan award and are an eligible project cost. There is no minimum fee for Ohio EPA or OWDA per WSRLA loan amount.

Funds in the DWAFAA at the conclusion of the program year will remain in the account to address program administrative costs in subsequent program years. Set-aside balances greater than three years old will be transferred into the WSRLA and Ohio EPA will bank these transferred amounts for use in future year grants.

Small Systems Technical Assistance Account

Ohio EPA will set-aside 2 percent of the FFY 2013 federal capitalization grants to provide technical assistance to public water systems serving fewer than 10,000 in population. Appendix J contains the work plan for the Small Systems Technical Assistance program. These funds will be used to:

a. Support a technical assistance team or a qualified organization(s) to provide on-site technical assistance to help bring selected systems into compliance with applicable requirements of the SDWA and regulations promulgated under the Act; and/or

b. Support a technical assistance team or qualified organization(s) to help eligible public water systems prepare loan applications, develop supporting documentation for loans, develop capacity assurance documents and provide capability training.

The combination of these activities will be identified through an analysis of the needs of systems serving fewer than 10,000 in population.

Small Systems Technical Assistance Account funds not expended at the conclusion of the program year may remain in the account to address this type of assistance in subsequent program years. Set-aside balances greater than three years old will be transferred into the WSRLA and Ohio EPA will bank these transferred amounts for use in future year grants.

Funds in the Small System Technical Assistance Account at the conclusion of the program year will remain in the account to address program costs in subsequent program years. Set-aside balances greater than three years old will be transferred into the WSRLA and Ohio EPA will bank these transferred amounts for use in future year grants.

Public Water Supply Supervision (PWSS) Account

Ohio EPA will take 5 percent of the public water systems supervision set-aside (Appendix I) authorized under Section 1452(g)(2)(A) of the SDWA from the FFY 2013 federal capitalization grants. Ohio EPA will use this set-aside to fund a variety of activities to help ensure Ohio's public water systems provide adequate quantities of safe drinking water including on-going implementation of Ohio's Source Water Protection and Capability Assurance Programs. This set-aside requires 100 percent match from the state. To meet this match Ohio will use state match from the FY 1993 PWSS grant as credit for half of the match requirement for this set-aside. The other half will be met with in-kind services for state program management activities.

DWAF MANAGEMENT PRACTICES

The purpose of the DWAF management practices section is to provide information regarding the means and methods Ohio EPA will follow in the administration of the DWAF program. The following is a description of the method by which available funds are managed to ensure their effective and timely commitment to DWAF activities.

Management Practices
To manage available DWAF funds and carry out the purposes of Section 1452 of the SDWA, and ORC 6109.22, Ohio EPA may, without limitation:

a. Establish interest rates for WSRLA loans in accordance with the procedures located in Appendix E and F of this plan.

b. Make available at least 15 percent of the WSRLA funds outlined in each IUP to projects identified in the IUP as small systems serving fewer than 10,000 in population that are ranked on the PPL.

c. Award WSRLA program assistance for preparing project planning documents, detailed plans, and specifications. Ohio EPA may also set a limit on the amount of funds that are available for planning and design loans without additional public notice.

d. Establish, increase, or decrease the available funds for the DWAF, the WSRLA, and set-aside uses.

e. Develop and implement with public notice and involvement a plan for the financial and programmatic administration of the DWAF and the long-term financial health of the fund.

f. Establish bypass, amendment and emergency funding procedures for the WSRLA program.

g. Add eligible systems to the WSRLA PPL and IPL in accordance with the management practices described in the emergency project procedure sections of the IUP.

h. Solicit, add and delete projects from the current program year PPL and IPL and change the relative priority of a project in future years in accordance with the management plan in effect at that time.

i. Determine projects eligible for disadvantaged community program assistance.

j. Bypass any WSRLA project in accordance with the project bypass procedure described previously in this document.

k. Limit the amount of funds available to any WSRLA applicant based on the eligibility of project elements, or upon the applicant's project consuming more than the proportionate annual share of available funds. An applicant will be notified in writing should Ohio EPA limit funds available due to the project's cost exceeding the proportionate share of the total funds available.

l. Segment and fund a portion of a WSRLA project if the loan recipient agrees to complete subsequent segments according to an acceptable schedule regardless of additional financial assistance, if at least one of the following applies:

   i. The construction of the project will require more than the proportionate share of the funds identified in the annual IUP that includes the project as a fundable project; or

   ii. The project will take three or more years to complete.

   A segmented project must meet all program requirements.

   Additionally, the recipient must demonstrate it is financially capable of constructing, according to the approved schedule, subsequent segments without WSRLA funding assistance.

   Ohio EPA reserves the authority to negotiate the scope of the segmentation based on available WSRLA funds as well as engineering, financial, capacity assurance, and environmental considerations.

m. Deposit at any time, funds available in other DWAF accounts or any portion thereof, into the WSRLA with public notice.

n. Establish definitions, terms, and conditions for WSRLA program assistance to disadvantaged communities in accordance with ORC 6109.22.

o. Establish definitions, terms, and conditions, for assistance from the small systems technical assistance account, including but not limited to, those related to agreements with third parties for the provision of that assistance.

p. Establish submission deadlines for DWAF application materials, WSRLA application materials, revisions to general plans, revisions to detailed plans and specifications, or portions thereof, either individually or collectively, or for the satisfaction of DWAF management plan criteria. Generally, individual project submission deadlines will be based on SDWA compliance schedules, federal or state court-ordered compliance schedules, or state review schedules. Failure to meet a submission deadline may result in bypassing a project in accordance with the project bypass procedure established in this plan.

q. Determine projects eligible for Green Project Reserve designation, if applicable.

r. Require the application of the Davis-Bacon Act for all assistance agreements signed on or after October 30, 2009 for construction under the WSRLA for the entirety of the construction activities financed by the assistance agreement through completion of construction, no matter when construction commences.

s. Develop and maintain operating agreements with other divisions and state agencies to meet program goals.

Project Responsibilities of DWAF Applicants and Recipients

It is essential applicants and recipients are aware of their responsibilities, commitments, and obligations. Ohio EPA is responsible for managing the DWAF program; the responsibility for meeting WSRLA program requirements, managing a project and complying with the terms of the loan agreement rests with the recipient.

FFY 2013 Funding Requirements

Under the FFY 2013 Consolidated and Further Continuing Appropriations Act (P.L. 113-006), Davis-Bacon prevailing wage requirements apply to any construction project carried out in whole or in part with assistance made available by a drinking water revolving loan fund as authorized by section 1452 of the Safe Drinking Water Act [42 U.S.C. 300j-12], a term and condition requiring compliance with the requirements of section 1450(e) of the Safe Drinking Water Act [42 U.S.C. 300j-9(e)] in all procurement contracts and sub-grants, and require that loan recipients, procurement contractors and sub-grantees include such a term and condition in subcontracts and other lower tiered transactions. This term and condition applies to all agreements to provide assistance whether in the form of a loan, bond purchase, grant, or any other vehicle to provide financing for a project where such agreements are executed on or after October 30, 2009.

Procedures for this provision are found in the U.S. EPA memorandums of May 20, 2011, subject: Application of Davis-Bacon Wage Act Requirements for Fiscal Year 2011 CWSRF

and DWSRF Assistance Agreements and November 30, 2009, subject: Application of Davis-Bacon Wage Act Requirements to Fiscal Year 2010 CWSRF and DWSRF Assistance Agreements. Davis-Bacon Procedures and Contract Provisions, Poster and Davis-Bacon Labor Standards Interview Form can be found on the Ohio EPA website located at: http://www.epa.ohio.gov/Default.aspx?tabid=2205.

A class deviation has been granted providing a waiver from the requirement of the two-week interview process with labor wage earners contained in EPA's standard SRF Davis-Bacon Terms and Conditions. The memorandum "Class Deviation – Prevailing Wage Interview Requirement in Clean Water and Drinking Water State Revolving Funds 9CWSRF and DWSRF) Capitalization Grants" was signed on November 16, 2012.

DWAF Administrative Conditions

The following conditions have been formulated to help Ohio EPA staff and the recipient to effectively manage their respective tasks. These conditions highlight areas of particular concern to Ohio EPA. The recipient is responsible for negotiations of necessary and reasonable costs, effective management of funds and adequate project monitoring.

Each potential recipient is encouraged to maintain close contact with Ohio EPA throughout general planning and design to ensure maximum priority value for its final project is identified and reflected in the PPL. This contact will also help prevent delays or possible deferral of the project at the time of funding.

Additional administrative conditions pertaining to the DWAF may be developed and added in the future. These additional conditions may modify, supplement or supersede the administrative conditions in this section after appropriate public notice as required.

DEFINITIONS

As used in this document, the following words and terms mean:

A. *Initiation of operation* - the date the funded facilities are in full and sustained operation as planned and designed.

B. *Readiness to proceed* - progress toward achieving a WSRLA binding commitment and initiating construction. This is a relative measure of an applicant's success in the expeditious achievement of compliance with all pre-award WSRLA program requirements, compliance with the schedule for initiating facilities construction, and submission and approval of general, detailed or other plans as necessary for Ohio EPA approval. (See the "Project Bypass Procedure" section of this plan for further information.)

C. *Emergency Project* - a project necessary to avoid or correct an imminent threat to public health. Examples include acute maximum contaminant level (MCL) violations requiring ongoing public notice through radio and television, public notice, and other contamination above established 10-day health advisory levels. The project must be ready to proceed

within 30 days of the loan commitment and must be completed in a timely manner in accordance with the construction schedule approved as a condition of the loan.

D. *Project Priority List (PPL)* - list of all projects that submitted a pre-application for the WSRLA. All projects are scored and ranked according to the project priority ranking system.

E. *Intended Projects List (IPL)* - fundable sub-list of the project priority list. List of projects that will receive funding during the program year if they proceed on schedule and meet all program requirements

F. *Market Rate* - for WSRLA loans, market rate is calculated as the average of *The 20 GO Bond Index* rate published on the eight Fridays previous to the date the rate is calculated plus 50 basis points. For the WSRLA linked deposit program, the market interest rate is the U.S. Treasury Notes and Bonds yield for the week prior to a linked deposit loan, as reported in *The 20 GO Bond Index* on the Friday of that prior week, for the U.S. Treasury Notes and Bonds having terms of years closest to the terms of years of the linked deposit loan.

G. *Small System* - For interest rate determination in the WSRLA program, a public water system with a specific project to serve a service area, or portion thereof, of fewer than 10,000 persons.

H. *Capability Assurance* - (also known as capacity assurance and capacity development) the process through which a water system plans for and implements action to ensure the system can meet both its immediate and its long term challenges. Capability assurance encompasses a water system's technical, managerial, and financial ability to achieve, maintain, and plan for compliance with applicable drinking water standards, given the water system's available water resources and the characteristics of its service population. To be comprehensive, all elements of a water system's capability to effectively deliver safe water must be considered to meet the current needs and the projected future needs of the water system.

   Technical capability refers to the physical infrastructure of the water system, including but not limited to the adequacy of the source water, infrastructure (source, treatment, storage, and distribution), and the ability of system personnel to implement the requisite technical knowledge.

   Managerial capability refers to the management structure of the water system, including but not limited to ownership accountability, staffing and organization, and effective linkages to customers and regulatory agencies.

   Financial capability refers to the financial resources of the water system, including but not limited to revenue sufficiency, credit worthiness, and fiscal controls.

I. *Public Water System* - as defined in OAC rule 3745-81-01.

   1. *Community System*- means a public water system that serves at least 15 service

connections used by year-round residents or regularly serves at least 25 year-round residents.

2. *Non-community System* - means a public water system that is not a community water system.
3. *Disadvantaged Community* - means the service area, or portion thereof, of one of the following entities that applies for and is eligible for loan assistance pursuant to the affordability criteria established by the director:
   (a) A nonprofit public water system that operates or provides water to a community water system;
   (b) A public water system that is regulated by PUCO and that operates or provides water to a community water system;
   (c) A political subdivision, as defined by ORC Section 6119.011(B), that operates or provides water to a community water system; or
   (d) A nonprofit non-community public water system.

J. *Green Project* - project relating to energy efficiency, water efficiency, green infrastructure or environmental innovation.

K. *Project* - a drinking water infrastructure activity that begins and ends within the DWAF program year with the purpose of meeting or maintaining compliance with Safe Drinking Water Act requirements, applicable regulations put forth in the ORC, and applicable rules of the OAC.

L. *Scope* - the specific work that needs to be accomplished to deliver the purpose of the proposed project submitted in the nomination form.

M. *Eligible System* – community water systems, both privately and publicly owned, and nonprofit non-community water systems

STATEWIDE

APPENDIX A

Ohio Environmental Protection Agency
P U B L I C N O T I C E
DRAFT Program Management and Intended Use Plan
For The Drinking Water Assistance Fund
For Program Year 2014

Public notice is hereby given that the Ohio Environmental Protection Agency (Ohio EPA) Division of Drinking and Ground Waters (DDAGW) has issued the DRAFT Program Management and Intended Use Plan for the Drinking Water Assistance Fund, which has been authorized by Ohio Revised Code section 6109.22 and Section 1452 of the Safe Drinking Water Act.

Copies of the DRAFT Program Management and Intended Use Plan are available from the Division of Drinking and Ground Waters upon request, through the Internet at http://www.epa.ohio.gov/ddagw/financialassistance.aspx or by writing to:

Ohio EPA
Lazarus Government Center
Attention: Susie Bodnar, DDAGW
P.O. Box 1049
Columbus, Ohio 43216-1049

Written comments should be submitted to:

Ohio EPA
Lazarus Government Center
Attention: Susan Schell, DDAGW
P. O. Box 1049
Columbus, Ohio 43216-1049
E-mail address: DWAF.mail@epa.ohio.gov

Written comments must be received on or before Tuesday, July 2, 2013.

To allow interested persons to present their comments and suggestions, and for Ohio EPA to provide a response to questions on Ohio's DRAFT Program Year 2014 Program Management and Intended Use Plan, two public meetings will be held at the following times and location:

1:00PM and 4:00PM, Tuesday, July 2, 2013
Ohio EPA
Lazarus Government Center
50 W. Town Street, Suite 700
Main Conference Room A
Columbus, Ohio 43216-1049

STATEWIDE

APPENDIX B

Ohio Environmental Protection Agency
P U B L I C N O T I C E
Final Program Management and Intended Use Plan
For the Drinking Water Assistance Fund
For Program Year 2014

Public notice is hereby given that the Director of the Ohio Environmental Protection Agency issued a final action effective [day of week], [date], adopting the Program Management and Intended Use Plan for the Drinking Water Assistance Fund that has been authorized by Ohio Revised Code section 6109.22 and Section 1452 of the Safe Drinking Water Act. This action may be appealed, in writing, within thirty (30) days of this notice, to the Environmental Review Appeals Commission, 77 South High Street, 17th Floor, Columbus, Ohio 43215. Notice of any appeal shall be filed with the Director within three (3) days. This notice of appeal shall be sent to: Division of Drinking and Ground Waters, Ohio Environmental Protection Agency, P.O. Box 1049, Columbus, Ohio 43216-1049. Consult ORC Chapter 3745 and OAC Chapters 3745-47 and 3746-05 for requirements.

Copies of the Final Program Management and Intended Use Plan are available from the Division of Drinking and Ground Waters, upon request, and also through the Internet at www.epa.ohio.gov/ddagw/financialassistance.aspx.

APPENDIX C

WSRLA Ineligible Costs

Based on limitations set forth by the SDWA**,** associated guidance and rules, and by this PMIUP, the following is a general summary of items ineligible for WSRLA funding. In general, due to limited funds available in the WSRLA, costs associated for residuals handling for publicly owned water treatment systems that discharge to sewers or receiving streams should apply for funding from the Water Pollution Control Loan Fund (WPCLF).

1. Dams or rehabilitation of dams;

2. Water rights, except if either: 1) the water rights are owned by a system that is being purchased through consolidation as a part of a capacity assurance strategy; or, 2) it is necessary to acquire land or a conservation easement from a willing seller or grantor, if the purpose of the acquisition is to protect the source water of the system from contamination and to ensure compliance with National Primary Drinking Water Regulations (Section 1452(k) of SDWA);

3. Reservoirs, except for finished water reservoirs and those reservoirs that are part of the treatment process and are located on the property where the water treatment facility is located;

4. Laboratory fees for monitoring;

5. Operation and maintenance expenses;

6. Projects needed mainly for fire protection;

7. Projects for systems that lack technical, managerial, and financial capability, unless assistance will ensure compliance (refer to capacity assurance plan);

8. Projects for systems in significant noncompliance (U.S. EPA Enforcement Tracking Tool (ETT) score greater than or equal to 11), where funding will not enable the system to return to compliance and the system will not maintain adequate technical, managerial and financial capacity to maintain compliance (refer to capacity assurance plan);

9. Projects primarily intended to serve future growth;

10. Equipment, materials, supplies, and spare parts in excess of that shown to be reasonable, necessary, and allocable to the project;

11. Street restoration beyond that necessary for installing facilities directly related to constructing the drinking water system;

12. Ordinary governmental or personal operating expenses of the community or individual requesting the WSRLA assistance (e.g., administrative facilities or vehicles, salaries of elected officials, travel, costs of establishing departments or units of government, fines, and penalties levied by regulatory agencies, etc.);

13. Personal injury compensation or damages;

14. Permit costs, including water discharge permit (NPDES permit) and renewal discharge permit fees, and application fees, (excluding the origination fees associated with the project for which state revolving loan monies are requested) are not eligible;

15. Projects that do not minimize costs by implementing the most cost effective alternative through conducting a cost effective analysis of all viable options; cost effectiveness is evaluated for both the monetary and non-monetary cost effectiveness considerations;

16. Projects that have completed construction; and

17. Projects that have secured their entire funding outside of WSRLA funds, Ohio Water Development Authority loans, a private short-term loan or the entity's own funds.

