

DWAF Pre-application Process

Presented by
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DWAF?

The Drinking Water Assistance Fund (DWAF) is Ohio EPA's Drinking Water State Revolving Fund program that offers below market rate loans to eligible public water systems to fund water infrastructure improvements.



Helpful Hints

- Have the nomination form and instructions handy
- Available at our website:
<http://www.epa.ohio.gov/ddagw/financialassistance.aspx>
- Ask questions in the chat window.

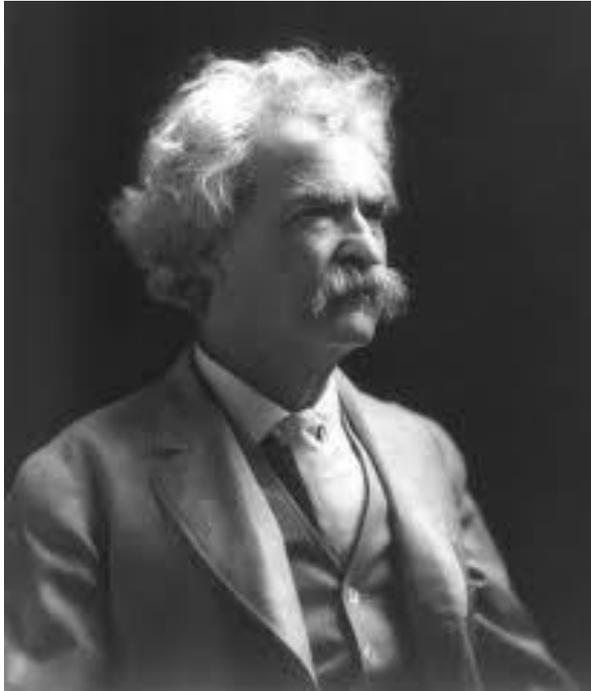


Process Overview

- Project planning
- General Plan approval for WTP projects
- Nomination Form preparation
- Required Documentation
- Disadvantaged Community Loan Program Form preparation
- Submittal



Project Planning



*Plan for the future
because that's where
you are going to
spend the rest of
your life.*

Mark Twain (1835 - 1910)
American Author



Project Planning

- **New:** Required for ALL design and/or construction Drinking Water Assistance Fund Loans.
- **New:** For PY 2015 –
 - Ohio EPA-approved General Plan for all water treatment plant projects and their components
 - Project Planning documentation for all distribution projects



Project Planning

- Source projects?
 - Projects that are integral to the construction of a water treatment plant (ex. new wells) require a general plan
 - Other source projects (ex. well rehabilitation) requires project planning documentation



Project Planning

- Project planning loans are encouraged
- Very favorable rates and terms
- Planning loans can be requested for...
 - Asset management
 - Project planning
 - Capability assurance plan development



Project Planning

- Introduction and Purpose
- Existing Situation
- Future Conditions
- Alternatives
- Selected Alternative
- Preliminary Estimate
- Public Participation
- Environmental Issues
- Funding
- Compliance schedule



Project Planning

- See pages 7-10 of the nomination form instructions for the elements of a general plan and project planning documentation.
- Level of detail should be proportional to the complexity of the project.
- Did I mention it was required for all design and/or construction loans?



General Plan approval for WTP projects

- Nomination form instructions (pgs 7-8) have detailed information as to what should be included in the general plan.
- Submit a general plan to Ohio EPA as soon as possible for review.
- Can take up to 60 days to obtain general plan approval.



Nomination Form Preparation

- Nomination form instructions provide detailed instructions for filling out the form.
- Please refer to the instructions for filling out the form even if something seems intuitive.



Nomination Form Preparation

- Project information (Sec II.):
 - The supporting documentation required for subsection A and B
 - Overview in the boxes provided on the form
 - Details in the general plan or project planning documentation
 - Required supporting documentation in addition to general plan or project planning documentation



Nomination Form Preparation

- Project information (Sec II.):
 - The supporting documentation required for subsection A and B
 - Supporting documentation used for scoring and determining project eligibility
 - **NEW:** HABs are included as public health threats in PY 2015 in our scoring; projects that address HABs may be eligible for principal forgiveness.



Nomination Form Preparation

- Project information (Sec II.):
 - Projects not eligible for funding
 - Dams or rehabilitation of dams
 - Reservoirs
 - Laboratory fees for monitoring
 - O&M expenses
 - Projects needed mainly for fire protection
 - Projects primarily intended to serve future growth



Nomination Form Preparation

- Project information (Sec II.):
 - Projects not eligible for funding
 - Street restoration beyond that necessary for installing facilities directly related to constructing the drinking water system
 - Ordinary governmental or operating expenses
 - Projects that are not the most cost effective solution
 - Projects that have completed construction
 - Complete list on our website



Nomination Form Preparation



- Contact Information (Sec III.):
 - Best contact should be the person responsible for representing the system during the loan process
 - Consulting engineer cannot be the “best contact.”

Nomination Form Preparation

- Proposed Schedule (Sec V.):
 - Construction loan, fill out the entire schedule
 - Design loan, fill out #1, 4, 5, 9, 10 (#2 optional)
 - Planning loan, fill out #4, 5, 9, 10 (#1 optional)
 - Date in #10 can be no later than June 1, 2015



Nomination Form Preparation

- Funding Information (Sec VI.):
 - Fill out the rows (planning, design and construction) for the parts of the project where funding is desired
 - If one loan is desired for multiple parts, use the same loan award date for each part.
 - Design and/or construction funding will not be available for projects that have not completed project planning.



Required Documentation

- Nomination form with signature
- General plan/ project planning
 - Include detailed information for each box checked in Section II-B of the nomination form (pgs 4-6)
 - Review what NOT to submit on pg 6
- Supporting documentation



Disadvantaged Community Loan Program Form preparation

- For any system that would like to apply for subsidy on their construction and/or design loans
- Not applicable for planning loans



Submittal

- Due March 1st!
- One email per project
 - Mail and faxed submissions no longer accepted
 - System name and project name as the subject
- For systems submitting multiple projects, please send a separate email for each project



Submittal

- Maximum of three attachments per email
 - Nomination form
 - Disadvantaged Community Loan Program Form
 - Required documentation including the general plan or project planning material



Free Assistance for Small Systems

- For small systems (pop < 10,000), Ohio Rural Communities Assistance Program (RCAP) is available to help
- Assistance cost is paid by Ohio EPA
- Contact Kurtis Strickland (740-682-8414)



Project Questions?

- Contact your district office and ask for the DWAF district coordinator:
 - NWDO: 419-352-8461
 - NEDO: 330-963-1200
 - CDO: 614-728-3778
 - SWDO: 937-285-6357
 - SEDO: 740-385-8501



Next Webinar?

- How to get through the drinking water loan process...
- Look for it in the summer of 2014.
- Suggestions for others?



Question and Answer