APPENDIX D

WSRLA Project Priority Ranking System

The purpose of the priority ranking system is to establish a list of eligible water systems and their proposed projects to be funded in a manner that allows the most serious risks to public health be given the highest priority. Eligible projects are capital improvement projects that are necessary to ensure compliance with the National Primary Drinking Water Regulations defined in the SDWA, all other applicable regulations of the SDWA, all applicable regulations put forth in the ORC, and all applicable rules of the OAC.

All eligible water systems that submit proposed projects will be rated with respect to six categories to determine their ranking and selection for funding under the WSRLA. These categories are:

1. Public health issues;
2. Continued compliance with federal and state SDWA requirements;
3. Bonus points for effective management;
4. Consolidation/regionalization;
5. Economic Affordability- systems in need on a per household basis; and
6. Population

The water systems' ranking of its proposed project will be the sum of all points received in each category based on the drinking water scope of the project. However, before any final funding is awarded, each project will be carefully evaluated to ensure the scope of the project has not changed and the project addresses the type of work, component types and project description needs addressed in the nomination form for which points were awarded. Where the scope of the project has changed, then either (1) the scope of the submitted project will need to be revised to adequately address the issues for which points were awarded, or (2) the project will be rescored to determine if it is still eligible for funding and the terms under which the project will be funded, if still eligible. Any project whose scope changes after submitting the nomination form will need to be rescored to determine eligibility and funding terms.

For projects involving consolidation/regionalization, priority points for the central system and each system being consolidated/regionalized, shall be summed for each separate ranking category. The total points for each sub-category shall not exceed the maximum amount listed for that sub-category. For example, a project consolidating three water systems with bacterial MCL violations would receive no more than 100 points for the bacterial contamination sub-category.

Each category is briefly described below.

Public Health Issues

The greatest emphasis will be placed on addressing public health issues related to the acute contaminants: microbial, groundwater rule, surface water treatment rule and nitrate/nitrite.

The period of analysis will be the 12 months prior to inclusion on the priority list unless the system is under Orders to correct the issue, then the public health points will stand until the project is completed. MCL violations caused by failure to monitor or report will not be included in the analysis. The following are the points assigned to the various levels of contamination.

Acute Contaminants (per previous 24 months)

Bacteriological Contamination (Addressable through infrastructure improvements)

(Actual confirmed, not monitoring and/or reporting violations)

No MCL violations	0 points
1acute MCL violation	60 points
2 or more acute MCL violations	100 points
2 or more monthly MCL violations	60 points

Groundwater Rule

No treatment technique violations	0 points
1 treatment technique violations	60 points
2 or more treatment technique violations	100 points

See EPA *The Ground Water Rule (GWR) Implementation Guidance* (EPA-816-R-09-004) Section 3.9.8 – Treatment Technique Violations for more information.

Nitrate / Nitrite

Level consistently less than 8.0 mg/L / 0.5 mg/L	0 points
Level $\geq$8.0 mg/L $\leq$10 mg/L / 1.0 mg/L	50 points
Level >10 mg/L / 1.0 mg/L	100 points

Surface Water Treatment Rule

No treatment technique violations	0 points
1 treatment technique violations	60 points
2 or more treatment technique violations	100 points

Surface water treatment rule violation technique points are for chlorine contact time and turbidity.

Chronic Contaminant Groups (per previous 24 months):

Chronic contaminants with MCLs are addressed as shown below with greater weight being given to exceedances of the Longer-term Health Advisories for a 10-kg Child (CHA), as published by U.S. EPA in the latest issue of "Drinking Water Regulations and Health Advisories." For contaminants with no MCL, Drinking Water Equivalent Levels (DWELs) or 10^{-4} Cancer Risk Levels as listed in the same publication will be used to determine ranking points.

Inorganic Chemicals (IOCs), Volatile Organic Chemicals (VOCs), Radionuclides, Disinfection Byproducts, Arsenic

No MCL violations	0 points
Level at least 50% of MCL	30 points*
≥ MCL or above Longer-term Child Health Advisories or DWEL or 10^{-4} Cancer Risk	60 points*

For contaminants without MCLs,

Above DWEL or 10^{-4} Cancer Risk or Longer-term Child Health Advisories	60 points*

*Multiply by the number of contaminants with violations or exceedances (of CHAs, DWELs, or Cancer Risk) averaged over the previous 24 months. TTHMs/HAA5s are a single contaminant. Disinfection byproducts and arsenic points are based on the running annual average. Points may also be assigned for this category if the project will address disinfection byproducts at satellites.

Lead and Copper

In compliance	0 points
Exceedance of copper action level	25points
Exceedance of lead action level	45 points

Boil Order/ Use Advisory (for previous 12 months)

*Points may only be assigned if the project will address the problem that caused the boil order or use advisory. No points awarded for type 25 or 26 violations.

No boil advisories	0 points
Boil advisory; 1-4 boil order/use advisory events	25points*
Boil advisory; 5 or more boil order/use advisory events	45 points*

* Include all days in length for which a “use advisory” (boil order, limited use, etc.) was in place.

Disinfectant Residual in the Distribution System

(Addressable through infrastructure improvements)

0 violations	0 points
1 to 5 violations	15 points
6 or more violations	30 points

Contaminated or Inadequate Supply in Private Wells *(not restricted to previous 12 months)**

Project is to connect new customers with existing contaminated or inadequate sources.

If applicable.	40 points

*Based on best estimate after consultation with local health department.

Source Contamination

Project is to replace a contaminated drinking water source or significant contamination exists within the one year time of travel as delineated by the source water protection program	60 points
Project is to replace a drinking water source with significant contamination within the five year time of travel as delineated by the source water protection program	20 points

PWS Elimination

Project will eliminate the public water system with a public health issue or under enforcement orders	60 points

Compliance with Federal and State Safe Drinking Water Act

The next category is continued compliance with federal and state SDWA requirements. The condition of the physical infrastructure has been selected as an indicator or predictor of the system's ability to remain in compliance. The rationale being that without adequate supplies of source water, with inadequate, undersized or deteriorated plants, and with inadequate finished water storage and/or distribution systems, a public water system will be unable to maintain compliance with SDWA requirements. Included in this portion of the evaluation are bonus points to reward systems that are taking steps to stay in compliance with state requirements and to reduce water usage. The following are the points assigned to the various elements in this category.

Design Deficiencies

Source Quantity

Adequate	0 points
Shortage during peak day demand	5 points
Shortage during peak season	10 points
Continual shortage	30 points

Source

(if not included in Source Contamination section above, and to address a physical construction issue)

Improper or deteriorated well construction (requiring replacement)	60 points
Inadequate or deteriorated intake structure	20 points

Plant

Inadequate back-up power (average day)	10 points
Inadequate processes*	5 points
Switching from gas to liquid chlorine**	5 points
No redundancy of critical components	10 points
Insufficient plant capacity	30 points
Deteriorated plant	30 points

* Points for each inadequate process; please explain in comments. Processes to be considered are: chemical feed, rapid mix, clarification (flocculation/settling), filtration, disinfection control, aeration/stripping, ion-exchange, corrosion control, and pumping. Maximum - 45 points.

Inadequate processes and insufficient plant capacity projects will require a sufficiency evaluation to determine if operations are optimized prior to ranking.

** Points awarded for switching from gaseous to liquid chlorine may only be awarded if included in the project scope.

Storage and Distribution System

Storage

Greater than or equal to one day based on design production	0 points
Greater than or equal to one day based on average production but less than one day at design production	5 points
Less than one day based on average production	10 points

Distribution

Bringing underground storage tank above grade	5 points
Bringing booster station above grade	10 points
Inadequate size	10 points
Looping dead end lines	10 points
Installation of meters to a public water system currently without residential meters	20 points
Deterioration	20 points

Bonus Points for Effective Management

Backflow prevention program	5 points
Contingency plan	5 points
Emergency generator	5 points
Certified Operator that meets minimum staffing requirements	5 points
Endorsed Balanced Growth Plan	5 points
Endorsed Source Protection Plan**	5 points
Asset Management Plan	5 points
Preventative maintenance program	1 point
Water conservation program (unaccounted water loss of 15 percent or less)	5 points
Existing fully Metered system	1 point
Completion of Utility Board/Financial Management training for at least half of Council/Board of Public Affairs	5 points

**Points are awarded for Ohio EPA Endorsed Source Water Protection Plan or an endorsed Wellhead Protection Management Plan.

Consolidation/Regionalization

The third category considered is consolidation/regionalization. This category is included to support the concept that larger systems are more apt to have managerial, financial and technical capabilities to ensure continued compliance with current and future requirements of both federal and state safe drinking water laws and regulation. Points are given to the applicant of the consolidation/regionalization loan only, not to systems for which the points are earned. The following elements are considered.

Projects that provide the potential for consolidation (these are existing public water systems that could connect to the project and the project's system maintains adequate capacity to serve them)	20 points
If the project involves the consolidation/regionalization of more than one community water system or an eligible non-community water system and there is a signed commitment letter to tie in or an ordinance mandating tie-in	20 points/ additional system
If the project involves the consolidation/regionalization of more than one non-community water system (for-profit privately owned public water systems) and there is a signed commitment letter to tie in or an ordinance mandating tie-in	20 points/ additional system
If the project will address disinfection byproducts to customers of other existing public water systems (i.e. provide water to master metered mobile home park, or satellite systems)	5 points/ additional system (maximum 30 pts.)

Economic Affordability (systems in need on a per household basis)

One of the best indicators of economic affordability is the environmental/health utility burden placed on a household (i.e. the cost of water/sewer service). A higher degree of financial burden will be placed on water systems with relatively lower populations because the user base will be smaller over which the cost of the utility service is recovered. Per household analysis is relevant in that household costs of infrastructure improvements are a function of the population size of the community or service area, or portion thereof.

Not all public water systems have sewer systems associated with them and some public water systems have no rate structure on which to base comparisons. Therefore it was necessary to develop a means to evaluate economic affordability in these circumstances, and to set some default limits for public water systems with no economic data. Ohio EPA reserves the right to determine economic affordability on a case by case basis for eligible water systems that do not have a rate structure. The options are presented below.

If entity is an eligible water system that does not have a rate structure (e.g. mobile home parks, schools) (By default)	20 points

If Combined Water and Sewer Benchmarks (2000) are <Annual Combined Water and Sewer Rates (current)	20 points
If the Combined Water and Sewer Benchmarks (2000) are >or=Annual Water and Sewer Rates (current)	0 points

For systems with <u>only</u> an existing water system

If the Water Benchmark (2000) is< Annual Water Rate (current)	20 points
If the Water Benchmark (2000) is > or = Annual Water Rate (current)	0 points

For systems with <u>only</u> an existing sewer system

If the Sewer Benchmark (2000) is < Annual Sewer Rate (current)	20 points
If the Sewer Benchmark (2000) is > or = Annual Sewer Rate (current)	0 points

<u>Sewer and Water Benchmark Values</u>

The economic affordability analysis is performed through an economic screening that measures the financial impact of the rate structure on a residential user or household.

This is accomplished through a comparison of the current annual cost per residential user to a sewer and/or water benchmark value. Benchmarks were developed using data obtained from Ohio EPA's *2000 Sewer and Water Rate Survey* and the 2000 U.S. Census of Median Household Income (MHI) for Ohio.

In developing the sewer and water benchmark values, sewer and water rates as a percentage of income were analyzed for: 1) all communities that responded to the *2000 Sewer and Water Rate Survey*, and 2) only those communities that responded to the Survey and had either a sewer and/or water rate increase. The benchmarks are based on Ohio communities that had a sewer rate and/or water rate increase, because these rates should best reflect current conditions and costs of wastewater treatment plants and/or water supply systems. It is assumed that communities raised their rates to meet these prevailing costs and conditions.

The income value of $36,250 represents the median of the 1999 MHI for Ohio cities and villages that responded to Ohio EPA's *2000 Sewer and Water Rate Survey*.

<u>Sewer Benchmark</u>

Of the Ohio communities that experienced a sewer rate increase during 1999 through 2000, the following sewer benchmark values were established by an analysis of the 75th and 90th percentiles for this group:

Income	Benchmark
1999 MHI ≤ $36,250	1.2 percent of MHI
1999 MHI > $36,250	1.6 percent of MHI

Water Benchmark

Of the Ohio communities that experienced a water rate increase during 1999 through 2000, the following water benchmark values were established by an analysis of the 75th and 90th percentiles for this group:

Income	Benchmark
1999 MHI ≤ $36,250	1.1 percent of MHI
1999 MHI > $36,250	1.4 percent of MHI

Population Distribution Points

The final category is population served by the water system. As it is a goal of the program to give particular emphasis and assistance to smaller systems, more points are awarded to communities smaller populations. The lower the population, the smaller the user base, and the less likely it is for such a community to realize economies of scale in the financing of a drinking water system. Recognizing that the smaller the system the more likely it would be to need assistance in financing, the following point weighting was developed.

For consolidation/regionalization projects and service to new areas to address contaminated private wells, the population distribution points shall be based on the population responsible for carrying the debt burden.

Population or Service Area			Points
0	<	500	24 points
500	<	750	22 points
750	<	1,000	20 points
1,000	<	2,000	18 points
2,000	<	3,000	16 points
3,000	<	5,000	14 points
5,000	<	10,000	12 points
10,000	<	30,000	8 points
30,000	<	Beyond	3 points

Summary of PY 2014 Score Sheet changes:

Part I. Public Health Issues

- Under the "Chronic Contaminants" section, 30 points were awarded when the level was at least 50% of the MCL; previously it was 80% of the MCL.
- Lead and copper, boil order/use advisory, and disinfection residual exceeedances/violation points have been increased.
- Boil orders and Use Advisory points are no longer applied to type 25 and 26 violations.
- Contamination or Inadequate supply in private wells will be awarded 40 points regardless of what percentage of wells is affected.
- Unmetered systems and secondary standards no longer receive points in this section.

Part II. Compliance with Federal and State SDWA

- Under "Distribution System" section, points are no longer awarded for low pressure; however, points are now awarded for bringing an underground storage tank and/or a booster station above grade.
- Installation of meters to a public water system currently without residential meters is now in this section.
- Under "Bonus Points" section, points are no longer awarded for having a bacteriological sample siting plan. Also the average day back up power point has been changed to emergency generator points.

APPENDIX E

WSRLA Interest Rate Criteria

Interest rates will be determined based on the term of the loan, size of the service area and the affordability needs of the water system users. During PY 2014 the WSRLA offers the following interest rates (not including disadvantaged community rates – see Appendix F): standard long term, small system long term, any system long term that receives affordability ranking points, short term, negotiated linked deposit, and supplemental loan. Systems that may qualify for more than one of these interest rates will receive the lowest rate for which the system qualifies. For example, A system qualified as eligible for the EA rate (economic affordability) will receive the small system rate if the small system rate is less than two percent for the month of loan award to the system.

1. Standard Long Term Interest Rate *(Amortization period of at least five years but not more than 20 years)*

   The standard long term interest rate will be established monthly. The standard long term rate is based upon the average of *The Bond Buyer* 20 GO Bond Index rates published on the eight Fridays previous to each date. Once the averaged 20 GO Bond Index is established, the standard long term interest rate is determined by subtracting 125 basis points from that average. In no case, however, can the standard long term rate be less than 0.50 percent.

2. Small System Long Term Interest Rate *(Amortization period of at least five years but not more than 20 years)*

   The small system long term interest rate will be based upon the standard long term interest rate. As the standard long term interest rate is established, the small system long term interest rate is determined by subtracting 50 basis points from that rate. In no case, however, can the small system long term rate be less than 0.00 percent.

   For the purposes of this interest rate, a small system is defined as a public water system with a specific project to serve a service area, or portion thereof, of 10,000 or fewer persons.

3. Systems that Receive Affordability Points Long Term Interest Rate *(Amortization period of at least five years but not more than 20 years)*

   The systems that receive affordability ranking points rate is fixed at 2 percent. For the purposes of this interest rate, only projects that receive priority points under the affordability factor in the ranking system qualify for this rate.

   If at the month of loan award, the small system rate is less than two percent, during that month all qualified eligible projects for the affordability rate will be awarded the project loan at the small system rate.

4. Short Term Interest Rate *(Amortization period of five years or less)*

The short-term interest rate is the same as the long-term interest rate for the same project with amortization periods of five years or less.

Short-term loans also are available for development of general plans and detailed design documents meeting WSRLA program requirements as described in Appendix D.

5. Linked Deposit Interest Rate

The linked deposit rate will vary, as it is determined by a commercial lender based upon its usual rates to its customers. In no case, however, will the linked deposit rate be less than a rate that will result in a 3 percent rate of return to the WSRLA. It is used at the discretion of Ohio EPA and may be applied where the applicant is a private entity or where the applicant's ability to repay or its security varies significantly from the norm of a WSRLA applicant.

Under certain circumstances, the WSRLA can provide interest savings to a recipient by negotiating with a lending institution for a reduced interest rate on WSRLA funds placed on deposit, usually a certificate of deposit. The reduced interest rate paid to the WSRLA is then passed on to the borrower. The loan is made by the lending institution.

The interest rate charged by the bank for the loan will be discounted below the bank's normal interest rate by an amount equal to the difference between the U.S. Treasury Note and Bond interest rate* and the WSRLA linked deposit interest rate. The WSRLA linked deposit interest rate will be at least 300 basis points less than the reported Treasury Notes and Bonds yield.

* As reported in *The Bond Buyer* on the Friday of the preceding week, for notes and bonds with a term of years closest to the term of the applicant's loan.

6. Supplemental Loan Interest Rate *(Amortization period of at least five years but not more than 20)*

Supplemental loans for projects that <u>have not</u> commenced repayments will be at the rate in effect at the time of the original award. Supplemental loans for projects that <u>have</u> commenced repayments will be awarded as new loans at the appropriate interest rate in effect at the time of the loan award.

APPENDIX F

Disadvantaged Community Loan Program

General Criteria

All eligible applicants to the disadvantaged community loan program (DCLP) will be evaluated using these criteria:

Health Related Factors *(mandatory score of one or more points)*

When a drinking water system applies to the WSRLA program, a priority ranking score is developed to enable the system to be ranked on the PPL. The PPL point evaluation factors include an evaluation of public health issues. The evaluation is performed to satisfy the purpose of the priority ranking system which is to establish the list of eligible water systems such that the most serious risks to public health are given the highest priority. Those indicators are:

Bacteriological Contamination
Nitrate / Nitrite
Surface Water Treatment Rule
Inorganic Chemicals (IOCs)
Volatile Organic Chemicals (VOCs)
Radionuclides
Total Trihalomethanes (TTHMs)
Lead and Copper
Boil Order Status
Disinfectant Residual in the Distribution System
Contaminated Private Wells
Secondary Standards (Iron and Manganese)
Tie-in of Systems with Public Health/Enforcement Issues
Unmetered Systems

For the PPL, assessment points are assigned to each indicator based on various levels of contamination thresholds for each of the public health issues. For the health related factors criterion in the disadvantaged community program, possible scores are two, one, or zero points. Systems that receive a score for the PPL public health issues assessment of 60 points or more, receive two points for the health related factors in the disadvantaged community evaluation. Systems that receive a score for the PPL public health issues assessment of less than 60 points but more than zero points, will receive one point for the health related factors in the disadvantaged community evaluation. Projects that receive a score for the PPL public health issues assessment of zero points will receive zero points for the health related factors in the disadvantaged community evaluation. A score of either two or one point(s) is **mandatory** for the DCLP. Projects that receive zero points for this criterion will not receive further consideration for disadvantaged community designation.

Economic Affordability *(mandatory score of one point)*

The PPL point evaluation factors include an evaluation of economic affordability which is an indicator of systems in need on a per household basis. This evaluation is performed to satisfy the particular emphasis of the DWAF to assist drinking water systems serving less affluent populations and to provide greater funding flexibility to those identified systems. A detailed description of the economic affordability criterion that is part of the PPL point assessment for the WSRLA program is found in Appendix D.

To evaluate the indication of economic stress present in a community, the economic affordability criterion in the disadvantaged community program compares the annual cost per household of drinking water (and cost of wastewater treatment if present) to benchmark values. For the economic affordability criterion, possible scores are one, or zero points.

DCLP projects will receive one point for the economic affordability criterion based upon an evaluation that demonstrates the annual cost per household exceeds the community specific benchmark value(s). Projects that receive a score of zero points for the economic affordability criterion will receive zero points in the disadvantaged community evaluation. A score of one point for this criterion is **mandatory** for the DCLP. Projects that receive zero points for this criterion will not receive further consideration for disadvantaged community designation.

Systems without a user cost, such as schools and some non-profit communities, will receive a default value of one point for this criterion. A default value for these types of drinking water systems is necessary since generally there are no user costs or standardized median household income values for these drinking water systems to enable the economic affordability analysis to be performed.

Newly created drinking water system, where sewer or wastewater service is not provided to the population or service area, will receive a default value of one point for this criterion. A default value is necessary since the economic affordability analysis cannot be performed when there is no existing user charge system. Use of the default value will not be necessary under circumstances where the population to be served is provided sewer or wastewater service, enabling benchmark analysis to be performed.

Population

This criterion evaluates the existing population served by the public water system applying for assistance or the specific service area of the project. This evaluation is performed to satisfy the particular emphasis of the DWAF to assist smaller drinking water systems and to provide greater funding flexibility to the identified systems.

The smaller the population or user base, the less likely it is for such a community to realize economies of scale in financing the costs of constructing, maintaining, and operating a drinking water system. These systems are targeted in the DCLP though evaluation of this criterion. For the population criterion, possible scores are one or zero points.

Systems that serve an existing population or are applying on behalf of a service area serving 10,000 or less population will receive one point in this criterion in the disadvantaged community evaluation. Systems that serve an existing population or are applying on behalf of a service area serving more than 10,000 people will receive zero points for this criterion in the disadvantaged community evaluation. Population data will be obtained from either the most recently completed (final) released U.S. Census Bureau information, or from the most recently completed sanitary survey for the drinking water system conducted by the Division of Drinking and Ground Waters (DDAGW), Ohio EPA, or from other sources as accepted by the director.

Median Household Income

This criterion evaluates the median household income (MHI) of the population of the drinking water system or service area (benefitted users) of a drinking water project. This evaluation is performed to satisfy the particular emphasis of the DWAF to assist drinking water systems serving less affluent populations and to provide greater funding flexibility to those identified systems.

The population or service areas' MHI is an indicator of financial capacity or ability to pay. MHI data is obtained from the most recently completed (final) and released U.S. Census Bureau information, or from other sources accepted by the director. For the MHI criterion, possible scores are one, or zero points.

Systems that have an MHI value of less than the Ohio MHI will receive one point for this criterion in the disadvantaged community evaluation. Systems that have an MHI value that is greater than or equal to the Ohio MHI will receive zero points for this criterion in the disadvantaged community evaluation.

Systems that represent a public school and some non-profit communities, will receive the default value of one point for this criterion. A default value for these classifications of drinking water system is necessary since no standardized MHI values can be obtained for these drinking water systems to enable the evaluation to be performed.

Poverty Rate

This criterion evaluates the poverty rate of the population or service area of a drinking water system. The poverty rate or level of a population served by a drinking water system is also an indicator of the composite population's financial capacity or ability to pay. Poverty rates may indicate the percentage of the population that may potentially be dependent on fixed or low income levels, and may have increased probability to be disproportionately impacted by the existing or increased costs of the provided drinking water system. This evaluation is also performed to satisfy the particular emphasis of the DWAF to assist drinking water systems serving less affluent populations and to provide more funding flexibility to the identified systems. For the poverty rate criterion, possible scores are one or zero points. This data is obtained from the most recently completed (final) and released U.S. Census Bureau information, or from other sources accepted by the director of Ohio EPA.

One point will be given to systems that have a population or service area poverty rate that is more than the poverty rate of Ohio for this criterion in the disadvantaged community evaluation. Systems that have a poverty rate that is equal to or less than the poverty rate of the State will receive zero points for this criterion in the disadvantaged community evaluation.

For this criterion, systems that represent a public school and some non-profit communities, will receive the default value of one point. A default value for these classifications of drinking water systems was necessary since generally no system or service area specific poverty rates can be obtained to enable the evaluation to be performed.

Disadvantaged Community Determination

A drinking water system that is eligible to receive funding through the WSRLA program and desires to be evaluated to determine if the system is eligible for consideration as a disadvantaged community must complete the application for the DCLP and attach all required documentation. Required documentation includes the application, currently enabled water and sewer rate ordinance/bylaw, and a general plan or preliminary engineering report. Adequate and complete information must be submitted to Ohio EPA by March 1 of each year. Only systems that make application specifically requesting an evaluation to determine if the system meets the definition of disadvantaged community will be reviewed.

Systems eligible to apply for the disadvantaged community program are all systems that are eligible for the WSRLA program with the exception of some privately owned systems. For a privately owned system to be eligible, it must be a system regulated by the Public Utilities Commission of Ohio (PUCO), a system considered a political subdivision as defined by ORC 6119.011 or a non-profit public water system.

If a drinking water system is designated as a disadvantaged community, the determination is only valid for the specific program year for which that determination was made. If the system does not accept funding from the WSRLA program during the program year, its designation as a disadvantaged community will expire at the end of the program year. In all subsequent program years an annual application by the system will be required to determine if the system meets the disadvantaged community designation. All complete applications with required attachments must be submitted by March 1 of each year.

Additionally, a minimum of 50 percent of the council members or governing board members for the water system must complete the following Rural Communities Assistance Program (RCAP) Courses prior to loan award: 101 Utility Management for Local Officials and 201 Financial Management for Local Officials within the last five years. Both courses are offered free of charge and are available online or in a classroom setting. Ohio EPA will reassess and determine the final loan terms including disadvantaged community eligibility at the time of loan award.

Each criterion has a possible one or zero points score, with the exception of health related factors. For health related factors, possible scores are two, one, or zero point(s). Points are summed per each project and the total point score must be four or more to attain disadvantaged community designation. Financial assistance packages will be determined primarily by the total point score of each drinking water project and secondarily by the points received on the project priority list.

To be eligible, all drinking water projects determined to have a total point score of four or more MUST have received:

- at least one point in the health related factors criterion; AND,
- one point in the economic affordability criterion.

These criteria are mandatory for eligibility to meet the definition of disadvantaged community.

Eligible drinking water systems that are designated as a disadvantaged community are eligible for consideration of the following WSRLA financial assistance:

Total Score/Tier Level	Loan Terms
Six Points/Tier I	Up to 40 percent of project awarded in principal forgiveness. The balance of the loan will have a zero percent interest rate for a minimum of 5 years and up to a 30 year term.
Five Points/Tier II	Up to 30 percent of project awarded in principal forgiveness. The balance of the loan will have a 2 percent interest rate (or the small system rate if it is less than two percent during the month of loan award) for a minimum of 5 years and up to a 30 year term.
Four Points/Tier III	Up to 20 percent of project awarded in principal forgiveness. The balance of the loan will have a 2 percent interest rate (or the small system rate if it is less than two percent during the month of loan award) for a minimum of 5 years and up to a 30 year term.

The total amount available for the DCLP is up to the amount of the capitalization grant received by U.S. EPA minus any designated set-aside amounts. Once an amount equal to the capitalization grant minus the set-asides has been obligated, no other disadvantaged community funds will be available for the remainder of the program year. The amount designated is specific to PY 2014 and is subject to change in future program years. Systems that remain qualified as a disadvantaged community during the program year after all disadvantaged funds have been obligated will be offered project funding as defined by terms of the WSRLA interest rate criteria in Appendix E of this plan.

Systems receiving six points and have a project that will benefit portion of a larger service area, a financial benefit equal to the amount of principal forgiveness received must benefit the portion of the service area that qualified the project for disadvantaged community designation. Examples of this benefit include waiving or reduction of tap or tie-in fees equal to the amount of subsidy received for the project.

Qualifying systems will receive DCLP funding based on the procedures as indicated above, and then in order using the current project priority list. All other WSRLA program requirements must be met in order to receive disadvantaged community funding. Projects may still be bypassed in accordance with the project bypass procedure.

Any remaining funds in the DCLP at the end of the program year will remain in the WSRLA program for use by non-disadvantaged systems in the loan program. Alternatively, if additional funds become available via an additional capitalization grant during the program year, the director has the discretion to review additional disadvantaged community applications for designation or allot additional funds to systems designated as disadvantaged communities on the PY 2014 PPL.

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PY14

PPL #	Entity	Project	PWS ID#	County	Project Cost	Date Funds Needed	Population	Total Points Score	Class
1	Madison Wtr Dist	Provide Service to Lincoln Terr WA	7006712	Richland	$262,950	Sep-13	110	256	T-1
2	Racine	PhII WL Rplc (dsgn)	5300312	Meigs	$156,580	Jul-13	796	177	T-2
3	Racine	PhII WL Rplc (const)	5300312	Meigs	$1,662,420	Jan-14	796	177	T-2
4	Pomeroy	Booster Stat/WF/Trmt Pl Imprvs	4900812	Meigs	$1,443,220	Jun-14	2,900	172	T-2
5	Toronto	WL Rplmnt Prj (const)	4102811	Jefferson	$1,744,765	Jul-13	5,091	149	T-2
6	Toronto	WL Rplmnt Prj (dsgn)	4102811	Jefferson	$91,055	Jul-13	5,091	149	T-2
7	Woodville	Wellfield & WTP Imprvs (pln)	7200912	Sandusky	$80,000	Jun-14	2,135	147	EA
8	Jefferson Co W&S Dist A	Bradley Rd WL Ext	4100803	Jefferson	$1,700,000	Mar-14	30,832	138	T-3
9	Sandusky Co Pks Dist	Tie in White Star Park (Gibsonburg)(const)	7256212	Sandusky	$339,500	Jan-14	520	133	EA
10	Sandusky Co Pks Dist	Tie in White Star Park (Gibsonburg)(eng)	7256212	Sandusky	$37,000	Jan-14	520	133	EA
11	Middle Point	WTP Impr & Meters (const)	8100312	Van Wert	$1,965,000	Jan-14	593	132	EA
12	Muskingum Co Cmrs	Western Hts. & Ridge Rd WL Ext (dsgn)	6000503	Muskingum	$15,000	Jul-13	21,039	129	T-3
13	Muskingum Co Cmrs	Western Hts. & Ridge Rd WL Ext (const)	6000503	Muskingum	$400,500	Sep-13	21,039	129	T-3
14	Swanton	GAC Imprvs (const)	2601011	Fulton	$1,516,300	Sep-13	3,690	126	T-3
15	Plymouth	Brooks/Bell/Beelman WL Rpl (pln)	7004003	Richland	$10,000	Jul-13	1,857	125	T-2
16	Brunersburg WD	TTHM Reduce (dsgn)	2001103	Defiance	$130,000	Aug-13	1,527	125	T-2
17	Plymouth	Brooks/Bell/Beelman WL Rpl (dsgn)	7004003	Richland	$123,900	Oct-13	1,857	125	T-2
18	Plymouth	Brooks/Bell/Beelman WL Rpl (const)	7004003	Richland	$1,481,800	Jun-14	1,857	125	T-2
19	Bradner	Wells & Trmt Imprvs	8700412	Wood	$1,000,000	Jun-14	1,171	124	T-1
20	Holloway	WL Rplcmts (pln)	0701112	Belmont	$25,250	Jul-13	360	120	EA
21	Holloway	WL Rplcmts (dsgn)	0701112	Belmont	$98,900	Sep-13	360	120	EA
22	Holloway	WL Rplcmts (const)	0701112	Belmont	$1,774,980	Dec-13	360	120	EA
23	Thurston	New Wells & New WTP	2302903	Fairfield	$1,910,459	Sep-13	555	119	EA
24	Milford	Oakcrest/Valley View WL Rplc (dsgn)	1301012	Clermont	$45,000	Oct-13	6,400	119	T-3
25	Milford	Oakcrest/Valley View WL Rplc (pln)	1301012	Clermont	$5,000	Oct-13	6,400	119	T-3
26	Milford	Oakcrest/Valley View WL Rplc (const)	1301012	Clermont	$300,000	May-14	6,400	119	T-3

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PY14

PPL #	Entity	Project	PWS ID#	County	Project Cost	Date Funds Needed	Population	Total Points Score	Class
27	Cadiz	Old Steubenville Pike Ln Rplc (const)	3400214	Harrison	$386,750	Dec-13	3,353	116	T-2
28	Cadiz	Old Steubenville Pike Ln Rplc (dsgn)	3400214	Harrison	$68,250	Dec-13	3,353	116	T-2
29	Ottawa	GAC Reactors (dsgn)	6900711	Putnam	$500,000	Aug-13	10,193	115	EA
30	Noble County WA	Trans Mn Rplcmnt	6100503	Noble	$235,500	Oct-13	1,543	115	T-3
31	Muskingum Co Cmrs	North River Rd Wtr Ext (dsgn)	6000412	Muskingum	$102,500	Jul-13	21,039	114	T-3
32	Muskingum Co Cmrs	North River Rd Wtr Ext (pln)	6000412	Muskingum	$21,500	Jul-13	21,039	114	T-3
33	Muskingum Co Cmrs	North River Rd Wtr Ext (const)	6000412	Muskingum	$816,000	Sep-13	21,039	114	T-3
34	Muskingum Co Cmrs	Wtr Sply Expnd NW Wtr System (dsgn)	6000412	Muskingum	$230,000	Oct-13	21,039	114	EA
35	Muskingum Co Cmrs	Wtr Sply Expnd NW Wtr System (const)	6000412	Muskingum	$2,400,000	Jan-14	21,039	114	EA
36	Defiance	North Elev Stor Tank & TTHM Rvm	2000111	Defiance	$3,279,375	Jun-14	17,252	110	EA
37	Bethesda	Wtr Syst Imprvs (dsgn)	0700511	Belmont	$181,000	Jul-13	1,250	109	EA
38	Bethesda	Wtr Syst Imprvs (pln)	0700511	Belmont	$21,900	Jul-13	1,250	109	EA
39	Bethesda	Wtr Syst Imprvs (const)	0700511	Belmont	$3,521,700	Sep-13	1,250	109	T-2
40	Morgan Meigsville RWD	E Windsor Twnshp Svc Area Imprvs	5801003	Morgan	$3,213,000	Apr-14	678	109	EA
41	Arcadia	Meter Rplc	3200903	Hancock	$50,000	Jun-14	537	109	EA
42	Rio Grande	WL Rplc Prj (pln)	2700316	Gallia	$95,840	Jul-13	1,200	105	T-2
43	Mahoning Valley SD	Chem Feed Imprvs	7801811	Trumbull	$2,050,000	Oct-13	220,000	105	EA
44	Mahoning Valley SD	Berlin Pmp Stat Imprvs	7801811	Trumbull	$1,587,000	Oct-13	220,000	105	EA
45	Rio Grande	WL Rplc Prj (const)	2700316	Gallia	$241,160	Dec-13	1,200	105	T-2
46	Dresden	New Clearwell/Pumps/Tank	6000212	Muskingum	$506,350	Dec-13	1,701	105	SML
47	Highland Ridge	SR 530 WL Exts	8403203	Washington	$931,000	Jul-13	3,416	101	T-2
48	Adams Co Reg WD	Betty's Creek/Peach Mtn WL Ext	0100012	Adams	$240,600	Dec-13	17,892	100	EA
49	Buckeye Water District	Trans Main Rplc	1502911	Columbiana	$1,481,754	Apr-14	7,800	99	EA
50	Proctorville	WL Rplc (dsgn)	4400912	Lawrence	$243,550	Sep-13	574	98	EA
51	Proctorville	WL Rplc (const)	4400912	Lawrence	$1,130,000	Apr-14	574	98	EA
52	Ashley	Wtr Lne Rplcmnt (const)	2100011	Delaware	$554,280	Aug-13	1,330	95	EA

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PY14

PPL #	Entity	Project	PWS ID#	County	Project Cost	Date Funds Needed	Population	Total Points Score	Class
53	Ashley	Wtr Lne Rplcmnt (dsgn)	2100011	Delaware	$26,100	Aug-13	1,330	95	EA
54	Liberty Center	New Elev Wtr Twr (dsgn)	3500603	Henry	$67,400	Sep-13	1,180	95	EA
55	Mount Sterling	New Wells/Wellfield/WTP	4900812	Madison	$1,009,838	Sep-13	1,885	95	EA
56	Liberty Center	New Elev Wtr Twr (const)	3500603	Henry	$705,100	Jun-14	1,180	95	EA
57	Milford	Main/Wallace Mn Rplc (dsgn)	1301012	Clermont	$90,000	Oct-13	6,400	94	EA
58	Milford	Main/Wallace Mn Rplc (pln)	1301012	Clermont	$10,000	Oct-13	6,400	94	EA
59	Milford	Main/Wallace Mn Rplc (const)	1301012	Clermont	$875,000	May-14	6,400	94	EA
60	West Jefferson	WTP Imprvs	4902012	Madison	$1,680,000	Jun-14	4,331	94	EA
61	Bridgeport	Trmt and Vlvs Rplc (dsgn)	0700011	Belmont	$77,000	Sep-13	2,830	92	T-2
62	Bridgeport	Trmt and Vlvs Rplc (const)	0700011	Belmont	$923,000	May-14	2,830	92	T-2
63	Lockland	Southeast Wtr Ln Rplc (dsgn)	3101213	Hamilton	$135,000	Oct-13	3,707	91	EA
64	Lockland	Western Mn Rplc (dsgn)	3101213	Hamilton	$80,000	Oct-13	3,707	91	EA
65	Lockland	Southeast Wtr Ln Rplc (pln)	3101213	Hamilton	$10,000	Oct-13	3,707	91	EA
66	Lockland	Western Mn Rplc (pln)	3101212	Hamilton	$5,000	Oct-13	3,707	91	EA
67	Lockland	Southeast Wtr Ln Rplc (const)	3101213	Hamilton	$1,130,000	May-14	3,707	91	EA
68	Lockland	Western Mn Rplc (const)	3101214	Hamilton	$700,000	May-14	3,707	91	EA
69	Tuppers Plains Chester WD	Bashan Booster Station	5300612	Athens/Meigs	$312,800	Jun-14	13,443	90	T-3
70	West Salem	New Wellfield/Wells/WTP Imprvs	8504311	Wayne	$4,150,000	Dec-13	1,450	89	EA
71	Trimble	Dist Syst Rplcmnt (const)	0501703	Athens	$1,674,800	Mar-14	548	89	EA
72	Trimble	Dist Syst Rplcmnt (dsgn)	0501703	Athens	$145,200	Mar-14	548	89	EA
73	Tuscarawas Co Metro SD	Ridgewood Syst Imprv (const)	7901912	Tuscarawas	$1,119,500	May-14	7,382	89	EA
74	Tuscarawas Co Metro SD	Ridgewood Syst Imprv (dsgn)	7901912	Tuscarawas	$127,500	May-14	7,382	89	EA
75	Tuscarawas Co Metro SD	Ridgewood Syst Imprv (pln)	7901912	Tuscarawas	$10,000	May-14	7,382	89	EA
76	Bethel	Rplc Elev Wtr Tank/Twr (dsgn)	1300116	Clermont	$90,000	Oct-13	2,711	88	EA
77	Bethel	Central Wtr Mn Rplc (dsgn)	1300116	Clermont	$85,000	Oct-13	2,711	88	EA

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78	Bethel	North Area Wtr Mn Rplc (dsgn)	1300116	Clermont	$60,000	Oct-13	2,711	88	EA
79	Bethel	West Plane St Wtr Mn Rplc (dsgn)	1300116	Clermont	$60,000	Oct-13	2,711	88	EA
80	Bethel	Central Wtr Mn Rplc (pln)	1300116	Clermont	$15,000	Oct-13	2,711	88	EA
81	Bethel	Rplc Elev Wtr Tank/Twr (pln)	1300116	Clermont	$10,000	Oct-13	2,711	88	EA
82	Bethel	North Area Wtr Mn Rplc (pln)	1300116	Clermont	$5,000	Oct-13	2,711	88	EA
83	Bethel	West Plane St Wtr Mn Rplc (pln)	1300116	Clermont	$5,000	Oct-13	2,711	88	EA
84	Bethel	Central Wtr Mn Rplc (const)	1300116	Clermont	$700,000	May-14	2,711	88	EA
85	Bethel	Rplc Elev Wtr Tank/Twr (const)	1300116	Clermont	$650,000	May-14	2,711	88	EA
86	Bethel	North Area Wtr Mn Rplc (const)	1300116	Clermont	$610,000	May-14	2,711	88	EA
87	Bethel	West Plane St Wtr Mn Rplc (const)	1300116	Clermont	$525,000	May-14	2,711	88	EA
88	Bloomingburg	Wtr Trns Mn Rplc	2400012	Fayette	$182,790	Feb-14	938	87	EA
89	Oak Harbor	Tank Replc (dsgn)	6202603	Ottawa	$102,500	Dec-13	4,432	86	EA
90	Oak Harbor	Elev Tank Mx Syst West (dsgn)	6202603	Ottawa	$25,000	Dec-13	4,432	86	EA
91	Oak Harbor	Elev Tank Mx Syst East (dsgn)	6202603	Ottawa	$20,000	Dec-13	4,432	86	EA
92	Oak Harbor	Tank Replc (const)	6202603	Ottawa	$1,400,000	Jun-14	4,432	86	EA
93	Oak Harbor	Elev Tank Mx Syst West (const)	6202603	Ottawa	$100,000	Jun-14	4,432	86	EA
94	Oak Harbor	Elev Tank Mx Syst East (const)	6202603	Ottawa	$85,000	Jun-14	4,432	86	EA
95	Deshler	Wtr Twr Repainting (dsgn)	3500112	Henry	$7,000	Jul-13	1,831	85	EA
96	Deshler	Wtr Twr Repainting (const)	3500112	Henry	$115,000	Aug-13	1,831	85	EA
97	Tuppers Plains Chester WD	WTP Imprs Long Bottom	5300612	Athens/Meigs	$320,600	Jun-14	13,443	85	EA
98	Old Straitsville WA	Oreville WL Rplcmnt	6401403	Hocking/Perry	$373,000	May-14	3,620	81	EA
99	McCartyville WA, Inc	Rte 119 WL Rplmt	7500812	Shelby	$100,500	Jun-14	190	81	EA
100	Sugar Grove	WTP Imprvs Chm Fd/Pumps/Vlvs	2302112	Fairfield	$600,920	Sep-13	426	80	SML
101	Columbus	Johnstown Rd WL 690236-100045	2504412	Franklin	$2,500,000	Sep-13	965,250	80	EA
102	Columbus	Sexton Dr LW 690236-100047	2504412	Franklin	$2,700,000	Oct-13	965,250	80	EA

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103	Columbus	Cooke Rd WL 690236-100043	2504412	Franklin	$3,000,000	Dec-13	965,250	80	EA
104	Columbus	Ferris Rd WL 690236-100054	2504412	Franklin	$3,000,000	Mar-14	965,250	80	EA
105	Columbus	Regina Ave WL 690236-100052	2504412	Franklin	$2,700,000	Mar-14	965,250	80	EA
106	Columbus	Eastfield Dr WL 690236=100051	2504412	Franklin	$3,000,000	Apr-14	965,250	80	EA
107	Columbus	Chase Rd WL 690236-100053	2504412	Franklin	$2,500,000	Apr-14	965,250	80	EA
108	Northern Perry Co Water	Phase III WL Ext	6402703	Perry	$1,960,000	Jun-14	35,740	80	T-3
109	Verona	Wtr Twr Rehab (const)	6801212	Preble	$125,000	Apr-14	494	79	EA
110	Verona	Wtr Twr Rehab (dsgn)	6801212	Preble	$10,000	Apr-14	494	79	EA
111	Ansonia	Recoat 2 Existn Elev Stor Tnks	1900012	Darke	$125,000	Apr-14	1,145	79	EA
112	Barnesville	Raw WL & 3 Pumps (cont)	0700011	Belmont	$2,077,137	May-14	6,500	79	EA
113	Barnesville	Raw WL & 3 Pumps (dsgn)	0700011	Belmont	$311,000	May-14	6,500	79	EA
114	Woodsfield	Setl Basin Impr/Flt Tnk Rpr (dsgn)	5600711	Monroe	$49,900	Aug-13	2,384	78	EA
115	Cleves	Ph 3 Elizabethtown (dsgn)	3100512	Hamilton	$90,000	Oct-13	2,790	78	EA
116	Cleves	Ph 3 Elizabethtown (pln)	3100512	Hamilton	$10,000	Oct-13	2,790	78	EA
117	Woodsfield	Setl Basin Impr/Flt Tnk Rpr (const)	5600711	Monroe	$294,842	Dec-13	2,348	78	EA
118	Cleves	Ph 3 Elizabethtown (const)	3100512	Hamilton	$900,000	Apr-14	2,790	78	EA
119	New Lexington	Wtr Dist Imprv	6400411	Perry	$816,782	Jun-14	5,161	78	EA
120	Lisbon	North End Pres. Imprvs	1501512	Columbiana	$450,000	Mar-14	3,000	76	EA
121	Cincinnati	Delhi WL Rplc (dsgn)	3102612	Hamilton	$75,000	Jul-13	1,100,000	75	EA
122	Cincinnati	Reading/5th/ 4th/East WL Rplc (dsgn)	3102612	Hamilton	$75,000	Jul-13	1,100,000	75	EA
123	Cincinnati	Cedar/Lathrop/Cary/Elton WL Rplc (dsgn)	3102612	Hamilton	$65,000	Jul-13	1,100,000	75	EA
124	Cincinnati	Delhi WL Rplc (const)	3102612	Hamilton	$1,650,000	Sep-13	1,100,000	75	EA
125	Cincinnati	Reading/5th/ 4th/East WL Rplc (const)	3102612	Hamilton	$1,650,000	Sep-13	1,100,000	75	EA
126	Cincinnati	Cedar/Lathrop/Cary/Elton WL Rplc (const)	3102612	Hamilton	$1,430,000	Sep-13	1,100,000	75	EA
127	Mahoning Valley SD	Dist Vlvs Repl	7801811	Trumbull	$4,426,000	Oct-13	220,000	75	EA
128	Cincinnati	Donjoy/Belleview WL Rplc (dsgn)	3102612	Hamilton	$87,500	Oct-13	1,100,000	75	EA

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129	Cincinnati	Ashtree/Casey/Firtree WL Rplc (dsgn)	3102612	Hamilton	$45,000	Oct-13	1,100,000	75	EA
130	Cincinnati	Donjoy/Belleview WL Rplc (const)	3102612	Hamilton	$1,925,000	Dec-13	1,100,000	75	EA
131	Cincinnati	Jordan/Zion/Zion Hill WL Rplc (dsgn)	3102612	Hamilton	$82,500	Dec-13	1,100,000	75	EA
132	Cincinnati	Chantilly/Grosspoint WL Rplc (dsgn)	3102612	Hamilton	$49,000	Dec-13	1,100,000	75	EA
133	Cincinnati	Fairpark/Highland/W 65th WL Rplc (dsgn)	3102612	Hamilton	$37,500	Dec-13	1,100,000	75	EA
134	Cincinnati	Acoma/David/Linda/Arbor WL Rplc (dsgn)	3102612	Hamilton	$33,500	Dec-13	1,100,000	75	EA
135	Wooster	Burbank Rd WL (dsgn)	8504512	Wayne	$83,000	Jan-14	24,811	75	STD
136	Cincinnati	Chantilly/Grosspoint WL Rplc (const)	3102612	Hamilton	$1,078,000	Jan-14	1,100,000	75	EA
137	Cincinnati	Ashtree/Casey/Firtree WL Rplc (const)	3102612	Hamilton	$990,000	Jan-14	1,100,000	75	EA
138	Cincinnati	Clifton/West McMillan WL Rplc (dsgn)	3102612	Hamilton	$57,500	Jan-14	1,100,000	75	EA
139	Cincinnati	Mohawk/Renner/Stonewall WL Rplc (dsgn)	3102612	Hamilton	$55,000	Jan-14	1,100,000	75	EA
140	Cincinnati	Jordan/Zion/Zion Hill WL Rplc (const)	3102612	Hamilton	$1,815,000	Feb-14	1,100,000	75	EA
141	Cincinnati	Fairpark/Highland/W 65th WL Rplc (const)	3102612	Hamilton	$825,000	Feb-14	1,100,000	75	EA
142	Cincinnati	Ludlow/Jefferson WL Rplc (dsgn)	3102612	Hamilton	$87,500	Feb-14	1,100,000	75	EA
143	Cincinnati	Denham/Pulte/Casper WL Rplc (dsgn)	3102612	Hamilton	$47,500	Feb-14	1,100,000	75	EA
144	Continental	New Elev Wtr Twr	6900212	Putnam	$850,000	Mar-14	1,250	75	EA
145	Cincinnati	Mohawk/Renner/Stonewall WL Rplc (const)	3102612	Hamilton	$1,210,000	Mar-14	1,100,000	75	EA
146	Cincinnati	Acoma/David/Linda/Arbor WL Rplc (const)	3102612	Hamilton	$737,000	Mar-14	1,100,000	75	EA
147	Cincinnati	Ludlow/Jefferson WL Rplc (const)	3102612	Hamilton	$1,925,000	Apr-14	1,100,000	75	EA
148	Cincinnati	Clifton/West McMillan WL Rplc (const)	3102612	Hamilton	$1,265,000	Apr-14	1,100,000	75	EA
149	Cincinnati	Denham/Pulte/Casper WL Rplc (const)	3102612	Hamilton	$1,045,000	May-14	1,100,000	75	EA
150	Wooster	Burbank Rd WL (const)	8504512	Wayne	$985,000	Jun-14	24,811	75	STD
151	Peebles	Meter Rplc	0801023	Adams	$175,000	Dec-13	1,776	74	EA
152	Oakwood	Elev Twr WL Ext	6300312	Paulding	$106,000	Mar-14	607	72	EA
153	Lockland	NW Loop & Bstr Stat (dsgn)	3101213	Hamilton	$47,000	Oct-13	3,707	71	EA

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154	Lockland	NW Loop & Bstr Stat (pln)	3101212	Hamilton	$3,000	Oct-13	3,707	71	EA
155	Lockland	NW Loop & Bstr Stat (const)	3101214	Hamilton	$350,000	May-14	3,707	71	EA
156	Arlington	Main/Cross/Sunset WL Rplc	3200012	Hancock	$200,000	Jul-13	1,460	70	SML
157	Bradford	Softners/Filters/Piping (dsgn)	1900212	Miami	$120,000	Jul-13	1,859	70	SML
158	West Union	Dist Rplc Main to Wilson (pln)	0100503	Adams	$20,000	Aug-13	3,474	70	EA
159	West Union	Dist Rplc Main to Wilson (dsgn)	0100503	Adams	$90,000	Sep-13	3,474	70	EA
160	West Union	N Wtr Mn Imprvs (dsgn)	0100503	Adams	$150,000	Oct-13	3,474	70	EA
161	West Union	N Wtr Mn Imprvs (pln)	0100503	Adams	$10,000	Oct-13	3,474	70	EA
162	Bishopville WD	Wtr Tank Replacement	0500403	Athens	$598,700	May-14	389	70	EA
163	Bradford	Softners/Filters/Piping (const)	1900212	Miami	$1,300,000	May-14	1,859	70	SML
164	West Union	N Wtr Mn Imprvs (const)	0100503	Adams	$1,040,000	May-14	3,474	70	EA
165	West Union	Dist Rplc Main to Wilson (const)	0100503	Adams	$784,273	May-14	3,474	70	EA
166	Urbana	Ph I Wtr Mn Rplcmnt	1101212	Champaign	$3,500,000	May-14	11,793	70	EA
167	Jackson County Water Co	Meter Rplc	4002012	Jackson	$1,686,990	Jun-14	14,657	70	EA
168	Arcadia	Tank Repaint & Repair (dsgn)	3200903	Hancock	$8,000	Jan-14	537	69	EA
169	West Jefferson	Meter Rplc	4902012	Madison	$805,945	Jan-14	4,331	69	EA
170	Arcadia	Tank Repaint & Repair (const)	3200903	Hancock	$80,000	Jun-14	537	69	EA
171	Cleves	Valves Rplc (dsgn)	3100512	Hamilton	$50,000	Oct-13	2,790	68	SML
172	Cleves	Valves Rplc (pln)	3100512	Hamilton	$10,000	Oct-13	2,790	68	SML
173	Cleves	Valves Rplc (const)	3100512	Hamilton	$340,000	May-14	2,790	68	SML
174	Butler	Wtr Mn Improv (pln)	7000412	Richland	$5,000	Jul-13	933	67	SML
175	Butler	Wtr Mn Improv (dsgn)	7000412	Richland	$108,500	Oct-13	933	67	SML
176	Butler	Wtr Mn Improv (const)	7000412	Richland	$1,255,950	Jun-14	933	67	SML
177	Belle Center	Elev Tank (dsgn)	4600012	Logan	$95,000	Dec-13	834	66	SML
178	Belle Center	Elev Tank (const)	4600012	Logan	$900,000	Jun-14	834	66	SML
179	Cincinnati	Marion/Valley/Redway WL Rplc (dsgn)	3102612	Hamilton	$50,000	Jul-13	1,100,000	65	EA

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180	Cincinnati	Woodburn/Jonathan WL Rplc (dsgn)	3102612	Hamilton	$60,000	Aug-13	1,100,000	65	EA
181	Liberty Center	Wtr Syst Genl Pln (pln)	3500603	Henry	$55,000	Sep-13	1,180	65	EA
182	Cincinnati	Marion/Valley/Redway WL Rplc (const)	3102612	Hamilton	$1,100,000	Oct-13	1,100,000	65	EA
183	Northwestern W&S Dist	100 Ar WL Rplc & Looping (dsgn)	8752812	Wood	$52,000	Dec-13	15,616	65	STD
184	Cincinnati	Woodburn/Jonathan WL Rplc (const)	3102612	Hamilton	$1,320,000	Dec-13	1,100,000	65	EA
185	Northwestern W&S Dist	100 Ar WL Rplc & Looping (const)	8752812	Wood	$315,000	Jun-14	15,616	65	STD
186	Trotwood	Olde Town Main Impr Ph 1A (const)	5702403	Montgomery	$457,367	Jan-14	9,000	64	EA
187	Trotwood	Olde Town Main Impr Ph 3A (const)	5702403	Montgomery	$245,603	Jan-14	9,000	64	EA
188	Trotwood	Olde Town Main Impr Ph 1A (dsgn)	5702403	Montgomery	$22,880	Jan-14	9,000	64	EA
189	Trotwood	Olde Town Main Impr Ph 3A (dsgn)	5702403	Montgomery	$14,755	Jan-14	9,000	64	EA
190	Bettsville	Potable Water Bulk Supply Station	7400112	Seneca	$92,000	Mar-14	900	62	EA
191	West Union	S Cherry St Tank (eng)	0100503	Adams	$125,000	Sep-13	3,474	60	EA
192	West Union	S Cherry St Tank (pln)	0100503	Adams	$15,000	Sep-13	3,474	60	EA
193	Cincinnati	Cornell/Irwin-Simpson Bckp Pwr Inst (const)	3102612	Hamilton	$900,000	Dec-13	1,100,000	60	EA
194	Cincinnati	Cornell/Irwin-Simpson Bckp Pwr Inst (dsgn)	3102612	Hamilton	$100,000	Dec-13	1,100,000	60	EA
195	Cincinnati	Richard Miller TP Substat Pwr Install (const)	3102612	Hamilton	$1,400,000	Jan-14	1,100,000	60	EA
196	Cincinnati	Richard Miller TP Substat Pwr Install (dsgn)	3102612	Hamilton	$150,000	Jan-14	1,100,000	60	EA
197	West Union	S Cherry St Tank (const)	0100503	Adams	$750,000	May-14	3,474	60	EA
198	New Carlisle	Automat Mtrs Upgr	1203312	Clark	$650,000	Dec-13	5,785	59	SML
199	Erie County Cmrs	Bay View Elev Tank Relocat (dsgn)	2200203	Erie	$78,900	Jan-14	5,000	59	EA
200	Oak Hill	Replace Meters	4000411	Jackson	$401,915	Jun-14	1,685	59	EA
201	Erie County Cmrs	Bay View Elev Tank Relocat (const)	2200203	Erie	$457,600	Jun-14	5,000	59	EA
202	Barnesville	WL Ext to Industrial Park Ph 2	0700011	Belmont	$1,210,800	Jun-14	6,500	59	EA
203	Cleves	Edgefield Wtr Mn Loop (dsgn)	3100512	Hamilton	$20,000	Oct-13	2,790	58	SML
204	Cleves	Edgefield Wtr Mn Loop (pln)	3100512	Hamilton	$5,000	Oct-13	2,790	58	SML

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205	Cleves	Edgefield Wtr Mn Loop (const)	3100512	Hamilton	$160,000	May-14	2,790	58	SML	
206	Forest	Raw Wtr Comp Study (pln)	3300312	Hardin	$55,000	Jun-14	1,458	58	EA	
207	Cincinnati	Cox Smith Rd WL Rplc (dsgn)	3102612	Hamilton	$60,000	Jul-13	1,100,000	55	EA	
208	Ottawa	Lime Sludge Lagoon	6900711	Putnam	$90,000	Aug-13	10,193	55	EA	
209	Cincinnati	Clifton/Joselyn/Swiss Chalet WL Rplc (dsgn)	3102612	Hamilton	$45,000	Sep-13	1,100,000	55	EA	
210	Cincinnati	Vernon/Maxwell/Linton WL Rplc (dsgn)	3102612	Hamilton	$35,000	Sep-13	1,100,000	55	EA	
211	Cincinnati	Cox Smith Rd WL Rplc (const)	3102612	Hamilton	$1,320,000	Oct-13	1,100,000	55	EA	
212	Cincinnati	Bolton Wellfield Trans Mn Install (const)	3102612	Hamilton	$1,000,000	Oct-13	1,100,000	55	EA	
213	Cincinnati	Bolton Wellfield Trans Mn Install (dsgn)	3102612	Hamilton	$60,000	Oct-13	1,100,000	55	EA	
214	Northwestern W&S Dist	Hufford Rd WL Rplc (dsgn)	8752812	Wood	$103,000	Dec-13	15,616	55	STD	
215	Northwestern W&S Dist	E Broadway WL Rplc (dsgn)	8752812	Wood	$62,000	Dec-13	15,616	55	STD	
216	Cincinnati	Clifton/Joselyn/Swiss Chalet WL Rplc (const)	3102612	Hamilton	$990,000	Dec-13	1,100,000	55	EA	
217	Cincinnati	Vernon/Maxwell/Linton WL Rplc (const)	3102612	Hamilton	$770,000	Dec-13	1,100,000	55	EA	
218	Cincinnati	Bolton Wellfield Expans. (const)	3102612	Hamilton	$2,600,000	Jan-14	1,100,000	55	EA	
219	Cincinnati	Bolton Wellfield Expans. (dsgn)	3102612	Hamilton	$225,000	Jan-14	1,100,000	55	EA	
220	Canton	Meter Rplc Prgm (const)	7608112	Stark	$12,725,000	Feb-14	130,000	55	STD	
221	Canton	Meter Rplc Prgm (dsgn)	7608112	Stark	$275,000	Feb-14	130,000	55	STD	
222	Canton	Meter Rplc Prgm (pln)	7608112	Stark	$125,000	Feb-14	130,000	55	STD	
223	Carey	Dist Imprvs	8800012	Wyandot	$3,057,772	Jun-14	3,674	55	SML	
224	Northwestern W&S Dist	Hufford Rd WL Rplc (const)	8752812	Wood	$890,000	Jun-14	15,616	55	STD	
225	Northwestern W&S Dist	E Broadway WL Rplc (const)	8752812	Wood	$412,000	Jun-14	15,616	55	STD	
226	Mahoning Valley SD	Instrumt/SCADA Imprvs	7801811	Trumbull	$3,030,000	Jun-14	220,000	55	EA	**FUNDABLE**
227	Fredericktown	West WL Loop Prj (const)	4200212	Knox	$350,000	Mar-14	2,493	53	SML	
228	Fredericktown	West WL Loop Prj (dsgn)	4200212	Knox	$43,200	Mar-14	2,493	53	SML	
229	Port Clinton	Dist Syst Impr Plan (pln)	6203211	Ottawa	$50,000	Jun-14	6,391	52	EA	

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230	Wooster	Wtr Stor Tnk Bstr Stat (dsgn)	8504512	Wayne	$282,000	Oct-13	24,811	45	STD
231	Wooster	Wtr Stor Tnk Bstr Stat (const)	8504512	Wayne	$2,000,000	Mar-14	24,811	45	STD
232	Pataskala	WTP Upgrd (dsgn)	4502512	Licking	$105,000	Oct-13	8,105	44	SML
233	Pataskala	SR 16 Bstr Stat (dsgn)	4502512	Licking	$30,000	Dec-13	8,105	44	SML
234	Valley View W&S Dist	Eby Rd WL (const)	New Syst	Montgomery	$1,603,255	Apr-14	306	44	EA
235	Valley View W&S Dist	Eby Rd WL (dsgn)	New Syst	Montgomery	$380,163	Apr-14	306	44	EA
236	Pataskala	WTP Upgrd (const)	4502512	Licking	$700,000	Jun-14	8,105	44	SML
237	Pataskala	SR 16 Bstr Stat (const)	4502512	Licking	$200,000	Jun-14	8,105	44	SML
238	Jamestown	WTP Improvements	2901712	Greene	$2,400,000	Jun-14	1,917	40	SML
239	Gibsonburg	Tank Repainting (dsgn)	7200412	Sandusky	$15,000	Jan-14	2,572	37	SML
240	Gibsonburg	Tank Repainting (const)	7200412	Sandusky	$150,000	Jun-14	2,572	37	SML
241	Pataskala	Emrgny Interconnct (dsgn)	4502512	Licking	$77,950	Dec-13	8,105	34	SML
242	Erie County Cmrs	Reg Wtr Cnctn to Sandusky (dsgn)	2200603	Erie	$110,000	Jan-14	5,000	34	SML
243	Erie County Cmrs	SR 101 Booster Stat Rplc (dsgn)	2200203	Erie	$82,500	Jan-14	5,000	34	SML
244	Erie County Cmrs	Bogart Rd Booster Stat (dsgn)	2200603	Erie	$77,000	Jan-14	5,000	34	SML
245	Pataskala	SR 16 Optrl Loop (dsgn)	4502512	Licking	$95,000	Jan-14	8,105	34	SML
246	Pataskala	SE Elev Tank Study (pln)	4502512	Licking	$15,000	Jan-14	8,105	34	SML
247	Erie County Cmrs	Reg Wtr Cnctn to Sandusky (const)	2200603	Erie	$1,000,000	Jun-14	5,000	34	SML
248	Erie County Cmrs	SR 101 Booster Stat Rplc (const)	2200203	Erie	$750,000	Jun-14	5,000	34	SML
249	Erie County Cmrs	Bogart Rd Booster Stat (const)	2200603	Erie	$700,000	Jun-14	5,000	34	SML
250	Pataskala	Emrgny Interconnct (const)	4502512	Licking	$625,000	Jun-14	8,105	34	SML
251	Pataskala	SR 16 Optrl Loop (dsgn)	4502512	Licking	$625,000	Jun-14	8,105	34	SML
252	Piqua	New WTP (dsgn) (INELIGIBLE)	5501211	Miami	$2,150,000	Jul-13	20,522	0	proj inelig
253	Butler County WD #2	Land purchase (pln) (INELIGIBLE)	0900303	Butler	$1,500,000	Sep-13	107,421	0	proj inelig

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PPL #	Entity	Project	PWS ID#	County	Project Cost	Date Funds Needed	Population	Total Points Score	Class
254	Butler County WD #2	Test Wells (const) (INELIGIBLE)	0900303	Butler	$375,000	Sep-13	107,421	0	proj inelig
255	Piqua	New WTP (const) (INELIGIBLE)	5501211	Miami	$28,746,000	Jun-14	20,522	0	proj inelig

APPENDIX H – Draft Intended Projects List

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PPL #	Entity	Project	PWS ID#	County	Project Cost	Date Funds Needed	Population	Total Points Score	Class
1	Madison Wtr Dist	Provide Service to Lincoln Terr WA	7006712	Richland	$262,950	Sep-13	110	256	T-1
2	Racine	PhII WL Rplc (dsgn)	5300312	Meigs	$156,580	Jul-13	796	177	T-2
3	Racine	PhII WL Rplc (const)	5300312	Meigs	$1,662,420	Jan-14	796	177	T-2
4	Pomeroy	Booster Stat/WF/Trmt Pl Imprvs	4900812	Meigs	$1,443,220	Jun-14	2,900	172	T-2
5	Toronto	WL Rplmnt Prj (const)	4102811	Jefferson	$1,744,765	Jul-13	5,091	149	T-2
6	Toronto	WL Rplmnt Prj (dsgn)	4102811	Jefferson	$91,055	Jul-13	5,091	149	T-2
7	Woodville	Wellfield & WTP Imprvs (pln)	7200912	Sandusky	$80,000	Jun-14	2,135	147	EA
8	Jefferson Co W&S Dist A	Bradley Rd WL Ext	4100803	Jefferson	$1,700,000	Mar-14	30,832	138	T-3
9	Sandusky Co Pks Dist	Tie in White Star Park (Gibsonburg)(const)	7256212	Sandusky	$339,500	Jan-14	520	133	EA
10	Sandusky Co Pks Dist	Tie in White Star Park (Gibsonburg)(eng)	7256212	Sandusky	$37,000	Jan-14	520	133	EA
11	Middle Point	WTP Impr & Meters (const)	8100312	Van Wert	$1,965,000	Jan-14	593	132	EA
12	Muskingum Co Cmrs	Western Hts. & Ridge Rd WL Ext (dsgn)	6000503	Muskingum	$15,000	Jul-13	21,039	129	T-3
13	Muskingum Co Cmrs	Western Hts. & Ridge Rd WL Ext (const)	6000503	Muskingum	$400,500	Sep-13	21,039	129	T-3
14	Swanton	GAC Imprvs (const)	2601011	Fulton	$1,516,300	Sep-13	3,690	126	T-3
15	Plymouth	Brooks/Bell/Beelman WL Rpl (pln)	7004003	Richland	$10,000	Jul-13	1,857	125	T-2
16	Brunersburg WD	TTHM Reduce (dsgn)	2001103	Defiance	$130,000	Aug-13	1,527	125	T-2
17	Plymouth	Brooks/Bell/Beelman WL Rpl (dsgn)	7004003	Richland	$123,900	Oct-13	1,857	125	T-2
18	Plymouth	Brooks/Bell/Beelman WL Rpl (const)	7004003	Richland	$1,481,800	Jun-14	1,857	125	T-2
19	Bradner	Wells & Trmt Imprvs	8700412	Wood	$1,000,000	Jun-14	1,171	124	T-1
20	Holloway	WL Rplcmts (pln)	0701112	Belmont	$25,250	Jul-13	360	120	EA
21	Holloway	WL Rplcmts (dsgn)	0701112	Belmont	$98,900	Sep-13	360	120	EA
22	Holloway	WL Rplcmts (const)	0701112	Belmont	$1,774,980	Dec-13	360	120	EA
23	Thurston	New Wells & New WTP	2302903	Fairfield	$1,910,459	Sep-13	555	119	EA
24	Milford	Oakcrest/Valley View WL Rplc (dsgn)	1301012	Clermont	$45,000	Oct-13	6,400	119	T-3
25	Milford	Oakcrest/Valley View WL Rplc (pln)	1301012	Clermont	$5,000	Oct-13	6,400	119	T-3
26	Milford	Oakcrest/Valley View WL Rplc (const)	1301012	Clermont	$300,000	May-14	6,400	119	T-3

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PPL #	Entity	Project	PWS ID#	County	Project Cost	Date Funds Needed	Population	Total Points Score	Class
27	Cadiz	Old Steubenville Pike Ln Rplc (const)	3400214	Harrison	$386,750	Dec-13	3,353	116	T-2
28	Cadiz	Old Steubenville Pike Ln Rplc (dsgn)	3400214	Harrison	$68,250	Dec-13	3,353	116	T-2
29	Ottawa	GAC Reactors (dsgn)	6900711	Putnam	$500,000	Aug-13	10,193	115	EA
30	Noble County WA	Trans Mn Rplcmnt	6100503	Noble	$235,500	Oct-13	1,543	115	T-3
31	Muskingum Co Cmrs	North River Rd Wtr Ext (dsgn)	6000412	Muskingum	$102,500	Jul-13	21,039	114	T-3
32	Muskingum Co Cmrs	North River Rd Wtr Ext (pln)	6000412	Muskingum	$21,500	Jul-13	21,039	114	T-3
33	Muskingum Co Cmrs	North River Rd Wtr Ext (const)	6000412	Muskingum	$816,000	Sep-13	21,039	114	T-3
34	Muskingum Co Cmrs	Wtr Sply Expnd NW Wtr System (dsgn)	6000412	Muskingum	$230,000	Oct-13	21,039	114	EA
35	Muskingum Co Cmrs	Wtr Sply Expnd NW Wtr System (const)	6000412	Muskingum	$2,400,000	Jan-14	21,039	114	EA
36	Defiance	North Elev Stor Tank & TTHM Rvm	2000111	Defiance	$3,279,375	Jun-14	17,252	110	EA
37	Bethesda	Wtr Syst Imprvs (dsgn)	0700511	Belmont	$181,000	Jul-13	1,250	109	EA
38	Bethesda	Wtr Syst Imprvs (pln)	0700511	Belmont	$21,900	Jul-13	1,250	109	EA
39	Bethesda	Wtr Syst Imprvs (const)	0700511	Belmont	$3,521,700	Sep-13	1,250	109	T-2
40	Morgan Meigsville RWD	E Windsor Twnshp Svc Area Imprvs	5801003	Morgan	$3,213,000	Apr-14	678	109	EA
41	Arcadia	Meter Rplc	3200903	Hancock	$50,000	Jun-14	537	109	EA
42	Rio Grande	WL Rplc Prj (pln)	2700316	Gallia	$95,840	Jul-13	1,200	105	T-2
43	Mahoning Valley SD	Chem Feed Imprvs	7801811	Trumbull	$2,050,000	Oct-13	220,000	105	EA
44	Mahoning Valley SD	Berlin Pmp Stat Imprvs	7801811	Trumbull	$1,587,000	Oct-13	220,000	105	EA
45	Rio Grande	WL Rplc Prj (const)	2700316	Gallia	$241,160	Dec-13	1,200	105	T-2
46	Dresden	New Clearwell/Pumps/Tank	6000212	Muskingum	$506,350	Dec-13	1,701	105	SML
47	Highland Ridge	SR 530 WL Exts	8403203	Washington	$931,000	Jul-13	3,416	101	T-2
48	Adams Co Reg WD	Betty's Creek/Peach Mtn WL Ext	0100012	Adams	$240,600	Dec-13	17,892	100	EA
49	Buckeye Water District	Trans Main Rplc	1502911	Columbiana	$1,481,754	Apr-14	7,800	99	EA
50	Proctorville	WL Rplc (dsgn)	4400912	Lawrence	$243,550	Sep-13	574	98	EA
51	Proctorville	WL Rplc (const)	4400912	Lawrence	$1,130,000	Apr-14	574	98	EA
52	Ashley	Wtr Lne Rplcmnt (const)	2100011	Delaware	$554,280	Aug-13	1,330	95	EA

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PPL #	Entity	Project	PWS ID#	County	Project Cost	Date Funds Needed	Population	Total Points Score	Class
53	Ashley	Wtr Lne Rplcmnt (dsgn)	2100011	Delaware	$26,100	Aug-13	1,330	95	EA
54	Liberty Center	New Elev Wtr Twr (dsgn)	3500603	Henry	$67,400	Sep-13	1,180	95	EA
55	Mount Sterling	New Wells/Wellfield/WTP	4900812	Madison	$1,009,838	Sep-13	1,885	95	EA
56	Liberty Center	New Elev Wtr Twr (const)	3500603	Henry	$705,100	Jun-14	1,180	95	EA
57	Milford	Main/Wallace Mn Rplc (dsgn)	1301012	Clermont	$90,000	Oct-13	6,400	94	EA
58	Milford	Main/Wallace Mn Rplc (pln)	1301012	Clermont	$10,000	Oct-13	6,400	94	EA
59	Milford	Main/Wallace Mn Rplc (const)	1301012	Clermont	$875,000	May-14	6,400	94	EA
60	West Jefferson	WTP Imprvs	4902012	Madison	$1,680,000	Jun-14	4,331	94	EA
61	Bridgeport	Trmt and Vlvs Rplc (dsgn)	0700011	Belmont	$77,000	Sep-13	2,830	92	T-2
62	Bridgeport	Trmt and Vlvs Rplc (const)	0700011	Belmont	$923,000	May-14	2,830	92	T-2
63	Lockland	Southeast Wtr Ln Rplc (dsgn)	3101213	Hamilton	$135,000	Oct-13	3,707	91	EA
64	Lockland	Western Mn Rplc (dsgn)	3101213	Hamilton	$80,000	Oct-13	3,707	91	EA
65	Lockland	Southeast Wtr Ln Rplc (pln)	3101213	Hamilton	$10,000	Oct-13	3,707	91	EA
66	Lockland	Western Mn Rplc (pln)	3101212	Hamilton	$5,000	Oct-13	3,707	91	EA
67	Lockland	Southeast Wtr Ln Rplc (const)	3101213	Hamilton	$1,130,000	May-14	3,707	91	EA
68	Lockland	Western Mn Rplc (const)	3101214	Hamilton	$700,000	May-14	3,707	91	EA
69	Tuppers Plains Chester WD	Bashan Booster Station	5300612	Athens/Meigs	$312,800	Jun-14	13,443	90	T-3
70	West Salem	New Wellfield/Wells/WTP Imprvs	8504311	Wayne	$4,150,000	Dec-13	1,450	89	EA
71	Trimble	Dist Syst Rplcmnt (const)	0501703	Athens	$1,674,800	Mar-14	548	89	EA
72	Trimble	Dist Syst Rplcmnt (dsgn)	0501703	Athens	$145,200	Mar-14	548	89	EA
73	Tuscarawas Co Metro SD	Ridgewood Syst Imprv (const)	7901912	Tuscarawas	$1,119,500	May-14	7,382	89	EA
74	Tuscarawas Co Metro SD	Ridgewood Syst Imprv (dsgn)	7901912	Tuscarawas	$127,500	May-14	7,382	89	EA
75	Tuscarawas Co Metro SD	Ridgewood Syst Imprv (pln)	7901912	Tuscarawas	$10,000	May-14	7,382	89	EA
76	Bethel	Rplc Elev Wtr Tank/Twr (dsgn)	1300116	Clermont	$90,000	Oct-13	2,711	88	EA
77	Bethel	Central Wtr Mn Rplc (dsgn)	1300116	Clermont	$85,000	Oct-13	2,711	88	EA

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PPL #	Entity	Project	PWS ID#	County	Project Cost	Date Funds Needed	Population	Total Points Score	Class
78	Bethel	North Area Wtr Mn Rplc (dsgn)	1300116	Clermont	$60,000	Oct-13	2,711	88	EA
79	Bethel	West Plane St Wtr Mn Rplc (dsgn)	1300116	Clermont	$60,000	Oct-13	2,711	88	EA
80	Bethel	Central Wtr Mn Rplc (pln)	1300116	Clermont	$15,000	Oct-13	2,711	88	EA
81	Bethel	Rplc Elev Wtr Tank/Twr (pln)	1300116	Clermont	$10,000	Oct-13	2,711	88	EA
82	Bethel	North Area Wtr Mn Rplc (pln)	1300116	Clermont	$5,000	Oct-13	2,711	88	EA
83	Bethel	West Plane St Wtr Mn Rplc (pln)	1300116	Clermont	$5,000	Oct-13	2,711	88	EA
84	Bethel	Central Wtr Mn Rplc (const)	1300116	Clermont	$700,000	May-14	2,711	88	EA
85	Bethel	Rplc Elev Wtr Tank/Twr (const)	1300116	Clermont	$650,000	May-14	2,711	88	EA
86	Bethel	North Area Wtr Mn Rplc (const)	1300116	Clermont	$610,000	May-14	2,711	88	EA
87	Bethel	West Plane St Wtr Mn Rplc (const)	1300116	Clermont	$525,000	May-14	2,711	88	EA
88	Bloomingburg	Wtr Trns Mn Rplc	2400012	Fayette	$182,790	Feb-14	938	87	EA
89	Oak Harbor	Tank Replc (dsgn)	6202603	Ottawa	$102,500	Dec-13	4,432	86	EA
90	Oak Harbor	Elev Tank Mx Syst West (dsgn)	6202603	Ottawa	$25,000	Dec-13	4,432	86	EA
91	Oak Harbor	Elev Tank Mx Syst East (dsgn)	6202603	Ottawa	$20,000	Dec-13	4,432	86	EA
92	Oak Harbor	Tank Replc (const)	6202603	Ottawa	$1,400,000	Jun-14	4,432	86	EA
93	Oak Harbor	Elev Tank Mx Syst West (const)	6202603	Ottawa	$100,000	Jun-14	4,432	86	EA
94	Oak Harbor	Elev Tank Mx Syst East (const)	6202603	Ottawa	$85,000	Jun-14	4,432	86	EA
95	Deshler	Wtr Twr Repainting (dsgn)	3500112	Henry	$7,000	Jul-13	1,831	85	EA
96	Deshler	Wtr Twr Repainting (const)	3500112	Henry	$115,000	Aug-13	1,831	85	EA
97	Tuppers Plains Chester WD	WTP Imprs Long Bottom	5300612	Athens/Meigs	$320,600	Jun-14	13,443	85	EA
98	Old Straitsville WA	Oreville WL Rplcmnt	6401403	Hocking/Perry	$373,000	May-14	3,620	81	EA
99	McCartyville WA, Inc	Rte 119 WL Rplmt	7500812	Shelby	$100,500	Jun-14	190	81	EA
100	Sugar Grove	WTP Imprvs Chm Fd/Pumps/Vlvs	2302112	Fairfield	$600,920	Sep-13	426	80	SML
101	Columbus	Johnstown Rd WL 690236-100045	2504412	Franklin	$2,500,000	Sep-13	965,250	80	EA
102	Columbus	Sexton Dr LW 690236-100047	2504412	Franklin	$2,700,000	Oct-13	965,250	80	EA

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PPL #	Entity	Project	PWS ID#	County	Project Cost	Date Funds Needed	Population	Total Points Score	Class
103	Columbus	Cooke Rd WL 690236-100043	2504412	Franklin	$3,000,000	Dec-13	965,250	80	EA
104	Columbus	Ferris Rd WL 690236-100054	2504412	Franklin	$3,000,000	Mar-14	965,250	80	EA
105	Columbus	Regina Ave WL 690236-100052	2504412	Franklin	$2,700,000	Mar-14	965,250	80	EA
106	Columbus	Eastfield Dr WL 690236=100051	2504412	Franklin	$3,000,000	Apr-14	965,250	80	EA
107	Columbus	Chase Rd WL 690236-100053	2504412	Franklin	$2,500,000	Apr-14	965,250	80	EA
108	Northern Perry Co Water	Phase III WL Ext	6402703	Perry	$1,960,000	Jun-14	35,740	80	T-3
109	Verona	Wtr Twr Rehab (const)	6801212	Preble	$125,000	Apr-14	494	79	EA
110	Verona	Wtr Twr Rehab (dsgn)	6801212	Preble	$10,000	Apr-14	494	79	EA
111	Ansonia	Recoat 2 Existn Elev Stor Tnks	1900012	Darke	$125,000	Apr-14	1,145	79	EA
112	Barnesville	Raw WL & 3 Pumps (cont)	0700011	Belmont	$2,077,137	May-14	6,500	79	EA
113	Barnesville	Raw WL & 3 Pumps (dsgn)	0700011	Belmont	$311,000	May-14	6,500	79	EA
114	Woodsfield	Setl Basin Impr/Flt Tnk Rpr (dsgn)	5600711	Monroe	$49,900	Aug-13	2,384	78	EA
115	Cleves	Ph 3 Elizabethtown (dsgn)	3100512	Hamilton	$90,000	Oct-13	2,790	78	EA
116	Cleves	Ph 3 Elizabethtown (pln)	3100512	Hamilton	$10,000	Oct-13	2,790	78	EA
117	Woodsfield	Setl Basin Impr/Flt Tnk Rpr (const)	5600711	Monroe	$294,842	Dec-13	2,348	78	EA
118	Cleves	Ph 3 Elizabethtown (const)	3100512	Hamilton	$900,000	Apr-14	2,790	78	EA
119	New Lexington	Wtr Dist Imprv	6400411	Perry	$816,782	Jun-14	5,161	78	EA
120	Lisbon	North End Pres. Imprvs	1501512	Columbiana	$450,000	Mar-14	3,000	76	EA
121	Cincinnati	Delhi WL Rplc (dsgn)	3102612	Hamilton	$75,000	Jul-13	1,100,000	75	EA
122	Cincinnati	Reading/5th/ 4th/East WL Rplc (dsgn)	3102612	Hamilton	$75,000	Jul-13	1,100,000	75	EA
123	Cincinnati	Cedar/Lathrop/Cary/Elton WL Rplc (dsgn)	3102612	Hamilton	$65,000	Jul-13	1,100,000	75	EA
124	Cincinnati	Delhi WL Rplc (const)	3102612	Hamilton	$1,650,000	Sep-13	1,100,000	75	EA
125	Cincinnati	Reading/5th/ 4th/East WL Rplc (const)	3102612	Hamilton	$1,650,000	Sep-13	1,100,000	75	EA
126	Cincinnati	Cedar/Lathrop/Cary/Elton WL Rplc (const)	3102612	Hamilton	$1,430,000	Sep-13	1,100,000	75	EA
127	Mahoning Valley SD	Dist Vlvs Repl	7801811	Trumbull	$4,426,000	Oct-13	220,000	75	EA
128	Cincinnati	Donjoy/Belleview WL Rplc (dsgn)	3102612	Hamilton	$87,500	Oct-13	1,100,000	75	EA

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PPL #	Entity	Project	PWS ID#	County	Project Cost	Date Funds Needed	Population	Total Points Score	Class
129	Cincinnati	Ashtree/Casey/Firtree WL Rplc (dsgn)	3102612	Hamilton	$45,000	Oct-13	1,100,000	75	EA
130	Cincinnati	Donjoy/Belleview WL Rplc (const)	3102612	Hamilton	$1,925,000	Dec-13	1,100,000	75	EA
131	Cincinnati	Jordan/Zion/Zion Hill WL Rplc (dsgn)	3102612	Hamilton	$82,500	Dec-13	1,100,000	75	EA
132	Cincinnati	Chantilly/Grosspoint WL Rplc (dsgn)	3102612	Hamilton	$49,000	Dec-13	1,100,000	75	EA
133	Cincinnati	Fairpark/Highland/W 65th WL Rplc (dsgn)	3102612	Hamilton	$37,500	Dec-13	1,100,000	75	EA
134	Cincinnati	Acoma/David/Linda/Arbor WL Rplc (dsgn)	3102612	Hamilton	$33,500	Dec-13	1,100,000	75	EA
135	Wooster	Burbank Rd WL (dsgn)	8504512	Wayne	$83,000	Jan-14	24,811	75	STD
136	Cincinnati	Chantilly/Grosspoint WL Rplc (const)	3102612	Hamilton	$1,078,000	Jan-14	1,100,000	75	EA
137	Cincinnati	Ashtree/Casey/Firtree WL Rplc (const)	3102612	Hamilton	$990,000	Jan-14	1,100,000	75	EA
138	Cincinnati	Clifton/West McMillan WL Rplc (dsgn)	3102612	Hamilton	$57,500	Jan-14	1,100,000	75	EA
139	Cincinnati	Mohawk/Renner/Stonewall WL Rplc (dsgn)	3102612	Hamilton	$55,000	Jan-14	1,100,000	75	EA
140	Cincinnati	Jordan/Zion/Zion Hill WL Rplc (const)	3102612	Hamilton	$1,815,000	Feb-14	1,100,000	75	EA
141	Cincinnati	Fairpark/Highland/W 65th WL Rplc (const)	3102612	Hamilton	$825,000	Feb-14	1,100,000	75	EA
142	Cincinnati	Ludlow/Jefferson WL Rplc (dsgn)	3102612	Hamilton	$87,500	Feb-14	1,100,000	75	EA
143	Cincinnati	Denham/Pulte/Casper WL Rplc (dsgn)	3102612	Hamilton	$47,500	Feb-14	1,100,000	75	EA
144	Continental	New Elev Wtr Twr	6900212	Putnam	$850,000	Mar-14	1,250	75	EA
145	Cincinnati	Mohawk/Renner/Stonewall WL Rplc (const)	3102612	Hamilton	$1,210,000	Mar-14	1,100,000	75	EA
146	Cincinnati	Acoma/David/Linda/Arbor WL Rplc (const)	3102612	Hamilton	$737,000	Mar-14	1,100,000	75	EA
147	Cincinnati	Ludlow/Jefferson WL Rplc (const)	3102612	Hamilton	$1,925,000	Apr-14	1,100,000	75	EA
148	Cincinnati	Clifton/West McMillan WL Rplc (const)	3102612	Hamilton	$1,265,000	Apr-14	1,100,000	75	EA
149	Cincinnati	Denham/Pulte/Casper WL Rplc (const)	3102612	Hamilton	$1,045,000	May-14	1,100,000	75	EA
150	Wooster	Burbank Rd WL (const)	8504512	Wayne	$985,000	Jun-14	24,811	75	STD
151	Peebles	Meter Rplc	0801023	Adams	$175,000	Dec-13	1,776	74	EA
152	Oakwood	Elev Twr WL Ext	6300312	Paulding	$106,000	Mar-14	607	72	EA
153	Lockland	NW Loop & Bstr Stat (dsgn)	3101213	Hamilton	$47,000	Oct-13	3,707	71	EA

DRAFT PROJECT PRIORITY LIST *DRAFT* *DRAFT* *DRAFT*

May 21, 2013 last rev'd 5/21/13

PY14

PPL #	Entity	Project	PWS ID#	County	Project Cost	Date Funds Needed	Population	Total Points Score	Class
154	Lockland	NW Loop & Bstr Stat (pln)	3101212	Hamilton	$3,000	Oct-13	3,707	71	EA
155	Lockland	NW Loop & Bstr Stat (const)	3101214	Hamilton	$350,000	May-14	3,707	71	EA
156	Arlington	Main/Cross/Sunset WL Rplc	3200012	Hancock	$200,000	Jul-13	1,460	70	SML
157	Bradford	Softners/Filters/Piping (dsgn)	1900212	Miami	$120,000	Jul-13	1,859	70	SML
158	West Union	Dist Rplc Main to Wilson (pln)	0100503	Adams	$20,000	Aug-13	3,474	70	EA
159	West Union	Dist Rplc Main to Wilson (dsgn)	0100503	Adams	$90,000	Sep-13	3,474	70	EA
160	West Union	N Wtr Mn Imprvs (dsgn)	0100503	Adams	$150,000	Oct-13	3,474	70	EA
161	West Union	N Wtr Mn Imprvs (pln)	0100503	Adams	$10,000	Oct-13	3,474	70	EA
162	Bishopville WD	Wtr Tank Replacement	0500403	Athens	$598,700	May-14	389	70	EA
163	Bradford	Softners/Filters/Piping (const)	1900212	Miami	$1,300,000	May-14	1,859	70	SML
164	West Union	N Wtr Mn Imprvs (const)	0100503	Adams	$1,040,000	May-14	3,474	70	EA
165	West Union	Dist Rplc Main to Wilson (const)	0100503	Adams	$784,273	May-14	3,474	70	EA
166	Urbana	Ph I Wtr Mn Rplcmnt	1101212	Champaign	$3,500,000	May-14	11,793	70	EA
167	Jackson County Water Co	Meter Rplc	4002012	Jackson	$1,686,990	Jun-14	14,657	70	EA
168	Arcadia	Tank Repaint & Repair (dsgn)	3200903	Hancock	$8,000	Jan-14	537	69	EA
169	West Jefferson	Meter Rplc	4902012	Madison	$805,945	Jan-14	4,331	69	EA
170	Arcadia	Tank Repaint & Repair (const)	3200903	Hancock	$80,000	Jun-14	537	69	EA
171	Cleves	Valves Rplc (dsgn)	3100512	Hamilton	$50,000	Oct-13	2,790	68	SML
172	Cleves	Valves Rplc (pln)	3100512	Hamilton	$10,000	Oct-13	2,790	68	SML
173	Cleves	Valves Rplc (const)	3100512	Hamilton	$340,000	May-14	2,790	68	SML
174	Butler	Wtr Mn Improv (pln)	7000412	Richland	$5,000	Jul-13	933	67	SML
175	Butler	Wtr Mn Improv (dsgn)	7000412	Richland	$108,500	Oct-13	933	67	SML
176	Butler	Wtr Mn Improv (const)	7000412	Richland	$1,255,950	Jun-14	933	67	SML
177	Belle Center	Elev Tank (dsgn)	4600012	Logan	$95,000	Dec-13	834	66	SML
178	Belle Center	Elev Tank (const)	4600012	Logan	$900,000	Jun-14	834	66	SML
179	Cincinnati	Marion/Valley/Redway WL Rplc (dsgn)	3102612	Hamilton	$50,000	Jul-13	1,100,000	65	EA

DRAFT PROJECT PRIORITY LIST *DRAFT* *DRAFT* *DRAFT*

May 21, 2013 last rev'd 5/21/13

PY14

PPL #	Entity	Project	PWS ID#	County	Project Cost	Date Funds Needed	Population	Total Points Score	Class
180	Cincinnati	Woodburn/Jonathan WL Rplc (dsgn)	3102612	Hamilton	$60,000	Aug-13	1,100,000	65	EA
181	Liberty Center	Wtr Syst Genl Pln (pln)	3500603	Henry	$55,000	Sep-13	1,180	65	EA
182	Cincinnati	Marion/Valley/Redway WL Rplc (const)	3102612	Hamilton	$1,100,000	Oct-13	1,100,000	65	EA
183	Northwestern W&S Dist	100 Ar WL Rplc & Looping (dsgn)	8752812	Wood	$52,000	Dec-13	15,616	65	STD
184	Cincinnati	Woodburn/Jonathan WL Rplc (const)	3102612	Hamilton	$1,320,000	Dec-13	1,100,000	65	EA
185	Northwestern W&S Dist	100 Ar WL Rplc & Looping (const)	8752812	Wood	$315,000	Jun-14	15,616	65	STD
186	Trotwood	Olde Town Main Impr Ph 1A (const)	5702403	Montgomery	$457,367	Jan-14	9,000	64	EA
187	Trotwood	Olde Town Main Impr Ph 3A (const)	5702403	Montgomery	$245,603	Jan-14	9,000	64	EA
188	Trotwood	Olde Town Main Impr Ph 1A (dsgn)	5702403	Montgomery	$22,880	Jan-14	9,000	64	EA
189	Trotwood	Olde Town Main Impr Ph 3A (dsgn)	5702403	Montgomery	$14,755	Jan-14	9,000	64	EA
190	Bettsville	Potable Water Bulk Supply Station	7400112	Seneca	$92,000	Mar-14	900	62	EA
191	West Union	S Cherry St Tank (eng)	0100503	Adams	$125,000	Sep-13	3,474	60	EA
192	West Union	S Cherry St Tank (pln)	0100503	Adams	$15,000	Sep-13	3,474	60	EA
193	Cincinnati	Cornell/Irwin-Simpson Bckp Pwr Inst (const)	3102612	Hamilton	$900,000	Dec-13	1,100,000	60	EA
194	Cincinnati	Cornell/Irwin-Simpson Bckp Pwr Inst (dsgn)	3102612	Hamilton	$100,000	Dec-13	1,100,000	60	EA
195	Cincinnati	Richard Miller TP Substat Pwr Install (const)	3102612	Hamilton	$1,400,000	Jan-14	1,100,000	60	EA
196	Cincinnati	Richard Miller TP Substat Pwr Install (dsgn)	3102612	Hamilton	$150,000	Jan-14	1,100,000	60	EA
197	West Union	S Cherry St Tank (const)	0100503	Adams	$750,000	May-14	3,474	60	EA
198	New Carlisle	Automat Mtrs Upgr	1203312	Clark	$650,000	Dec-13	5,785	59	SML
199	Erie County Cmrs	Bay View Elev Tank Relocat (dsgn)	2200203	Erie	$78,900	Jan-14	5,000	59	EA
200	Oak Hill	Replace Meters	4000411	Jackson	$401,915	Jun-14	1,685	59	EA
201	Erie County Cmrs	Bay View Elev Tank Relocat (const)	2200203	Erie	$457,600	Jun-14	5,000	59	EA
202	Barnesville	WL Ext to Industrial Park Ph 2	0700011	Belmont	$1,210,800	Jun-14	6,500	59	EA
203	Cleves	Edgefield Wtr Mn Loop (dsgn)	3100512	Hamilton	$20,000	Oct-13	2,790	58	SML
204	Cleves	Edgefield Wtr Mn Loop (pln)	3100512	Hamilton	$5,000	Oct-13	2,790	58	SML

	DRAFT PROJECT PRIORITY LIST		DRAFT			DRAFT				DRAFT
P	May 21, 2013	last rev'd 5/21/13								
P	PY14					Date		Total		
L					Project	Funds		Points	Class	
#	Entity	Project	PWS ID#	County	Cost	Needed	Population	Score		
205	Cleves	Edgefield Wtr Mn Loop (const)	3100512	Hamilton	$160,000	May-14	2,790	58	SML	
206	Forest	Raw Wtr Comp Study (pln)	3300312	Hardin	$55,000	Jun-14	1,458	58	EA	
207	Cincinnati	Cox Smith Rd WL Rplc (dsgn)	3102612	Hamilton	$60,000	Jul-13	1,100,000	55	EA	
208	Ottawa	Lime Sludge Lagoon	6900711	Putnam	$90,000	Aug-13	10,193	55	EA	
209	Cincinnati	Clifton/Joselyn/Swiss Chalet WL Rplc (dsgn)	3102612	Hamilton	$45,000	Sep-13	1,100,000	55	EA	
210	Cincinnati	Vernon/Maxwell/Linton WL Rplc (dsgn)	3102612	Hamilton	$35,000	Sep-13	1,100,000	55	EA	
211	Cincinnati	Cox Smith Rd WL Rplc (const)	3102612	Hamilton	$1,320,000	Oct-13	1,100,000	55	EA	
212	Cincinnati	Bolton Wellfield Trans Mn Install (const)	3102612	Hamilton	$1,000,000	Oct-13	1,100,000	55	EA	
213	Cincinnati	Bolton Wellfield Trans Mn Install (dsgn)	3102612	Hamilton	$60,000	Oct-13	1,100,000	55	EA	
214	Northwestern W&S Dist	Hufford Rd WL Rplc (dsgn)	8752812	Wood	$103,000	Dec-13	15,616	55	STD	
215	Northwestern W&S Dist	E Broadway WL Rplc (dsgn)	8752812	Wood	$62,000	Dec-13	15,616	55	STD	
216	Cincinnati	Clifton/Joselyn/Swiss Chalet WL Rplc (const)	3102612	Hamilton	$990,000	Dec-13	1,100,000	55	EA	
217	Cincinnati	Vernon/Maxwell/Linton WL Rplc (const)	3102612	Hamilton	$770,000	Dec-13	1,100,000	55	EA	
218	Cincinnati	Bolton Wellfield Expans. (const)	3102612	Hamilton	$2,600,000	Jan-14	1,100,000	55	EA	
219	Cincinnati	Bolton Wellfield Expans. (dsgn)	3102612	Hamilton	$225,000	Jan-14	1,100,000	55	EA	
220	Canton	Meter Rplc Prgm (const)	7608112	Stark	$12,725,000	Feb-14	130,000	55	STD	
221	Canton	Meter Rplc Prgm (dsgn)	7608112	Stark	$275,000	Feb-14	130,000	55	STD	
222	Canton	Meter Rplc Prgm (pln)	7608112	Stark	$125,000	Feb-14	130,000	55	STD	
223	Carey	Dist Imprvs	8800012	Wyandot	$3,057,772	Jun-14	3,674	55	SML	
224	Northwestern W&S Dist	Hufford Rd WL Rplc (const)	8752812	Wood	$890,000	Jun-14	15,616	55	STD	
225	Northwestern W&S Dist	E Broadway WL Rplc (const)	8752812	Wood	$412,000	Jun-14	15,616	55	STD	
226	Mahoning Valley SD	Instrumt/SCADA Imprvs	7801811	Trumbull	$3,030,000	Jun-14	220,000	55	EA	**FUNDABLE**

APPENDIX I

Public Water System Supervision (PWSS) Plan - SDWA Section 1452(g)(2)(A)

Ohio EPA will take 5 percent of the Public Water Systems Supervision Set-aside (PWSS) authorized under Section 1452(g)(2)(A) of the SDWA from the FFY 2013 capitalization grants. Ohio EPA will use this set-aside to fund a variety of activities to help ensure Ohio's public water systems provide adequate quantities of safe drinking water, including on-going implementation of Ohio's Source Water Protection and Capability Assurance Programs. The PWSS set-aside provides flexibility in utilization of the funds to support Ohio's public water systems. This set-aside requires 100 percent match from the state; one half of this match will be provided by the FFY 1993 PWSS match (Ohio EPA spent $2,223,191 to match the 1993 PWSS grant, an amount well above the one half of the PWSS set aside), and the other half will be provided by in-kind services relating to drinking water activities. The funds will be used to support approximately sixteen full-time equivalent (FTE) positions to complete the program activities described in this section.

Ohio EPA will use the set-aside funds to implement Ohio's approved Source Water Assessment and Protection Program. Specifically, these funds will be used to complete the following:

1. Source Water Assessment

Complete source water assessments for new public water systems and update delineations for new sources (well or water supply intakes).

Schedule: Source water assessments are to be completed for all new public water systems within 60 days of activation or notification from the public water supply program. Updates or revisions of existing public water system assessments are completed when information is received regarding a new well pumping configuration, or when significantly improved site-specific data is obtained regarding flow directions and flow rates. This effort may include site visits to update inventories or investigations to determine aquifer susceptibility to specific types of contaminant sources (these may be site-specific or statewide in nature). Also, preliminary assessments are completed for the Public Drinking Water Program as part of a well siting evaluation.

Responsibility: Assessments are the responsibility of Ohio EPA's District staff, with assistance as requested from Central Office staff and direction from District managers and the Central Office Source Water Protection program.

Evaluation: The success of this task is evaluated by the number of assessments completed within deadlines.

2. Source Water Protection Planning

Encourage and provide direct technical assistance to public water systems in development and implementation of source water protection plans.

Schedule: Locally developed Drinking Water Source Protection Plans will be reviewed within 60 days of receipt by Ohio EPA, and technical assistance will be provided promptly upon request. Also, staff will conduct local workshops across Ohio in accordance with District scheduling. No specific deadlines are proposed for these workshops, providing flexibility for partnering with other organizations and for tailoring outreach to specific groups. Additionally, staff will continue to collaborate with ORSANCO to conduct source water protection education and planning activities for public water systems (surface water and ground water sources) along the Ohio River. A greater emphasis will be placed on assisting surface water systems with source water protection planning. Finally, staff will conduct a Source Water Protection Implementation Survey in 2014, to be used as the basis for determining substantial implementation of community systems with moderate and high susceptibility to contamination. This information also will be used to identify systems that require assistance or encouragement to complete their protection plans or continue implementation; it will also identify systems that deserve recognition for exceptional implementation.

Responsibility: Reviews of Drinking Water Source Protection Plans, on-site technical assistance/outreach, and provision of workshops are primarily the responsibility of Ohio EPA District staff, with assistance from Central Office staff and direction from District managers and the Central Office Source Water Protection program. Central Office staff are responsible for overview of protection plans to ensure review consistency across the state. They also are responsible for preparing, distributing and evaluating the survey and developing reports that measure implementation from the survey data.

Evaluation: Success of Protection Plan reviews will be measured by timeliness of reviews and the number of systems that are endorsed. Success of the workshops will be evaluated by the number that are completed, number of participants and comments from the workshop participants. Success of implementation outreach will be measured by the next SWAP survey, tentatively scheduled for 2014.

3. Coordination, Outreach/Education and Technical Assistance

Conduct public outreach and education, and disseminate source water assessments to public water systems and the public, via a secure web site and collaborate with federal and State environmental programs to develop and implement source water protection strategies.

Schedule: Continue collaboration with federal and state programs to recognize and develop regulatory or management practices protective of source water protection areas. Evaluate revisions to proposed waste management rules during the program year as additional rule packages come up for comment (under the required five-year rule review). Continue outreach efforts via a secure web site that is maintained and updated on a monthly basis. Technical assistance requests for source water protection information are typically completed within five working days of the request. Updates to source water protection web pages will be made quarterly. Poster-sized maps and certificates of recognition will continue to be provided to communities that complete an endorsable source water protection plan; the presentations are typically made in a public setting, such as village council meetings. The Program's internal intranet site contains a comprehensive list of process documents for the Source Water Protection program, and this will continue to be updated to reflect changes in program procedures.

Responsibility: Coordination with other programs' rules will be implemented by Ohio EPA Central Office staff, with direction from the Central Office Source Water Protection supervisor. Development and maintenance of the secure web site and the Source Water Protection intranet and internet web pages is the responsibility of Central Office Source Water Protection staff, with assistance from Ohio EPA's Information and Management Systems staff, as needed. Technical assistance currently is also handled primarily by Central Office staff.

Evaluation: Success of coordination will be measured by our ability to have source water protection area strategies recognized and implemented by other environmental programs. Success of websites will be measured by the public visitation rates, and any comments received. Technical assistance will be measured by the numbers of requests received and processed within deadlines.

4. General Program Support

Provide administrative, computer and data management and geographic information systems support to program staff.

Schedule: Planning and budgeting is scheduled as a priority activity in February/March 2013, but time accounting, personnel management, computer programming, network support, data management, geographic information systems support and information tracking are ongoing functions. At least one meeting will be held for training of Source Water Protection staff around the state with specific training for new GIS applications and ground water flow modeling as necessary. Preparation of the federal report is scheduled as a priority activity for August 2013. The agency anticipates upgrading to ArcGIS 10 in 2013, which may entail substantial reprogramming of applications, remapping of links, retraining of staff, and revision of process documents.

Responsibilities: Planning and budgeting, time accounting and personnel management are the responsibility of the Central Office Source Water Protection Program supervisor and manager. Computer programming and network support are functions of Ohio EPA's Information Management Systems staff, and data management and information tracking is a function of Central Office Source Water Protection staff as well as management. Geographic information system staff provides direct technical assistance to the Source Water Protection Staff.

Evaluation: Completion of plans, budgets and reports within deadlines and routine update of geographic information data to support the source water assessment and protection program.

5. Capability and Compliance Assurance

Strategize new opportunities to re-energize the capability assurance (capacity development) program and maintain efforts to improve compliance assurance throughout the state.

Schedule: Capability and compliance assurance activities will continue throughout the program year including workgroup strategy meetings and regular planning meetings.

Responsibility: A workgroup will be meeting regularly to evaluate the success of the current capability assurance (i.e. capacity development) program and discuss new opportunities to identify ways to assist PWS's in complying with national primary drinking water regulations and enhance the technical, managerial, and financial capacity of systems. Other Ohio EPA staff may be asked to join the workgroup to promote the implementation of the effort. Staff will begin screening systems to identify gaps in capability. The compliance assurance team of Ohio EPA Central Office and District Office staff will engage in a multitude of activities including groundwater rule assistance, limited scope site visits, monthly operating report reviews, outreach, and small systems technical assistance. These activities are intended to follow-up on systems after a sanitary survey, address compliance issues including MCL violations and assist in improving operation deficiencies.

Evaluation: The success of the capability assurance activities is measured by completion of the workgroup findings in a summary report and a strategic plan to improve the program. The success of the compliance assurance activities is measured by the reduced number of systems entering enforcement during the program year.

6. Return to Compliance Activities for PWS

Provide assistance to PWS with compliance needs, i.e., systems with violations, to return the PWS to compliance.

Schedule: After issuance of a violation, DDAGW takes appropriate measures to return the PWS to compliance and record such efforts in SDWIS. DDAGW will respond to ETT lists and complete Compliance and Enforcement Plans in accordance with the deadlines set by USEPA and the Agency's Compliance Through Assurance Strategy. Efforts will be taken prior to occurrence on the ETT list to return PWS to compliance including limited scope site visits. Schedules for database management and clean-up including violation rescission and SOXing will be developed and followed. These activities will occur throughout the program year.

Responsibility: The district office compliance coordinators, supervisors and managers, enforcement coordinators, Compliance Assurance supervisors and manager, and assistant chief will develop and implement programs to return PWS to compliance. The efforts will escalate to formal enforcement for the most non-compliant water systems, Violations will be SOX'd in SDWIS. Enforcement actions will be tracked for compliance. USEPA ETT lists will be responded to. State ETT lists will be tracked to address systems as early as possible. Phone calls, site visits, compliance meetings, enforcement meetings will be conducted as necessary. Ongoing maintenance of the database will occur through regular SOXing of violations by the District Offices and the Compliance Assurance Section.

Evaluation: The success of the return to compliance activities is generally measured by the SOXing of violations in SDWIS. Success is also captured through reporting on the ETT list and the shared goals track overall compliance. Enforcement actions are tracked and reported in state reports and in SDWIS. Compliance with enforcement actions are currently tracked through compliance schedules in SDWIS. Site visits are tracked.

APPENDIX J

Small Systems Technical Assistance Work Plan
SDWA Section 1452 (g)(2)

Ohio EPA will set-aside 2 percent of the FFY 2013 capitalization grant in addition to any previously obtained capitalization grants to fund a Small System Technical Assistance program (SSTAP) to aid public water systems serving fewer than 10,000 persons. This work plan outlines how funds set-aside for the SSTAP will be used to provide technical assistance to small systems. Specifically, this work plan addresses:

a. a brief description of organizations selected to provide services under the SSTAP;
b. the scope of work to be provided under the SSTAP;
c. the funding amount in dollars and as a percentage of the DWAF allocation;
d. the number of FTEs projected for implementing the program;
e. the goals, objectives, and deliverables for the program;
f. a schedule for completing activities during the program year;
g. the responsibilities of Ohio EPA and the providers of assistance; and
h. a description of the evaluation process to assess the success of work funded through SSTAP.

Organizations Providing Services

The grantee selected to provide services for PY 2014 will be the W.S.O.S. Community Action Commission, Inc./Great Lakes Rural Community Assistance program (RCAP). This organization has served as a provider to the SSTAP for over ten years, working with small systems serving fewer than 10,000 in population. They provide managerial assistance to water systems and aid in obtaining financial assistance through a variety of funding sources. Services are handled through both office personnel and field representatives who visit water systems to discuss and remedy problems. They will assist in making application for financing, obtaining engineering expertise, and selection of cost effective alternatives. With a staff of approximately 50 employees in the Great Lakes Region, they manage community and economic development services in various parts of Ohio, as well as environmental assistance in a seven-state region. They also assist with locating and procuring sources of funding in addition to the DWSRF. RCAP will coordinate financing packages for small systems with the follow sources including but not limited to: The Ohio Department of Development's Community Development Block Grant program, The Ohio Water Development Authority, Ohio's Issue 2 program, Ohio's Appalachian Regional Commission Grants program, Ohio's Department of Development Local Government Initiative Fund, The United States Department of Agriculture Rural Development program and RCAP's Community Loan Fund program for water infrastructure development.

Description of the Scope of Work to be Provided

The services to be provided under the SSTAP are in the areas of financial, managerial, regulatory and operational assistance. These services will be performed by RCAP and through training of Ohio EPA field staff. Financial and managerial assistance provided to

small water systems through the SSTAP include:

1. Assist small systems on the Intended Project List, Project Priority List and the Great Lakes RCAP List to increase financial, managerial and system technical capabilities;

2. Assist small systems with the preparation of applications for the Drinking Water State Revolving Loan Fund (DWSRF) including determining the ability to repay and meeting state and other crosscutting requirements;

3. Assist small systems with project planning and determining the most cost effective option for a public water supply to access safe drinking water, i.e. line extension from another community, restructuring, regionalization, retailer of water from another source, etc.;

4. Assist small systems with project development and/or readiness to proceed issues for funding by providing information and/or short course training that includes but is not limited to; hiring an engineer, developing project schedules, obtaining cost estimates, completing data collection for project (population impacted, median household income levels), defining the need and obtaining supporting documentation, description of the proposed project, project alternatives considered and why rejected;

5. Assist small systems with locating and procuring sources of funding in addition to the DWSRF. RCAP will coordinate financing packages with the following sources, including but not limited to: The Ohio Department of Development's Community Development Block Grant program, The Ohio Water Development Authority, Ohio's Issue 2 program, Ohio's Appalachian Regional Commission Grants program, Ohio's Department of Development Local Government Initiative Fund, The United States Department of Agriculture Rural Development program and RCAP's Community Loan Fund program for water infrastructure development;

6. Assist small systems applying for a WSRLA loan, and new and existing community and non-transient non-community water systems, in the development and/or completion of the technical, managerial and financial components of the capability assurance plan;

7. Assist small systems in increasing managerial and financial capability of their public water system. This will include issues relating to utility planning, identifying both direct and indirect operation and maintenance costs, developing budgets, cost recovery, types of financing resources, financial plan development, and marketing utility products and services to customers; and

8. Provide seven training sessions on *Utility Management for Local Officials*; seven training sessions on *Financial Management for Local Officials*; three training sessions on *Asset Management for Local Officials;* three training sessions on *Applied Asset Management using CUPSS*; and two training sessions with a new full training course on *Maximizing System Efficiency and Sustainability with Reduced Resources.* As part of this Grant Agreement, a total of twenty-two classroom training sessions will be provided to small systems.

9. Provide monitoring assessment and outreach services for the online training sessions on *Utility Management for Local Officials* and *Financial Management for Local Officials*, which includes identifying who the governing board is for a system who is required to take the course, obtaining a roster list along with term limits of that body, track who has completed the courses and notify OEPA when the system has fulfilled the training requirement.

10. Provide assistance to communities identified by Ohio EPA that need intensive technical assistance (ITA); this assistance will be in the form of a Pilot Project, the "RCAP Team Approach" which will assist communities that are lacking in capacity or are in violation status and need help to move them toward capacity and compliance status.

11. Provide technical assistance to communities on the RCAP Referral List and to those who request additional assistance as the result of training activities. The short course manual entitled "The Art and Science of Utility Rate Analysis and Structure" will be provided to communities who need additional assistance with rate setting. A short course slide presentation on rate setting will be provided to community decision makers who cannot find time to attend our 6 hour time course on this topic. Likewise, a similar short course slide presentation has been developed for Asset Management. The CUPSS program serves as the platform for this community specific decision maker training.

12. Assist small systems which need special attention to help move water system improvement project(s) forward by offering a recently developed short course entitled "Project Development – A short course for Water and Wastewater System Owners" to enrolled communities. This course is designed to help promote consistency in small community project development across the state.

13. Provide assistance to communities identified by Ohio EPA that will work cooperatively with RCAP to develop Asset Management Plans for their PWS; this assistance will be in the form of a Demonstration Project, which will assist communities to develop and implement asset management plans using CUPSS as a platform.

Funding Amount

The amount set-aside from the capitalization grant for this program is 2 percent of the grant, which is estimated to be $576,780.00.

Projected Number of Full Time Equivalents (FTEs)

RCAP has submitted a line item budget for the current program year indicating their services will require 4.7 FTEs.

Goals and Objectives

The overall program goal is to provide technical assistance to public water systems serving fewer than 10,000 persons to enable such systems to achieve and maintain compliance with applicable state and national drinking water regulations. The SSTAP will address this type of

assistance needed for the small public water systems of Ohio.

The objectives define a program to address the financial, managerial, regulatory and operational needs of the targeted public water systems. Listed below are the specific goals and objectives for the program.

Goals

1. Maximize below-market rate loans to eligible public water systems to fund improvements to eliminate public health threats and ensure compliance with federal and state drinking water laws and regulations.

2. Target technical assistance to public water systems serving 10,000 or fewer people with a technical assistance program provided by funds from the technical assistance set-aside account.

3. Improve the types and quantity of small and disadvantaged community assistance to reduce the financial impact of capital improvement projects on smaller systems and systems serving less affluent populations.

4. Promote the development of the technical, managerial and financial capability of public water systems to maintain compliance with the state and federal Safe Drinking Water Act (SWDA) requirements, and Ohio's Capacity Assurance Program.

5. Fund the construction of extensions of public water systems, or if extensions are not economically feasible, the construction of new public water systems to address pockets of contaminated private water systems.

6. Encourage the consolidation and/or regionalization of small public water systems to allow them to take advantage of the economies of scale available to larger water systems.

7. Encourage communities to proactively manage their assets.

Objectives

1. Assist small systems with the preparation of applications for the DWSRF including determining the ability to repay.

2. Assist in meeting state and other crosscutting requirements of the application.

3. Assist with locating and procuring sources of funding in addition to the DWSRF.

4. Assist with determining the most cost effective option for a public water supply to access safe drinking water.

5. Assist systems with readiness-to-proceed issues.

6. Assist systems in the development and/or completion of all components of the capability assurance documentation.

7. Assist in increasing managerial and financial capability of small systems.

8. Assist systems by offering seminars and online training for small systems utility board training, rate setting training, and asset management training.

9. Assist communities identified by Ohio EPA that need intensive technical assistance. This objective is a 2-3 year pilot program and is referred to as the "RCAP Team Approach."

10. Assist local systems with priority on public health-based issues using the water use advisory list, the RCAP list and the ETT.

11. Assist communities identified by Ohio EPA that will work co-operatively with RCAP to develop and implement Asset Management Plans for the PWS. This is a two-year demonstration project.

Deliverables

Highlighted below are the main deliverables that are to be provided by Ohio RCAP to Ohio EPA. The SSTA Annual Report will include a summary of these detailed reports.

1. Monthly reports
   - Provide a summary on assistance provided to small public water systems on the IPL, PPL, RCAP List, and communities requesting services, including the community need and the planned next steps; and
   - Provide a list of training conducted, attended and other staff activities.

2. Quarterly reports
   - Report on number of small systems assisted with:
     - Preparation of DWSRF and other funder's applications
     - Determining the most cost effective option to access safe drinking water
     - Readiness to proceed issues
     - Capacity development
   - Report on the Pilot Project – "RCAP Team Approach":
     - Name of community
     - Identification of community need include violations occurring and capacity development needs
     - Description of assistance provided and benchmarks accomplished
     - Description of the effectiveness of pilot project
     - Recommendations for next steps for the community
   - Report on the Demonstration Project – "RCAP Asset Management":
     - Name of community
     - Description of assistance provided and benchmarks accomplished
     - Description of the effectiveness of demonstration project
   - Report on classroom and online training provided, including:
     - Date and location of training
     - Name of course
     - Number of participants
     - Number of water systems
     - Communities that have met training requirements for principal forgiveness

3. Annual Reports
   a. Summary compiled from the quarterly reports
   - Report on leveraged funds detail including:
     - Name of community
     - Loan amount
     - Source of loan funds
     - Grant amount
     - Source of grant funds
   - Report on customer satisfaction surveys, including:
     - Date of assistance or training
     - Location of assistance or training
     - Evaluation score

4. Progress statements
   - Statements with details about the status of a particular project or community. These are submitted as needed.
   - Statements regarding the effectiveness of the pilot study.
   - Statements regarding the effectiveness of the demonstration project including the development and presentation of a white paper to the Ohio Section AWWA.

Schedule for Completing Activities

Ohio EPA has targeted small public water systems that are on the PPL, IPL and RCAP List for financial and managerial assistance; however, it is not necessary that a system be on the PPL, IPL or RCAP List to receive assistance through this program. A report will be provided monthly and quarterly for assistance activities using the following criteria: progress that is made, including status of outputs and deliverables per community, and any changes in projected scheduling and completion of activities. The individual schedules for each small public water system will be determined based on the type of assistance necessary, any compliance schedules that exist, and the proposed WSRLA schedule.

Specific to on-site technical assistance calls, the schedules for completing that type of assistance will be determined by the severity and nature the problem, and the identified solution. Multiple visits may need to be scheduled before each activity is considered completed. Issues identified through a sanitary survey or site visit will be followed through resolution of the identified issues.

Responsibilities of Ohio EPA and the Providers of the Program

Ohio EPA will be responsible for ensuring all assistance is provided in a timely manner based on the specific issues and type of assistance determined to be necessary.

Providers are responsible for completing assistance tasks as each individualized schedule requires, and completing deliverables and outputs per those schedules. Submission of quarterly reports describing their activities is required. The providers are responsible for providing assistance as they have described in their work plans as accepted by Ohio EPA,

and fulfilling the requirements and responsibilities as defined in their individual program agreements. Providers will also comply with any and all federal requirements in effect and applicable to their actions as related to completion of all assistance projects.

Description of the Evaluation Process to Assess the Success of Work Funded

Reporting and evaluation methods will be used to assess success of the small systems technical assistance program. Ohio EPA will utilize the reported information to determine the level of success and measure the effects of the assistance. The reported information will be used to determine future program year goals, objectives, and program design to continue to provide effective technical assistance to small systems. The specifics of the evaluation and reporting process per type of assistance provided are described as follows:

Financial and Managerial Assistance Activities Reporting

RCAP staff will meet bimonthly, or as needed, with DDAGW staff to overview technical assistance results and identify additional needs of systems. Reports will contain demographic and performance based information. Specific outcomes per community will be identified in compliance with any developed schedule, and based on the reporting format as defined by DDAGW. RCAP provides an evaluation form after each training course. The information and scores from the evaluation are summarized and used to make improvements or changes to the training courses. In addition, periodically, RCAP conducts a customer satisfaction survey of systems that have received technical assistance. The survey is used to develop improvements to types and specifics of assistance services provided. Annually, RCAP provides a summary of the customer satisfaction surveys completed during the year. This reporting and performance evaluation information ensures that RCAP can document the effectiveness of their technical assistance.